

# THE UNIVERSAL THETA DIVISOR OVER THE MODULI SPACE OF CURVES

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The universal theta divisor over the moduli space  $\mathcal{A}_g$  of principally polarized abelian varieties of dimension  $g$ , is the divisor  $\Theta_g$  inside the universal abelian variety  $\mathcal{X}_g$  over  $\mathcal{A}_g$ , characterized by two properties: (i)  $\Theta_{g|[A, \Theta]} = \Theta$ , for every principally polarized abelian variety  $[A, \Theta] \in \mathcal{A}_g$ , and (ii) the restriction  $s^*(\Theta_g)$  along the zero section  $s : \mathcal{A}_g \rightarrow \mathcal{X}_g$  is trivial on  $\mathcal{A}_g$ . The study of the geometry of  $\Theta_g$  closely mirrors that of  $\mathcal{A}_g$  itself. Thus it is known that  $\Theta_g$  is unirational for  $g \leq 4$ ; the case  $g \leq 3$  is classical, for  $g = 4$ , we refer to [Ve]. The geometry of  $\Theta_5$  will be addressed in the forthcoming paper [FV3]. Whenever  $\mathcal{A}_g$  is of general type (that is, in the range  $g \geq 7$ , cf. [Fr], [Mum], [T]), one can use Viehweg's additivity theorem [Vi] for the fibre space  $\Theta_g \rightarrow \mathcal{A}_g$  whose generic fibre is a variety of general type, to conclude that  $\Theta_g$  is of general type as well. The Kodaira dimension of  $\Theta_6$  (and that of  $\mathcal{A}_6$ ) is unknown.

The main aim of this paper is to present a complete birational classification by Kodaira dimension of the universal theta divisor

$$\mathfrak{Th}_g := \mathcal{M}_g \times_{\mathcal{A}_g} \Theta_g$$

over the moduli space of curves. If  $[C] \in \mathcal{M}_g$  is a smooth curve, the Abel-Jacobi map  $C_{g-1} \rightarrow \text{Pic}^{g-1}(C)$  provides a resolution of singularities of the theta divisor  $\Theta_C$  of the Jacobian of  $C$ . Thus one may regard the degree  $g - 1$  universal symmetric product  $\overline{\mathcal{C}}_{g,g-1} := \overline{\mathcal{M}}_{g,g-1}/\mathfrak{S}_{g-1}$  as a birational model of  $\mathfrak{Th}_g$  (having only finite quotient singularities), and ask for the place of  $\mathfrak{Th}_g$  in the classification of varieties. We provide a complete answer to this question. For small genus,  $\mathfrak{Th}_g$  enjoys rationality properties:

**Theorem 0.1.**  *$\mathfrak{Th}_g$  is unirational for  $g \leq 9$  and uniruled for  $g \leq 11$ .*

The first part of the theorem, is a consequence of Mukai's work [M1], [M2] on representing canonical curves with general moduli as linear sections of certain homogeneous varieties. When  $g \leq 9$ , there exists a Fano variety  $V_g \subset \mathbf{P}^{N_g}$  of dimension  $n_g := N_g - g + 2$  and index  $n_g - 2$ , such that general 1-dimensional complete intersections of  $V_g$  are canonical curves  $[C] \in \mathcal{M}_g$  having general moduli. The correspondence

$$\Sigma := \{((x_1, \dots, x_{g-1}), \Lambda) \in V_g^{g-1} \times G(g, N_g + 1) : x_i \in \Lambda, \text{ for } i = 1, \dots, g - 1\}$$

maps dominantly onto  $\mathfrak{Th}_g$  via the map  $((x_1, \dots, x_{g-1}), \Lambda) \mapsto [V_g \cap \Lambda, x_1 + \dots + x_{g-1}]$ . Since  $\Sigma$  is a Grassmann bundle over the rational variety  $V_g^{g-1}$ , it follows that  $\mathfrak{Th}_g$  is unirational in the range  $g \leq 9$ . The cases  $g = 10, 11$  are settled by the observation that in this range the space  $\overline{\mathcal{M}}_{g,g-1}$  is uniruled, see [FP], [FV2].

For the remaining genera, we prove the following classification result:

**Theorem 0.2.** *The universal theta divisor  $\mathfrak{Th}_g$  is a variety of general type for  $g \geq 12$ .*

We also have a birational classification theorem for the universal degree  $n$  symmetric product  $\overline{\mathcal{C}}_{g,n} := \overline{\mathcal{M}}_{g,n}/\mathfrak{S}_n$  for all  $1 \leq n \leq g - 2$ , and refer to Section 3 for details.

Our results are complete in degree  $g - 2$  and less precise as  $n$  decreases. Similarly to Theorem 0.2, the nature of  $\overline{\mathcal{C}}_{g,g-2}$  changes when  $g = 12$ :

**Theorem 0.3.** *The universal degree  $g - 2$  symmetric product  $\overline{\mathcal{C}}_{g,g-2}$  is uniruled for  $g < 12$  and a variety of general type for  $g \geq 12$ .*

The proofs of Theorems 0.2 and 0.3 rely on two ingredients. First, we use our result [FV2], stating that for  $g \geq 4$ , the singularities of  $\overline{\mathcal{C}}_{g,n}$  impose no *adjoint conditions*, that is, pluricanonical forms defined on the smooth locus of  $\overline{\mathcal{C}}_{g,n}$  extend to a smooth model of the symmetric product. Precisely, if  $\epsilon : \widetilde{\mathcal{C}}_{g,n} \rightarrow \overline{\mathcal{C}}_{g,n}$  denotes any resolution of singularities, then for any  $l \geq 0$ , there is a group isomorphism

$$\epsilon^* : H^0((\overline{\mathcal{C}}_{g,n})_{\text{reg}}, K_{\overline{\mathcal{C}}_{g,n}}^{\otimes l}) \xrightarrow{\cong} H^0(\widetilde{\mathcal{C}}_{g,n}, K_{\widetilde{\mathcal{C}}_{g,n}}^{\otimes l}).$$

In particular,  $\mathfrak{H}_g$  is of general type when the canonical class  $K_{\overline{\mathcal{C}}_{g,g-1}} \in \text{Pic}(\overline{\mathcal{C}}_{g,g-1})$  is big. This makes the problem of understanding the effective cone of  $\overline{\mathcal{C}}_{g,g-1}$  of some importance. If  $\pi : \overline{\mathcal{M}}_{g,g-1} \rightarrow \overline{\mathcal{C}}_{g,g-1}$  is the quotient map, the Hurwitz formula gives that

$$(1) \quad \pi^*(K_{\overline{\mathcal{C}}_{g,g-1}}) \equiv K_{\overline{\mathcal{M}}_{g,g-1}} - \delta_{0:2} \in \text{Pic}(\overline{\mathcal{M}}_{g,g-1}).$$

The sum  $\sum_{i=1}^{g-1} \psi_i \in \text{Pic}(\overline{\mathcal{M}}_{g,g-1})^{\mathfrak{S}_{g-1}}$  of cotangent tautological classes descends to a big and nef class on  $\overline{\mathcal{C}}_{g,g-1}$  (cf. Proposition 1.2), thus in order to conclude that  $\mathfrak{H}_g$  is of general type, it suffices to exhibit an effective divisor  $\mathfrak{D} \in \text{Eff}(\overline{\mathcal{C}}_{g,g-1})$ , such that

$$(2) \quad \pi^*(K_{\overline{\mathcal{C}}_{g,g-1}}) \in \mathbb{Q}_{>0} \left\langle \lambda, \sum_{i=1}^{g-1} \psi_i \right\rangle + \phi^* \text{Eff}(\overline{\mathcal{M}}_g) + \mathbb{Q}_{\geq 0} \left\langle \pi^*([\mathfrak{D}]), \delta_{i:c} : i \geq 0, c \geq 2 \right\rangle.$$

In this formula,  $\phi : \overline{\mathcal{M}}_{g,g-1} \rightarrow \overline{\mathcal{M}}_g$  denotes the morphism forgetting the marked points, and refer to Section 1 for the standard notation for boundary divisor classes on  $\overline{\mathcal{M}}_{g,n}$ . Comparing condition (2) against the formula for  $K_{\overline{\mathcal{C}}_{g,g-1}}$  given by (4), if one writes  $\pi^*(\mathfrak{D}) \equiv a\lambda - b_{\text{irr}}\delta_{\text{irr}} + c \sum_{i=1}^{g-1} \psi_i - \sum_{i,c} b_{i:c} \delta_{i:c} \in \text{Pic}(\overline{\mathcal{M}}_{g,g-1})$ , the following inequality

$$(3) \quad 3c < b_{0:2}$$

is a necessary condition for the existence of a divisor  $\mathfrak{D}$  satisfying (2). It is straightforward to unravel the geometric significance of the condition (3). If  $[C] \in \mathcal{M}_g$  is a general curve, there is a rational map  $u : C_{g-1} \dashrightarrow \overline{\mathcal{C}}_{g,g-1}$  given by restriction. Denoting by  $x, \theta \in N^1(C_{g-1})_{\mathbb{Q}}$  the standard generators of the Néron-Severi group of the symmetric product, the inequality (3) characterizes precisely those divisors  $\mathfrak{D} \in \text{Pic}(\overline{\mathcal{C}}_{g,g-1})$  for which  $u^*([\mathfrak{D}])$  lies in the fourth quarter of the  $(\theta, x)$ -plane (see [K1] for details on the effective cone of  $C_{g-1}$ ). The divisor  $\mathfrak{D} \subset \overline{\mathcal{C}}_{g,g-1}$  playing this role in our case, is the residual divisor of the universal ramification locus of the Gauss map.

For a curve  $[C] \in \mathcal{M}_g$ , we denote by  $\gamma : C_{g-1} \dashrightarrow (\mathbf{P}^{g-1})^{\vee}$  the Gauss map, given by  $\gamma(D) := \langle D \rangle$  for  $D \in C_{g-1} - C_{g-1}^1$ . The branch divisor  $\text{Br}_C(\gamma) \subset (\mathbf{P}^{g-1})^{\vee}$  is isomorphic to the dual of the canonical curve  $C \subset \mathbf{P}^{g-1}$ . The closure in  $C_{g-1}$  of the ramification divisor  $\text{Ram}_C(\gamma)$  is isomorphic to the diagonal  $\Delta_C := \{2p + D : p \in C, D \in C_{g-3}\}$ , see [An]. In particular, this identification allows one to reconstruct the curve  $C$  from the

theta divisor  $\Theta_C$  and thus prove Torelli's theorem. Let us consider the *residual divisor*  $\text{Res}_C(\gamma)$ , defined via the following equality of divisors on  $C_{g-1}$

$$\gamma^*(\text{Br}_C(\gamma)) = \text{Res}_C(\gamma) + \text{Ram}_C(\gamma).$$

Globalizing this construction over  $\mathcal{M}_g$ , we are lead to consider the effective divisor

$$\mathfrak{RT}_g := \{[C, x_1, \dots, x_g] \in \mathcal{M}_{g,g-1} : \exists p \in C \text{ with } H^0(C, K_C(-x_1 - \dots - x_{g-1} - 2p)) \neq 0\}.$$

The key ingredient in the proof of Theorem 0.2 is the calculation of the class of  $\overline{\mathfrak{RT}}_g$ :

