

$\langle V, \vec{A} \rangle$ . (Here, Karp refined the construction so as to show that  $F_s^{\vec{A}} \upharpoonright M = F_s^M$  whenever  $M = \langle |M|, \in, A_1 \cap |M|, \dots, A_n \cap |M| \rangle$  is transitive and closed under function primitive recursive in  $A_1, \dots, A_n$ . It can also be shown that  $M = \langle |M|, \in, A_1, \dots, A_n \rangle$  is closed under functions primitive recursive in  $A_1, \dots, A_n$  iff  $|M|$  is primitive recursive closed and  $M$  is amenable, (i.e.  $x \cap A_i \in M$  for all  $x \in M, v = 1, \dots, n$ ).

A full account of these results can be found in [PR] or [AS].

We can now state the uniformity involved in Lemma 2.2.19: Let  $A_i \subset V$  be primitive recursive in  $B_1, \dots, B_m$  with primitive recursive def  $s_i$  in  $\dot{b}_1, \dots, \dot{b}_m$  ( $i = 1, \dots, m$ ). Let  $f$  be primitive recursive in  $A_1, \dots, A_n$  with primitive recursive definition  $s$  in  $\dot{a}_1, \dots, \dot{a}_n$ . Then  $f$  is primitive recursive in  $B_1, \dots, B_n$  by a primitive recursive definition  $s'$  in  $\dot{b}_1, \dots, \dot{b}_m$ .  $s'$  is *uniform* in the sense that it depends only on  $s_1, \dots, s_n$  and  $s$ , not on  $B_1, \dots, B_m$ . In fact, the induction on the schemata in  $s$  implicitly describes an algorithm for a function

$$s_1, \dots, s_m, s \mapsto s'$$

with the following property: Let  $B_1, \dots, B_m$  be any classes. Let  $s_i$  define  $g_i$  from  $\vec{B}$  ( $i = 1, \dots, m$ ). Set:  $A_i = \{x | g_i(x) \neq 0\}$  in  $i = 1, \dots, n$ . Let  $f$  be the function defined by  $s$  from  $\vec{A}$ . Then  $s'$  defines  $f$  from  $\vec{B}$ .

**Note**  $\langle H_\omega, \in \rangle$  is an admissible structure; hence  $F_s \upharpoonright H_\omega = f_s^{H_\omega}$ . This shows that the constant function  $\omega$  is not primitive recursive, since  $\omega \notin H_\omega$ . It can be shown that  $f : \omega \rightarrow \omega$  is primitive recursive in the sense of ordinary recursion theory iff

$$f^*(x) = \begin{cases} f(x) & \text{if } x \in \omega \\ 0 & \text{if not} \end{cases}$$

is primitive recursive over  $H_\omega$ . Conversely, there is a primitive recursive map  $\sigma : H_\omega \leftrightarrow \omega$  such that  $f : H_\omega \rightarrow H_\omega$  is primitive recursive over  $H_\omega$  iff  $\sigma f \sigma^{-1}$  is primitive recursive in sense of ordinary recursion theory.

### 1.3 Ill founded $ZF^-$ models

We now prove a lemma about arbitrary (possibly ill founded) models of  $ZF^-$  (where the language of  $ZF^-$  may contain predicates other than  $\in$ ). Let  $\mathbb{A} = \langle A, \in_{\mathbb{A}}, B_1, \dots, B_n \rangle$  be such a model. For  $X \subset A$  we of course write  $\mathbb{A}|X = \langle X, \in_A \cap X^2, \dots \rangle$ . By the *well founded core* of  $\mathbb{A}$  we mean the set of all  $v \in \mathbb{A}$  such that  $\in_{\mathbb{A}} \cap C(x)^2$  is well founded, where  $C(x)$  is the closure of  $\{x\}$  under  $\in_{\mathbb{A}}$ . Let  $\text{wfc}(\mathbb{A})$  be the restriction  $\mathbb{A}|C$  of  $\mathbb{A}$  to its well founded core  $C$ . Then  $\text{wfc}(\mathbb{A})$  is a well founded structure satisfying the axiom of extensionality, and is, therefore, isomorphic to a transitive

structure. Hence  $\mathbb{A}$  is isomorphic to a structure  $\mathbb{A}'$  such that  $\text{wfc}(\mathbb{A}')$  is transitive (i.e.  $\text{wfc}(\mathbb{A}') = \langle A', \in, m \rangle$  where  $A'$  is transitive). We call such  $\mathbb{A}'$  *grounded*, defining:

**Definition 1.3.1.**  $\mathbb{A} = \langle A, \in_{\mathbb{A}}, \dots \rangle$  is *grounded* iff  $\text{wfc}(\mathbb{A})$  is transitive.

**Note.** Elsewhere we have called these models "solid" instead of "grounded". We avoid that usage here, however, since *solidity* — in quite another sense — is an important concept in inner model theory.

By the argument just given, every consistent set of sentences in  $ZF^-$  has a grounded model. Clearly

(1)  $\omega \subset \text{wfc}(\mathbb{A})$  if  $\mathbb{A}$  is grounded.

