

GAUGE-PERIODIC POINT PERTURBATIONS ON THE LOBACHEVSKY PLANE

J. Brüning¹ and V. A. Geiler²

We study periodic point perturbations of the Shrödinger operator with a uniform magnetic field on the Lobachevsky plane. We prove that the spectrum gaps of the perturbed operator are labeled by the elements of the K_0 group of a C^ algebra associated with the operator. In particular, if the C^* algebra has the Kadison property, then the operator spectrum has a band structure.*

Introduction

Let H be the periodic Shrödinger operator with a magnetic field on the Euclidean plane \mathbf{R}^2 . As is well known, the spectrum structure of the operator critically depends on the magnetic field flow η across the elementary cell of the potential period lattice. If the value of η is rational, then the spectrum of H has a band structure. Otherwise, some of its sections are Cantor sets [1]. The discrete version of H (the so-called Harper operator) has a purely Cantor spectrum for almost all values of η [2–4]. The situation is different in the case of the periodic Shrödinger operator H with a magnetic field on the Lobachevsky plane. For example, the discrete version of H with the modular group $ASL_2(\mathbf{Z})$ as the period group has a band structure for all values of η [5]. Sufficient conditions for the spectrum band structure in the case of an operator H with a smooth potential invariant with respect to the cocompact action of a properly discontinuous group were indicated in [6–8]. On the other hand, a very interesting class of periodic Shrödinger operators that give an extensive set of explicitly soluble models can be obtained using perturbation theory [9–11]. We note that point perturbations of the two-dimensional Shrödinger operator with a magnetic field are widely used in theoretical physics to study transport properties of two-dimensional systems (e.g., see [12, 13] and the references therein).

In this paper, we prove that the spectrum gaps of a point perturbation of the Shrödinger equation with a magnetic field on the Lobachevsky plane are labeled by the elements of the K_0 group of a C^* algebra associated with the operator. In particular, if this algebra has the Kadison property, then the spectrum of the corresponding operator has a band structure (a broad class of algebras with the Kadison property is indicated in [7] and [8]). Among other things, this result is interesting in the context of the question of the effect of the curvature of a two-dimensional electron system on its spectral and transport properties [14–16, 8].

1. Preliminaries

In this paper, the Lobachevsky plane X is realized as the upper Poincaré half-plane

$$\{z = x + iy \in \mathbf{C} : y > 0\}$$

with the standard metric

$$ds^2 = \frac{a^2}{y^2} (dx^2 + dy^2),$$

¹Humboldt-Universität, Institut für Mathematik, Berlin, BRD.

²Mordvinian State University, Saransk, Russia.

for which the curvature of X is equal to $R = -2/a^2$. In this case, the distance between two points $z, z' \in X$ has the form

$$d(z, z') = a \operatorname{arcosh} \left(1 + \frac{|z - z'|^2}{2yy'} \right),$$

and the invariant area is given by the formula

$$d\sigma = \frac{a^2}{y^2} dx \wedge dy.$$

By definition, a constant homogeneous magnetic field \mathbf{B} orthogonal to X is the 2-form

$$\mathbf{B} = B \frac{a^2}{y^2} dx \wedge dy,$$

where $B \in \mathbf{R}$ is the field intensity. The form \mathbf{B} is exact, i.e., $\mathbf{B} = d\mathbf{A}$, where the 1-form \mathbf{A} is called the vector potential of the field \mathbf{B} . We use the so-called Landau gauge

$$\mathbf{A} = Ba^2 y^{-1} dx$$

for the vector potential. The Hamiltonian H^0 of a free quantum mechanical particle moving on the plane X in the field \mathbf{B} is the closure of the symmetrical operator

$$\frac{1}{a^2} \left\{ -y^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) + 2iby \frac{\partial}{\partial x} + b^2 \right\}$$

with the domain $C_0^\infty(X)$ [17]. We use the system of units in which $e = c = \hbar = 1$ and $m = 1/2$, and we write $b = Ba^2$. As is well known, $\mathcal{D}(H^0) \subset C(X)$ (e.g., see [18]). The spectrum of H^0 consists of two parts [17], namely, finitely many eigenvalues (Landau levels)

$$E_n = a^{-2} (|b|(2n+1) - n(n+1)), \quad 0 \leq n \leq |b| - \frac{1}{2},$$

and the continuous spectrum

$$E(\nu) = \frac{1}{a^2} \left[\frac{1}{4} + b^2 + \nu^2 \right], \quad 0 \leq \nu < \infty. \quad (1)$$

The resolvent $R^0(\zeta) = (H^0 - \zeta)^{-1}$ of the operator H^0 plays the main role in our investigation. The integral kernel of the resolvent (i.e., the Green's function $G^0(z, z'; \zeta)$ of the operator H^0) was found in [17]. It has the form

$$G^0(z, z'; \zeta) = \frac{\sigma^{-t}}{4\pi} e^{ib\varphi} \frac{\Gamma(t+b)\Gamma(t-b)}{\Gamma(2t)} F\left(t+b, t-b; 2t; \frac{1}{\sigma}\right), \quad (2)$$

where $F(\alpha, \beta; \gamma; z)$ is the hypergeometric function,

$$\sigma = \cosh^2 \left(\frac{d(z, z')}{2a} \right), \quad \varphi = 2 \arctan \left(\frac{x - x'}{y + y'} \right),$$

and, according to (1), the function $t = t(\zeta)$ is uniquely defined for $\zeta \in \mathbf{C} \setminus \sigma(H^0)$ by the condition

$$\zeta = \frac{t(1-t) + b^2}{a^2}, \quad \operatorname{Re} t > 0.$$

The lemma below enumerates the properties of the Green's function G^0 needed in what follows (we omit the corresponding purely technical proofs that are based on formula (2)).