**Theorem 0.4.** *The closure in  $\overline{\mathcal{M}}_{g,g-1}$  of the locus  $\mathfrak{RT}_g := \{[C, x_1, \dots, x_{g-1}] \in \mathcal{M}_{g,g-1} : x_1 + \dots + x_{g-1} \in \text{Res}_C(\gamma)\}$  is linearly equivalent to,*

$$\begin{aligned} \overline{\mathfrak{RT}}_g \equiv & -4(g-7)\lambda + 4(g-2) \sum_{i=1}^{g-1} \psi_i - 2\delta_{\text{irr}} - (12g-22)\delta_{0:2} - \\ & - \sum_{i=0}^g \sum_{s=0}^{i-1} \left( 2i^3 - 5i^2 - 3i + 4g - 4i^2s + 14si - 6gs - s + 2s^2g - 3s^2 + 2 \right) \delta_{i:s} \in \text{Pic}(\overline{\mathcal{M}}_{g,g-1}). \end{aligned}$$

In particular we note that condition (3) is satisfied. Since by construction,  $\overline{\mathfrak{RT}}_g$  is  $\mathfrak{S}_{g-1}$ -invariant, it descends to an effective divisor  $\widetilde{\mathfrak{RT}}_g$  on  $\overline{\mathcal{C}}_{g,g-1}$  which, as it turns out, spans an extremal ray of the cone  $\text{Eff}(\overline{\mathcal{C}}_{g,g-1})$ . Indeed, the universal theta divisor comes equipped with the rational involution  $\tau : \overline{\mathcal{C}}_{g,g-1} \dashrightarrow \overline{\mathcal{C}}_{g,g-1}$  given by

$$\tau([C, x_1 + \dots + x_{g-1}]) := [C, y_1 + \dots + y_{g-1}],$$

where  $\mathcal{O}_C(y_1 + \dots + y_{g-1} + x_1 + \dots + x_{g-1}) = K_C$ . Then  $\widetilde{\mathfrak{RT}}_g$  is the pull-back of the boundary divisor  $\widetilde{\Delta}_{0:2} \subset \overline{\mathcal{C}}_{g,g-1}$  under this map. Since the extremality of  $\widetilde{\Delta}_{0:2}$  is easy to establish, the following result comes naturally:

**Theorem 0.5.** *The effective divisor  $\widetilde{\mathfrak{RT}}_g$  is covered by irreducible curves  $\Gamma_g \subset \overline{\mathcal{C}}_{g,g-1}$  such that  $\Gamma_g \cdot \widetilde{\mathfrak{RT}}_g < 0$ . In particular  $\widetilde{\mathfrak{RT}}_g \in \text{Eff}(\overline{\mathcal{C}}_{g,g-1})$  is a non-movable extremal effective divisor.*

The curves  $\Gamma_g$  have a simple modular construction. One fixes a general linear series  $A \in W_{g+1}^2(C)$ , in particular  $A$  is complete and has only ordinary ramification points. The general point of  $\Gamma_g$  corresponds to an element  $[C, D] \in \overline{\mathcal{C}}_{g,g-1}$ , where  $D \in C_{g-1}$  is an effective divisor such that  $H^0(C, A \otimes \mathcal{O}_C(-2p - D)) \neq 0$ , for some point  $p \in C$ , that is,  $D$  is the residual divisor cut out by a tangent line to the degree  $g+1$  plane model of  $C$  given by  $A$ . Once more we refer to Section 2 for details.

We explain briefly how Theorem 0.4 implies the statement about the Kodaira dimension of  $\overline{\mathcal{C}}_{g,g-1}$ . We choose an effective divisor  $D \equiv a\lambda - \sum_{i=0}^{\lfloor g/2 \rfloor} b_i \delta_i \in \text{Eff}(\overline{\mathcal{M}}_g)$  on the moduli space of curves, with  $a, b_i \geq 0$ , having slope  $s(D) := \frac{a}{\min_i b_i}$  as small as possible. Then note that the following linear combination

$$\pi^*(K_{\overline{\mathcal{C}}_{g,g-1}}) - \frac{1}{6g-11} \left( \frac{3}{2} [\overline{\mathfrak{RT}}_g] - (12g-25)\phi^*(D) - \sum_{i=1}^{g-1} \psi_i - ((84g-185) - (12g-25)s(D))\lambda \right)$$

is expressible as a positive combination of boundary divisors on  $\overline{\mathcal{M}}_{g,g-1}$ . Since, as already pointed out, the class  $\sum_{i=1}^{g-1} \psi_i \in \text{Pic}(\overline{\mathcal{M}}_{g,g-1})$  descends to a big class on  $\overline{\mathcal{C}}_{g,g-1}$ , one obtains the following:

**Corollary 0.6.** *For all  $g$  such that the slope of the moduli space of curves satisfies the inequality*

$$s(\overline{\mathcal{M}}_g) := \inf_{D \in \text{Eff}(\overline{\mathcal{M}}_g)} s(D) < \frac{84g - 185}{12g - 25},$$

*the universal theta divisor  $\mathfrak{Th}_g$  is of general type.*

The bound appearing in Corollary 0.6 holds precisely when  $g \geq 12$ ; for  $g$  such that  $g + 1$  is composite, the inequality  $s(\overline{\mathcal{M}}_g) \leq 6 + 12/(g + 1)$  is well-known, and  $D$  can be chosen to be a Brill-Noether divisor  $\overline{\mathcal{M}}_{g,d}^r$  corresponding to curves with a  $g_d^r$  when the Brill-Noether number  $\rho(g, r, d) = -1$ , cf. [EH1]. When  $g + 1$  is prime and  $g \neq 12$ , then in practice  $g = 2k - 2 \geq 16$ , and  $D$  can be chosen to be the Gieseker-Petri  $\overline{\mathcal{GP}}_{g,k}^1$  consisting of curves  $C$  possessing a pencil  $A \in W_k^1(C)$  such that the Petri map  $\mu_0(C, A) : H^0(C, A) \otimes H^0(C, K_C \otimes A^\vee) \rightarrow H^0(C, K_C)$  is not an isomorphism. When  $g = 12$ , one has to use the divisor constructed on  $\overline{\mathcal{M}}_{12}$  in [FV1]. Finally, when  $g \leq 11$  it is known that  $s(\overline{\mathcal{M}}_g) \geq 6 + 12/(g + 1)$  and inequality (0.6) is not satisfied. In fact, as already pointed out  $\kappa(\mathfrak{Th}_g) = -\infty$  in this range.

The proof of Theorem 0.3 proceeds along similar lines, and relies on finding an explicit  $\mathfrak{S}_{g-2}$ -invariant extremal ray of the cone of effective divisors on  $\overline{\mathcal{M}}_{g,g-2}$ . A representative of this ray is characterized by the geometric condition that the marked points appear in the same fibre of a pencil of degree  $g - 1$ . One can construct such divisors on all moduli spaces  $\overline{\mathcal{M}}_{g,n}$  with  $1 \leq n \leq g - 2$ , cf. Section 3.

**Theorem 0.7.** *The closure inside  $\overline{\mathcal{M}}_{g,g-2}$  of the locus*

$$\mathcal{F}_{g,1} := \{[C, x_1, \dots, x_{g-2}] \in \mathcal{M}_{g,g-2} : \exists A \in W_{g-1}^1(C) \text{ with } H^0(C, A(-\sum_{i=1}^{g-2} x_i)) \neq 0\}$$

*is a non-movable, extremal effective divisor on  $\overline{\mathcal{M}}_{g,g-2}$ . Its class is given by the formula:*

$$\overline{\mathcal{F}}_{g,1} \equiv -(g-12)\lambda + (g-3) \sum_{i=1}^{g-2} \psi_i - \delta_{\text{irr}} - \frac{1}{2} \sum_{s=2}^{g-2} s(g-4+sg-2s) \delta_{0:s} - \dots \in \text{Pic}(\overline{\mathcal{M}}_{g,g-2}).$$

Note that again, inequality (3) is satisfied, hence  $\overline{\mathcal{F}}_{g,1}$  can be used to prove that  $K_{\overline{\mathcal{C}}_{g,g-2}}$  is big. Moreover,  $\overline{\mathcal{F}}_{g,1}$  descends to an extremal divisor  $\tilde{\mathcal{F}}_{g,1} \in \text{Eff}(\overline{\mathcal{C}}_{g,g-2})$ . In fact, we shall show that  $\tilde{\mathcal{F}}_{g,1}$  is swept by curves intersecting its class negatively.

Divisors similar to those considered in Theorems 0.4 and 0.7 can be constructed on other moduli spaces. On  $\overline{\mathcal{M}}_{g,g-3}$  we construct an extremal divisor using a somewhat similar construction. If  $D \in \mathcal{C}_{g-3}$  is a general effective divisor of degree  $g - 3$  on a curve  $[C] \in \mathcal{M}_g$ , we observe that  $K_C \otimes \mathcal{O}_C(-D) \in W_{g+1}^2(C)$ . A natural codimension one condition on  $\overline{\mathcal{M}}_{g,g-3}$  is that this plane model have a triple point (a similar construction requiring instead that  $K_C \otimes \mathcal{O}_C(-D)$  have a cusp, produces a "less extremal" divisor):

**Theorem 0.8.** *The closure inside  $\overline{\mathcal{M}}_{g,g-3}$  of the locus*

$$\mathcal{D}_g := \{[C, x_1, \dots, x_{g-3}] \in \mathcal{M}_{g,g-3} : \exists L \in W_g^2(C) \text{ with } H^0(C, L(-\sum_{i=1}^{g-3} x_i)) \neq 0\}$$

is an effective divisor. Its class in  $\text{Pic}(\overline{\mathcal{M}}_{g,g-3})$  is equal to

$$\overline{\mathcal{D}}_g \equiv -\frac{2(g-17)}{3} \binom{g-3}{2} \lambda + \frac{2g-3}{3} \binom{g-4}{2} \sum_{i=1}^{g-3} \psi_i - \binom{g-3}{2} \delta_{\text{irr}} - (g^2 - 5g + 5)(g-5) \delta_{0:2} - \dots$$

### 1. CONES OF DIVISORS ON UNIVERSAL SYMMETRIC PRODUCTS

The aim of this section is to establish certain facts about boundary divisors on  $\overline{\mathcal{M}}_{g,n}$  and  $\overline{\mathcal{C}}_{g,n}$ , see [AC] for a standard reference. We follow the convention set in [FV2], that is, if  $\mathbf{M}$  is a Deligne-Mumford stack, we denote by  $\mathcal{M}$  its coarse moduli space.

