

§1.2 Def \mathbb{B} is almost subcomplete (ASC) as witnessed by $\langle \theta, \mathcal{S} \rangle$ iff

$$(I) \mathcal{S} = \{ \langle \bar{N}, \bar{\theta}, \bar{\mathbb{B}}, \bar{G} \rangle \mid H_{\omega_1} \models \varphi[\bar{N}, \bar{\theta}, \bar{\mathbb{B}}, \bar{G}] \}$$

where φ is a Σ_1 formula.

(II) $\mathbb{B} \in H_\theta$. Let $N = L^A_c$ be a ZFC-model s.t.

$H_\theta \subset N$, $\theta < \bar{c}$. Let $\pi: \bar{N} \prec N$, where \bar{N} is countable, transitive, and almost full.

$$\text{Let } \pi(\bar{\theta}, \bar{\mathbb{B}}) = \theta, \mathbb{B}$$

Then $S = \mathcal{S}(\bar{N}, \bar{\theta}, \bar{\mathbb{B}}) =: \{ \bar{G} \mid \langle \bar{N}, \bar{\theta}, \bar{\mathbb{B}}, \bar{G} \rangle \in \mathcal{S} \}$ is

a set of weakly generic $\bar{G} \subset \bar{\mathbb{B}}$ over \bar{N} s.t.

(a) $\forall a \in \bar{\mathbb{B}} \setminus \{0\}$, there is $\bar{G} \in S$ with $a \in \bar{G}$

(b) Let $\bar{G} \in S$, $\bar{a} \in \bar{N}$, $\pi(\bar{a}) = a$. Then there is $b \in \mathbb{B} \setminus \{0\}$ s.t. whenever $G \ni b$ is \mathbb{B} -generic over V , then there is $\sigma \in V[G]$ with:

- $\sigma: \bar{N} \prec N$
- $\sigma(\bar{\theta}, \bar{\mathbb{B}}, \bar{a}) = \theta, \mathbb{B}, a$
- $C^N_\sigma(\text{rng } \sigma) = C^N_\delta(\text{rng } \pi)$ where $\delta = \mathcal{S}(\mathbb{B})$
- $\bar{G} = \sigma^{-1} \cap G$.

(Hence \bar{G} is well founded as well as weakly generic.)

Def \mathbb{B} is almost subcomplete iff it is ASC as witnessed by some $\langle \theta, \mathcal{S} \rangle$.

Note By a Löwenheim-Skolem argument we can restrict ourselves to ZFC-models N s.t. $\bar{N} = \bar{H}_\theta$ in the above definition. This means that if

Note: This section has a page with number 28A.

we take any $\Omega > \theta$ s.t., $2^\theta < \Omega$, then the definition of " \mathcal{S} is subcomplete as witnessed by Ω " relative to H_Ω , Hence it is relative to M for any transitive ZFC-model M s.t., $M \supset H_\Omega$.

Def \mathcal{B} is ASC as verified by $\langle \theta, \mathcal{S} \rangle$ iff \mathcal{B} is ASC as witnessed by $\langle \theta', \mathcal{S} \rangle$ for all $\theta' \geq \theta$.

Lemma 1 Let \mathcal{B} be ASC as witnessed by $\langle \theta, \mathcal{S} \rangle$. There is \mathcal{S}' s.t., \mathcal{B} is verified by $\langle (2^\theta)^+, \mathcal{S}' \rangle$.

proof.

Assume w.l.o.g that θ is least with the property that $\langle \theta, \mathcal{S} \rangle$ witnesses that

\mathcal{B} is ASC. Let $M \supset H_\Omega$ where $\Omega \geq (2^\theta)^+$

and $M = L_\mu^{\mathcal{B}}$ is a ZFC-model. \mathcal{S} is

uniformly M -definable, since it

is $\Sigma_1(H_{\omega_1})$. But then θ is uniformly

M -definable in \mathcal{B} - i.e. there is

ψ s.t.

$\theta =$ the unique θ s.t. $M \models \psi[\theta, \mathcal{B}]$

for all such M .

We then define:

Def $\mathcal{S}' =$ the set of $\langle \bar{M}, \bar{\Omega}, \bar{B}, \bar{G} \rangle$ s.t.
 $\bar{\Omega} \in \bar{M}$, $\bar{B} \in H_{\bar{\Omega}}^{\bar{M}}$ is a complete BA in M ,
 there is a unique $\bar{\Theta}$ s.t. $\bar{M} \models \psi[\bar{\Theta}, \bar{B}]$,
 and $\langle \bar{M}, \bar{\Theta}, \bar{B}, \bar{G} \rangle \in \mathcal{S}$.

Note that, since the uniform definitions
 ψ does not depend on the particular
 Ω chosen, neither does the definition
 of \mathcal{S}' .

Claim Let $\Omega > \Theta$, $\mathbb{Z}^{\Theta} < \Omega$. Then
 \bar{B} is ASC as witnessed by $\langle \Omega, \mathcal{S}' \rangle$,
 proof.

We clearly have:

(I) \mathcal{S}' is $\Sigma_1(H_{\omega_2})$.

We now verify II. Clearly $\bar{B} \in H_{\bar{\Omega}}$.

Now let $M = L_{\mu}^B$ be a $\mathbb{Z} \models C$ -
 model s.t. $H_{\bar{\Omega}} \subset M$, $\bar{\Omega} < \mu$. Let

$\bar{\pi} : \bar{M} \rightarrow M$ where \bar{M} is countable and
 almost full. Let $\bar{\pi}(\bar{\Omega}, \bar{B}) = \Omega, B$.

Then $\bar{\pi}(\bar{\Theta}) = \Theta$, where $\bar{\Theta}$ is the unique
 $\bar{\Theta}$ s.t. $\bar{M} \models \psi[\bar{\Theta}, \bar{B}]$. Hence

$\mathcal{S}' = \mathcal{S}'(\bar{M}, \bar{\Omega}, \bar{B}) = \mathcal{S}(\bar{M}, \bar{\Theta}, \bar{B}) = \mathcal{S}$. Hence
 \mathcal{S}' is a set of weakly generic $\bar{G} \subset \bar{B}$
 over M .

(a) is then immediate. We prove (b).

Let $\bar{G} \in S' = S, \bar{\alpha} \in \bar{M}, \bar{\pi}(\bar{\alpha}) = \alpha$. Then

$\bar{\pi}(\langle \bar{\Omega}, \bar{\alpha} \rangle) = \langle \Omega, \alpha \rangle$ and there is

$b \in B \setminus \{0\}$ st. whenever $G \ni b$ is B -

- generic over V , then there is $\sigma \in V[G]$ with:

• $\sigma \upharpoonright \bar{M} \prec M$

• $\sigma(\bar{\theta}, \bar{B}, \bar{\alpha}, \bar{\Omega}) = \theta, B, \alpha, \Omega$

• $\sigma_\delta^M(\text{rng } \sigma) = C_\delta^M(\text{rng } \bar{\pi})$ where $\delta = \delta(B)$

• $\bar{G} = \sigma^{-1} \text{'' } G$.

QED (Lemma 1)

We can weaken the definition of ASC by introducing a parameter:

Def $\langle \theta, p, \delta \rangle$ witnesses the ASC - ness of B

iff
(I) $\mathcal{S} = \{ \langle \bar{N}, \bar{\theta}, \bar{B}, \bar{p}, \bar{G} \rangle \mid H_{\omega_1} \models \varphi[\bar{N}, \bar{\theta}, \bar{B}, \bar{p}, \bar{G}] \}$

where $\varphi \in \Sigma_1(H_{\omega_1})$

(II) as before, requiring $\bar{\pi}(\bar{p}) = p$ and

$\mathcal{S} = \{ \bar{G} \mid \langle \bar{N}, \bar{\theta}, \bar{B}, \bar{p}, \bar{G} \rangle \in \mathcal{S} \}$, and with

$\sigma(\bar{\theta}, \bar{B}, \bar{p}, \bar{\alpha}) = \sigma(\theta, B, p, \alpha)$.

This notion appears easier to satisfy, but in fact:

Lemma 2 Let \mathbb{B} be ASC as witnessed by $\langle \theta, p, \mathcal{S} \rangle$. Then there is \mathcal{S}' s.t. the ASC-ness of \mathbb{B} is verified by $\langle (Z^\theta)^+, \mathcal{S}' \rangle$.

(The proof turns on the fact that there is a pair of formulae ψ, χ s.t., letting θ be minimal s.t.

$\forall p \langle \theta, p, \mathcal{S} \rangle$ witnesses the ASC-ness of \mathbb{B} , then for any $M \supset H_{\Omega}$ where $\Omega \geq (Z^\theta)^+$, and $M = L_{\mu}^{\mathbb{B}}$ is a ZFC-model, then:

$\bar{\theta}$ = the unique θ s.t. $M \models \psi[\theta, \mathbb{B}]$

p_M = the unique p s.t. $M \models \chi[p, \mathbb{B}]$

where p_M =: the M -least p s.t. $\langle \theta, p, \mathcal{S} \rangle$ the ASC-ness of \mathbb{B} .