Lemma 1.

1. For any $z \in X$, the limit

$$q(\zeta) = \lim_{z' \rightarrow z} \left[G^0(z, z'; \zeta) - \frac{1}{2\pi} \log d(z, z') \right]$$

exists. It does not depend on z and is given by the formula

$$q(\zeta) = \frac{1}{4\pi} [\psi(t+b) + \psi(t-b) + 2C_E - \log 4a^2],$$

where $\psi(z) = \log \Gamma(z)'$ and C_E is Euler's constant.

2. $\operatorname{Re} q(\zeta) \rightarrow -\infty$ as $\operatorname{Re} \zeta \rightarrow -\infty$.

3. Let K be a compact subset in X and z_0 be a point belonging to X . Then for any $\varepsilon > 0$ and an arbitrary $\zeta \in \mathbf{C}$ such that $\operatorname{Re} \zeta < 0$, there are constants $c_1(K, z_0, \varepsilon, \zeta) \equiv c_1 > 0$ and $\tilde{c}_1(\varepsilon, \zeta) \equiv \tilde{c}_1 > 0$ such that

$$\sup\{|G^0(z, z'; \zeta)| : z' \in K\} \leq c_1 e^{-\tilde{c}_1 d(z, z_0)}$$

when $d(z, K) \geq \varepsilon$. Moreover, if K, z_0 , and ε are fixed, then $c_1(\zeta) = o(1)$ and $\tilde{c}_1(\zeta) \rightarrow +\infty$ as $\operatorname{Re} \zeta \rightarrow -\infty$.

4. The integral

$$\int_X |G^0(z, z'; \zeta)|^2 d\sigma(z')$$

is finite and is independent of z for all $\zeta \in \mathbf{C} \setminus \sigma(H^0)$ and $z \in X$.

5. If K is a compact subset in X and z_0 is a fixed point belonging to X , then for any $\zeta \in \mathbf{C}$ such that $\operatorname{Re} \zeta < 0$, there are constants $c_2(K, z_0, \zeta) \equiv c_2 > 0$ and $\tilde{c}_2(\zeta) \equiv \tilde{c}_2 > 0$ such that

$$\left[\int_K |G^0(z, z'; \zeta)|^2 d\sigma(z') \right]^{1/2} \leq c_2 e^{-\tilde{c}_2 d(z, z_0)}.$$

Moreover, $\tilde{c}_2(\zeta) \rightarrow +\infty$ as $\operatorname{Re} \zeta \rightarrow -\infty$. If K and z_0 are fixed, then $c_2(\zeta) = O(1)$ as $\operatorname{Re} \zeta \rightarrow -\infty$.

2. Point perturbations of the operator H^0

We consider an isometry group Γ of the plane X . Although the field \mathbf{B} is invariant relative to the transformations in Γ , the Hamiltonian H^0 is not Γ invariant in the general case. To obtain the invariance group for H^0 , we must extend Γ to the so-called group of magnetic translations [19, 7]. We recall the construction of this group. Let U be the standard unitary representation of Γ in $L^2(X)$, $U_\gamma f(z) = f(\gamma^{-1}z)$ ($\gamma \in \Gamma$ and $f \in L^2(X)$), and let γ^* be the induced map of the space of differential forms. We suppose that $\gamma^* \mathbf{A} \neq \mathbf{A}$. Then $U_\gamma H^0 \neq H^0 U_\gamma$. Nevertheless, we have $d(\gamma^* \mathbf{A} - \mathbf{A}) = 0$ because $\gamma^* \mathbf{B} = \mathbf{B}$. Consequently, there is a function $\omega_\gamma \in C^\infty(X)$ such that $d\omega_\gamma = \gamma^* \mathbf{A} - \mathbf{A}$. We fix one of these functions ω_γ for each $\gamma \in \Gamma$. For $\gamma = 1$, we set $\omega_1 = 0$. Let T_γ^0 be the unitary operator in $L^2(X)$ acting according to the formula $T_\gamma^0 f = \exp(i\omega_\gamma) U_\gamma f$, $f \in L^2(X)$. Then $T_\gamma^0 H^0 = H^0 T_\gamma^0$ for all $\gamma \in \Gamma$. The map $\gamma \mapsto T_\gamma^0$ is a projective representation of Γ in $L^2(X)$. In other words, $T_\beta^0 T_\gamma^0 = \Theta(\beta, \gamma) T_{\beta\gamma}^0$ for any $\beta, \gamma \in \Gamma$, where $\Theta(\beta, \gamma) \in \mathbf{C}$ and $|\Theta(\beta, \gamma)| = 1$. The family $\Theta(\beta, \gamma)$ possesses the property $\Theta(\gamma_1, \gamma_2) \Theta(\gamma_1 \gamma_2, \gamma_3) = \Theta(\gamma_1, \gamma_2 \gamma_3) \Theta(\gamma_2, \gamma_3)$, i.e., it is a 2-cocycle on Γ with coefficients in $\mathbf{U}(1)$. This cocycle defines the extension $1 \rightarrow \mathbf{U}(1) \rightarrow M(\Gamma, \Theta) \rightarrow \Gamma \rightarrow 1$ of Γ using $\mathbf{U}(1)$. The group $M(\Gamma, \Theta)$ is called the group of magnetic translations. Its element is uniquely determined by a pair (γ, ζ) , where $\gamma \in \Gamma$ and $\zeta \in \mathbf{U}(1)$. In what follows, we identify it with the pair. Let $[\gamma, \zeta]$ denote the unitary operator ζT_γ^0 . Then the correspondence $(\gamma, \zeta) \mapsto [\gamma, \zeta]$ is the exact linear

unitary representation of the group $M(\Gamma, \Theta)$ in $L^2(X)$. This representation is denoted by T . Clearly, the operator H^0 is invariant with respect to T .

