

**PROBLEM SET 3**  
**Due: 11.05.2023**

**Instructions**

Problems marked with (\*) will be graded.<sup>1</sup> Solutions may be written up in German or English and should be handed in before the Übung on the due date. For problems without (\*), you do not need to write up your solutions, but it is highly recommended that you think through them before the next Thursday lecture.

**Problems**

1. In lecture we defined  $S^1$  as the unit circle in  $\mathbb{R}^2$  with the subspace topology (induced by the Euclidean metric on  $\mathbb{R}^2$ ). Show that the following spaces with their natural quotient topologies are both homeomorphic to  $S^1$ :

- (a)  $\mathbb{R}/\mathbb{Z}$ , meaning the set of equivalence classes of real numbers where  $x \sim y$  means  $x - y \in \mathbb{Z}$ .
- (b) (\*)  $[0, 1]/\sim$ , where  $0 \sim 1$ .<sup>2</sup>

For the next example, we introduce a convenient piece of standard notation. The quotient of a space  $X$  by a subset  $A \subset X$  is defined as  $X/A := X/\sim$  with the quotient topology, where the equivalence relation is defined such that  $x \sim y$  for every  $x, y \in A$  and otherwise  $x \sim x$  for all  $x \in X$ . In other words,  $X/A$  is the result of modifying  $X$  by “collapsing  $A$  to a point”.

- (c) Convince yourself that for every  $n \in \mathbb{N}$ ,  $S^n$  is homeomorphic to  $\mathbb{D}^n/S^{n-1}$ , where  $\mathbb{D}^n$  denotes the closed unit disk  $\{\mathbf{x} \in \mathbb{R}^n \mid |\mathbf{x}| \leq 1\}$ .

*Remark: Part (b) becomes a special case of part (c) if we replace  $[0, 1]$  by  $\mathbb{D}^1 = [-1, 1]$ .*

2. Suppose  $X$  and  $Y$  are topological spaces,  $x \in X$ , and  $K \subset Y$  is a compact subset.
  - (a) (\*) Prove that every neighborhood of  $\{x\} \times K$  in  $X \times Y$  contains  $\mathcal{V} \times K$  for some neighborhood  $\mathcal{V} \subset X$  of  $x$ .
  - (b) Find an example showing that the statement in part (a) is not always true if  $K$  is not compact.
3. Recall that  $[0, 1]^{\mathbb{R}}$  denotes the set of all functions  $f : \mathbb{R} \rightarrow [0, 1]$ , with the topology of pointwise convergence. Tychonoff’s theorem implies that  $[0, 1]^{\mathbb{R}}$  is compact, but one can show that it is not first countable, so it need not be sequentially compact.
  - (a) For  $x \in \mathbb{R}$  and  $n \in \mathbb{N}$ , let  $x_{(n)} \in \{0, \dots, 9\}$  denote the  $n$ th digit to the right of the decimal point in the decimal expansion of  $x$ . Now define a sequence  $f_n \in [0, 1]^{\mathbb{R}}$  by setting  $f_n(x) = \frac{x_{(n)}}{10}$ . Show that for any subsequence  $f_{k_n}$  of  $f_n$ , there exists  $x \in \mathbb{R}$  such that  $f_{k_n}(x)$  does not converge, hence  $f_n$  has no pointwise convergent subsequence.  
*Food for thought: Could you do this if you also had to assume that  $x$  is rational? Presumably not, because  $[0, 1]^{\mathbb{Q}}$  is a product of countably many second countable spaces, and we proved in lecture that such products are second countable (unlike  $[0, 1]^{\mathbb{R}}$ ). This implies that since  $[0, 1]^{\mathbb{Q}}$  is compact, it must also be sequentially compact.*
  - (b) (\*) The compactness of  $[0, 1]^{\mathbb{R}}$  does imply that every sequence has a convergent *subnet*, or equivalently, a cluster point. Use this to deduce that for any given sequence  $f_n \in [0, 1]^{\mathbb{R}}$ , there exists a function  $f \in [0, 1]^{\mathbb{R}}$  such that for every finite subset  $X \subset \mathbb{R}$ , some subsequence of  $f_n$  converges to  $f$  at all points in  $X$ .

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<sup>1</sup>For the first few problem sets in this semester we do not yet have a grader, so for each starred problem you will be given a pass/fail mark based on whether an obvious effort has been made.

<sup>2</sup>To clarify: in situations like this we always mean the *smallest* equivalence relation for which the stated equivalence holds, e.g. in this case, the unstated equivalences  $0 \sim 0$ ,  $1 \sim 1$  and  $1 \sim 0$  are also assumed to hold since  $\sim$  is required to be reflexive, symmetric and transitive.