We then set:

$\mathcal{S}' =$ the set of $\langle \bar{M}, \bar{\Omega}, \bar{\mathbb{B}}, \bar{G} \rangle$ s.t.

$\bar{\Omega} \in \bar{M}$, $\bar{\mathbb{B}} \in H_{\bar{\Omega}}^{\bar{M}}$ is a complete BA in M ,

there are unique $\bar{\theta}, \bar{p}$ s.t.

$\bar{M} \models (\psi(\bar{\theta}, \bar{\mathbb{B}}) \wedge \chi(\bar{p}, \bar{\mathbb{B}}))$, and

$\langle \bar{M}, \bar{\theta}, \bar{p}, \bar{\mathbb{B}}, \bar{G} \rangle \in \mathcal{S}$.

The proof is then exactly as before.)

We shall often tacitly use Lemma 2 in verifying ASC-ness. We define:

Def \mathbb{B} is ASC as verified by \mathcal{S} iff there is θ s.t. \mathbb{B} is ASC as verified by $\langle \theta, \mathcal{S} \rangle$.

The iteration thm for ASC - new reach:

Thm 3 Let $\mathbb{B} = \langle \mathbb{B}_i \mid i < \alpha \rangle$ be an RCS - iteration s.t. $\mathbb{B}_0 = \mathbb{1}$ and:

(a) $\mathbb{B}_i \neq \mathbb{B}_j$ for $i < j$

(b) $\mathbb{H}_i(\mathbb{B}_{i+1} / \bar{G} \text{ is ASC})$ for $i < \alpha$

(c) $\mathbb{H}_{i+1} \text{ card}(\delta(\mathbb{B}_i)) \leq \omega_1$ for $i < \alpha$.

Then each \mathbb{B}_i is ASC.

proof.

Let $\mathbb{B} \in H_{\Omega} \prec_{\Sigma_n} V$ for a sufficiently large

$n < \omega$. (The requirement on n will become clear in the course of the proof.)

Then $H_{\Omega} = V_{\Omega}$ and Ω is a strong limit cardinal. Moreover, if

$$\mathcal{S} = \mathcal{S}_{\varphi} = \{ \langle \bar{N}, \bar{G}, \bar{A}, \bar{G} \rangle \mid H_{\omega_1} \models \varphi[\bar{N}, \bar{G}, \bar{A}, \bar{G}] \}$$

and $A \in H_{\omega_1}$, then the statement

" \mathcal{S} verifies the ASC - men of A " is absolute

in H_{ω_2} . Hence \mathcal{S} verifies the ASC - men

of A iff $\langle \Omega, \mathcal{S} \rangle$ does.

Claim There is a single

$$\mathcal{S} = \mathcal{S}_{\varphi} = \{ \langle \bar{N}, \bar{\Omega}, \bar{A}, \bar{B}, \bar{G} \rangle \mid H_{\omega_1} \models \varphi[\bar{N}, \bar{\Omega}, \bar{A}, \bar{B}, \bar{G}] \}$$

s.t. $\langle \Omega, \mathbb{B}, \mathcal{S} \rangle$ witnesses the ASC - men of

of \mathbb{B}_i for all $i < \alpha$.

In fact we shall construct:

$$\mathcal{S}' = \{ \langle \bar{N}, \bar{\omega}, \bar{B}, \nu, \bar{G} \rangle \mid H_{\omega_1} \models \varphi'[\bar{N}, \bar{\omega}, \bar{B}, \nu, \bar{G}] \}$$

n.t.

$$\mathcal{S} = \{ \langle \bar{N}, \bar{\omega}, \bar{A}, \bar{B}, \bar{G} \rangle \mid \forall \nu (\bar{A} = \bar{B}, \wedge \langle \bar{N}, \bar{\omega}, \bar{B}, \nu, \bar{G} \rangle \in \mathcal{S}') \}$$

does the job.

\mathcal{S}' is the set of $\langle \bar{N}, \bar{\omega}, \bar{B}, \nu, \bar{G} \rangle$ satisfying the following conditions (A), (B), (C), (D):

(A) $\bar{N} = \bigcup_{\bar{\alpha}} \bar{A}_{\bar{\alpha}}$ is a ZFC-model satisfying:

- $H_{\bar{\omega}} = V_{\bar{\omega}}$ where $\bar{\omega} > \omega$ is a strong limit cardinal

- $\bar{B} = \langle \bar{B}_i \mid i < \bar{\alpha} \rangle \in H_{\bar{\omega}}$

- each \bar{B}_i is a complete Boolean Algebra; $\bar{B}_0 = 1$

- $\bar{B}_i \subseteq \bar{B}_j$ (\bar{B}_i is completely contained in \bar{B}_j)
for $i \leq j < \bar{\alpha}$

- $\prod_{\bar{B}_i} \bar{B}_{i+1} / \bar{G}$ is ASC in $H_{\bar{\omega}}$ for $i+1 < \bar{\alpha}$.

(B) $\nu < \bar{\alpha}$ and \bar{G} is well founded and weakly \bar{B}_ν -generic over \bar{N}

The conditions (A), (B) are easily seen to be $\Sigma_1(H_{\omega_1})$. Our next condition (C) will have the form: $\bigwedge j < \nu \exists P(j, \bar{N}, \bar{B}, \nu, \bar{G})$ where P is $\Sigma_1(H_{\omega_1})$. Hence (C) will also be $\Sigma_1(H_{\omega_1})$. To formulate this condition, we let $j < \nu$ and define:

Set $\bar{G}_j := \bar{G} \cap \bar{B}_j$. (Hence \bar{G}_j is well founded and weakly \bar{B}_j -generic over \bar{N} .) Let

$\langle e_j, N', G' \rangle$ be the completion of \bar{N}, \bar{G}_j in the sense of § 1.1. Set $B'_h := e_j(\bar{B}_h)$ for $h < \bar{\alpha}$.

In \bar{N} we have $\Vdash_{B'_j} (\check{B}_{j+1} / \check{G} \text{ is ASC in } H_{\Omega}^{\check{V}})$.

Hence in N' :

$$\Vdash_{B'_j} (\check{B}_{j+1}' / \check{G}' \text{ is ASC in } H_{\Omega'}^{\check{V}'}) \text{ where } \Omega' = e_j(\Omega).$$

Set $\tilde{B}_j := B'_{j+1} / G'$, $\tilde{N} = N[G'] := \langle N[G'], G' \rangle$.

Then \tilde{B}_j is ASC in $H_{\Omega'}^{\tilde{N}}$. But then there is a

least Σ_1 formula $\varphi = \varphi_1 \wedge \dots \wedge \varphi_n$ which verifies the ASC-ness of \tilde{B}_j in $H_{\Omega'}^{\tilde{N}}$. Our next condition reads:

(C) For all $j < \nu$ there is $\tilde{G} \subset \tilde{B}$ s.t.

(a) \tilde{G}_j is \tilde{B}_j -generic over \tilde{N}_j

(b) $\tilde{G}_{j+1} = \{ b \in \tilde{B}_{j+1} \mid (e_j(b) / G') \in \tilde{G} \}$

(c) $H_{\omega_1} \models \varphi_j[\tilde{N}_j, \Omega', \tilde{B}_j, \tilde{G}]$

(Note: The meaning of (c) is clearer if we consider a map $\pi: \bar{N} \rightarrow N$ s.t. $\pi(j) = j^*$,

$\pi(\bar{\Omega}, \bar{B}) = \Omega, B$. Let $\sigma = \text{int}(\pi, \bar{G}_j)$ in the sense of § 1.1. Suppose that G is B_{j^*} -

-generic over V with $\pi^{-1}G_j \subset G$. Then

$\sigma^{-1}G' \subset G$ and σ extends uniquely

to a $\sigma: N[G'] \rightarrow N[G]$ with $\sigma(G') = G$.

(By an abuse of notation we do not distinguish between σ and its extension.) Then

$\sigma(\tilde{B}_j) = B^* =: B_{j+1}^*/G$. Thus S_{φ_j} verifies the ASC - men of B^* in $H_{\Omega}[c]$. Hence

$\langle \Omega, S_{\varphi_j} \rangle$ verifies the ASC - men of B^* .

Condition (C) says that $\langle \tilde{N}_j, \tilde{c}_j, \tilde{B}_j, \tilde{G} \rangle$ lies in S_{φ_j} .

Our final condition reads:

(D) Let $\lambda \leq \nu$ be a limit ordinal. For $i < \lambda$ let $\langle e_i, N'_i, G'_i \rangle$ be the completion of \tilde{N}_i, \tilde{G}_i in the sense of § 1.1 (where $\tilde{G}_i = \tilde{G} \cap \tilde{B}_i$).