For an integer  $0 \leq i \leq [g/2]$  and a subset  $T \subset \{1, \dots, n\}$ , we denote by  $\Delta_{i:T}$  the closure in  $\overline{\mathcal{M}}_{g,n}$  of the locus of  $n$ -pointed curves  $[C_1 \cup C_2, x_1, \dots, x_n]$ , where  $C_1$  and  $C_2$  are smooth curves of genera  $i$  and  $g-i$  respectively meeting transversally in one point, and the marked points lying on  $C_1$  are precisely those indexed by  $T$ . We define  $\delta_{i:T} := [\Delta_{i:T}]_{\mathbb{Q}} \in \text{Pic}(\overline{\mathcal{M}}_{g,n})$ . For  $0 \leq i \leq [g/2]$  and  $0 \leq s \leq g$ , we set

$$\Delta_{i:s} := \sum_{\#(T)=s} \delta_{i:T}, \quad \delta_{i:s} := [\Delta_{i:s}]_{\mathbb{Q}} \in \text{Pic}(\overline{\mathcal{M}}_{g,n}).$$

By convention,  $\delta_{0:s} := \emptyset$ , for  $s < 2$ , and  $\delta_{i:s} := \delta_{g-i:n-s}$ . If  $\phi : \overline{\mathcal{M}}_{g,n} \rightarrow \overline{\mathcal{M}}_g$  is the morphism forgetting the marked points, we set  $\lambda := \phi^*(\lambda)$  and  $\delta_{\text{irr}} := \phi^*(\delta_{\text{irr}})$ , where  $\delta_{\text{irr}} := [\Delta_{\text{irr}}] \in \text{Pic}(\overline{\mathcal{M}}_g)$  denotes the class of the locus of irreducible nodal curves. Furthermore,  $\psi_1, \dots, \psi_n \in \text{Pic}(\overline{\mathcal{M}}_{g,n})$  are the cotangent classes corresponding to the marked points. The canonical class of  $\overline{\mathcal{M}}_{g,n}$  is computed via Kodaira-Spencer theory:

$$(4) \quad K_{\overline{\mathcal{M}}_{g,n}} \equiv 13\lambda - 2\delta_{\text{irr}} + \sum_{i=1}^n \psi_i - 2 \sum_{\substack{T \subset \{1, \dots, n\} \\ i \geq 0}} \delta_{i:T} - \delta_{1:\emptyset} \in \text{Pic}(\overline{\mathcal{M}}_{g,n}).$$

Let  $\overline{\mathcal{C}}_{g,n} := \overline{\mathcal{M}}_{g,n}/\mathfrak{S}_n$  be the universal symmetric product and  $\pi : \overline{\mathcal{M}}_{g,n} \rightarrow \overline{\mathcal{C}}_{g,n}$  (respectively  $\varphi : \overline{\mathcal{C}}_{g,n} \rightarrow \overline{\mathcal{M}}_g$ ) the projection (respectively the forgetful map), so that  $\phi = \varphi \circ \pi$ . We denote by  $\tilde{\lambda}, \tilde{\delta}_{\text{irr}}, \tilde{\delta}_{i:c} := [\tilde{\Delta}_{i:c}] \in \text{Pic}(\overline{\mathcal{C}}_{g,n})$  the divisor classes on the symmetric product pulling-back to the same symbols on  $\overline{\mathcal{M}}_{g,n}$ . Clearly,  $\pi^*(\tilde{\lambda}) = \lambda$ ,  $\pi^*(\tilde{\delta}_{\text{irr}}) = \delta_{\text{irr}}$ ,  $\pi^*(\tilde{\delta}_{i:c}) = \delta_{i:c}$ ; in the case  $i=0, c=2$ , this reflects the branching of the map  $\pi$  along the divisor  $\tilde{\Delta}_{0:2} \subset \overline{\mathcal{C}}_{g,n}$ . Following [FV2], let  $\mathbb{L}$  denote the line bundle on  $\overline{\mathcal{C}}_{g,n}$ , having fibre

$$\mathbb{L}[C, x_1 + \dots + x_n] := T_{x_1}^{\vee}(C) \otimes \dots \otimes T_{x_n}^{\vee}(C),$$

over a point  $[C, x_1 + \dots + x_n] := \pi([C, x_1, \dots, x_n]) \in \overline{\mathcal{C}}_{g,n}$ . We set  $\tilde{\psi} := c_1(\mathbb{L})$ , and note:

$$(5) \quad \pi^*(\tilde{\psi}) = \sum_{i=1}^n \left( \psi_i - \sum_{i \in T \subset \{1, \dots, n\}} \delta_{0:T} \right) = \sum_{i=1}^n \psi_i - \sum_{s=2}^n s \delta_{0:s} \in \text{Pic}(\overline{\mathcal{M}}_{g,n}).$$

**Proposition 1.1.** *For  $g \geq 3$  and  $n \geq 0$ , the morphism  $\pi^* : \text{Pic}(\overline{\mathcal{C}}_{g,n})_{\mathbb{Q}} \rightarrow \text{Pic}(\overline{\mathcal{M}}_{g,n})_{\mathbb{Q}}$  is injective. Furthermore, there is an isomorphism of groups  $\text{Pic}(\overline{\mathcal{C}}_{g,n})_{\mathbb{Q}} \xrightarrow{\cong} N^1(\overline{\mathcal{C}}_{g,n})_{\mathbb{Q}}$ .*

*Proof.* The first assertion is an immediate consequence of the existence of the norm morphism  $\text{Nm}_{\pi} : \text{Pic}(\overline{\mathcal{M}}_{g,n}) \rightarrow \text{Pic}(\overline{\mathcal{C}}_{g,n})$ , such that  $\text{Nm}_{\pi}(\pi^*(L)) = L^{\otimes \deg(\pi)}$ , for every  $L \in \text{Pic}(\overline{\mathcal{C}}_{g,n})$ . The second part comes from the isomorphism  $\text{Pic}(\overline{\mathcal{M}}_{g,n})_{\mathbb{Q}} \xrightarrow{\cong} N^1(\overline{\mathcal{M}}_{g,n})_{\mathbb{Q}}$ ,

coupled with the commutativity of the obvious diagrams relating the Picard and Néron-Severi groups of  $\overline{\mathcal{M}}_{g,n}$  and  $\overline{\mathcal{C}}_{g,n}$  respectively.  $\square$

One may thus identify  $\text{Pic}(\overline{\mathcal{C}}_{g,n})_{\mathbb{Q}} \cong \text{Pic}(\overline{\mathcal{M}}_{g,n})_{\mathbb{Q}}^{\otimes n}$ . The Riemann-Hurwitz formula applied to the branched covering  $\pi : \overline{\mathcal{M}}_{g,n} \rightarrow \overline{\mathcal{C}}_{g,n}$  yields,

$$\pi^*(K_{\overline{\mathcal{C}}_{g,n}}) = K_{\overline{\mathcal{M}}_{g,n}} - \delta_{0:2} \equiv 13\lambda + \sum_{i=1}^n \psi_i - 2\delta_{\text{irr}} - 3\delta_{0:2} - 2 \sum_{s=3}^n \delta_{0:s} - \dots$$

As expected, the sum of cotangent classes descends to a big line bundle on  $\overline{\mathcal{C}}_{g,n}$ .

**Proposition 1.2.** *The divisor class  $N_{g,n} := \tilde{\psi} + \sum_{s=2}^n s\tilde{\delta}_{0:s} \in \text{Eff}(\overline{\mathcal{C}}_{g,n})$  is big and nef.*

*Proof.* The class  $N_{g,n}$  is characterized by the property that  $\pi^*(N_{g,n}) = \sum_{i=1}^n \psi_i$ . This is a nef class on  $\overline{\mathcal{M}}_{g,n}$ , in particular,  $N_{g,n}$  is nef on  $\overline{\mathcal{C}}_{g,n}$ . To establish that  $N_{g,n}$  is big, we express it as a combination of effective classes and the class  $\tilde{\kappa}_1 \in \text{Pic}(\overline{\mathcal{C}}_{g,n})$ , where

$$\pi^*(\tilde{\kappa}_1) = \kappa_1 = 12\lambda + \sum_{i=1}^n \psi_i - \delta_{\text{irr}} - \sum_{i=0}^{\lfloor g/2 \rfloor} \sum_{s \geq 0} \delta_{i:s} \in \text{Pic}(\overline{\mathcal{M}}_{g,n}).$$

Since  $\pi^*(\tilde{\kappa}_1)$  is ample on  $\overline{\mathcal{M}}_{g,n}$ , it follows that  $\tilde{\kappa}_1$  is ample as well. To finish the proof, we exhibit a suitable effective class on  $\overline{\mathcal{M}}_{g,n}$  having negative  $\lambda$ -coefficient. For that purpose, we choose  $\mathcal{W}_{g,n} \subset \mathcal{C}_{g,n}$  to be the locus of effective divisors having a Weierstrass point in their support. For  $i = 1, \dots, n$ , we denote by  $\sigma_i : \overline{\mathcal{M}}_{g,n} \rightarrow \overline{\mathcal{M}}_{g,1}$  the morphism forgetting all but the  $i$ -th point, and let

$$\overline{\mathcal{W}} \equiv -\lambda + \binom{g+1}{2} \psi - \sum_{i=1}^{g-1} \binom{g-i+1}{2} \delta_{i:1} \in \text{Eff}(\overline{\mathcal{M}}_{g,1}),$$

be the class of the divisor of Weierstrass points on the universal curve. Then one finds

$$\pi^*(\overline{\mathcal{W}}_{g,n}) \equiv \sum_{i=1}^n \sigma_i^*(\overline{\mathcal{W}}) = -n\lambda + \binom{g+1}{2} \sum_{i=1}^n \psi_i - \binom{g+1}{2} \sum_{s=2}^n s\delta_{0:s} - \dots \in \text{Pic}(\overline{\mathcal{M}}_{g,n}),$$

and  $\overline{\mathcal{W}}_{g,n} \equiv -g\tilde{\lambda} + \binom{g+1}{2} \tilde{\psi} - \sum_{i=1}^{\lfloor g/2 \rfloor} \sum_{s \geq 0} b_{i:s} \tilde{\delta}_{i:s}$ , where  $b_{i:s} > 0$ . One checks that  $N_{g,n}$  can be written as a  $\mathbb{Q}$ -combination with positive coefficients of the ample class  $\tilde{\kappa}_1$ , the effective class  $[\overline{\mathcal{W}}_{g,n}]$  and other boundary divisor classes. In particular,  $N_{g,n}$  is big.  $\square$

## 2. THE UNIVERSAL RAMIFICATION LOCUS OF THE GAUSS MAP

We begin the calculation of the divisor  $\overline{\mathfrak{R}\mathfrak{X}}_g$ , and for a start we consider its restriction  $\mathfrak{R}\mathfrak{X}_g$  to  $\mathcal{M}_{g,g-1}$ . Recall that  $\mathfrak{R}\mathfrak{X}_g$  is defined as the closure of the locus of pointed curves  $[C, x_1, \dots, x_{g-1}] \in \mathcal{M}_{g,g-1}$ , such that there exists a holomorphic form on  $C$  vanishing at  $x_1, \dots, x_{g-1}$  and having an unspecified double zero.

Let  $u : \mathbf{M}_{g,g-1}^{(1)} \rightarrow \mathbf{M}_{g,g-1}$  be the universal curve over the stack of  $(g-1)$ -pointed smooth curves and we denote by  $([C, x_1, \dots, x_{g-1}], p) \in \mathcal{M}_{g,g-1}^{(1)}$  a general point, where  $[C, x_1, \dots, x_{g-1}] \in \mathcal{M}_{g,g-1}$  and  $p \in C$  is an arbitrary point. For  $i = 1, \dots, g-1$ , let  $\Delta_{ip} \subset \mathcal{M}_{g,g-1}^{(1)}$  be the diagonal divisor given by the equation  $p = x_i$ . Furthermore, for  $i = 1, \dots, g-1$  we consider as before the projections  $\sigma_i : \mathbf{M}_{g,g-1}^{(1)} \rightarrow \mathbf{M}_{g,1}$  (respectively

$\sigma_p : \mathbf{M}_{g,g-1}^{(1)} \rightarrow \mathbf{M}_{g,1}$ , obtained by forgetting all marked points except  $x_i$  (respectively  $p$ ), and then set  $K_i := \sigma_i^*(\omega_\phi) \in \text{Pic}(\mathbf{M}_{g,g-1}^{(1)})$  and  $K_p := \sigma_p^*(\omega_\phi) \in \text{Pic}(\mathbf{M}_{g,g-1}^{(1)})$ . We consider the following cartesian diagram of stacks

$$\begin{array}{ccc} \mathcal{X} & \xrightarrow{q} & \mathbf{M}_{g,g-1}^{(1)} \\ \downarrow f & & \downarrow \\ \mathbf{M}_{g,1} & \xrightarrow{\phi} & \mathbf{M}_g \end{array}$$

in which all the morphisms are smooth and  $\phi$  (hence also  $q$ ) is proper. For  $1 \leq i \leq g-1$  there are tautological sections  $r_i : \mathbf{M}_{g,g-1}^{(1)} \rightarrow \mathcal{X}$  as well as  $r_p : \mathbf{M}_{g,g-1}^{(1)} \rightarrow \mathcal{X}$ , and set  $E_i := \text{Im}(r_i)$ ,  $E_p := \text{Im}(r_p)$ . Thus  $\{E_i\}_{i=1}^{g-1}$  and  $E_p$  are relative divisors over  $q$ .