In what follows, we consider only the groups Γ satisfying the conditions

1. the action of Γ is properly discontinuous on X and
2. the orbit space $\Gamma \backslash X$ is compact.

We fix a fundamental domain F of Γ once and for all, i.e., a set $F \subset X$ such that (a) $\overline{F} = \overline{\text{Int } F}$, (b) \overline{F} is compact, and (c) the restriction of the canonical map $X \rightarrow \Gamma \backslash X$ to F is bijective. To construct a Γ -periodic point perturbation of the operator H^0 , we select a finite subset $K \subset F$ and let $\Lambda = \Gamma \cdot K$ denote the orbit of this set. Each element $\lambda \in \Lambda$ has a unique representation of the form $\lambda = \gamma\kappa$, where $\gamma \in \Gamma$ and $\kappa \in K$. In our further considerations, we need the following lemma, which was proved in essence in [20] (in what follows, $\#Y$ denotes the number of elements in the set Y).

Lemma 2. *There are constants $c_\Lambda > 0$ and $\bar{c}_\Lambda > 0$ such that*

$$\#\{\lambda \in \Lambda: d(\lambda, \lambda_0) \leq r\} \leq c_\Lambda e^{\bar{c}_\Lambda r}$$

for all $\lambda_0 \in \Lambda$ and $r \in \mathbf{R}$, $r > 0$.

We now present the construction of a point perturbation for the operator H^0 . Because $\mathcal{D}(H^0) \subset C(X)$, the set

$$\mathcal{D} = \{f \in \mathcal{D}(H^0): f(\lambda) = 0 \ \forall \lambda \in \Lambda\}$$

is well defined. Let S be the restriction of H^0 to the domain $\mathcal{D}(S) \equiv \mathcal{D}$. It is clear that S is a symmetrical operator in $L^2(X)$. The self-adjoint extension H of S is called a point perturbation of H^0 concentrated on the set Λ if $\mathcal{D}(H) \cap \mathcal{D}(H^0) = \mathcal{D}(S)$. It is convenient to describe point perturbations of the operator H^0 using the Krein resolvent formula (10.11 in [21]). For this, we fix a Hilbert space \mathcal{G} isomorphic to an arbitrary deficiency space of the operator S and define the holomorphic operator-valued functions

$$B: \mathbf{C} \setminus \sigma(H^0) \rightarrow L(\mathcal{G}, L^2(X)), \quad Q: \mathbf{C} \setminus \sigma(H^0) \rightarrow L(\mathcal{G}, \mathcal{G})$$

satisfying the so-called Krein Γ and \mathcal{Q} conditions [21] (as usual, $L(E, P)$ denotes the Banach space of bounded operators from E into P). Accordingly, B and Q are called the Krein Γ and \mathcal{Q} functions. If the Γ and \mathcal{Q} functions are fixed, then there is a one-to-one correspondence between the point perturbations H of H^0 concentrated on Λ and the self-adjoint operators A in the space \mathcal{G} . This correspondence is expressed by the Krein formula for resolvents,

$$(H - \zeta)^{-1} = (H^0 - \zeta)^{-1} - B(\zeta)[Q(\zeta) + A]^{-1} B^*(\bar{\zeta}). \quad (3)$$

In what follows, H_A denotes the point perturbation of H that corresponds to A in accordance with formula (3). The resolvent of H_A is denoted by $R_A(\zeta)$.

Below, we give explicit descriptions of the Krein Γ and \mathcal{Q} functions using Theorem 4 and Proposition 4 in [22] (the proof of these assertions in [22] for the space $L^2(X)$, where X is a domain in the Euclidean space, remains valid in the case of a Riemannian manifold X). We let \mathcal{G} denote the space $l^2(\Lambda)$ and $Q(\zeta)$ denote the infinite matrix

$$Q(\lambda, \mu; \zeta) = \begin{cases} G^0(\lambda, \mu; \zeta) & \text{for } \lambda, \mu \in \Lambda, \ \lambda \neq \mu, \\ q(\zeta) & \text{for } \lambda, \mu \in \Lambda, \ \lambda = \mu. \end{cases}$$

The lemma below is an immediate consequence of Lemma 1.

Lemma 3.

1. There are constants $c_3(\zeta) \equiv c_3 > 0$ and $\bar{c}_3(\zeta) \equiv \bar{c}_3 > 0$ such that

$$|Q(\lambda, \mu; \zeta)| \leq c_3(\zeta)e^{-\bar{c}_3(\zeta)d(\lambda, \mu)}$$

for $\text{Re } \zeta < 0$ and $\lambda \neq \mu$. Moreover, $c_3(\zeta) = o(1)$ and $\bar{c}_3(\zeta) \rightarrow +\infty$ as $\text{Re } \zeta \rightarrow -\infty$.

2. $|Q(\lambda, \lambda; \zeta)| \rightarrow +\infty$ as $\text{Re } \zeta \rightarrow -\infty$.