For $i \leq j \leq \lambda$ let $e_{ij} = \text{int}(\tilde{G}_i, \tilde{G}_j)$ in the sense of § 1.1. Then $N'_\lambda, \langle e_{i,\lambda} \mid i < \lambda \rangle$ is the direct limit of:

$$\langle N'_i \mid i < \lambda \rangle, \langle e_{i,j} \mid i \leq j < \lambda \rangle.$$

(In other words, $\lambda \in N'_\lambda \forall i < \lambda \ x \in \text{rng}(e_{i,\lambda})$)

Set: $S_\nu = S(\tilde{N}, \tilde{\Omega}, \nu) =: \{G \mid \langle \tilde{N}, \tilde{\Omega}, \nu, G \rangle \in S'\}$.

It is evident that:

Fact Let $\tilde{G} \in S_\nu$. Then $\tilde{G}_j \in S_j$ for $j \leq \nu$.

Now let $N = L^A_{\Sigma}$ be a $\Sigma \models C$ -model set, $H_{\Omega} \subset N$, $\Omega < \Sigma$. Let $\pi: \bar{N} \rightarrow N$, where \bar{N} is countable and almost full. Let $\pi(\bar{\Omega}, \bar{B}) = \Omega, B$. Set:

$$S_v = \{G \mid \langle \bar{N}, \bar{\Omega}, \bar{B}, v, G \rangle \in \mathcal{S}'\}$$

where \mathcal{S}' is defined as above.

$$\text{Let: } \bar{B} = \langle \bar{B}_i \mid i < \bar{\alpha} \rangle.$$

Defining \mathcal{S} from \mathcal{S}' as above, we see that Theorem 3 follows from:

Main Claim Let $v < \bar{\alpha}$. Then

(i) $\forall a \in \bar{B}_i \setminus \{0\} \forall G \in S_v, a \in G$

(ii) $\forall \bar{G} \in S_v, \pi(v) = v^*$, and $u \in \bar{N}$ is finite, there is $b \in \bar{B}_{v^*}$ s.t. whenever $G \in b$ is \bar{B}_{v^*} -generic, then there is $\sigma \in V[G]$ s.t.

- $\sigma: \bar{N} \cong N$

- $\sigma(\bar{\Omega}, \bar{B}) = \Omega, B$ and $\sigma \upharpoonright u = \bar{\pi} \upharpoonright u$

- $C^N_{\sigma}(\text{sing } \sigma) = C^N_{\bar{\sigma}}(\text{sing } \bar{\pi})$ where $\bar{\sigma} = \bar{\sigma}(\bar{B}_{v^*})$

- $\bar{G} = \sigma^{-1} \upharpoonright G$.

We shall prove this by induction on v , but will need a stronger induction hypothesis. To facilitate its formulation we define:

Def Let $j < \bar{\alpha}$, $j^* < \alpha$, $\bar{G} \in S_j$. Let G be \mathbb{B}_{j^*} -generic over V and $\sigma \in V[G]$.

$\langle \sigma, G \rangle$ witnesses \bar{G} iff

- $\sigma \restriction \bar{N} \prec N$
- $\sigma(\bar{\Omega}, \bar{\mathbb{B}}_j) = \bar{\Omega}, \mathbb{B}_{j^*}$
- $\bar{G} = \sigma^{-1} \restriction G$

(Note j is uniquely determined by \bar{G} , since $\bar{\mathbb{B}}_i \neq \bar{\mathbb{B}}_j$ if $i \neq j$. Similarly j^* is uniquely determined by G .)

(Note By our definition of S' it is easily seen that if $G \in S_j$ and $i \leq j$, then $G \restriction \bar{\mathbb{B}}_i \in S'_i$.)

Def Let $j \leq i < \bar{\alpha}$, $\bar{G}' \in S'_i$, $\bar{G} = \bar{G}' \restriction \bar{\mathbb{B}}_j$.

Let $\langle \sigma, G \rangle$ witness \bar{G} and $\langle \sigma', G' \rangle$ witness \bar{G}' .

$\langle \sigma', G' \rangle$ is strong over $\langle \sigma, G \rangle$

iff the following hold:

- $\sigma'(i) = \sigma(i)$ and $G = G' \restriction \mathbb{B}_{\sigma(i)}$
- $C_\delta^N(\text{rng } \sigma') = C_\delta^N(\text{rng } \sigma)$,
where $\delta = \delta(\mathbb{B}_{\sigma'(i)})$.

We can now formulate our induction hypothesis:

(I) Let $j < v$, $G \in S_j$. Let $b \in \bar{B}_j$ s.t. $h_j(b) \in G$.
 (We write $h_j(b) =: h_{B_j}(b)$ for $j \leq v$). There is
 $G' \in S_v$ s.t. $G \cap \{b\} \subset G'$.

(II) Let $j < v$ and $\bar{G} \in S_j$. Let $j^* < v^* < d$. Let
 $a \in B_{j^*} \setminus \{0\}$, $\sigma' \in V^{B_{j^*}}$, and $u \in \bar{N}$ be finite
 s.t. whenever $G \ni a$ is B_{j^*} -generic and $\sigma = \sigma' \upharpoonright G$,
 then $\sigma(j, v) = j^*, v^*$ and:

$$\langle \sigma, G \rangle \text{ witnesses } \bar{G}_j = \bar{G} \cap \bar{B}_j$$

Then there is $b \in B_{j^*}$ s.t. $h_{j^*}(b) = a$ and
 whenever $G' \ni b$ is B_{j^*} -generic, then,

letting $G = G' \cap B_{j^*}$, $\sigma = \sigma' \upharpoonright G$, we have:

- $\langle \sigma', G' \rangle$ witnesses \bar{G}

- $\langle \sigma', G' \rangle$ is strong over $\langle \sigma, G \rangle$

- $\sigma \upharpoonright u = \sigma' \upharpoonright u$.

Note By §1.1. Fact 16, I implies:

Let $j < v$, $\bar{G} \in S_j$. Let $\langle e, \hat{N}, \hat{G} \rangle$ be the
 completion of \bar{N}, \bar{G} . Let $b \in e(B_{j^*})$ s.t.

$h_{e(B_{j^*})}(b) \in \hat{G}$, There is $\bar{G}' \in S_j$

s.t. $\bar{G} = \bar{G}' \cap \bar{B}_j$ and if $\langle e', \hat{N}', \hat{G}' \rangle$

is the completion of \bar{N}, \bar{G}' , then

$e'(b) \in \hat{G}'$, where $e = \text{int}(\bar{G}, \bar{G}')$.

Note Since $|B_0| = |\bar{B}_0| = 2$, we have: $\{1\} \in S_0$ and $\{1\}$ is 2-generic. (i), (ii) in Claim 1 are trivial for $\nu=0$. If $\nu > 0$ we have:

Applying (I) to $j=0$ with $\bar{G} = \{1\}$ gives (i)

Applying (II) to $j=0$ with $\bar{G} = G = \{1\}$,

$\langle \sigma, G \rangle = \langle \pi, \{1\} \rangle$, $\sigma^\circ = \check{\pi}$, $\check{u} = \check{u}$ given in (i).

Def We say that I, II hold at $\langle j, \nu \rangle$

iff $j < \nu < \bar{\alpha}$ and I, II hold as stated for

the specific j . We say that I, II

hold at ν iff they hold at $\langle j, \nu \rangle$ for all $j < \nu$.

We shall show by induction on ν that I, II hold at ν for all $\nu < \bar{\alpha}$. We first note:

Lemma 3.2 Let I, II hold at γ , where $\gamma < \nu$. Let them hold at $\langle \gamma, \nu \rangle$. Then they hold at $\langle j, \nu \rangle$ for all $j < \gamma$.

Proof,

(I) Let $i < \gamma$, $G \in S_i$, $b \in \bar{B}_\nu$, $h_j(b) \in G$. Pick $G' \supset G$ s.t. $G' \in S_\gamma$ and $h_\gamma(b) \in G'$. Then there is $G'' \supset G$ s.t. $G'' \in S_\nu$, $b \in G''$.

QED (I)

Before proving this for II , we note:

Sublemma 3.3 II is equivalent to the statement that the following is forced by $\text{coll}(\theta, \omega)$ for sufficiently large θ :

(II') Let $j < \nu$, $\bar{G} \in \mathcal{S}_j$, let $j^* < \nu^* < \alpha$, let $\langle \sigma, G \rangle$ witness $\bar{G}_j = \bar{G} \cap \bar{B}_j$ s.t. $\sigma(j, \nu) = j^*, \nu^*$, let $u \subset \bar{N}$ be finite.

Then there are $G' \supset G$, $\sigma' \in V[G']$ s.t. $\langle \sigma', G' \rangle$ witness \bar{G} , $\sigma'(\nu) = \nu^*$, $\langle \sigma', G' \rangle$ is strong over $\langle \sigma, G \rangle$, and $\sigma \restriction u = \sigma' \restriction u$.

proof.

(\rightarrow) is trivial. We prove (\leftarrow).

Let a be as in the assumption of II .

Then for every $a' \subset a$ s.t. $a' \neq \emptyset$ in B_{j^*} ,

there is a B_{j^*} -generic $G \ni a'$. Hence,

letting $\sigma = \dot{\sigma}^G$, we have:

G, σ, u satisfy the assumption of II' .