For any  $ZF^-$  model  $\mathbb{A}$  we have:

(2) If  $x \in \mathbb{A}$  and  $\{z \mid z \in_{\mathbb{A}} x\} \subset \text{wfc}(\mathbb{A})$ , then  $x \in \text{wfc}(\mathbb{A})$ .

**Proof:**  $C(x) = \{x\} \cup \bigcup \{C(z) \mid z \in_{\mathbb{A}} x\}$ .

QED

By  $\Sigma_0$ -absoluteness we have:

(3) Let  $\mathbb{A}$  be grounded. Let  $\varphi$  be  $\Sigma_0$  and let  $x_1, \dots, x_n \in \text{wfc}(\mathbb{A})$ . Then

$$\text{wfc}(\mathbb{A}) \models \varphi[\vec{x}] \leftrightarrow \mathbb{A} \models \varphi[\vec{x}].$$

By  $\in$ -induction on  $x \in \text{wfc}(\mathbb{A})$  it follows that the rank function is absolute:

(4)  $\text{rn}(x) = \text{rn}^{\mathbb{A}}(x)$  for  $x \in \text{wfc}(\mathbb{A})$  if  $\mathbb{A}$  is grounded.

The converse also holds:

(5) Let  $\text{rn}^{\mathbb{A}}(x) \in \text{wfc}(\mathbb{A})$ . Then  $x \in \text{wfc}(\mathbb{A})$ .

**Proof:** Let  $r = \text{rn}^{\mathbb{A}}(x)$ . Then  $r$  is an ordinal by (3). Assume that  $r$  is the least counterexample. Then  $\text{rn}^{\mathbb{A}}(z) < r$  for  $z \in_{\mathbb{A}} x$ . Hence  $\{z \mid z \in_{\mathbb{A}} x\} \subset \text{wfc}(\mathbb{A})$  and  $x \in \text{wfc}(\mathbb{A})$  by (2).

Contradiction!

QED

We now prove:

**Lemma 1.3.1.** *Let  $\mathbb{A}$  be grounded. Then  $\text{wfc}(\mathbb{A})$  is admissible.*

**Proof:** Axiom (1) and axiom (2) ( $\Sigma_0$ -subsets) follow trivially from (3). We verify the axiom of  $\Sigma_0$  collection. Let  $R(x, y)$  be  $\underline{\Sigma}_0(\text{wfc}(\mathbb{A}))$ . Let  $u \in \text{wfc}(\mathbb{A})$  such that  $\bigwedge x \in u \bigvee y R(x, y)$ . It suffices to show:

**Claim:**  $\bigvee v \bigwedge x \in u \bigvee y \in v R(x, y)$ .

Let  $R'$  be  $\underline{\Sigma}_0(\mathbb{A})$  by the same definition in the same parameters as  $R$ . Then  $R = R' \cap \text{wfc}(\mathbb{A})^2$  by (3). If  $\mathbb{A} = \text{wfc}(\mathbb{A})$ , there is nothing to prove, so suppose not. Then there is  $r \in \text{On}^{\mathbb{A}}$  such that  $r \notin \text{wfc}(\mathbb{A})$ . Hence

$$\mathbb{A} \models rn(y) < r \text{ for all } y \in \text{wfc}(\mathbb{A})$$

by (4). Hence there is an  $r \in \text{On}^{\mathbb{A}}$  such that

$$(6) \bigwedge x \in u \bigvee y (R'(x, y) \wedge \mathbb{A} \models rn(y) < r)$$

Since  $\mathbb{A}$  models  $ZF^-$ , there must be a least such  $r$ . But then:

$$(7) r \in \text{wfc}(\mathbb{A}).$$

Since by (2) there would otherwise be an  $r'$  such that  $\mathbb{A} \models r' < r$  and  $r' \notin \text{wfc}(\mathbb{A})$ . Hence (6) holds for  $r'$ , contradicting the minimality of  $r$ .

QED (7)

But there is  $w$  such that

$$(8) \bigwedge x \in u \bigvee y \in w (R'(x, y) \wedge rn(y) < r).$$

Let  $\mathbb{A} \models v = \{y \in w \mid rn(y) < r\}$ . Then  $rn^{\mathbb{A}}(v) \leq r$ . Hence  $rn^{\mathbb{A}}(v) \in \text{wfc}(\mathbb{A})$  and  $v \in \text{wfc}(\mathbb{A})$  by (5). But:

$$\bigwedge x \in u \bigvee y \in v Rxy.$$

QED (Lemma 1.3.1)

As immediate corollaries we have:

**Corollary 1.3.2.** *Let  $\delta = \text{On} \cap \text{wfc}(\mathbb{A})$ . Then  $L_\delta(u)$  is admissible whenever  $u \in \text{wfc}(\mathbb{A})$ .*

**Corollary 1.3.3.**  *$L_\delta^{\mathbb{A}} = \langle L_\delta[A], A \cap L_\delta[A] \rangle$  is admissible whenever  $A \in \underline{\Sigma}_w(\mathbb{A})$  (since  $\langle \mathbb{A}, A \rangle$  is a  $ZF^-$  model).*

**Note.** It is clear from the proof of lemma 1.3.1 that we can replace  $ZF^-$  by KP (Kripke–Platek set theory). In this form Lemma 1.3.1 is known as *Ville's Lemma*.