For arbitrary $\zeta \in \mathbf{C} \setminus \sigma(H^0)$ and $\lambda \in \Lambda$, we let $g_\lambda(\zeta)$ denote the function $z \mapsto G^0(z, \lambda; \zeta)$ on X . It is clear that $g_\lambda(\zeta) \in L^2(X)$ (see Lemma 1). Lemmas 2, 3, and A.2 (in the appendix) imply the following lemma.

Lemma 4. There is a number $E_1 \in \mathbf{R}$ such that the matrices $Q(\zeta)$ and $(\langle g_\lambda(\zeta) | g_\mu(\zeta) \rangle)_{\lambda, \mu \in \Lambda}$ define bounded operators in $l^2(X)$ for all $\zeta \in \mathbf{C}$ and $\text{Re } \zeta < E_1$.

We now state the main result of this section.

Theorem 1.

1. For any $\zeta \in \mathbf{C} \setminus \sigma(H^0)$, the family $(g_\lambda(\zeta))_{\lambda \in \Lambda}$ forms the Riesz basis in the closure of its linear span. Let the map $B(\zeta): l^2(\Lambda) \rightarrow L^2(X)$ be given by the formula

$$B(\zeta)\varphi = \sum_{\lambda \in \Lambda} \varphi(\lambda)g_\lambda(\zeta), \quad \varphi \in l^2(\Lambda).$$

Then $B(\zeta)$ is the Krein Γ function for the pair of operators (S, H^0) .

2. There exists an $E_0 \in \mathbf{R}$ such that the matrix $Q(\zeta)$ defines the Krein \mathcal{Q} function of the pair (S, H^0) for all $\zeta \in \mathbf{C}$ and $\text{Re } \zeta < E_0$. Therefore,

$$R_A(\zeta)f = R^0(\zeta)f - \sum_{\lambda \in \Lambda} \left(\sum_{\mu \in \Lambda} [Q(\zeta) + A]^{-1}(\lambda, \mu) \langle g_\mu(\zeta) | f \rangle \right) g_\lambda(\zeta)$$

for all $f \in L^2(X)$.

Proof. The proof of the theorem follows directly from Theorem 4 in [22] with Lemma 4 taken into account.

We are interested only in T -invariant point perturbations H_A . To find a criterion for the T invariance of H_A , we introduce the linear unitary representation T^d of the group $M(\Gamma, \Theta)$ in the space $l^2(\Lambda)$ given by the formula $T_{(\gamma, \zeta)}^d \varphi(\lambda) = \zeta \exp(i\omega_\gamma(\lambda))\varphi(\gamma^{-1}\lambda)$, where $(\gamma, \zeta) \in M(\Gamma, \Theta)$ and $\varphi \in l^2(\Lambda)$. The proposition below (we omit its simple proof) gives a necessary and sufficient condition for the T invariance of H_A .

Proposition 1. The operator H_A is T invariant if and only if the operator A is T^d invariant.

In what follows, we consider only operators H_A invariant with respect to the representations T . From the standpoint of physical applications, the most interesting class is formed by the Hamiltonians H_A whose parameterizing operators A have diagonal matrices in the standard basis of the space $l^2(\Lambda)$ [11–13]. In particular, only these operators can be limits of Hamiltonians with short-range local potentials [11]. Therefore, in what follows, we assume that A is determined by a diagonal matrix, $A(\lambda, \mu) = \alpha_\lambda \delta_{\lambda\mu}$. The T^d invariance of A implies that the sequence (α_λ) is completely determined by its elements with indices belonging to K , namely, $\alpha_\kappa = \alpha_{\gamma\kappa}$ for all $\gamma \in \Gamma$ and $\kappa \in K$. We need the following assertion directly implied by Theorem A.1 in the appendix.

Theorem 2. *There is a number $E_A \in \mathbf{R}$ with the following properties:*

1. *if $\operatorname{Re} \zeta < E_A$, then $Q(\zeta) + A$ has a bounded inverse operator;*
2. *for any $\zeta \in \mathbf{C}$, $\operatorname{Re} \zeta < E_A$, there are constants $c_4(\zeta) \equiv c_4 > 0$ and $\tilde{c}_4(\zeta) \equiv \tilde{c}_4 > 0$ such that*

$$|[Q(\zeta) + A]^{-1}(\lambda, \mu)| \leq c_4 e^{-\tilde{c}_4 d(\lambda, \mu)}$$

for all $\lambda, \mu \in \Lambda$. Moreover, $c_4(\zeta) = O(1)$, and $\tilde{c}_4(\zeta) \rightarrow +\infty$ as $\operatorname{Re} \zeta \rightarrow -\infty$.

Corollary 1. *The operator H_A is semibounded from below.*

3. Main results

We first recall the notion of the twisted group algebra $C^*(\Gamma, \Theta)$ for the pair (Γ, Θ) [23, 5]. We define multiplication and involution in the space of finite sequences $C_0(\Gamma)$ by the formulas

$$(a \cdot b)(\gamma) = \sum_{\beta \in \Gamma} \Theta(\gamma\beta^{-1}, \beta)^{-1} a(\gamma\beta^{-1}) b(\beta),$$

$$a^*(\gamma) = \Theta(\gamma^{-1}, \gamma) \Theta(1, 1) \overline{a(\gamma^{-1})}.$$