Hence there is $G' \supset G$, $\sigma' \in V[G']$ satisfying the conclusion of II' .

But then there is a $b \in G'$ s.t.

$b \subset a'$ and b forces that whenever $G' \ni b$ is B_{j^*} -generic, then

The conclusion of II holds. In other words, the set Δ of $b \in B_{j^*} \setminus \{0\}$ with this property is dense below a in B_{j^*} . Let A be a maximal antichain in $\{a' \leq a \mid \forall b \in \Delta, a' \leq h_{j^*}(b)\}$. Then

$\cup A = a$. For each $a' \in A$ choose $b_{a'} \in \Delta$ s.t. $a' \leq h_{j^*}(b_{a'})$. Set

$$b = \bigcup_{a' \in A} a' \wedge b_{a'}. \text{ Then } h_{j^*}(b) = a$$

and b has the desired properties.

QED (Sublemma 3.3)

Using this we complete the proof of Lemma 3.2 by showing that II' holds at $\langle j, \nu \rangle$ if $j < \gamma$. Let

$$G \in B_{j^*}, \sigma \in V[\sigma] \text{ s.t. } \sigma(j, \nu) = j^*, \nu^* \text{ and } \langle \sigma, G \rangle \text{ witnesses } \bar{G}_j,$$

Let $\sigma(\gamma) = \gamma^*$. Then $j^* < \gamma^* < \nu^*$.

Let $u \in \bar{N}$ be finite. Let $G' \supset G$ be \mathbb{B}_{γ^*} -generic and $\sigma' \in V[G']$ s.t. $\langle \sigma', G' \rangle$ witnesses \bar{G}_{γ} , $\langle \sigma', G' \rangle$ is strong over $\langle \sigma, G \rangle$ and $\sigma' \upharpoonright u = \sigma \upharpoonright u$. Assume w.l.o.g. that $\sigma'(v) = v^*$ (we could take $v \in u$). Let $G'' \supset G'$ be \mathbb{B}_{γ^*} -generic and $\sigma'' \in V[G']$ s.t. $\langle \sigma'', G'' \rangle$ witnesses \bar{G}_{γ} , $\langle \sigma'', G'' \rangle$ is strong over $\langle \sigma', G' \rangle$, $\sigma'' \upharpoonright u = \sigma' \upharpoonright u$, and $\sigma''(j) = \sigma'(j) = j^*$. $\langle \sigma'', G'' \rangle$ is easily seen to have the desired properties. QED (Lemma 3.2)

We now prove I, II by induction on ν . Case $\nu = 0$ is trivial. There remain two cases:

Case 1 $\nu = \gamma + 1$.

By Lemma 3.2 it suffices to prove the assertion for $j = \gamma$.

(I) Let $G \in S_{\gamma}$, $p \in \bar{\mathbb{B}}_{\gamma}$, $h_{\gamma}(b) \in G$. Let $\langle \hat{\sigma}, \hat{N}, \hat{G} \rangle$ be the completion of N, G . Set $\hat{b} = \hat{\sigma}(b)$. Then $h_{\hat{\gamma}}(\hat{b}) \in \hat{G}$, where $\hat{\gamma} = \hat{\sigma}(\gamma)$. Set $\hat{\mathbb{B}} = \sigma(\bar{\mathbb{B}}_{\gamma})$, $\tilde{\mathbb{B}} = \hat{\mathbb{B}}/\hat{G}$. Set $N' = \hat{N} \hat{G} = L_{\hat{c}}^{\hat{A}, \hat{G}}$ where $\hat{N} = L_{\hat{c}}^{\hat{A}}$.

Since $\pi: \hat{N} \prec N$, $\pi(\hat{\mathbb{B}}) = \mathbb{B} = \langle \mathbb{B}_i \mid i < \alpha \rangle$, we know that $H_{\gamma}(\tilde{\mathbb{B}}_{\gamma}/\hat{G})$ is ASC in $H_{\Omega}^{\hat{N}}$.

Hence the same holds of $\tilde{B} = \sigma(\bar{B}_\gamma)$ in $H_{\hat{\Omega}}^{\hat{N}}$ where $\sigma(\hat{\Omega}) = \hat{\Omega}$. But then \tilde{B} is ASC in $H_{\hat{\Omega}}^{\hat{N}}[\hat{G}] = H_{\hat{\Omega}}^{\hat{N}}[\hat{G}]$, since \hat{G} is $\sigma(\bar{B}_\gamma)$ -generic over \hat{N} . Let $\varphi = \varphi_\gamma =$ the minimal φ s.t. \mathcal{F}_φ verifies the ASC-membership of \tilde{B} in $H_{\hat{\Omega}}^{\hat{N}}[\hat{G}]$. Applying the induction hypothesis II' onto γ gives us a $\langle \sigma^*, G^* \rangle$ which witnesses \bar{G}_γ s.t. $\sigma^*(\gamma) = \bar{\gamma}$. (G^* is of course found in the generic collapse of a sufficiently large cardinal.) Let $\gamma^* = \sigma^*(\gamma)$. Then $\sigma^*(\nu) = \nu^* = \gamma^* + 1$. Now let $i = \text{int}(\sigma^*, G^*)$ (where $\bar{G}_\gamma = \sigma^{*-1} \langle G^* \rangle$). Then $i: \hat{N} \prec N$ s.t. $i \circ \sigma = \sigma^*$ and $i \langle \hat{G} \rangle \subset G^*$. Hence i extends to a unique $i^*: \hat{N}[\hat{G}] \prec N[G^*]$ s.t. $i^*(\hat{G}) = G^*$. Hence $i^*(\tilde{B}) = \bar{B}_{\gamma^*} / G^*$ and \bar{B}_{γ^*} / G^* is ASC in $H_{\hat{\Omega}}[G^*] = H_{\hat{\Omega}}^V[G^*]$ as verified by \mathcal{F}_φ . Hence \bar{B}_{γ^*} / G^* is ASC in $V[G^*]$ as verified by \mathcal{F}_φ , since

since $H_{\Omega}[G^*] \leftarrow_{\Sigma_n} V[G^*]$, Applying

this to the map $i^*: \hat{N}[\hat{G}] \leftarrow N[G^*]$,

we see that for any $b' \in (\bar{B}_v / G^*) \setminus \{0\}$

$$\tilde{G} \text{ acts } \langle \hat{N}[\hat{G}], \bar{\Omega}, \bar{B}_v, \tilde{G} \rangle \in \mathcal{S}_{\varphi}$$

and $b' \in \tilde{G}$. In particular, we can

take $b' = \hat{\sigma}(b) / \hat{G}$, where $b \in \bar{B}_v$ acts

$h_{\gamma}(b) \in \bar{G}_{\gamma}$ (hence $\hat{\sigma}(b) / \hat{G} \neq 0$).

$$\text{Set: } G' = \hat{G} * \tilde{G} =: \{ b \in \hat{B} \mid b / \hat{G} \in \tilde{B} \},$$

$$\bar{G}' =: \sigma^{-1} " G'$$

Then $\langle \bar{N}, \bar{\Omega}, \bar{B}_v, \bar{G}' \rangle \in \mathcal{S}_v$ and

$b \in \bar{G}'$, since (A)-(C) are satisfied.

QED (I)

Note An order to literally satisfy

(C) we should have written

$\hat{N}^{\hat{G}}$ and N^{G^*} instead of $\hat{N}[\hat{G}]$,

and $N[G^*]$, where:

$$\left(L_{\tau}^{A_1, \dots, A_n} \right)^B =: L_{\tau}^{A_1, \dots, A_n, B}$$

However, this is an abuse of notation which we shall often commit.

We now turn to:

(II) We verify II' of Sublemma 3.3. Let $\langle \sigma, G \rangle$ witness $\bar{G}_\gamma = \bar{G} \cap \bar{B}_\gamma$, where $\sigma(\gamma) = \gamma^*$ and G is \bar{B}_γ^* -generic. Then $\sigma(v) = v^* = \gamma^* + 1$.

Let $u \in \bar{N}$ be finite. Let $\langle \hat{\sigma}, \hat{N}, \hat{G} \rangle$ be the completion of \bar{N}, \bar{G}_γ . Set $\hat{u}, \hat{\Omega}, \hat{B}, \hat{v} =: \hat{\sigma}(\gamma, u, \bar{\Omega}, \bar{B}, v)$.

Let $\pi = \text{int}(\sigma, G)$. Then $\sigma = \pi \circ \hat{\sigma}$ and $\pi: \hat{N}[\hat{G}] \hookrightarrow N[G], \pi(\hat{G}) = G$.