For a point  $([C, x_1, \dots, x_{g-1}], p) \in \mathcal{M}_{g,g-1}^{(1)}$ , we denote  $D := \sum_{i=1}^{g-1} x_i + 2p \in C_{g+1}$ , and have the following exact sequence:

$$0 \rightarrow \frac{H^0(\mathcal{O}_C(D))}{H^0(\mathcal{O}_C)} \rightarrow H^0(\mathcal{O}_D(D)) \xrightarrow{\alpha_D} H^1(\mathcal{O}_C) \rightarrow H^1(\mathcal{O}_C(D)) \rightarrow 0.$$

In particular, the morphisms  $\alpha_D$  globalize to a morphism of vector bundles over  $\mathbf{M}_{g,g-1}^{(1)}$

$$\alpha : \mathcal{A} := q_* \left( \mathcal{O}_{\mathcal{X}} \left( \sum_{i=1}^{g-1} E_i + 2E_p \right) / \mathcal{O}_{\mathcal{X}} \right) \rightarrow R^1 q_* \mathcal{O}_{\mathcal{X}}.$$

The subvariety  $\mathcal{Z} := \{([C, x_1, \dots, x_{g-1}], p) \in \mathcal{M}_{g,g-1}^{(1)} : H^0(K_C(-2p - \sum_{i=1}^{g-1} x_i)) \neq 0\}$  is the non-surjectivity locus of  $\alpha$  and  $\mathfrak{R}\mathfrak{I}_g := u_*(\mathcal{Z}) \subset \mathcal{M}_{g,g-1}$ . The class of  $\mathcal{Z}$  is equal to

$$[\mathcal{Z}] = c_2 \left( \mathcal{A}^\vee - (R^1 q_* \mathcal{O}_{\mathcal{X}})^\vee \right) = c_2 \left( -q_* \mathcal{O}_{\mathcal{X}} \left( \sum_{i=1}^{g-1} E_i + 2E_p \right) \right) \in A^2(\mathbf{M}_{g,g-1}^{(1)}),$$

where the last term can be computed by Grothendieck-Riemann-Roch:

$$\text{ch} \left( q_* \mathcal{O}_{\mathcal{X}} \left( \sum_{i=1}^{g-1} E_i + 2E_p \right) \right) = q_* \left[ \left( \sum_{k \geq 0} \frac{(\sum_{i=1}^{g-1} E_i + 2E_p)^k}{k!} \right) \cdot \left( 1 - \frac{c_1(\omega_q)}{2} + \frac{c_1^2(\omega_q)}{12} + \dots \right) \right],$$

and we are interested in evaluating the terms of degree 1 and 2 in this expression. The result of applying GRR to the morphism  $q$ , can be summarized as follows:

**Lemma 2.1.** *One has the following relations in  $A^*(\mathbf{M}_{g,g-1}^{(1)})$ :*

(i)

$$\text{ch}_1 \left( q_* \left( \mathcal{O}_{\mathcal{X}} \left( \sum_{i=1}^{g-1} E_i + 2E_p \right) \right) \right) = \lambda - \sum_{i=1}^{g-1} K_i - 3K_p + 2 \sum_{i=1}^{g-1} \Delta_{ip}.$$

(ii)

$$\text{ch}_2 \left( q_* \left( \mathcal{O}_{\mathcal{X}} \left( \sum_{i=1}^{g-1} E_i + 2E_p \right) \right) \right) = \frac{5}{2} K_p^2 + \frac{1}{2} \sum_{i=1}^{g-1} K_i^2 - 2 \sum_{i=1}^{g-1} (K_i + K_p) \cdot \Delta_{ip}.$$

*Proof.* We apply systematically the push-pull formula and the following identities:

$$E_i^2 = -E_i \cdot q^*(K_i), \quad E_p^2 = -E_p \cdot q^*(K_p), \quad E_i \cdot c_1(\omega_q) = E_i \cdot q^*(K_i), \quad E_p \cdot c_1(\omega_q) = E_p \cdot q^*(K_p),$$

$$E_i \cdot E_j = 0 \text{ for } i \neq j, \quad E_i \cdot E_p = E_i \cdot q^*(\Delta_{ip}), \text{ and } q_*(c_1^2(\omega_q)) = 12\lambda.$$

□

**Proposition 2.2.** *The formula  $\mathfrak{R}\mathfrak{T}_g \equiv -4(g-7)\lambda + (4g-8) \sum_{i=1}^{g-1} \psi_i \in \text{Pic}(\mathbf{M}_{g,g-1})$  holds.*

*Proof.* We apply the results of Lemma 2.1, as well as the formulas from [HM] p. 55, in order to estimate the push-forward under  $u$  of the degree 2 monomials in tautological classes. Setting  $\mathcal{F} := q_*(\mathcal{O}_{\mathcal{X}}(\sum_{i=1}^{g-1} E_i + 2E_p))$ , we obtain that

$$u_*(\text{ch}_1^2(\mathcal{F})) = -(8g-116)\lambda + (8g-24) \sum_{i=1}^{g-1} \psi_i, \quad \text{and} \quad u_*(\text{ch}_2(\mathcal{F})) = 30\lambda - 4 \sum_{i=1}^{g-1} \psi_i,$$

hence  $[\mathfrak{R}\mathfrak{T}_g] = u_*(\text{ch}_1^2(\mathcal{F}) - 2\text{ch}_2(\mathcal{F}))/2$ , and the claimed formula follows at once. □

We proceed now towards proving Theorem 0.4 and expand the divisor class  $[\overline{\mathfrak{R}\mathfrak{T}}_g] \in \text{Pic}(\overline{\mathcal{M}}_{g,g-1})$  in the standard basis of the Picard group, that is,

$$\overline{\mathfrak{R}\mathfrak{T}}_g \equiv a\lambda + c \sum_{i=1}^{g-1} \psi_i - b_{\text{irr}} \delta_{\text{irr}} - \sum_{i=0}^g \sum_{s=0}^{i-1} b_{i:s} \delta_{i:s}.$$

We have just computed  $a = -4(g-7)$  and  $c = 4(g-2)$ . The remaining coefficients are determined by intersecting  $\overline{\mathfrak{R}\mathfrak{T}}_g$  with curves lying in the boundary of  $\overline{\mathcal{M}}_{g,g-1}$  and understanding how  $\overline{\mathfrak{R}\mathfrak{T}}_g$  degenerates. We begin with the coefficient  $b_{0:2}$ :

**Proposition 2.3.** *One has the relation  $(4g-6)c - (g-2)b_{0:2} = (4g-2)(g-2)$ . It follows that  $b_{0:2} = 12g - 22$ .*

*Proof.* We fix a general pointed curve  $[C, x_1, \dots, x_{g-2}] \in \mathcal{M}_{g,g-2}$  and consider the family

$$C_{x_{g-1}} := \{[C, x_1, \dots, x_{g-2}, x_{g-1}] : x_{g-1} \in C\} \subset \overline{\mathcal{M}}_{g,g-1}.$$

The curve  $C_{x_{g-1}}$  is the fibre over  $[C, x_1, \dots, x_{g-2}]$  of the morphism  $\overline{\mathcal{M}}_{g,g-1} \rightarrow \overline{\mathcal{M}}_{g,g-2}$  forgetting the point labeled by  $x_{g-1}$ . Note that  $C_{x_{g-1}} \cdot \psi_i = 1$  for  $i = 1, \dots, g-2$  and  $C_{x_{g-1}} \cdot \psi_{g-1} = 3g-4 = 2g-2 + (g-2)$ . Obviously  $C_{x_i} \cdot \delta_{0:2} = g-2$  and the points in the intersection correspond to the case when  $x_{g-1}$  collides with one of the fixed points  $x_1, \dots, x_{g-2}$ . The intersection of  $C_{x_i}$  with the remaining generators of  $\text{Pic}(\overline{\mathcal{M}}_{g,g-1})$  is equal to zero. We set  $A := K_C \otimes \mathcal{O}_C(-x_1 - \dots - x_{g-2}) \in W_g^1(C)$ . By the generality assumption,  $h^0(C, A) = 2$ , and all ramification points of  $A$  are simple. Pointed curves in the intersection  $C_{x_{g-1}} \cdot \overline{\mathfrak{R}\mathfrak{T}}_g$  correspond to points  $x_{g-1} \in C$ , such that there exists a (ramification) point  $p \in C$  with  $H^0(C, A \otimes \mathcal{O}_C(-2p - x_{g-1})) \neq 0$ . The pencil  $A$  carries  $4g-2$  ramification points. For each of them there are  $g-2$  possibilities of choosing  $x_{g-1} \in C$  in the same fibre as the ramification point, hence the conclusion follows. □

Next we determine the coefficient  $b_{\text{irr}}$ . First we note that the relation

$$(6) \quad a - 12b_{\text{irr}} + b_{1:0} = 0$$

holds. Indeed, the divisor  $\overline{\mathfrak{R}\mathfrak{T}}_g$  is disjoint from the curve in  $\Delta_{1:0} \subset \overline{\mathcal{M}}_{g,g-1}$ , obtained from a fixed pointed curve  $[C, x_1, \dots, x_{g-1}, q] \in \overline{\mathcal{M}}_{g-1,g}$ , by attaching at the point  $q$  a pencil of plane cubics along a section of the pencil induced by one of the 9 base points.

**Proposition 2.4.** *One has the relation  $b_{\text{irr}} = 2$ .*

*Proof.* We fix a general curve  $[C, q, x_1, \dots, x_{g-1}] \in \overline{\mathcal{M}}_{g-1, g}$ , and we define the family

$$C_{\text{irr}} := \{[C/t \sim q, x_1, \dots, x_{g-1}] : t \in C\} \subset \Delta_{\text{irr}} \subset \overline{\mathcal{M}}_{g, g-1}.$$

Then  $C_{\text{irr}} \cdot \psi_i = 1$  for  $i = 1, \dots, g-1$ ,  $C_{\text{irr}} \cdot \delta_{\text{irr}} = -(\deg(K_C) + 2) = -2g + 2$ , and finally  $C_{\text{irr}} \cdot \delta_{1:0} = 1$ . All other intersection numbers with generators of  $\text{Pic}(\overline{\mathcal{M}}_{g, g-1})$  equal zero.