We let I denote an injective $*$ -homomorphism of $C_0(\Gamma)$ into the operator algebra $L(l^2(\Gamma))$ such that it transforms an element $a \in C_0(\Gamma)$ into an operator Ia possessing the property

$$(Ia)\varphi(\gamma) = \sum_{\beta \in \Gamma} \Theta(\gamma\beta^{-1}, \beta)^{-1} a(\gamma\beta^{-1})\varphi(\beta)$$

for $\varphi \in l^2(\Gamma)$. The twisted group C^* algebra $C^*(\Gamma, \Theta)$ is defined as the completion of $C_0(\Gamma)$ with respect to the norm $\|a\| = \|Ia\|$. The algebra $C^*(\Gamma, \Theta)$ has the standard trace τ defined as $\tau(a) = a(1)$. We next let ρ_γ ($\gamma \in \Gamma$) denote an operator in $l^2(\Gamma)$ acting according to the rule $(\rho_\gamma\varphi)(\beta) = \Theta(\beta, \gamma)\varphi(\beta\gamma)$. It is easy to verify that $\gamma \mapsto \rho_\gamma$ is a projective unitary representation of Γ in the space $l^2(\Gamma)$.

We now define the “canonical” isomorphism $\Phi: L^2(X) \rightarrow l^2(\Gamma) \otimes L^2(F) = l^2(\Gamma, L^2(F))$ by the rule $(\Phi f)(\gamma) = r_F \cdot [\gamma, 1] f$, where r_F is the restriction operator to F , $r_F f = f|_F$ [6]. Using Φ , we extend ρ to the projective unitary representation $\tilde{\rho}$ in $L^2(X)$ by the formula $\tilde{\rho} = \rho \otimes 1$. It can be shown that the operator Φ intertwines the representations T and $\tilde{\rho}$. Let \mathcal{K} be the algebra of compact operators in $L^2(X)$. We let \mathcal{A} denote the tensor product $C^*(\Gamma, \Theta) \otimes \mathcal{K}$. The trace τ in $C^*(\Gamma, \Theta)$ induces the standard trace on \mathcal{A} , which, as before, is denoted by τ . The isomorphism Φ determines the canonical embedding $I_{\mathcal{K}}$ of \mathcal{A} in the C^* algebra $L(L^2(X)) = L(l^2(\Gamma) \otimes L^2(F))$. Let $\tilde{\mathcal{A}}$ be the image of \mathcal{A} under the embedding. We write

$$\mathcal{M}(\Gamma, \Theta) = \{A \in L(l^2(\Gamma) \otimes L^2(X)) : A\tilde{\rho}_\gamma = \tilde{\rho}_\gamma A \quad \forall \gamma \in \Gamma\}.$$

It is easy to show that $\tilde{\mathcal{A}} \subset \mathcal{M}(\Gamma, \Theta)$ and $R_A(\zeta) \in \mathcal{M}(\Gamma, \Theta)$ for all $\zeta \in \mathbf{C} \setminus \sigma(H_A)$.

Following [6], we now define the Fourier coefficients for the operator $A \in \mathcal{M}(\Gamma, \Theta)$. The Fourier coefficient $\hat{A}(\gamma)$ for an element $\gamma \in \Gamma$ is an operator in $L^2(F)$ acting on the function u in $L^2(F)$ according to the formula $\hat{A}(\gamma)(u) = \tilde{\rho}_\gamma A(\delta_1 \otimes u)(1)$.

Lemma 5 (see [5, 6]). *Let $A \in \mathcal{M}(\Gamma, \Theta)$. If the operator $\hat{A}(\gamma)$ is compact for all $\gamma \in \Gamma$ and satisfies the inequality*

$$\sum_{\gamma \in \Gamma} \|\hat{A}(\gamma)\| < +\infty,$$

then $A \in \tilde{\mathcal{A}}$.

The theorem below is the main result in this paper.

Theorem 3. Let A be a T^d -invariant self-adjoint operator in the space $l^2(\Lambda)$ with a diagonal matrix in the standard basis of $l^2(\Lambda)$. Then the resolvent $R_A(\zeta)$ belongs to the algebra $\tilde{\mathcal{A}}$ for any $\zeta \in \mathbf{C} \setminus \sigma(H_A)$.

Proof. We first note that because the resolvent is analytic, it suffices to prove that $R_A(E) \in \tilde{\mathcal{A}}$ for all E belonging to a semi-infinite interval $(-\infty, E_0)$. We next note that $R^0(\zeta) \in \tilde{\mathcal{A}}$ for all $\zeta \in \mathbf{C} \setminus \sigma(H^0)$ [6]; therefore, it is only necessary to prove that $V(E) \equiv R^0(E) - R_A(E) \in \tilde{\mathcal{A}}$ for all E belonging to $(-\infty, E_0)$. By Theorem 2, there is a number $E_0 \in \mathbf{R}$ such that

$$V(\zeta)f = \sum_{\lambda \in \Lambda} \left(\sum_{\mu \in \Lambda} M(\lambda, \mu; \zeta) \langle g_\mu(\bar{\zeta}) | f \rangle \right) g_\lambda(\zeta)$$

when $\operatorname{Re} \zeta < E_0$, where $M(\lambda, \mu; \zeta) = [Q(\zeta) + A]^{-1}(\lambda, \mu)$. By Lemmas 2 and 3, there are constants c_0 and $\bar{c}_0(\zeta)$ such that

$$|M(\lambda, \mu; \zeta)| \leq c_0 e^{-\bar{c}_0(\zeta) d(\lambda, \mu)}, \quad \bar{c}_0(\zeta) > 3\bar{c}_\Lambda \quad (4)$$

for $\operatorname{Re} \zeta < E_0$, where \bar{c}_Λ is the constant in Lemma 3 and c_0 does not depend on ζ .