Set $\bar{B}^* = \bar{B}_\gamma^* / G, \hat{B}^* = \hat{B}_\gamma^* / \hat{G}$. Then $\pi(\hat{B}^*) = \bar{B}^*$. Set $\hat{N}_\gamma = L_{\hat{\sigma}}^{\hat{A}, \hat{G}}$ where $\hat{N} = L_{\hat{\sigma}}^{\hat{A}}$,

and $N_\gamma = L_{\sigma}^{A, G}$ where $N = L_{\sigma}^A$. Then

$\pi: \hat{N}_\gamma \hookrightarrow N_\gamma$. By the definition of S_γ

there is \hat{G}^* s.t. $\hat{G}^* \subset \hat{B}^*$ is \hat{B}^* -generic over \hat{N}_γ and:

- $\bar{G} = \hat{\sigma}^{-1} \hat{G}^*$, where $\hat{G}^* = \hat{G} * \hat{G}^* = \{h \mid h/\hat{G} \in \hat{G}^*\}$,

- $H_{\omega_1} \models \varphi_\gamma[\hat{N}_\gamma, \hat{\Omega}, \hat{B}^*, \hat{G}^*]$

(i.e. $\langle \hat{N}_\gamma, \hat{\Omega}, \hat{B}^*, \hat{G}^* \rangle \in S_{\varphi_\gamma}$).

But, in $V[G]$, \bar{B}^* is ASC as verified by $\langle \bar{\Omega}, S_\varphi \rangle$. Hence there are

$G^* \subset B^*$, $\pi^* \in V[G^*]$ act.

(a) $\pi^* : \hat{N}_\gamma \hookrightarrow N_\gamma$

(b) $\pi^* \upharpoonright \hat{u} = \pi \upharpoonright u$

(c) $C_{\delta^*}^{N_\gamma}(\text{rng } \pi^*) = C_{\delta^*}^{N_\gamma}(\text{rng } \pi)$,

where $\delta^* = \delta(B^*)$ in $V[G]$

(d) $\pi^* \text{ " } \hat{G}^* \subset G^*$.

Set: $G' = G * G^* = \{b \mid b/G \in G^*\}$. Then G' is B_{V^*} -generic and $G' \supset G$. Set $\sigma' = \pi^* \circ \hat{\sigma}$.

It suffices to show:

Claim

(A) $\langle \sigma', G' \rangle$ witnesses \bar{G}

(B) $\langle \sigma', G' \rangle$ is strong over $\langle \sigma, \bar{G}_\gamma \rangle$

(C) $\sigma' \upharpoonright u = \sigma \upharpoonright u$

prf.

(A), (C) are immediate. We prove (B).

All conditions are trivial except:

(1) $C_\delta^N(\text{rng } \sigma') = C_\delta^N(\text{rng } \sigma)$ where $\delta = \delta(B_{V^*})$.

Note first that $\delta \geq \delta^*$. Hence

(2) $C_\delta^{N_\gamma}(\text{rng } \pi^*) = C_\delta^{N_\gamma}(\text{rng } \pi)$

Set: $\tilde{\pi} = \pi \upharpoonright \hat{N}$, $\tilde{\pi}^* = \pi^* \upharpoonright \hat{N}$. Then

$\sigma = \tilde{\pi} \circ \hat{\sigma}$, $\sigma' = \tilde{\pi}^* \circ \hat{\sigma}$. We prove:

(3) $C_\delta^N(\text{rng } \tilde{\pi}^*) = C_\delta^N(\text{rng } \tilde{\pi})$.

(1) will then follow from (3), since by §1.1 Fact 11 we know that:

$$(4) \hat{N} = C_{\hat{\sigma}}^{\hat{N}}(\text{rng } \hat{\sigma}) \text{ where } \hat{\sigma} = \sigma(\mathbb{B}_{\hat{\sigma}}).$$

But then $C_{\sigma}^N(\text{rng } \sigma) \supset \text{rng } (\tilde{\pi})$ and $C_{\sigma}^N(\text{rng } \sigma') \supset \text{rng } (\tilde{\pi}^*)$. The conclusion is immediate. It remains only to prove (3).

We first show:

$$(5) C_{\sigma}^N(\text{rng } \tilde{\pi}) = N \cap C^{N_M}(\text{rng } \tilde{\pi})$$

(c) is trivial. We prove (d).

Let $x \in N \cap C^{N_M}(\text{rng } (\tilde{\pi}))$. Then $x = \pi(f)(\xi)$

where $f \in \hat{N}[\hat{G}]$, $\xi < \hat{\sigma}$. Let $f = f' \hat{G}$.

Then $\pi(f) = \tilde{\pi}(f') \hat{G}$. Clearly $\hat{\sigma} = \sigma(\mathbb{B}_{\hat{\sigma}}) \in \text{rng } \tilde{\pi}$. Since $\mathbb{B}_{\hat{\sigma}}$ has a dense set of size $\leq \hat{\sigma}$, there is $k \in \text{rng } (\tilde{\pi})$ mapping $\hat{\sigma}$ onto such a dense set X . But then for some $\xi < \hat{\sigma}$ we have:

$$x = \text{the unique } x \text{ s.t. } k(\xi) \upharpoonright_{\mathbb{B}}^N \tilde{\pi}(f')(\xi) = \check{x}.$$

Hence $x \in C_{\sigma}^N(\text{rng } \tilde{\pi})$. QED (5)

An entirely similar proof yields:

$$(6) C_{\sigma}^N(\text{rng } \tilde{\pi}^*) = N \cap C^{N_M}(\text{rng } \tilde{\pi}^*),$$

(2) follows immediately.

QED (Case 1)

Case 2 $\nu = \lambda$ is a limit ordinal.

By the induction hypothesis and Lemma 3.2 it suffices to prove I, II at j, λ for sufficiently large j . We shall in fact prove it for j with the property:

(1) If there is $i < \lambda$ s.t. $\text{cf}(\lambda) < \delta(\overline{B}_i)$ in \overline{N} , then $j > i$ for some such i .

As a preliminary to proving I we show:

Lemma 3.4 Let $\overline{G}_i \in S_i$ for $i < \lambda$ s.t.

$\overline{G}_h = \overline{B}_h \cap \overline{G}_i$ for $h \leq i < \lambda$. Let

$\langle e_i, \hat{N}_i, \hat{G}_i \rangle$ be the completion of $\overline{N}, \overline{G}_i$.

Let $e_{i,j} = \text{int}(\overline{G}_i, \overline{G}_j)$ for $i \leq j < \lambda$.

Then the commutative system $\{e_{i,j}, \hat{N}_i \hookrightarrow \hat{N}_j\}$ has a well founded direct limit,

proof

Let $N^*, \langle e_i^* \mid i < \lambda \rangle$ be a direct limit

of $\langle \hat{N}_i \mid i < \lambda \rangle, \langle e_{i,j} \mid i \leq j < \lambda \rangle$

Let $\langle \xi_i \mid i < \omega \rangle$ be a monotone cofinal sequence in λ with $\xi_0 = 0, \xi_i < \xi_{i+1}$

Let $\langle \alpha_i \mid i < \omega \rangle$ enumerate \overline{N} .

Working in the generic collapse of a sufficient cardinal, we make successive application of Π' to get $\langle \sigma_m, G_m \rangle$ s.t.

- $\langle \sigma_m, G_m \rangle$ witnesses G_{\aleph_m}
- $\langle \sigma_m, G_m \rangle$ is strong over $\langle \sigma_h, G_h \rangle$ for $h < m$
- $\sigma_m(x_i) = \sigma_h(x_i)$ for $i \leq h \leq m$.
- $\sigma_m(\bar{x}_i) = \sigma_h(\bar{x}_i)$ for $i \leq h \leq m$.

Then for each $x \in \bar{N}$ we can set:

$$\tilde{\sigma}(x) = \sigma_m(x) \text{ if } \sigma_m(x) = \sigma_n(x) \text{ for } m \geq n.$$

It follows easily that $\tilde{\sigma} : \bar{N} \rightarrow N$,

Since $\sigma_m : \bar{N} \rightarrow N$ and $\sigma_m \upharpoonright G_{\aleph_m} \subset G_m$,

we can set:

$$\sigma_n^* = \text{int}(\sigma_n, G_n) \text{ for } n < \omega.$$

Then $\sigma_n^* : \hat{N}_{\aleph_m} \rightarrow N$, $\sigma_n^* \upharpoonright \hat{G}_{\aleph_m} \subset G_n$

$$\text{and } \sigma_n^* \circ e_{\aleph_m} = \sigma_n.$$

Claim Let $x \in N^*$, let $x_m = e_{\aleph_m}^{-1}(x)$

if defined. For sufficiently

large m , we have:

$$\sigma_n^*(x_m) = \sigma_m^*(x_m) \text{ for } m \leq n.$$

proof.

Let x_h be defined for $h < \omega$. Note

that $\sigma_h(\mathbb{Z}_h) = \sigma_m(\mathbb{Z}_h)$ for $h \leq m$.