We fix an effective divisor  $D \in C_e$  of degree  $e \geq g$  (for instance  $D = q + \sum_{i=1}^{g-1} x_i$ ). For each pair of points  $(t, p) \in C \times C$ , there is an exact sequence on  $C$

$$\begin{aligned} 0 \rightarrow H^0\left(C, K_C(q + t - 2p - \sum_{i=1}^{g-1} x_i)\right) &\rightarrow H^0\left(C, K_C(D + q + t - 2p - \sum_{i=1}^{g-1} x_i)\right) \xrightarrow{\beta_{t,p}} \\ &H^0\left(D, K_C(D + q + t - 2p - \sum_{i=1}^{g-1} x_i)\right) \rightarrow H^1\left(C, K_C(q + t - 2p - \sum_{i=1}^{g-1} x_i)\right) \rightarrow 0. \end{aligned}$$

The intersection  $C_{\text{irr}} \cdot \overline{\mathfrak{R}\mathfrak{T}}_g$  corresponds to the locus of pairs  $(t, p) \in C \times C$  such that the map  $\beta_{t,p}$  is not injective. On the triple product of  $C$ , we consider two of the projections  $f : C \times C \times C \rightarrow C \times C$  and  $p_1 : C \times C \times C \rightarrow C$  given by  $f(x, t, p) = (t, p)$  and  $p_1(x, t, p) = x$ , then set  $A := K_C(q - \sum_{i=1}^{g-1} x_i) \in \text{Pic}^{g-2}(C)$ . We denote by  $\Delta_{12}, \Delta_{13} \subset C \times C \times C$  the corresponding diagonals, and finally, introduce the line bundle on  $C \times C \times C$

$$\mathcal{F} := p_1^*(A) \otimes \mathcal{O}_{C \times C \times C}(\Delta_{12} - 2\Delta_{13}).$$

Applying the Porteous formula, one can write

$$C_{\text{irr}} \cdot \overline{\mathfrak{R}\mathfrak{T}}_g = c_2(R^1 f_* \mathcal{F} - R^0 f_* \mathcal{F}) = \frac{\text{ch}_1^2(f_* \mathcal{F}) + 2\text{ch}_2(f_* \mathcal{F})}{2} \in A^2(C \times C).$$

We evaluate  $\text{ch}_i(f_* \mathcal{F})$  using GRR applied to the morphism  $f$ , that is,

$$\text{ch}(f_* \mathcal{F}) = f_* \left[ \left( \sum_{a \geq 0} \frac{(p_1^*(A) + \Delta_{12} - 2\Delta_{13})^a}{a!} \right) \cdot \left( 1 - \frac{1}{2} p_1^*(K_C) \right) \right].$$

Denoting by  $F_1, F_2 \in H^2(C \times C)$  the class of the fibres, after calculations one finds that

$$\text{ch}_1(f_* \mathcal{F}) = -(g-2)F_1 - 4(g-2)F_2 - 2\Delta_C \in H^2(C \times C, \mathbb{Q}),$$

$$\text{ch}_2(f^* \mathcal{F}) = -2(g-2) \in H^4(C \times C, \mathbb{Q}),$$

that is,  $c_2(R^1 f_* \mathcal{F} - R^0 f_* \mathcal{F}) = 4(g-2)(g-1)$ . Coupled with (6), this yields  $b_{\text{irr}} = 2$ .  $\square$

We are left with the task of determining the coefficient of  $\delta_{i:s}$  in the expansion of  $[\overline{\mathfrak{R}\mathfrak{T}}_g]$ . This requires solving a number of enumerative geometry problems in the spirit of de Jonquieres' formula. We fix integers  $0 \leq i \leq g$  and  $s \leq i-1$  as well as general pointed curves  $[C, x_1, \dots, x_s] \in \overline{\mathcal{M}}_{i,s}$  and  $[D, q, x_{s+1}, \dots, x_{g-1}] \in \overline{\mathcal{M}}_{g-i, g-s}$ , then construct a pencil of stable curves of genus  $g$ , by identifying the fixed point  $q \in D$  with a variable point, also denoted by  $q$ , on the component  $C$ :

$$C_{i:s} := \{[C \cup_q D, x_1, \dots, x_s, x_{s+1}, \dots, x_{g-1}] : q \in C\} \subset \Delta_{i:s} \subset \overline{\mathcal{M}}_{g, g-1}.$$

We summarize the non-zero intersection numbers of  $C_{i:s}$  with generators of  $\text{Pic}(\overline{\mathcal{M}}_{g, g-1})$ :

$$C_{i:s} \cdot \psi_1 = \dots = C_{i:s} \cdot \psi_s = 1, \quad C_{i:s} \cdot \delta_{i:s-1} = i, \quad C_{i:s} \cdot \delta_{i:s} = 2i - 2 + s.$$

**Theorem 2.5.** *We fix integers  $0 \leq i \leq g$  and  $0 \leq s \leq i - 1$ . Then, the following formula holds:*

$$b_{i,s} = 2i^3 - 5i^2 - 3i + 4g - 4i^2s + 14si - 6gs - s + 2s^2g - 3s^2 + 2.$$

In the proof an essential role is played by the following calculation:

**Proposition 2.6.** *Let  $i, s$  be integers such that  $0 \leq s \leq i - 1$ , and  $[C, x_1, \dots, x_s] \in \mathcal{M}_{i,s}$  a general pointed curve. The number of pairs  $(q, p) \in C \times C$  such that*

$$H^0(C, K_C \otimes \mathcal{O}_C(-x_1 - \dots - x_s - (i - s - 1)q - 2p)) \neq 0,$$

*is equal to  $a(i, s) := 2(i - s - 1)(2i^3 - 5i^2 + i + 2 - 2i^2s + 3is)$ .*

**Remark 2.7.** By specializing, one recovers well-known formulas in enumerative geometry. For instance,  $a(3, 0) = 56$  is twice the number of bitangents of a smooth plane quartic, whereas  $a(4, 0) = 324$  equals the number of canonical divisors of type  $3q + 2p + x \in |K_C|$ , where  $[C] \in \mathcal{M}_4$ . This matches de Jonquières' formula, cf. [ACGH] p.359.

*Proof of Theorem 2.5.* We fix a general point  $[C \cup_q D, x_1, \dots, x_{g-1}] \in C_{i,s} \cdot \overline{\mathfrak{R}\mathfrak{X}}_g$ , corresponding to a point  $q \in C$ . We shall show that  $q$  is not one of the marked points  $x_1, \dots, x_s$  on  $C$ , then give a geometric characterization of such points and count their number. Let

$$\omega_D \in H^0(D, K_D \otimes \mathcal{O}_D(2iq)) \quad \text{and} \quad \omega_C \in H^0(C, K_C \otimes \mathcal{O}_C(2g - 2i)q)$$

be the aspects of the section of the limit canonical series on  $C \cup_q D$ , which vanishes doubly at an unspecified point  $p \in C \cup D$  as well as along the divisor  $x_1 + \dots + x_{g-1}$ . The condition  $\text{ord}_q(\omega_C) + \text{ord}_q(\omega_D) \geq 2g - 2$ , comes from the definition of a limit linear series. We distinguish two cases depending on the position of the point  $p$ . If  $p \in D$  then,

$$\text{div}(\omega_C) \geq x_1 + \dots + x_s, \quad \text{div}(\omega_D) \geq x_{s+1} + \dots + x_{g-1} + 2p.$$

Since the points  $q, x_{s+1}, \dots, x_{g-1} \in D$  are general, we find that  $\text{ord}_q(\omega_D) \leq i + s - 2$ . Moreover,  $K_D \otimes \mathcal{O}_D((i - s + 2)q - x_{s+1} - \dots - x_{g-1}) \in W_{g-i+1}^1(D)$  is a pencil, and  $p \in D$  is one of its (simple) ramification points. The Hurwitz formula gives  $4(g - i)$  choices for such  $p \in D$ .

By compatibility,  $\text{ord}_q(\omega_C) \geq 2g - i - s$ . A parameter count implies that equality must hold. The condition  $H^0(C, K_C \otimes \mathcal{O}_C(-x_1 - \dots - x_s - (i - s)q)) \neq 0$ , is equivalent to asking that  $q \in C$  be a ramification point of  $K_C \otimes \mathcal{O}_C(-\sum_{j=1}^s x_j) \in W_{2i-2-s}^{i-s-1}(C)$ . Since the points  $x_1, \dots, x_s \in C$  are chosen to be general, all ramification points of this linear series are simple and occur away from the marked points. From Plücker's formula, the number of ramification points equals  $(i - s)(i^2 - 1 - is)$ . Multiplying this with the number of choices for  $p \in D$ , we obtain a total contribution of  $4(g - i)(i - s)(i^2 - is - 1)$  to the intersection  $C_{i,s} \cdot \overline{\mathfrak{R}\mathfrak{X}}_g$ , stemming from the case when  $p \in D$ . The proof that each of these points of intersection is to be counted with multiplicity 1 is standard and proceeds along the lines of [EH2] Lemma 3.4.

We assume now that  $p \in C$ . Keeping the notation from above, it follows that  $\text{ord}_q(\omega_D) = i + s - 1$  and  $\text{ord}_q(\omega_C) = 2g - i - s - 1$ , therefore

$$0 \neq \sigma_C \in H^0(C, K_C \otimes \mathcal{O}_C(-\sum_{j=1}^s x_j - (i - s - 1)q - 2p)).$$

The section  $\omega_D$  is uniquely determined up to multiplication by scalars, whereas there are  $a(i, s)$  choices on the side of  $C$ , each counted with multiplicity 1.

In principle, the double zero of the limit holomorphic form could specialize to the point of attachment  $q \in C \cap D$ , and we prove that this would contradict our generality hypothesis. One considers the semistable curve  $X := C \cup_{q_1} E \cup_{q_2} D$ , obtained from  $C \cup D$  by inserting a smooth rational component  $E$  at  $q$ , where  $\{q_1\} := C \cap E$  and  $\{q_2\} := D \cap E$ . There also exist non-zero sections

$$\omega_D \in H^0(D, K_D(2iq_2)), \quad \omega_E \in H^0(E, \mathcal{O}_E(2g-2)), \quad \omega_C \in H^0(C, K_C((2g-2i)q_1)),$$

satisfying  $\text{ord}_{q_1}(\omega_C) + \text{ord}_{q_1}(\omega_E) \geq 2g-2$  and  $\text{ord}_{q_2}(\omega_E) + \text{ord}_{q_2}(\omega_D) \geq 2g-2$ . Furthermore,  $\omega_E$  vanishes doubly at a point  $p \in \{q_1, q_2\}^c$ . Since  $\omega_C$  (respectively  $\omega_D$ ) also vanishes along the divisor  $x_1 + \dots + x_s$  (respectively  $x_{s+1} + \dots + x_{g-1}$ ), it follows that  $\text{ord}_{q_1}(\omega_C) \leq 2g-i-s$  and  $\text{ord}_{q_2}(\omega_D) \leq i+s-1$ , hence by compatibility,  $\text{ord}_{q_1}(\omega_E) + \text{ord}_{q_2}(\omega_E) \geq 2g-3$ . This rules out the possibility of a further double zero and shows that this case does not occur.

To summarize, keeping in mind that the  $\psi$ -coefficient of  $[\overline{\mathfrak{R}\mathfrak{T}}_g]$  is equal to  $4g-8$ , we find the relation

$$(7) \quad (2i-2+s)b_{i:s} - sb_{i:s-1} + s(4g-8) = 4(g-i)(s-1)(si-2i+2) + a(i,s).$$

For  $s=0$ , we have by convention  $b_{i:-1} = 0$ , which gives  $b_{i:0} = 2i^3 - 5i^2 - 3i + 4g + 1$ . By induction, we find using recursion (7) the claimed formula for  $b_{i:s}$ .  $\square$

As already explained, having calculated the class  $[\overline{\mathfrak{R}\mathfrak{T}}_g] \in \text{Pic}(\overline{\mathcal{M}}_{g,g-1})$  and using known bound on the slope  $s(\overline{\mathcal{M}}_g)$ , one derives that  $\mathfrak{T}h_g$  is of general type when  $g \geq 12$ . We discuss the last cases in Theorem 0.1 and thus complete the birational classification of  $\mathfrak{T}h_g$ :

*End of proof of Theorem 0.1.* We noted in the Introduction that for  $g \leq 9$  the space  $\mathfrak{T}h_g$  is unirational, being the image of a variety which is birational to a Grassmann bundle over the rational Mukai variety  $V_g^{g-1}$ . When  $g \in \{10, 11\}$ , the space  $\overline{\mathcal{M}}_{g,g-1}$  is uniruled [FP]. This implies the uniruledness of  $\mathfrak{T}h_g$  as well.  $\square$

### 3. THE KODAIRA DIMENSION OF $\overline{\mathcal{C}}_{g,n}$

In this section we provide results concerning the Kodaira dimension of the symmetric product  $\overline{\mathcal{C}}_{g,n}$ , where  $n \leq g-2$ . There are two cases depending on the parity of the difference  $g-n$ . When  $g-n$  is even, we introduce a subvariety inside  $\mathcal{C}_{g,n}$ , consisting of divisors  $D \in C_n$  which appear in a fibre of a pencil of degree  $(g+n)/2$  on a curve  $[C] \in \mathcal{M}_g$ . We set integers  $g \geq 1$  and  $1 \leq m \leq g/2$ , then consider the locus

$$\mathcal{F}_{g,m} := \left\{ [C, x_1, \dots, x_{g-2m}] \in \mathcal{M}_{g,g-2m} : \exists A \in W_{g-m}^1(C) \text{ with } H^0(C, A(-\sum_{j=1}^{g-2m} x_j)) \neq 0 \right\}.$$

A parameter count shows that  $\mathcal{F}_{g,m}$  is expected to be an effective divisor on  $\overline{\mathcal{M}}_{g,g-2m}$ . We shall prove this, then compute the class of its closure in  $\overline{\mathcal{M}}_{g,g-2m}$ .