We define the matrix $M_\beta(\lambda, \mu; \zeta)$ by the relation

$$M_\beta(\lambda, \mu; \zeta) = \begin{cases} M(\lambda, \mu; \zeta) & \text{if } \lambda = \gamma\kappa \text{ and } \mu = \gamma\beta\kappa' \text{ for some } \gamma \in \Gamma \text{ and } \kappa, \kappa' \in \mathbf{K}, \\ 0 & \text{otherwise,} \end{cases}$$

where $\beta \in \Gamma$. Because $(g_\lambda(\zeta))_{\lambda \in \Lambda}$ is the Riechz basis, formula (4) and Lemma A.1 imply that the series

$$V_\beta(\zeta)f = \sum_{\lambda \in \Lambda} \left(\sum_{\mu \in \Lambda} M_\beta(\lambda, \mu; \zeta) \langle g_\mu(\bar{\zeta}) | f \rangle \right) g_\lambda(\zeta)$$

converges and defines a bounded operator in $L^2(X)$ for any function $f \in L^2(X)$. We prove that

$$\sum_{\beta \in \Gamma} \|V_\beta(\zeta)\| < +\infty \quad (5)$$

for $\operatorname{Re} \zeta < E_0$. Because the family $(g_\lambda(\zeta))_{\lambda \in \Lambda}$ is the Riechz basis in its linear span, we have

$$\left\| \sum_{\lambda \in \Lambda} \varphi(\lambda) g_\lambda(\zeta) \right\| \leq c_5(\zeta) \|\varphi\|, \quad \sum_{\lambda \in \Lambda} |\langle g_\lambda(\bar{\zeta}) | f \rangle|^2 \leq c_6^2(\zeta) \|f\|^2 \quad (6)$$

for $\varphi \in l^2(\Lambda)$ and $f \in L^2(X)$. Using (4) and (6), it is easy to derive the inequality

$$\|V_\beta(\zeta)f\|^2 \leq (\#\mathbf{K}) c_5^2 c_6^2 \max\{e^{-2\bar{c}_0(\zeta) d(\kappa, \beta\kappa')}: \kappa, \kappa' \in \mathbf{K}\} \|f\|^2,$$

whence (5) follows in view of Lemma A.1.

We next note that

$$\sum_{\beta \in \Gamma} V_\beta(\zeta) = V(\zeta) \quad (7)$$

for $\operatorname{Re} \zeta < E_0$ because by Lemmas 1, 3, and A.1, the series

$$\sum_{\beta \in \Gamma} \langle f_1 | V_\beta(\zeta) f_2 \rangle$$

is absolutely convergent for any $f_1, f_2 \in C_0^\infty(X)$.

It remains to prove that $V_\beta(\zeta) \in \tilde{\mathcal{A}}$ for any $\beta \in \Gamma$ whenever $\operatorname{Re} \zeta < E_0$. For the Fourier coefficient $\widehat{V}_\beta(\gamma) \equiv \widehat{V}_\beta(\zeta)(\gamma)$, we obtain

$$\widehat{V}_\beta(\gamma)(u) = \Theta(1, \gamma) \sum_{\alpha \in \Gamma} \sum_{\kappa, \kappa' \in \mathbf{K}} e^{i\omega(\gamma\alpha\kappa)} L_{\alpha, \kappa, \kappa'}(u)$$

by direct calculation. Here, $L_{\alpha, \kappa, \kappa'}$ is a one-dimensional operator of the form

$$L_{\alpha, \kappa, \kappa'}(u) = M(\alpha\kappa, \alpha\beta\kappa'; \zeta) \langle g_{\alpha\beta\kappa'}(\bar{\zeta}) | \tilde{u} \rangle \tilde{g}_{\gamma\alpha\kappa}(\zeta),$$

where \tilde{u} is the extension of the function $u \in L^2(F)$ throughout the plane X and \tilde{g} is the restriction of $g \in L^2(X)$ to F . It is easy to show that

$$\sum_{\alpha, \kappa, \kappa'} \|L_{\alpha, \kappa, \kappa'}\| < +\infty.$$

Therefore, the operator $\widehat{V}_\beta(\gamma)$ is compact. By Lemma 5, to complete the proof, it remains to verify that

$$\sum_{\gamma \in \Gamma} \|\widehat{V}_\beta(\gamma)\| < +\infty. \quad (8)$$

Let $u \in L^2(F)$ and $\|u\| \leq 1$. In this case,

$$\|\widehat{V}_\beta(\gamma)(u)\| \leq c_7 \sum_{\kappa, \kappa' \in \mathbf{K}} \sum_{\alpha \in \Gamma} |\langle g_{\alpha\beta\kappa'}(\bar{\zeta}) | \tilde{u} \rangle| \|\tilde{g}_{\gamma\alpha\kappa}(\zeta)\|.$$

Cumbersome calculations, which we omit, give

$$\sum_{\alpha \in \Gamma_2} |\langle g_{\alpha\beta\kappa'}(\bar{\zeta}) | \tilde{u} \rangle| \|\tilde{g}_{\gamma\alpha\kappa}(\zeta)\| \leq c_8(\kappa, \zeta) \exp\left(-\frac{3}{2} \tilde{c}_\lambda d(\kappa, \gamma\kappa)\right),$$

whence

$$\|\widehat{V}_\beta(\gamma)\| \leq c_9(\zeta) \sum_{\kappa \in \mathbf{K}} \exp\left(-\frac{3}{2} \tilde{c}_\lambda d(\kappa, \gamma\kappa)\right).$$

It remains to use Lemma A.1 to derive (8).