Hence $\sigma_h(\overline{B}_h) = \sigma_m(\overline{B}_h) = \overline{B}_{\sigma_h(\mathbb{Z}_h)}$

for $h \leq m$. Set: $\hat{G}_{hm} = \hat{G}_{\mathbb{Z}_m} \cap e_{\mathbb{Z}_m}(\overline{B}_{\mathbb{Z}_h})$

for $h \leq m$. Then:

$$\sigma_m^* \hat{G}_{hm} \subset G_m \cap \overline{B}_{\sigma_h(\mathbb{Z}_h)} = G_h.$$

But \hat{G}_{hm} is $e_{\mathbb{Z}_m}(\overline{B}_{\mathbb{Z}_h})$ -generic over \hat{N}

and G_h is $\overline{B}_{\sigma_h(\mathbb{Z}_h)}$ -generic over N ,

where $\sigma^*(e_{\mathbb{Z}_m}(\overline{B}_{\mathbb{Z}_h})) = \sigma_m^*(\overline{B}_{\mathbb{Z}_h}) = \overline{B}_{\sigma_h(\mathbb{Z}_h)}$.

Hence there is $\tilde{\sigma}_m: \hat{N}[\hat{G}_{hm}] \hookrightarrow N[G_h]$

s.t. $\tilde{\sigma}_m(\hat{G}_{hm}) = G_h$. Now let

$x_h = e_{\mathbb{Z}_h}(t) \hat{G}_{\mathbb{Z}_h}$. Pick $n > h$ big

enough that $\sigma_m(t) = \tilde{\sigma}(t)$ for $m \geq n$.

For $m \geq n$ we have:

$$\sigma_m^*(x_h) = \sigma_m^*(e_{\mathbb{Z}_h}(t) \hat{G}_{\mathbb{Z}_h}) = \tilde{\sigma}(t) G_h$$

QED (Claim)

If we then set:

$$\sigma^*(x) = \sigma_m^*(x_m) \text{ whenever } \sigma_m^*(x_m) = \sigma_n^*(x_m) \text{ for } n \leq m,$$

then: $\sigma^*: N^* \rightarrow N$. Hence N^* is well founded,

QED (Lemma 3.4)

We now verify I at j, λ . Let $\bar{G} \in S_j$, let $b \in B_\lambda$ s.t. $a = h_j(b) \in \bar{G}$. We must construct $\bar{G}' \in S_\lambda$ s.t. $\bar{G} \subset \bar{G}'$ and $b \in \bar{G}'$. Let $\langle \zeta_m \mid m < \omega \rangle$ be monotone and cofinal in λ . We shall construct a sequence $\langle \bar{G}_m, b_m \rangle$ s.t.

$$(a) \bar{G}_m \in S_{\zeta_m}, \bar{G}_0 = \bar{G}, \bar{G}_m \subset \bar{G}_{m+1}$$

Let $\langle e_m, \hat{N}_m, \hat{G}_m \rangle$ be the completion of \bar{N}, \bar{G}_m and set $e_{nm} = \text{int}(\bar{G}_m, \bar{G}_m)$ for $m \leq n < \omega$. We shall have:

$$(b) b_n \in e_m(B_\lambda) \text{ s.t. } b_0 = e_0(b)$$

$$\text{and } b_h \subset b_m \text{ for } h \leq m$$

For $i, n < \omega$ and $d \in e_n(B_\lambda)$ set:

$$h_i^m(d) = h_{e_n(B_\lambda)}^m(d) \text{ in } \hat{N}_m,$$

Set $a_m = h_i^m(b_m)$. Then

$$(c) e_{im}(a_m) = h_i^m(b_m) \text{ for } i \leq m$$

$$(d) a_m \in \hat{G}_m$$

\bar{G}_0, b_0 are given. If we have G_m, b_m

and $b'_m \subset b_m$ in $e_m(\bar{B}_\lambda)$ s.t.

$$h_m^m(b'_m) = a_m = h_m^m(b_m), \text{ then}$$

the induction hypothesis I at

Σ_{m+1} and Fact 16 of §1.1 give

us a $\bar{G}_{m+1} \in S_{\Sigma_{m+1}}$ s.t.

$$(e) \quad e_{m, m+1}(h_{m+1}^m(b'_m)) \in \hat{G}_{m+1}$$

If we set: $b_{m+1} = e_{m, m+1}(b'_m)$,

Then (a) - (d) will be satisfied at $m+1$.

It remains only to define b'_m .

Let $\langle \Delta_j^i \mid j < \omega \rangle$ enumerate the $\Delta \in \hat{N}_i$ which are strongly dense subsets of $e_i(\bar{B}_\lambda)$. Let $\langle \langle i_m, j_m \rangle \mid m < \omega \rangle$ enumerate ω^2 with $i_m, j_m \leq m$.

Set: $\Delta_m = e_{i_m, j_m}(\Delta_{j_m}^{i_m})$. Then Δ_m

is a strongly dense subset of

$e_m(\bar{B}_\lambda)$. Set:

$$B_m = \{ h_m^m(b') \mid b' \in \mathfrak{p}_m \wedge b' \in \Delta_m \}$$

Let $A_m \in \hat{N}_m$ be a maximal antichain in B_m .

Then $UA_m = a_m$. (If not, let $a = a_m \setminus UA_m$.

Then $a \cap b_m \neq \emptyset$. Pick $b' \in a \cap b_m$ s.t.

$b' \in \Delta_m$. Set $a' = h_m^m(b')$. Then $a' \in B_m$

and $a' \cap UA_m = \emptyset$. Contradiction!

For each $a \in A_m$ pick $b^a \in b_m$ s.t.

$b^a \in \Delta_m$. Set $b'_m = \bigcup_{a \in A_m} b^a$.

b'_m has the required properties.

This completes the construction of

\bar{G}_m, b_m ($m < \omega$). Now let

$N^*, \langle e_m^* \mid m < \omega \rangle$ be the transitive direct limit of

$\langle \hat{N}_m \mid m < \omega \rangle, \langle e_{m,m} \mid m \leq n < \omega \rangle$.

Since $e_{m,m} e_n = e_m$, we can define:

$e^* : N \rightarrow N^*$ by $e^* = e_m^* e_m$ ($m < \omega$).

Let G^* be the filter on $e^*(\bar{B}_\lambda)$

generated by the set of

$e_m^*(a \cap b'_m)$ s.t. $a \in \hat{G}_m$. We claim:

(2) G^* is a generic ultrafilter on $e^*(\bar{B}_\lambda)$.

proof

Let $\Delta \in N^*$ be strongly dense

in $e^*(\bar{B}_\lambda)$. Let $\Delta = e_i^*(\Delta_i^d)$.

Then $\Delta = e_n^*(\Delta_n)$ where $\langle 0, 1' \rangle = \langle 0_n, 1'_n \rangle$.

Since \hat{G}_n is $e_n(\mathbb{B}_{\mathbb{Z}_m})$ - generic over

\hat{N}_n , there is $a \in \hat{G}_n$ s.t. $a \in A_n$.

Hence $anb'_m \in \Delta_n$. Hence

$$e_n^*(anb'_m) \in \Delta. \quad Q.E.D. (2) ..$$

Set $\bar{G} = e^*^{-1} G^*$. Then \bar{G} is

well founded and weakly \mathbb{B}_1 -

generic over \bar{N} . But $\bar{G} \cap \mathbb{B}_{\mathbb{Z}_m} = \bar{G}_m$

for $m < \omega$. From this it easily

follows that \bar{G} satisfies (A), (B), (C), (D)

and is, therefore, an element

of S_λ . Finally we note that

$$e^*(b) = e_0^* e_0(b) = e_0^*(b_0) \in G^*,$$

hence $b \in \bar{G}$.

This proves I in Case 2

We now prove II at λ . By Sublemma 3.3 it suffices to prove the equivalent version II'. Let $j < \lambda$ satisfy (1). Let $\bar{G} \in S_\lambda$. Let $\langle \sigma^0, G^0 \rangle$ witness $\bar{G}_j = \bar{G} \cap B_j$ where $\sigma^0(j) = j^*$ and $\sigma^0(\lambda) = \lambda^*$. Let $u \subset \bar{N}$ be finite. (u can be taken as large as we want. We shall in fact later assume that $t^0 \in u$ for a specific $t^0 \in \bar{N}$.)

Claim There is (in the generic collapse of a sufficiently large cardinal) a pair $\langle \sigma', G' \rangle$

s.t. $G' \supset G^0$ and:

- $\langle \sigma', G' \rangle$ witnesses \bar{G}

- $\langle \sigma', G' \rangle$ is strong over $\langle \sigma^0, G^0 \rangle$ and $\sigma'(\lambda) = \lambda^*$

- $\sigma' \upharpoonright u = \sigma^0 \upharpoonright u$

Let $\gamma = \sup \sigma^0 \upharpoonright \lambda$. Let $\langle \bar{\xi}_i \mid i < \omega \rangle$ be monotone and cofinal in λ s.t. $\bar{\xi}_0 = j$. Let $\sigma^0 = \sigma^0 \upharpoonright G^0$, where $\sigma^0 \in \mathcal{V} B_j^*$. Set:

$$\gamma_i = \sigma^0(\bar{\xi}_i) \text{ for } i < \omega,$$

Then $\langle \gamma_i \mid i < \omega \rangle$ is monotone and cofinal in γ and $\gamma_0 = j^*$.