**Theorem 3.1.** *Fix integers  $g \geq 1$  and  $1 \leq m \leq g/2$ , then set  $n := g-2m$  and  $d := g-m$ . The class of the compactification inside  $\overline{\mathcal{M}}_{g,g-2m}$  of the divisor  $\mathcal{F}_{g,m}$  is given by the formula:*

$$\overline{\mathcal{F}}_{g,m} \equiv \left( \frac{10n}{g-2} \binom{g-2}{d-1} - \frac{n}{g} \binom{g}{d} \right) \lambda + \frac{n-1}{g-1} \binom{g-1}{d-1} \sum_{j=1}^n \psi_j - \frac{n}{g-2} \binom{g-2}{d-1} \delta_{\text{irr}} -$$

$$-\sum_{s=2}^n \frac{s(n^2 - g + sgn - sn)}{2(g-1)(g-d)} \binom{g-1}{d} \delta_{0:s} - \dots \in \text{Pic}(\overline{\mathcal{M}}_{g,n}).$$

*Proof.* We fix a general curve  $[C] \in \mathcal{M}_g$  and consider the incidence correspondence

$$\Sigma := \{(D, A) \in C_{g-2m} \times W_{g-m}^1(C) : H^0(C, A \otimes \mathcal{O}_C(-D)) \neq 0\},$$

together with the projection  $\pi_1 : \Sigma \rightarrow C_{g-2m}$ . It follows from [F1] Theorem 0.5, that  $\Sigma$  is pure of dimension  $g - 2m - 1 (= \rho(g, 1, g - m) + 1)$ . To conclude that  $\overline{\mathcal{F}}_{g,m}$  is a divisor inside  $\overline{\mathcal{M}}_{g,g-2m}$ , it suffices to show that the general fibre of the map  $\pi_1$  is finite, which implies that  $\phi^{-1}([C]) \cap \overline{\mathcal{F}}_{g,m}$  is a divisor in  $\phi^{-1}([C])$ ; we also note that the fibre  $\phi^{-1}([C])$  is isomorphic to the  $n$ -th Fulton-Macpherson configuration space of  $C$ . We specialize to the case  $D = (g - 2m) \cdot p$ , where  $p \in C$ . One needs to show that for a general curve  $[C] \in \mathcal{M}_g$ , there exist finitely many pencils  $A \in W_{g-m}^1(C)$  with  $h^0(C, A \otimes \mathcal{O}_C(-(g - m)p)) \geq 1$ , for some point  $p \in C$ . This follows from [HM] Theorem B, or alternatively, by letting  $C$  specialize to a flag curve consisting of a rational spine and  $g$  elliptic tails, in which case the point  $p$  specializes to a  $(g - 2m)$ -torsion points on one of the elliptic tails (in particular it can not specialize to a point on the spine). For each of these points, the pencils in question are in bijective correspondence to points in a transverse intersection of Schubert cycles in  $G(2, g - m + 1)$ . In particular their number is finite.

In order to compute the class  $[\overline{\mathcal{F}}_{g,m}]$ , we expand it in the usual basis of  $\text{Pic}(\overline{\mathcal{M}}_{g,n})$

$$\overline{\mathcal{F}}_{g,m} \equiv a\lambda + c \sum_{i=1}^{g-2m} \psi_i - b_{\text{irr}} \delta_{\text{irr}} - \sum_{i,s \geq 0} b_{i:s} \delta_{i:s},$$

then note that the coefficients  $a, c$  and  $b_{\text{irr}}$  respectively, have been computed in [F2] Theorem 4.9. The coefficient  $b_{0:2}$  is determined by intersecting  $\overline{\mathcal{F}}_{g,m}$  with a fibral curve

$$C_{x_n} := \{[C, x_1, \dots, x_{n-1}, x_n] : x_n \in C\} \subset \overline{\mathcal{M}}_{g,n},$$

corresponding to a general  $(n - 1)$ -pointed curve  $[C, x_1, \dots, x_{n-1}] \in \overline{\mathcal{M}}_{g,n-1}$ . By letting the points  $x_1, \dots, x_{n-1} \in C$  coalesce to a point  $q \in C$ , points in the intersection  $C_{x_n} \cdot \overline{\mathcal{F}}_{g,m}$  are in 1 : 1 correspondence with points  $x_n \in C$ , such that  $h^0(C, A(-(n - 1)q - x_n)) \geq 1$ . This number equals  $(g - 2m - 1) \binom{g}{m}$ , see [HM] Theorem A, that is,

$$(2g + 2n - 4)c - (n - 1)b_{0:2} = C_{x_n} \cdot \overline{\mathcal{F}}_{g,m} =$$

$$(m + 1) \# \left\{ A \in W_{g-m}^1(C) : h^0(C, A \otimes \mathcal{O}_C(-(g - 2m - 1)q)) \geq 1 \right\} = (g - 2m - 1) \binom{g}{m},$$

which determines  $b_{0:2}$ . The coefficients  $b_{0:s}$  are computed recursively, by exhibiting an explicit test curve  $\Gamma_{0:s} \subset \Delta_{0:s}$  which is disjoint from  $\overline{\mathcal{F}}_{g,m}$ . We fix a general element  $[C, q, x_{s+1}, \dots, x_n] \in \overline{\mathcal{M}}_{g,n+1-s}$  and a general  $s$ -pointed rational curve  $[\mathbf{P}^1, x_1, \dots, x_s] \in \overline{\mathcal{M}}_{0,s}$ . We glue these curves along a moving point  $q$  lying on the rational component:

$$\Gamma_{0:s} := \{[\mathbf{P}^1 \cup_q C, x_1, \dots, x_s, x_{s+1}, \dots, x_n] : q \in \mathbf{P}^1\} \subset \Delta_{0:s} \subset \overline{\mathcal{M}}_{g,n}.$$

Clearly,  $\Gamma_{0:s} \cdot \overline{\mathcal{F}}_{g,m} = s c - (s - 2) b_{0:s} + s b_{0:s-1}$ . We claim  $\Gamma_{0:s} \cap \overline{\mathcal{F}}_{g,m} = \emptyset$ . Assume that on the contrary, one can find a point  $q \in \mathbf{P}^1$  and a limit linear series  $\mathfrak{g}_d^1$  on  $\mathbf{P}^1 \cup_q C$ ,

$$l = ((A, V_C), (\mathcal{O}_{\mathbf{P}^1}(d), V_{\mathbf{P}^1})) \in G_d^1(C) \times G_d^1(\mathbf{P}^1),$$

together with sections  $\sigma_C \in V_C$  and  $\sigma_{\mathbf{p}^1} \in V_{\mathbf{p}^1}$ , satisfying  $\text{ord}_q(\sigma_C) + \text{ord}_q(\sigma_{\mathbf{p}^1}) \geq d$  and

$$\text{div}(\sigma_C) \geq x_{s+1} + \cdots + x_n, \quad \text{div}(\sigma_{\mathbf{p}^1}) \geq x_1 + \cdots + x_s.$$

Since  $\sigma_{\mathbf{p}^1} \neq 0$ , one finds that  $\text{ord}_q(\sigma_{\mathbf{p}^1}) \leq g - m - s$ , hence by compatibility,  $\text{ord}_q(\sigma_C) \geq s$ . We claim that this is impossible, that is,  $H^0(C, A \otimes \mathcal{O}_C(-sq - x_1 - \cdots - x_n)) \neq 0$ , for every  $A \in W_{g-m}^1(C)$ . Indeed, by letting all points  $x_{s+1}, \dots, x_n, q \in C$  coalesce, the statement  $H^0(C, A \otimes \mathcal{O}_C(-(g-2m) \cdot q)) = 0$ , for a general  $[C, q] \in \overline{\mathcal{M}}_{g,1}$  is a consequence of the ‘‘pointed’’ Brill-Noether theorem as proved in [EH1] Theorem 1.1. This shows that

$$0 = \Gamma_{0:s} \cdot \overline{\mathcal{F}}_{g,m} = sc + (s-2)b_{0:s} - sb_{0:s-1},$$

for  $3 \leq s \leq n$ , which determines recursively all coefficients  $b_{0:s}$ . The remaining coefficients  $b_{i:s}$  with  $1 \leq i \leq [g/2]$  can be determined via similar test curve calculations, but we skip these details.  $\square$

Keeping the notation from the proof of Theorem 3.1, a direct consequence is the calculation of the class of the divisor  $\mathcal{F}_{g,m}[C] := \pi_1(\Sigma)$  inside  $C_{g-2m}$ . This offers an alternative proof of [Mus] Proposition III; furthermore the proof of Theorem 3.1, answers in the affirmative the question raised in loc.cit., concerning whether the cycle  $\mathcal{F}_{g,m}[C]$  has expected dimension, and thus, it is a divisor on  $C_{g-2m}$ .

We denote by  $\theta \in H^2(C_{g-2m}, \mathbb{Q})$  the class of the pull-back of the theta divisor, and by  $x \in H^2(C_{g-2m}, \mathbb{Q})$  the class of the locus  $\{p_0 + D : D \in C_{g-2m-1}\}$  of effective divisors containing a fixed point  $p_0 \in C$ . For a very general curve  $[C] \in \mathcal{M}_g$ , the group  $N^1(C_{g-2m})_{\mathbb{Q}}$  is generated by  $x$  and  $\theta$ , see [ACGH].

Let  $\tilde{\mathcal{F}}_{g,m}$  be the effective divisor on  $\overline{C}_{g,g-2m}$  to which  $\overline{\mathcal{F}}_{g,m}$  descends, that is,  $\pi^*(\tilde{\mathcal{F}}_{g,m}) = \overline{\mathcal{F}}_{g,m}$ . The class of  $\tilde{\mathcal{F}}_{g,m}$  is completely determined by Theorem 3.1.

