

PROBLEM SET 1
Due: 27.04.2023

Instructions

Problems marked with (*) will be graded.¹ Solutions may be written up in German or English and should be handed in before the Übung on the due date. For problems without (*), you do not need to write up your solutions, but it is highly recommended that you think through them before the next Thursday lecture.

Problems

1. Suppose (X, d_X) is a metric space and \sim is an equivalence relation on X , with the resulting set of equivalence classes denoted by X/\sim . For the equivalence classes $[x], [y] \in X/\sim$ represented by elements $x, y \in X$, define

$$d([x], [y]) := \inf \{ d_X(x, y) \mid x \in [x], y \in [y] \}. \quad (1)$$

- (a) (*) Show that d is a metric on X/\sim if the following assumption is added: for every triple $[x], [y], [z] \in X/\sim$, there exist representatives $x \in [x], y \in [y]$ and $z \in [z]$ such that

$$d_X(x, y) = d([x], [y]) \quad \text{and} \quad d_X(y, z) = d([y], [z]).$$

Comment: The hard part is proving the triangle inequality.

- (b) Consider the *real projective plane* $\mathbb{RP}^2 := S^2/\sim$, where $S^2 := \{\mathbf{x} \in \mathbb{R}^3 \mid |\mathbf{x}| = 1\}$ and the equivalence relation identifies antipodal points, i.e. $\mathbf{x} \sim -\mathbf{x}$. If d_X is the metric on S^2 induced by the standard Euclidean metric on \mathbb{R}^3 , show that the extra assumption in part (a) is satisfied, so that (1) defines a metric on \mathbb{RP}^2 .
- (c) For the metric defined on \mathbb{RP}^2 in part (b), show that the natural quotient projection $\pi : S^2 \rightarrow \mathbb{RP}^2$ sending each $\mathbf{x} \in S^2$ to its equivalence class $[\mathbf{x}] \in \mathbb{RP}^2$ is continuous, and a subset $\mathcal{U} \subset \mathbb{RP}^2$ is open if and only if $\pi^{-1}(\mathcal{U}) \subset S^2$ is open (with respect to the metric d_X).
- (d) (*) Here is a very different example of a quotient space. Define $X := (-1, 1)^2 \setminus \{(0, 0)\} \subset \mathbb{R}^2$ with the metric d_X induced by the Euclidean metric on \mathbb{R}^2 . Now fix the function $f : X \rightarrow \mathbb{R} : (x, y) \mapsto xy$ and define the relation $p_0 \sim p_1$ for $p_0, p_1 \in X$ to mean that there exists a continuous curve $\gamma : [0, 1] \rightarrow X$ with $\gamma(0) = p_0$ and $\gamma(1) = p_1$ such that $f \circ \gamma$ is constant. Show that for this equivalence relation, the extra assumption of part (a) is not satisfied, and the distance function defined in (1) does not satisfy the triangle inequality.
- (e) (*) Despite our failure to define X/\sim as a metric space in part (d), it is natural to consider the following notion: define a subset $\mathcal{U} \subset X/\sim$ to be *open* if and only if $\pi^{-1}(\mathcal{U})$ is an open subset of (X, d_X) , where $\pi : X \rightarrow X/\sim$ denotes the natural quotient projection. We can then define a sequence $[x_n] \in X/\sim$ to be *convergent* to an element $[x] \in X/\sim$ if for every open subset $\mathcal{U} \subset X/\sim$ containing $[x]$, $[x_n] \in \mathcal{U}$ for all n sufficiently large. Find a sequence $[x_n] \in X/\sim$ and two elements $[x], [y] \in X/\sim$ such that

$$[x_n] \rightarrow [x] \quad \text{and} \quad [x_n] \rightarrow [y], \quad \text{but} \quad [x] \neq [y].$$

This could not happen if we'd defined convergence on X/\sim in terms of a metric. (Why not?)

2. Show that for two metrics d_1, d_2 on the same set X , the following conditions are equivalent:
- (i) The identity map defines a homeomorphism $(X, d_1) \rightarrow (X, d_2)$.
 - (ii) For every subset $\mathcal{U} \subset X$, \mathcal{U} is open in (X, d_1) if and only if it is open in (X, d_2) .

¹For the first few problem sets in this semester we do not yet have a grader, so for each starred problem you will be given a pass/fail mark based on whether an obvious effort has been made.