(Note The sequence $\langle \gamma_i \mid i < \omega \rangle$ is fixed for the rest of the proof. We may, however, consider pairs $\langle G, \sigma \rangle$ different from $\langle G^0, \sigma^0 \rangle$ s.t. $\langle G, \sigma \rangle$ witnesses \bar{G}_j and $\sigma = \sigma^0 \upharpoonright G$. We then do not necessarily have $\sigma(\bar{\xi}_i) = \gamma_i$.)

Let $f = \sigma \circ \tau u$. We know that $\langle \sigma, G \rangle$ witnesses \bar{G}_j , $\sigma(j) = j^*$, $\sigma(\lambda) = \lambda^*$, $\sigma \circ \tau u = f$, and

and $\sup \sigma^{-1} \lambda = \gamma$

$\sigma^{-1}(\bar{\xi}_1) = \gamma_1$. Hence there is a $a \in G^o$ which forces all of this - i.e.

$$\text{a} \Vdash_{B_{j^*}} (\langle \sigma, G \rangle \text{ witnesses } \bar{G}_j \wedge \sigma(j^v) = j^v \wedge \sigma(\lambda^v) = \lambda^v \wedge \sigma \circ \tau \check{u} = \check{f} \wedge \sigma^{-1}(\bar{\xi}_1^v) = \gamma_1^v \wedge \sup \sigma^{-1} \check{\lambda} = \check{\gamma})$$

where \check{G} is the canonical name for the generic set (i.e. $\prod b \in \check{G} \dot{=} b$ for $b \in B_{j^v}$).

Lemma 3.5. Let $j^* < i^* < \gamma$, $i < \lambda < \lambda$. Let $\langle G', \sigma' \rangle$ witness \bar{G}_i , where G' is B_{j^*} -generic and $\sigma'(j, i, \lambda) = j^*, i^*, \lambda^*$. Let $\bar{G} = G' \cap B_{j^*}$. Assume $a \in G$. Set $\sigma = \sigma' \circ a$. (Hence $\langle \sigma, G \rangle$ witnesses \bar{G}_j .) If $\langle \sigma', G' \rangle$ is strong over $\langle \sigma, G \rangle$, then $\gamma = \sup \sigma'^{-1} \lambda$.

proof

Case 1 cf $(\lambda) > \delta(B_h)$ for all $h < \lambda$ in \mathbb{N} . Then λ^* is inaccessible and $\delta(B_h) < \lambda^*$ for $h < \lambda^*$.

Claim Let $\mu < \gamma$. Then

$$\sup \sigma^{-1} \lambda = \sup \lambda^* \cap C_\mu^{\mathbb{N}} (\text{rng } \sigma)$$

proof

\leq is trivial. We prove \geq .

Let $\zeta \in \lambda^* \cap C_\mu^N(\text{rng } \sigma)$. Then $\zeta = \sigma(g)(\xi)$

where $g \in \bar{N}$, $\text{rng}(g) \subset \lambda$, and $\xi \in \text{dom}(g)$.

Let $\sigma(\tau) \geq \mu$, where $\tau < \lambda$. Then

$$\zeta \in \text{sup } \sigma(g) \circ \sigma(\tau) = \sigma(\text{sup } g \circ \tau) \in \text{rng } \sigma.$$

QED (Claim)

But the same proof shows that

$$\text{sup } \sigma' \circ \lambda = \text{sup } \lambda^* \cap C_\mu^N(\text{rng } \sigma').$$

Hence for $\delta = \delta(\mathbb{B}_\delta)$ we have:

$$\begin{aligned} \eta = \text{sup } \sigma \circ \lambda &= \text{sup } \lambda^* \cap C_\delta^N(\text{rng } \sigma) = \\ &= \text{sup } \lambda^* \cap C_\delta^N(\text{rng } \sigma') = \text{sup } \sigma' \circ \lambda. \end{aligned}$$

QED (Case 1)

Case 2 Case 1 fails.

Then there is $h < \lambda$ s.t. $\text{cf}(\lambda) < \delta(\mathbb{B}_h)$ in \bar{N} .

Hence by (1) $j > h$ for some such h . Hence, letting $\delta = \text{cf}(\lambda)^{\bar{N}}$, we have: $\text{lt}_{\mathbb{B}_j^{\bar{N}}} \text{card}(\tau) \leq \omega_1$.

Hence there is $f \in \bar{N}^{\mathbb{B}_j^{\bar{N}}}$ s.t.

$\text{lt}_{\mathbb{B}_j^{\bar{N}}} (\text{dom}(f) \leq \omega_1$ and f is a normal function whose range lies cofinally in $\delta^{\bar{N}}$),

Let f^* be the \bar{N} -least such. Then

$$\sigma(f^*) = \sigma'(f^*), \text{ since } \sigma(j, \lambda) = \sigma'(j, \lambda) = j^*, \lambda^*$$

By weak genericity there is a $\bar{\sigma} \perp t$,

$\bar{\sigma} \perp \bar{\delta} = \text{dom}(f)$ and for each $\bar{\zeta} < \lambda$

there is a unique $\nu = \nu(\bar{\zeta}) \perp t$,

$\bar{\sigma} \perp f(\nu) \leq \bar{\zeta} < f(\nu+1)$. Set:

$$f = \sigma(f^*)^G = \sigma'(f^*)^G, \quad \delta = \sigma(\delta') = \sigma'(\delta').$$

$f: \delta \rightarrow \lambda^*$ is a cofinal normal function

and $f(\nu) \leq \sigma(\bar{\zeta}) = \sigma'(\bar{\zeta}) < f(\nu+1)$

for $\bar{\zeta} < \lambda$, $\nu = \nu(\bar{\zeta})$. Hence:

$$\sup \sigma \upharpoonright \lambda = \sup \sigma' \upharpoonright \lambda = \sup f \upharpoonright \bar{\delta}.$$

QED (Lemma 3.5)

As a corollary of the proof:

Lemma 3.6 $\sup e_\nu \upharpoonright \lambda = e_\nu(\lambda)$ for $\nu \leq \lambda$,

proof.

Apply exactly the same argument to $\nu, \hat{N}_\nu, e_\nu, e_\nu(\nu)$ in place of i, N, σ, i^* . As

Case 1 we have:

$$\sup (C_\delta^{\hat{N}_\nu}(\text{range } e_\nu) \cap e_\nu(\lambda)) = \sup e_\nu \upharpoonright \lambda$$

where $\hat{N}_\nu = C_\delta^{\hat{N}_\nu}(\text{range } e_\nu)$, $\delta = e_\nu(\delta(\bar{B}_\nu))$,

As Case 2: $e_\nu \upharpoonright \lambda = \sup e_\nu(f) \upharpoonright \bar{\delta}$,

where $\bar{\delta} \leq \omega_1 \bar{N}$; hence $e_\nu(\bar{\delta}) = \bar{\delta}$ and

$e_\nu \upharpoonright \bar{\delta} = \text{id}$, since $\bar{\delta} \leq \omega_1 \bar{N}$ and

$\omega_1 \hat{N}_\nu = \omega_1 \bar{N}$. QED (Lemma 3.6)

We shall make use of the induction hypothesis in constructing sequences $\langle a_i \mid i < \omega \rangle$, $\langle \bar{\tau}_i \mid i < \omega \rangle$, $\langle \bar{\Gamma}_i \mid i < \omega \rangle$ s.t.

(I) (a) $a_i \in B_{\gamma_i}$, $h_{\gamma_l}(a_i) = a_l$ for $l \leq i$
 (where $h_{\gamma_l} = h_{\gamma_l}^{\mathbb{B}_{\gamma_l}}$)

(b) $\bar{\tau}_i \in V^{\mathbb{B}_{\gamma_i}}$, $\bar{\tau}_0 = \sigma$

(II) Let $G \ni a_i$ be \mathbb{B}_{γ_i} -generic. Set:

$G_{\bar{\tau}_i} = G \cap \mathbb{B}_{\bar{\tau}_i}$ ($\bar{\tau}_i \leq \gamma_i$), $\bar{\tau}_l = \bar{\tau}_l^{G_{\gamma_l}}$ ($l \leq i$). Then:

(a) $\bar{\tau}_i : \bar{N} \prec N$

(b) $\bar{\tau}_i(\bar{\theta}, \bar{B}, \lambda) = \theta, B, \lambda^*$

(c) $\bar{\tau}_i \upharpoonright a = \bar{\tau}_l \upharpoonright a$ ($l \leq i$)

(d) $\sup \bar{\tau}_i \upharpoonright \lambda = \gamma$

(e) Set: $m_l =$ the maximal m s.t. $\bar{\tau}_l(\bar{\tau}_m) \leq \gamma_{l+1}$
 $m_l^+ =$ the least m s.t. $\bar{\tau}_l(\bar{\tau}_m) \geq \gamma_{l+1}$

Then $\bar{\tau}_i(\bar{\tau}_l) = \bar{\tau}_h(\bar{\tau}_l)$ for $h \leq i$, $l \leq m_h^+$

(III) $\bar{\Gamma}_i \in V^{\mathbb{B}_{\gamma_i}}$, let $G \ni a_i$ be as in II, S.