*Achtung: Pay careful attention to the order of quantifiers here. We're claiming that the element  $f$  exists independently of the finite set  $X \subset \mathbb{R}$  on which we want some subsequence to converge to  $f$ . (If you could let  $f$  depend on the choice of subset  $X$ , this would be easy—but that is not allowed.) On the other hand, the actual choice of subsequence is allowed to depend on the subset  $X$ .*

*Challenge: Find a direct proof of the statement in part (b), without passing through Tychonoff's theorem. I do not know of any way to do this that isn't approximately as difficult as actually proving Tychonoff's theorem—I conjecture that it cannot be done without the axiom of choice, but I would be interested to know if I am wrong!*

4. Consider the space  $X = \{f \in [0, 1]^{\mathbb{R}} \mid f(x) \neq 0 \text{ for at most countably many points } x \in \mathbb{R}\}$ , with the subspace topology that it inherits from  $[0, 1]^{\mathbb{R}}$ .
  - (a) Show that  $X$  is sequentially compact.  
*Hint: For any sequence  $f_n \in X$ , the set  $\bigcup_{n \in \mathbb{N}} \{x \in \mathbb{R} \mid f_n(x) \neq 0\}$  is also countable.*
  - (b) For each  $x \in \mathbb{R}$ , define  $U_x = \{f \in X \mid -1 < f(x) < 1\}$ . Show that the collection  $\{U_x \subset X \mid x \in \mathbb{R}\}$  forms an open cover of  $X$  that has no finite subcover, hence  $X$  is not compact.
5.
  - (a) Show that a finite topological space satisfies the axiom  $T_1$  if and only if it carries the discrete topology.
  - (b) Show that  $X$  is a  $T_2$  space (i.e. Hausdorff) if and only if the *diagonal*  $\Delta := \{(x, x) \in X \times X\}$  is a closed subset of  $X \times X$ .
  - (c) Show that every compact Hausdorff space is regular, i.e.  $\text{compact} + T_2 \Rightarrow T_3$ .  
*Hint: The argument needed for this was already used in lecture to prove something else.*
  - (d) (\*) Show that every metrizable space satisfies the axiom  $T_4$  (i.e. it is *normal*).  
*Hint: Given disjoint closed sets  $A, A' \subset X$ , each  $x \in A$  admits a radius  $\epsilon_x > 0$  such that the ball  $B_{\epsilon_x}(x)$  is disjoint from  $A'$ , and similarly for points in  $A'$  (why?). The unions of all these balls won't quite produce the disjoint neighborhoods you want, but try cutting their radii in half.*
6. Each of the following should be relatively easy, but they are worth thinking about at least once.
  - (a) Show that if  $X$  is a Hausdorff space, then every subset  $A \subset X$  becomes a Hausdorff space when assigned the subspace topology.
  - (b) Find an example of a non-Hausdorff space  $X$  with a subset  $A \subset X$  that is Hausdorff with the subspace topology.
  - (c) In Problem Set 1 #1(e), we considered the space  $X = (-1, 1)^2 \setminus \{(0, 0)\} \subset \mathbb{R}^2$  with an equivalence relation  $\sim$  and endowed the set  $X/\sim$  of equivalence classes with the quotient topology (though we could not call it that at the time). Was that quotient space Hausdorff? Why or why not?

Now consider an arbitrary collection  $\{X_\alpha\}_{\alpha \in I}$  of topological spaces. Prove:

- (d) The disjoint union  $\coprod_{\alpha \in I} X_\alpha$  is Hausdorff if and only if  $X_\alpha$  is Hausdorff for every  $\alpha \in I$ .
  - (e) The product  $\prod_{\alpha \in I} X_\alpha$  is Hausdorff if and only if  $X_\alpha$  is Hausdorff for every  $\alpha \in I$ .
7. Suppose  $X$  is a Hausdorff space and  $\sim$  is an equivalence relation on  $X$ . Let  $X/\sim$  denote the quotient space equipped with the quotient topology and denote by  $\pi : X \rightarrow X/\sim$  the canonical projection. Given a subset  $A \subset X$ , we will sometimes also use the notation  $X/A$  explained in Problem 1.
    - (a) A map  $s : X/\sim \rightarrow X$  is called a *section* of  $\pi$  if  $\pi \circ s$  is the identity map on  $X/\sim$ . Show that if a continuous section exists, then  $X/\sim$  is Hausdorff.
    - (b) Show that if  $X$  is also regular and  $A \subset X$  is a closed subset, then  $X/A$  is Hausdorff.
    - (c) (\*) Consider  $X = \mathbb{R}$  with the non-closed subset  $A = (0, 1]$ . Which of the separation axioms  $T_0, \dots, T_4$  does  $X/A$  satisfy?

*Just for fun: think about some other examples of Hausdorff spaces  $X$  with non-Hausdorff quotients  $X/\sim$ . What stops you from constructing continuous sections  $X/\sim \rightarrow X$ ?*