Corollary 2. *Let $E_1, E_2 \in \mathbf{R} \setminus \sigma(H_A)$ and $E_1 \leq E_2$. Then the spectral projection $P_{[E_1, E_2]}$ of H_A belongs to the algebra $\tilde{\mathcal{A}}$.*

We now fix a number $E' \in \mathbf{R}$ such that $E' < \inf \sigma(H_A)$ and consider the function

$$\mathcal{N}(E) = \begin{cases} \tau(P_{[E', E]}) & \text{for } E \geq E', \\ 0 & \text{for } E < E'. \end{cases}$$

Clearly, it does not depend on the choice of the point E' . The values of $\mathcal{N}(E)$ are constant on the spectrum gaps of H_A and thus give a natural parameterization of the gaps [24].

Corollary 3 (the gap parameterization theorem). *The values of the function $\mathcal{N}(E)$ on the spectrum gaps in $\sigma(H_A)$ belong to a denumerable set of real numbers $\tau^*(K_0C^*(\Gamma, \Theta))$, where $K_0\mathcal{B}$ denotes the K_0 group of the C^* algebra \mathcal{B} .*

We recall that, by definition, a pair (Γ, Θ) possesses the Kadison property if there is a constant $c_K > 0$ such that $\tau(P) \geq c_K$ for any nonzero projection P in the C^* algebra $C^*(\Gamma, \Theta) \otimes \mathcal{K}$.

Corollary 4. *If the pair (Γ, Θ) has the Kadison property, then the spectrum $\sigma(H_A)$ has a band structure.*

We note that the condition in this corollary holds for a torsion-free Fuchsian group Γ and a magnetic field with a rational flow across the fundamental domain F [7, 8]. (For a detailed discussion of the Kadison property in the context of the Hall quantum effect on the Lobachevsky plane, see [8].)

Acknowledgments. The authors express their gratitude to the reviewer for some very useful remarks. One of the authors (Geiler) is grateful to Humboldt University (Berlin), where this work was done, for the hospitality.

This work was supported in part by the Russian Foundation for Basic Research (Grant No. 98-01-03308), the Ministry of Education of the Russian Federation, and the Volkswagen-Stiftung Foundation.

Appendix

We consider a discrete metric space Λ with a metric d possessing the property

there are constants $c_\Lambda > 0$ and $\tilde{c}_\Lambda > 0$ such that

$$\#\{\lambda \in \Lambda: d(\lambda, \lambda_0) \leq r\} \leq c_\Lambda e^{\tilde{c}_\Lambda r}$$

for all $\lambda_0 \in \Lambda$ and $r \in \mathbf{R}$, $r > 0$.

(For the proof of the lemmas below, see [25].)

Lemma A.1. *Let a function $\varphi: \Lambda \rightarrow \mathbf{C}$ satisfy the inequality*

$$|\varphi(\lambda)| \leq ce^{-(1+\delta)\tilde{c}_\Lambda d(\lambda, \mu)}$$

for some $\mu \in \Lambda$ and positive c and δ . Then

$$\sum_{\lambda \in \Lambda} |\varphi(\lambda)| \leq cc_\Lambda \delta^{-1}.$$

Lemma A.2 (Schur's test). *Let $(L(\lambda, \mu))_{\lambda, \mu \in \Lambda}$ be an infinite matrix such that*

$$\sup_{\mu \in \Lambda} \sum_{\lambda \in \Lambda} |L(\lambda, \mu)| \leq C, \quad \sup_{\lambda \in \Lambda} \sum_{\mu \in \Lambda} |L(\lambda, \mu)| \leq C$$

for some $C > 0$. Then $L(\lambda, \mu)$ defines a bounded linear operator L in $l^2(\Lambda)$ such that $\|L\| \leq C$.

Theorem A.1. *Let $(K_n)_{n \geq 0}$ be a sequence of bounded linear operators in $l^2(\Lambda)$ satisfying the following conditions in the standard basis of the matrix $(K_n(\lambda, \mu))_{\lambda, \mu \in \Lambda}$:*

1. if $\lambda \neq \mu$, then $|K_n(\lambda, \mu)| \leq a \exp(-b_n d(\lambda, \mu))$, where a does not depend on n and $b_n \rightarrow +\infty$ as $n \rightarrow +\infty$;
2. $\inf_{\lambda \in \Lambda} |K_n(\lambda, \lambda)| \rightarrow +\infty$ as $n \rightarrow +\infty$.

Then for any α , $0 < \alpha < 1$, there is an $n_0 \in \mathbf{N}$ such that for all $n \geq n_0$, the operator K_n has a bounded inverse operator $L_n = K_n^{-1}$ whose matrix satisfies the condition $|L_n(\lambda, \mu)| \leq 2c_n \exp(-\alpha b_n d(\lambda, \mu))$, where $c_n = (\inf_{\lambda \in \Lambda} |K_n(\lambda, \lambda)|)^{-1}$.