**Corollary 3.2.** *Let  $[C] \in \mathcal{M}_g$  be a general curve. The cohomology class of the divisor*

$$\mathcal{F}_{g,m}[C] := \{D \in C_{g-2m} : \exists A \in W_{g-m}^1(C) \text{ such that } H^0(C, A \otimes \mathcal{O}_C(-D)) \neq 0\}$$

*is equal to  $(1 - \frac{2m}{g}) \binom{g}{m} (\theta - \frac{g}{g-2m}x)$ . In particular, the class  $\theta - \frac{g}{g-2m}x \in N^1(C_{g-2m})_{\mathbb{Q}}$  is effective.*

*Proof.* Let  $u : C_{g-2m} \dashrightarrow \overline{C}_{g,g-2m}$  be the rational map given by

$$u(x_1 + \cdots + x_{g-2m}) = [C, x_1 + \cdots + x_{g-2m}].$$

Note that  $u$  is well-defined outside the codimension 2 locus of effective divisors with support of length at most  $g - 2m - 2$ . We have that  $u^*(\tilde{\delta}_{0,2}) = \delta_C$ , where  $\delta_C := [\Delta_C]/2$  is the reduced diagonal. Its class is given by the MacDonal formula, cf. [K1] Lemma 7:

$$\delta_C \equiv -\theta + (g + d - 1)x \equiv -\theta + (2g - 2m - 1)x.$$

Furthermore,  $u^*(\tilde{\psi}) \equiv \theta + \delta_C + (g - n - 1)x$ , see [K2] Proposition 2.7. Thus  $\mathcal{F}_{g,m}[C] \equiv u^*(\tilde{\mathcal{F}}_{g,m})$ , and the conclusion follows after some calculations.  $\square$

The divisor  $\tilde{\mathcal{F}}_{g,m}$  is defined in terms of a correspondence between pencils and effective divisors on curves, and it is fibred in curves as follows: We fix a complete pencil  $A \in W_{g-m}^1(C)$  with only simple ramification points. The variety of secant divisors

$$V_{g-2m}^1(A) := \{D \in C_{g-2m} : H^0(C, A \otimes \mathcal{O}_C(-D)) \neq 0\}$$

is a curve (see [F1]), disjoint from the indeterminacy locus of the rational map  $u : C_{g-2m} \dashrightarrow \bar{\mathcal{C}}_{g,g-2m}$ . We set  $\Gamma_{g-2m}(A) := u(V_{g-2m}^1(A)) \subset \bar{\mathcal{C}}_{g,g-2m}$ . By varying  $[C] \in \mathcal{M}_g$  and  $A \in W_{g-m}^1(C)$ , the curves  $\Gamma_{g-2m}(A)$  fill-up the divisor  $\tilde{\mathcal{F}}_{g,m}$ . It is natural to test the extremality of  $\tilde{\mathcal{F}}_{g,m}$  by computing the intersection number  $\Gamma_{g-2m}(A) \cdot \tilde{\mathcal{F}}_{g,m}$ . To state the next result in a unified form, we adopt the convention  $\binom{a}{b} := 0$ , whenever  $b < 0$ .

**Proposition 3.3.** *For all integers  $1 \leq m < g/2$ , we have the formula:*

$$\Gamma_{g-2m}(A) \cdot \tilde{\mathcal{F}}_{g,m} = (m-1) \binom{g-m-2}{m} \binom{g}{m}.$$

In particular,  $\Gamma_{g-2}(A) \cdot \tilde{\mathcal{F}}_{g,1} = 0$ , and the divisor  $\tilde{\mathcal{F}}_{g,1} \in \text{Eff}(\bar{\mathcal{C}}_{g,g-2})$  is extremal.

*Proof.* This is an immediate application of Corollary 3.2. The class  $[V_{g-2m}^1(A)]$  can be computed using Porteous' formula, see [ACGH] p.342:

$$[V_{g-2m}^1(A)] \equiv \sum_{j=0}^{g-2m-1} \binom{-m-1}{j} \frac{x^j \cdot \theta^{g-2m-j-1}}{(g-2m-1-j)!} \in H^{2(g-2m-1)}(C_{g-2m}, \mathbb{Q}).$$

Using the push-pull formula, we write  $\Gamma_{g-2m}(A) \cdot \tilde{\mathcal{F}}_{g,m} = \mathcal{F}_{g,m}[C] \cdot [V_{g-2m}^1(A)]$ , then estimate the product using the identity  $x^k \theta^{g-2m-k} = g!/(2m+k)! \in H^{2(g-2m)}(C_{g-2m}, \mathbb{Q})$  for  $0 \leq k \leq g-2m$ . For  $m=1$ , observe that  $\Gamma_{g-2}(A) \cdot \tilde{\mathcal{F}}_{g,1} = 0$ . Since the curves of type  $\Gamma_{g-2}(A)$  cover  $\tilde{\mathcal{F}}_{g,1}$ , this implies that  $[\tilde{\mathcal{F}}_{g,1}] \in \text{Eff}(\bar{\mathcal{C}}_{g,g-2})$  generates an extremal ray.  $\square$

We can use Theorem 3.1 to describe the birational type of  $\bar{\mathcal{C}}_{g,n}$  when  $12 \leq g \leq 21$  and  $1 \leq n \leq g-2$ . We recall that when  $g \leq 9$ , the space  $\bar{\mathcal{C}}_{g,n}$  is uniruled for all values of  $n$ . The transition cases  $g=10, 11$ , as well as the case of the universal Jacobian  $\bar{\mathcal{C}}_{g,g}$ , are discussed in detail in [FV2]. Furthermore  $\bar{\mathcal{C}}_{g,n}$  is uniruled when  $n \geq g+1$ ; in this case the symmetric product  $C_n$  of any curve  $[C] \in \mathcal{M}_g$  is birational to a  $\mathbf{P}^{n-g}$ -bundle over the Jacobian  $\text{Pic}^n(C)$ . Our main result is that, in the range described above,  $\bar{\mathcal{C}}_{g,n}$  is of general type in all the cases when  $\bar{\mathcal{M}}_{g,n}$  is known to be of general type, see [Log], [F2]. We note however that the divisors  $\bar{\mathcal{F}}_{g,m}$  only carry one a certain distance towards a full solution. The classification of  $\bar{\mathcal{C}}_{g,n}$  is complete only when  $n \in \{g-1, g-2, g\}$ .

**Theorem 3.4.** *For integers  $g = 12, \dots, 21$ , the universal symmetric product  $\bar{\mathcal{C}}_{g,n}$  is of general type for all  $f(g) \leq n \leq g-1$ , where  $f(g)$  is described in the following table.*

$g$	12	13	14	15	16	17	18	19	20	21
$f(g)$	10	11	10	10	9	9	9	7	6	4

*Proof.* The strategy described in the Introduction to prove that  $K_{\bar{\mathcal{C}}_{g,g-1}}$  is big, applies to the other spaces  $\bar{\mathcal{C}}_{g,n}$ , with  $1 \leq n \leq g-2$  as well. To show that  $\bar{\mathcal{C}}_{g,n}$  is of general type, it suffices to produce an effective class on  $\bar{\mathcal{C}}_{g,n}$  which pulls back via  $\pi$  to  $a\lambda + c \sum_{i=1}^n \psi_i - b_{\text{irr}} \delta_{\text{irr}} - \sum_{i,s} b_{i:s} \delta_{i:s} \in \text{Eff}(\bar{\mathcal{M}}_{g,n})^{\otimes n}$ , such that the following conditions are fulfilled:

$$(8) \quad \frac{a + s(\bar{\mathcal{M}}_g)(2c - b_{\text{irr}})}{13c} < 1 \quad \text{and} \quad \frac{b_{0:2}}{3c} > 1.$$

When  $g-n$  is even, we write  $g-n = 2m$ , and for all entries in the table above one can express  $K_{\bar{\mathcal{C}}_{g,n}}$  as a positive combination of  $\sum_{i=1}^n \psi_i$ ,  $[\bar{\mathcal{F}}_{g,m}]$ ,  $\varphi^*(D)$ , where  $D \in \text{Eff}(\bar{\mathcal{M}}_g)$ , and other boundary classes.

If  $g - n = 2m + 1$  with  $m \in \mathbb{Z}_{\geq 0}$ , for each integer  $1 \leq j \leq n + 1$ , we denote by  $\phi_j : \overline{\mathcal{M}}_{g,n+1} \rightarrow \overline{\mathcal{M}}_{g,n}$  the projection forgetting the  $j$ -th marked point and consider the effective  $\mathfrak{S}_n$ -invariant effective  $\mathbb{Q}$ -divisor on  $\overline{\mathcal{M}}_{g,n}$

$$E := \frac{1}{n+1} \sum_{j=1}^{n+1} (\phi_j)_* (\overline{\mathcal{F}}_{g,m} \cdot \delta_{0:\{j,n+1\}}) \in \text{Eff}(\overline{\mathcal{M}}_{g,n}).$$

Using Theorem 3.1 as well as elementary properties of push-forwards of tautological classes,  $K_{\overline{\mathcal{C}}_{g,n}}$  is expressible as a positive  $\mathbb{Q}$ -combination of boundaries,  $[E]$ , a pull-back of an effective divisor on  $\overline{\mathcal{M}}_g$ , and the big and nef class  $\sum_{i=1}^n \psi_i$  precisely in the cases appearing in the table.  $\square$

**Remark 3.5.** When  $g \notin \{12, 16, 18\}$ , the bound  $s(\overline{\mathcal{M}}_g) \leq 6 + 12/(g+1)$ , emerging from the slope of the Brill-Noether divisors, has been used to verify (8). In the remaining cases, we employ the better bounds  $s(\overline{\mathcal{M}}_{12}) = 4415/642 < 6 + 12/13$  (see [FV1]), and  $s(\overline{\mathcal{M}}_{16}) = 407/61 < 6 + 12/17$  see [F2], coming from Koszul divisors on  $\overline{\mathcal{M}}_{12}$  and  $\overline{\mathcal{M}}_{16}$  respectively. On  $\overline{\mathcal{M}}_{18}$ , we use the estimate  $s(\overline{\mathcal{M}}_{18}) \leq 302/45$  given by the class of the Petri divisor  $\overline{\mathcal{G}}_{18,10}^1$ , see [EH1]. Improvements on the estimate on  $s(\overline{\mathcal{M}}_g)$  in the other cases, will naturally translate in improvements in the statement of Theorem 3.4.

#### 4. AN EFFECTIVE DIVISOR ON $\overline{\mathcal{M}}_{g,g-3}$

The aim of this section is to prove Theorem 0.8. We begin by solving the following enumerative question which comes up repeatedly in the process of computing  $[\overline{\mathcal{D}}_g]$ .