(iii) For every sequence $x_n \in X$ and point $x \in X$, $x_n \rightarrow x$ in (X, d_1) if and only if $x_n \rightarrow x$ in (X, d_2) .

One calls the two metrics *equivalent* if these conditions are satisfied.

3. (a) Show that for any metric space (X, d) , $d'(x, y) := \min\{1, d(x, y)\}$ defines another metric on X which is equivalent to d (see Problem 2). In particular, this means that every metric is equivalent to one that is bounded.

(b) Suppose (X, d_X) and (Y, d_Y) are metric spaces satisfying

$$d_X(x, x') \leq 1 \text{ for all } x, x' \in X, \quad d_Y(y, y') \leq 1 \text{ for all } y, y' \in Y.$$

Now let $Z = X \cup Y$, and for $z, z' \in Z$ define

$$d_Z(z, z') = \begin{cases} d_X(z, z') & \text{if } z, z' \in X, \\ d_Y(z, z') & \text{if } z, z' \in Y, \\ 2 & \text{if } (z, z') \text{ is in } X \times Y \text{ or } Y \times X. \end{cases}$$

Show that d_Z is a metric on Z with the following property: a subset $\mathcal{U} \subset Z$ is open in (Z, d_Z) if and only if it is the union of two (possibly empty) open subsets of (X, d_X) and (Y, d_Y) . In particular, X and Y are each both open and closed subsets of Z . (Recall that subsets of metric spaces are closed if and only if their complements are open.)

(c) (*) Suppose (Z, d) is a metric space containing two disjoint subsets $X, Y \subset Z$ that are each both open and closed. Show that there exists no continuous map $\gamma : [0, 1] \rightarrow Z$ with $\gamma(0) \in X$ and $\gamma(1) \in Y$.

(d) Suppose X is any set with the so-called *discrete metric*, defined by

$$d(x, y) = \begin{cases} 0 & \text{if } x = y, \\ 1 & \text{if } x \neq y. \end{cases}$$

Show that for every point $x \in X$, the subset $\{x\} \subset X$ is both open and closed, and moreover, every continuous map $\gamma : [0, 1] \rightarrow X$ is constant.

4. (*) Assume (X, d_X) and (Y, d_Y) are metric spaces with $A \subset X$ a compact subset and $f : A \rightarrow Y$ a continuous map. Define the set

$$Z := X \cup_f Y := (X \cup Y) / \sim,$$

where the equivalence relation is determined by $a \sim f(a)$ for each $a \in A$. Assume additionally that f is an *isometry* onto its image, meaning it satisfies

$$d_X(a, b) = d_Y(f(a), f(b)) \quad \text{for all } a, b \in A;$$

notice that f must then be injective, so we can regard both X and Y naturally as subsets of Z which intersect along A . We can then define a metric d_Z on Z such that $d_Z(x, y) = d_X(x, y)$ for $x, y \in X$, $d_Z(x, y) = d_Y(x, y)$ for $x, y \in Y$, and for $(x, y) \in X \times Y$,

$$d_Z(x, y) := \min \{d_X(x, a) + d_Y(f(a), y) \mid a \in A\}.$$

Verify the following case of the triangle inequality for d_Z :

$$d_Z(x, z) \leq d_Z(x, y) + d_Z(y, z) \quad \text{whenever } x \in X, y \in Y \text{ and } z \in X.$$

Hint: Notice that in the definition of d_Z , it says “min” instead of “inf”. The minimum always exists because A is compact!

Advice: If you want something to visualize for intuition, a concrete example of this construction is mentioned below in Problem 5.

5. In the first lecture, we discussed the fact that $\mathbb{R}P^2$ is homeomorphic to an object constructed by gluing a disk $\mathbb{D}^2 = \{\mathbf{x} \in \mathbb{R}^2 \mid |\mathbf{x}| \leq 1\}$ to a Möbius strip $\mathbb{M} = \{(\theta, t \cos(\pi\theta), t \sin(\pi\theta)) \in S^1 \times \mathbb{R}^2 \mid \theta \in S^1, t \in [-1, 1]\}$, where $S^1 := \mathbb{R}/\mathbb{Z}$. One can now make this precise using metrics of the types defined in Problems 1(b) and 4 respectively on $\mathbb{R}P^2$ and the glued object $\mathbb{D}^2 \cup_f \mathbb{M}$ (for a suitable homeomorphism f between the boundaries of \mathbb{D}^2 and \mathbb{M}). Work out the details until you get bored.