Proof. Let $D_n(\lambda, \mu) = K_n(\lambda, \lambda)\delta_{\lambda\mu}$ and $S_n(\lambda, \mu) = K_n(\lambda, \mu) - D_n(\lambda, \mu)$. We can assume without loss of generality that $\inf\{|K_n(\lambda, \lambda)|: \lambda \in \Lambda\} > 0$ and $b_n > \bar{c}_\Lambda$ for all $n \in \mathbf{N}$. In this case, we have $K_n = D_n(1 + D_n^{-1}S_n)$, where D_n and S_n are determined by the corresponding matrices. Conditions 1 and 2 in Theorem A.1 and also Lemmas A.1 and A.2 imply that the operator $1 + D_n^{-1}S_n$ has a bounded inverse operator $T_n = (1 + D_n^{-1}S_n)^{-1}$ for sufficiently large n . To prove the theorem, it suffices to show that

$$|T_n(\lambda, \mu)| \leq 2e^{-\alpha b_n d(\lambda, \mu)}. \quad (9)$$

We fix $\alpha \in (0, 1)$ and take a sufficiently large n such that

$$(1 - \alpha)b_n \geq 2\bar{c}_\Lambda, \quad ac_\Lambda c_n \leq \frac{1}{2}, \quad \|D_n^{-1}S_n\| < 1.$$

We estimate the sum

$$T_n(\lambda, \mu) = \sum_{j \geq 0} (-D_n^{-1}S_n)^j(\lambda, \mu).$$

To prove (9), it suffices to show by induction that

$$|(D_n^{-1}S_n)^j(\lambda, \mu)| \leq (ac_\Lambda c_n)^j e^{-\alpha b_n d(\lambda, \mu)}. \quad (10)$$

Inequality (10) is obvious for $j = 0$. We suppose that it holds for some $j \geq 0$. Then

$$\begin{aligned} |(D_n^{-1}S_n)^{j+1}(\lambda, \mu)| &= \left| K_n(\lambda, \lambda)^{-1} \sum_{\kappa \in \Lambda} S_n(\lambda, \kappa) (D_n^{-1}S_n)^j(\kappa, \mu) \right| \leq \\ &\leq c_n \sum_{\kappa \in \Lambda} a e^{-b_n d(\lambda, \kappa)} (ac_\Lambda c_n)^j e^{-\alpha b_n d(\kappa, \mu)} \leq \\ &\leq ac_n (ac_\Lambda c_n)^j e^{-\alpha b_n d(\lambda, \mu)} \sum_{\kappa \in \Lambda} e^{-2\bar{c}_\Lambda d(\lambda, \kappa)} \leq \\ &\leq (ac_\Lambda c_n)^{j+1} e^{-\alpha b_n d(\lambda, \mu)}, \end{aligned}$$

that is, (10) holds for $j + 1$ as well.

REFERENCES

1. B. Helffer and J. Sjöstrand, *Bull. Soc. Math. France* Suppl., **117**, No. 39, 1 (1989).
2. M. Choi, G. Elliott, and N. Yui, *Invent. Math.*, **99**, 225 (1990).
3. M. Shubin, *Commun. Math. Phys.*, **164**, 259 (1994).
4. Y. Last, *Commun. Math. Phys.*, **164**, 421 (1994).
5. T. Sunada, *Contemp. Math.*, **137**, 283 (1994).
6. J. Brüning and T. Sunada, *Astérisque*, **210**, 65 (1992).
7. T. Sunada, *Progr. Theor. Phys.* Suppl., No. 116, 235 (1994).
8. A. L. Carey, K. C. Hannabus, V. Mathai, and P. McCann, *Commun. Math. Phys.*, **190**, 629 (1998).
9. Yu. E. Karpeshina, *Theor. Math. Phys.*, **57**, 1156 (1983).
10. B. S. Pavlov, *Russ. Math. Surv.*, **42**, 127 (1987).

11. S. Albeverio, F. Gesztesy, R. Hoegh-Krohn, and H. Holden, *Solvable Models in Quantum Mechanics*, Springer, New York (1988).
12. S. A. Gredekskul, M. Zusman, Y. Avishai, and M. Ya. Azbel, *Phys. Rep.*, **288**, 223 (1997).
13. V. A. Geiler, *St. Petersburg Math. J.*, **3**, 489 (1992).
14. Y. Nagaoka and M. Ikegami, *Solid State Sci.*, **109**, 167 (1992).
15. C. L. Foden, M. L. Leadbeater, J. H. Burroughes, and M. Peppe, *J. Phys.*, **6**, L127 (1994).
16. L. I. Magarill, D. A. Romanov, and A. V. Chaplik, *JETP*, **86**, 771 (1998).
17. A. Comtet, *Ann. Phys.*, **173**, 185 (1987).
18. Y. Colin de Verdier, *Ann. Inst. Fourier*, **32**, 275 (1982).
19. J. Zak, *Phys. Rev.*, **136**, A776 (1964).
20. J. Brüning and T. Sunada, *Nagoya Math. J.*, **126**, 159 (1992).
21. M. G. Krein and G. K. Langer, *Funct. Anal. Appl.*, **5**, 217 (1971).
22. V. A. Geiler, V. A. Margulis, and I. I. Chuchaev, *Siberian Math. J.*, **36**, 714 (1995).
23. L. Auslander and C. C. Moore, *Mem. Am. Math. Soc.*, No. 62, 1 (1966).
24. J. Bellissard, "Gap labelling theorem for Schrödinger operators," in: *From Number Theory to Physics* (M. Waldschmidt et. al., eds.) (Lectures of a Meeting on Number Theory and Physics Held at the Centre de Physique, Les Houches (France), March 7–16, 1989), Springer, Berlin (1992), p. 538.
25. M. A. Shubin, *Math. USSR Izv.*, **26**, 605 (1986).