**Theorem 4.1.** *Let  $[C, p] \in \mathcal{M}_{g,1}$  be a general pointed curve of genus  $g$  and  $0 \leq \gamma \leq g - 3$  a fixed integer. Then there exist a finite number of pairs  $(L, x) \in W_g^2(C) \times C$  such that*

$$H^0(C, L \otimes \mathcal{O}_C(-\gamma x - (g - 3 - \gamma)p)) \geq 1.$$

Their number is computed by the formula

$$N(g, \gamma) := \frac{g(g-1)(g-5)}{3} \gamma(\gamma g - 3\gamma - 1).$$

*Proof.* We introduce auxiliary maps  $\chi : C \times C_3 \rightarrow C_{\gamma+3}$  and  $\iota : C_{\gamma+3} \rightarrow C_g$  given by,

$$\chi(x, D) := \gamma \cdot x + D, \quad \text{and} \quad \iota(E) := E + (g - 3 - \gamma) \cdot p.$$

The number we evaluate is  $N(g, \gamma) := \chi^* \iota^* ([C_g^2])$ , where  $C_g^2 := \{D \in C_g : \dim|D| \geq 3\}$ . The cohomology class of this variety of special divisors is computed in [ACGH] p.326:

$$[C_g^2] = \frac{\theta^4}{12} - \frac{x\theta^3}{3} + \frac{x^2\theta^2}{6} \in H^8(C_{g-3}, \mathbb{Q}).$$

Noting that  $\iota^*(\theta) = \theta$  and  $\iota^*(x) = x$ , one needs to estimate the pull-backs of the tautological monomials  $x^\alpha \theta^{4-\alpha}$ . For this purpose, we use [ACGH] p.358:

$$\chi^*(x^\alpha \theta^{4-\alpha}) = \frac{g!}{(g-4+\alpha)!} \left[ (1 + \gamma t_1 + t_2)^\alpha \cdot (1 + \gamma^2 t_1 + t_2)^{4-\alpha} \right]_{t_1 t_2^3},$$

where the last symbol indicates the coefficient of the monomial  $t_1 t_2^3$  in the polynomial appearing on the right side of the formula. The rest follows after a routine evaluation.  $\square$

The second enumerative ingredient in the proof of Theorem 0.8 is the following result, which can be proved by degeneration using Schubert calculus:

**Proposition 4.2.** *For a general curve  $[C] \in \mathcal{M}_{g-1}$ , there exist a finite number of pairs  $(L, x) \in W_g^2(C) \times C$  satisfying the conditions*

$$h^0(C, L \otimes \mathcal{O}_C(-2x)) \geq 2, \text{ and } h^0(C, L \otimes \mathcal{O}_C(-(g-2)x)) \geq 1.$$

*Each pair corresponds to a complete linear series  $L$ . The number of such pairs is equal to*

$$n(g-1) := (g-1)(g-2)(g-3)(g-4)^2.$$

*Proof of Theorem 0.8.* We expand  $[\overline{\mathcal{D}}_g] \in \text{Pic}(\overline{\mathcal{M}}_{g,g-3})$ , and begin the calculation by determining the coefficients of  $\lambda$ ,  $\delta_{\text{irr}}$  and  $\sum_{i=1}^{g-3} \psi_i$  respectively. It is useful to observe that if  $\phi_n : \overline{\mathcal{M}}_{g,n} \rightarrow \overline{\mathcal{M}}_{g,n-1}$  is the map forgetting the marked point labeled by  $n$  for some  $n \geq 1$  and  $D$  is any divisor class on  $\overline{\mathcal{M}}_{g,n}$ , then for distinct labels  $i, j \neq n$ , the  $\lambda$ ,  $\delta_{\text{irr}}$  and  $\psi_j$  coefficients of the divisors  $D$  on  $\overline{\mathcal{M}}_{g,n}$  and  $(\phi_n)_*(D \cdot \delta_{0:in})$  on  $\overline{\mathcal{M}}_{g,n-1}$  respectively, coincide. The divisor  $(\phi_n)_*(D \cdot \delta_{0:in})$  can be thought of as the locus of points  $[C, x_1, \dots, x_n] \in D$  where the points  $x_i$  and  $x_n$  are allowed to come together. By iteration, the divisor  $\overline{\mathcal{D}}_g^{g-3}$  on  $\overline{\mathcal{M}}_{g,1}$  obtained by letting all points  $x_1, \dots, x_{g-3}$  coalesce, has the same  $\lambda$  and  $\delta_{\text{irr}}$  coefficients as  $\overline{\mathcal{D}}_g$ . But obviously

$$\overline{\mathcal{D}}_g^{g-3} = \{[C, x] \in \mathcal{M}_{g,1} : \exists L \in W_g^2(C) \text{ such that } h^0(C, L \otimes \mathcal{O}_C(-(g-3)x)) \geq 1\},$$

and note that this is a "pointed Brill-Noether divisor" in the sense of Eisenbud-Harris. The cone of Brill-Noether divisors on  $\overline{\mathcal{M}}_{g,1}$  is 2-dimensional, see [EH2] Theorem 4.1, and exists constants  $\mu, \nu \in \mathbb{Q}$ , such that  $\overline{\mathcal{D}}_g^{g-3} \equiv \mu \cdot \mathfrak{BN} + \nu \cdot \overline{\mathcal{W}}$ , where

$$\mathfrak{BN} := (g+3)\lambda - \frac{g+1}{6}\delta_{\text{irr}} - \sum_{j=1}^{g-1} j(g-j)\delta_{j:1} \in \text{Pic}(\overline{\mathcal{M}}_{g,1})$$

is the pull-back from  $\overline{\mathcal{M}}_g$  of the Brill-Noether divisor class and  $\overline{\mathcal{W}} \in \text{Pic}(\overline{\mathcal{M}}_{g,1})$  is the class of the Weierstrass divisor. The coefficients  $\mu$  and  $\nu$  are computed by intersecting both sides of the previous identity with explicit curves inside  $\overline{\mathcal{M}}_{g,1}$ . First we fix a genus  $g$  curve  $C$  and let the marked point vary along  $C$ . If  $C_x := \phi^{-1}([C]) \subset \overline{\mathcal{M}}_{g,1}$  denotes the induced curve in moduli, then the only generator of  $\text{Pic}(\overline{\mathcal{M}}_{g,1})$  which has non-zero intersection number with  $C_x$  is  $\psi$ , and  $C_x \cdot \psi = 2g-2$ . On the other hand  $C_x \cdot \overline{\mathcal{D}}_g^{g-3} = N(g, g-3)$ , that is,  $\nu = N(g, g-3)/(g(g-1)(g+1))$ .

To compute  $\mu$ , we construct a curve inside  $\Delta_{1:1}$  as follows: Fix a 2-pointed elliptic curve  $[E, x, y] \in \mathcal{M}_{1,2}$  such that the class  $x-y \in \text{Pic}^0(E)$  is not torsion, and a general curve  $[C] \in \mathcal{M}_{g-1}$ . We define the family  $\overline{C}_1 := \{[C \cup_y E, x]\}_{y \in C}$ , obtained by varying the point of attachment along  $C$ , while keeping the marked point fixed on  $E$ . The only generator of  $\text{Pic}(\overline{\mathcal{M}}_{g,1})$  meeting  $\overline{C}_1$  non-trivially is  $\delta_{1:1} = \delta_{g-1:\emptyset}$ , in which case  $\overline{C}_1 \cdot \delta_{1:1} = -2g+4$ . On the other hand,  $\overline{C}_1 \cdot \overline{\mathcal{D}}_g^{g-3}$  is equal to the number of limit linear series  $\mathfrak{g}_g^2$  on curves of type  $C \cup_y E$ , having vanishing sequence at least  $(0, 1, g-3)$  at  $x \in E$ . This can happen only if this linear series is refined and its  $C$ -aspect has vanishing sequence at the point of attachment  $y \in C$  equal to either (i)  $(1, 2, g-3)$ , or (ii)  $(0, 2, g-2)$ . In both cases, the  $E$ -aspect being uniquely determined, we obtain that  $\overline{C}_1 \cdot \overline{\mathcal{D}}_g^{g-3} = N(g-1, g-4) + n(g-1)$ . This leads to  $\mu = 3(g-3)(g-4)/(g+1)$ .

Next, let  $\overline{\mathcal{D}}_g^{g-4}$  be the divisor on  $\overline{\mathcal{M}}_{g,2}$  obtained from  $\overline{\mathcal{D}}_g$  by letting all marked points except one, come together. Precisely,  $\overline{\mathcal{D}}_g^{g-4}$  is the closure of the locus of curves  $[C, x, y] \in \mathcal{M}_{g,2}$  such that there exists  $L \in W_g^2(C)$  with  $h^0(C, L \otimes \mathcal{O}_C(-x - (g-4)y)) \geq 1$ . We express  $\overline{\mathcal{D}}_g^{g-4} \equiv c_x \psi_x + c_y \psi_y - e \delta_{0:xy} - \dots \in \text{Pic}(\overline{\mathcal{M}}_{g,2})$ , and observe that  $c_x$  equals the  $\psi$ -coefficient of  $\overline{\mathcal{D}}_g$ , whereas the coefficient  $e = \nu \binom{g+1}{2}$  has already been calculated. We fix a general curve  $[C] \in \overline{\mathcal{M}}_g$  and define test curves  $C_x := \{[C, x, y] : x \in C\} \subset \overline{\mathcal{M}}_{g,2}$  and  $C_y := \{[C, x, y] : y \in C\} \subset \overline{\mathcal{M}}_{g,2}$ , by fixing one general marked point on  $C$  and letting the other vary freely. By intersecting  $\overline{\mathcal{D}}_g^{g-4}$  with these curves we obtain the formulas:

$$(2g-1)c_x + c_y - e = C_x \cdot \overline{\mathcal{D}}_g^{g-4} = N(g, 1) \quad \text{and} \quad c_x + (2g-1)c_y - e = C_y \cdot \overline{\mathcal{D}}_g^{g-4} = N(g, g-4).$$

Solving this system, determines  $c_x$ . Finally, the  $\delta_{0,2}$ -coefficient of  $\overline{\mathcal{D}}_g$  is computed by intersecting  $\overline{\mathcal{D}}_g$  with the test curve  $\phi_{g-3}^{-1}([C, x_1, \dots, x_{g-4}]) \subset \overline{\mathcal{M}}_{g,g-3}$ , obtained by fixing  $g-4$  marked points on a general curve, and letting the remaining point vary.  $\square$

As an application, we bound the effective cone of the symmetric product of degree  $g-3$  on a general curve  $[C] \in \mathcal{M}_g$ . As before, let  $u : C_{g-3} \dashrightarrow \overline{\mathcal{C}}_{g,g-3}$  the (rational) fibre map and  $\tilde{\mathcal{D}}_g$  the effective divisor on  $\overline{\mathcal{C}}_{g,g-3}$  to which  $\overline{\mathcal{D}}_g$  descends. Then  $\mathcal{D}_g[C] := u^*(\tilde{\mathcal{D}}_g)$  is an effective divisor on  $C_{g-3}$ :

**Theorem 4.3.** *The cohomology class of the codimension one locus inside  $C_{g-3}$*

$$\mathcal{D}_g[C] := \{D \in C_{g-3} : \exists L \in W_g^2(C) \text{ with } h^0(C, L \otimes \mathcal{O}_C(-D)) \geq 1\} \quad \text{equals}$$

$$[\mathcal{D}_g(C)] = \frac{(g-5)(g-3)(g-1)}{3} \left( \theta - \frac{g}{g-3}x \right).$$

It is natural to wonder whether the class  $\theta - \frac{g}{g-3}x$  is extremal in  $\text{Eff}(C_{g-3})$ . If so,  $\mathcal{D}_g[C]$  together with the diagonal class  $\delta_C \equiv -\theta + (2g-4)x$  would generate the effective cone inside the 2-dimensional space  $N^1(C_{g-3})_{\mathbb{Q}}$ . We refer to [K1] Theorem 3, for a proof that  $\delta_C$  spans an extremal ray, which shows that in order to compute  $\text{Eff}(C_{g-3})$ , one only has to determine the slope of  $\text{Eff}(C_{g-3})$  in the fourth quadrant of the  $(\theta, x)$ -plane. A similar description of the effective cone of  $C_{g-2}$  was given in [Mus]. We have a partial result in this direction, showing that all effective divisors of slope higher than  $\frac{g}{g-3}$  (if any), must contain a geometric codimension one subvariety of  $\mathcal{D}_g[C]$ .

**Proposition 4.4.** *Any irreducible effective divisor on  $C_{g-3}$  with class proportional to  $\theta - \alpha x \in H^2(C_{g-3}, \mathbb{Q})$ , where  $\alpha > \frac{g}{g-3}$ , contains the codimension two locus inside  $C_{g-3}$*

$$Z_{g-3}[C] := \{D \in C_{g-3} : \exists A \in W_{g-2}^1(C) \text{ with } H^0(C, A \otimes (-D)) \neq 0\}.$$

*Proof.* By calculation, note that for  $A \in W_{g-2}^1(C)$ , the inequality  $[V_{g-3}^1(A)] \cdot (\theta - \alpha x) < 0$  holds, whereas  $[V_{g-3}^1(A)] \cdot \mathcal{D}_g[C] = 0$ .  $\square$

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