Set: $\bar{\Gamma}_l =: \bar{\Gamma}_l^{G_{\gamma_l}}$ for $l \leq i$. Then

$\bar{\Gamma}_i = \langle \langle \bar{\tau}_j, \bar{\sigma}_j, b_j, \bar{u}_j \rangle \mid j \leq m_i \rangle$ where:

(a) $\bar{\Gamma}_l \subset \bar{\Gamma}_i$ for $l \leq i$

(b) $\bar{\tau}_j = \bar{\tau}_i(\bar{\tau}_j)$ for $j \leq m_i$

(c) $b_j \in B_{\bar{\tau}_j}$, $b_0 = a$, $b_l = h_{\gamma_l}(b_j)$ for $l \leq i \leq i$

(d) $\bar{\sigma}_j, \bar{u}_j \in V^{\mathbb{B}_{\bar{\tau}_j}}$, $\bar{\sigma}_0 = \sigma$

(Note $a_i, \bar{t}_i, \bar{\Gamma}_i \in V$ and are in fact V -definable in the parameters

$\bar{\theta}, \bar{B}, \lambda, \theta, B, \lambda^*, \bar{N}, N,$ and $\gamma_0, \dots, \gamma_i$.

The sequences $\langle a_i | i < \omega \rangle, \langle \bar{t}_i | i < \omega \rangle, \langle \bar{\Gamma}_i | i < \omega \rangle$

then lie in $V[\langle \gamma_i | i < \omega \rangle]$ and hence

in $V[G^0]$. (For the moment, however, we make no further use of G^0 and regard the sequence $\langle \gamma_i | i < \omega \rangle$ as given.)

We shall also have:

IV Let $G, \bar{\Gamma}_i$ be as in III. Let $G' \supset G$ be

$\text{IB}_{\bar{\Sigma}_{n_i}}$ -generic w.t. $b_{m_i} \in G'$. Set:

$$G'_\nu =: G' \cap \text{IB}_\nu \text{ for } \nu \leq \bar{\Sigma}_{m_i}, \quad \sigma_h =: \sigma_h^{G'_\nu}, \quad u_h =: u_h^{G'_\nu}$$

for $h \leq n_i$. Then for all $j \leq n_i$ we have:

(a) $\langle \sigma_j, G'_j \rangle$ witnesses $\bar{G}_{\bar{\Sigma}_j}$ and $\sigma_j(\lambda) = \lambda^*$

(b) $\langle \sigma_j, G'_j \rangle$ is strong over $\langle \sigma_h, G'_h \rangle$ for $h < j$

(c) $\sigma_h \upharpoonright u_h = \sigma_j \upharpoonright u_h$ for $h < j$

(d) u_j is finite and $u \cup u_h \cup \{x_h, w_h\} \subset u_j$ for $h < j$, where $\langle x_h | h < \omega \rangle$ is a fixed enumeration of \bar{N} and w_h is defined as follows:

$w_h =: \text{the } \bar{N}\text{-least } w \text{ w.t. } \bar{w} \leq \delta(\text{IB}_{\bar{\Sigma}_h} \upharpoonright \bar{N}) \text{ in } \bar{N}$
and $\sigma_0(x_h) \subset \sigma_h(w)$.

(e) $\{\bar{\Sigma}_0, \dots, \bar{\Sigma}_{m_i}\} \subset u_j$ if $\gamma_i \leq j$

Finally we shall need:

V Let G be as in II. Then

(a) $h_{\gamma_i}(b_j) \in G$ for $j \leq m_i$

(b) $\tau_i = (\sigma_{m_k}^n)^G$ if $i = k+1$.

(Note The existence of ω_h in IV(d) follows from $C_\delta^N(\text{rang } \sigma^a) = C_\delta^N(\text{rang } \sigma_h)$ where $\delta = \delta(\mathbb{B}_{\mathbb{Z}_h})$.)

(Note It is entirely possible that $m_i = m_{i'}$ for a $i' > i$. This will occur if

$$\tau_i(\overline{\mathbb{Z}_{m_i}}) \leq \eta_{i+1} < \eta_{j+1} < \tau_i(\eta_j)$$

In this case we will have:

$$\prod_i G \cap \mathbb{B}_{\gamma_i} = \prod_1 G$$

where G is \mathbb{B}_{γ_j} -generic.)

We now prove the Claim on the assumption that the sequences $\langle a_i \rangle$, $\langle \bar{z}_i \rangle$, $\langle \bar{\eta}_i \rangle$ have been defined and satisfy I-V. We must show (in the generic collapse of a sufficient cardinal) that there is a pair $\langle \sigma', G' \rangle$ s.t. $G' \supset G^0$, $\langle \sigma', G' \rangle$ witnesses \bar{G} and is strong over $\langle \sigma^0, G^0 \rangle$, where $\sigma'(\lambda) = \lambda^*$ and $\sigma' \upharpoonright u = \sigma^0 \upharpoonright u$.

$\langle \eta_i \mid i < \omega \rangle$ lies in $V[G^0]$ and hence so do the sequences $\langle a_i \rangle$, $\langle \bar{z}_i \rangle$, $\langle \bar{\eta}_i \rangle$. The sequence $\langle a_i / G^0 \mid i < \omega \rangle$ then lies in $V[G^0]$. It is easily seen that $h_{\eta_i}^{\gamma_i}(a_i / G^0) = a_i / G^0$ for $i \leq j < \omega$ (where $h_{\eta_i}^{\gamma_i} =: h(B_{\eta_i} / G^0)$). Since B_{η_j} is the RSC limit of $\langle B_{\eta_i} \mid i < j \rangle$, it follows that in $V[G^0]$ the BA B_{η_j} / G^0 is the countable support limit of $\langle B_{\eta_i} / G^0 \mid i < j \rangle$. Hence $a^* \in (B_{\eta_j} / G^0) \setminus \{0\}$, where $a^* = \bigcap_{i < \omega} a_i / G^0$. But then $a^* = a' / G^0$ for some $a' \in B_{\eta_j} \setminus \{0\} \in B_{\lambda^*} \setminus \{0\}$.

Assume w.l.o.g. that $a' \in a \neq a_0$. Let G^* be B_{λ^*} -generic over $V[G^0]$ s.t. $a^* \in G^*$. Set!

$G' = G^0 * G^* = \{ b \mid b/G^0 \in G^* \}$. Then $a' \in G'$ and G' is B_{λ^*} -generic over V . We shall construct a $\sigma' \in V^{B_{\lambda^*}}$ s.t. $\langle \sigma', G' \rangle$ satisfies our Claim.

Set $G'_\nu = G' \cap B_\nu$ ($\nu \in \lambda^*$). Set:

$$\Gamma_i = \dot{\Gamma}_i^{G'_i}, \quad \tau_i = \dot{\tau}_i^{G'_i} \text{ for } i < \omega.$$

Then II (a)-(e) hold for $i < \omega$, as do II (a)-(d).

Set:

$$\Gamma = \bigcup_i \Gamma_i = \langle \langle \bar{\zeta}_j, \sigma_j, b_j, a_j \rangle \mid j < \omega \rangle.$$

Define m_i, m_i^+ as before from Γ_i, γ_{i+1} .

Then $\tau_i(\bar{\zeta}_\ell) = \tau_h(\bar{\zeta}_\ell) = \bar{\zeta}_\ell$ for $h \leq i, \ell \leq m_h^+$.

We note that:

$$(2) \sup_i \bar{\zeta}_{m_i} = \gamma \quad (\text{since } \sup_i \bar{\zeta}_i = \gamma \text{ and } \sup_i m_i = \omega).$$

proof.

$$\sup_i \bar{\zeta}_{m_i^+} = \gamma \quad \text{since } \bar{\zeta}_{m_i^+} = \tau_i(\bar{\zeta}_i) < \lambda$$

and $\gamma_{i+1} \leq \bar{\zeta}_{m_i^+}$. But if $\bar{\zeta}_{m_i^+} < \gamma_{i+1}$

then $\bar{\zeta}_{m_i^+} \leq \bar{\zeta}_{m_j}$. Hence $\sup_i \bar{\zeta}_{m_i} = \gamma$.

Q.E.D (2)

(3) $b_j \in G$ for all $b_j < \omega$.

Proof.

We show $b_{m_i} \in G$ for $i < \omega$. Let $m_k > m_i$. Then

$$b_{m_i} = b_{\xi_{m_i}}(b_{m_k}) \in b_{\xi_{m_i}}(b_{m_k}) \in G_{\eta_k} \text{ since } \xi_{m_i} \leq \eta_k$$

and by V (a), $\Phi \in D(3)$

Set: $\sigma_i =: \sigma_i^{\circ} \upharpoonright_{G_{\xi_i}}$, $u_i =: u_i^{\circ} \upharpoonright_{G_{\xi_i}}$ for $i < \omega$.

Then IV (a) - (e) hold for all $j < \omega$, as do

V (a), (b).

Since $\sigma_i(x_i) = \sigma_j(x_i)$ for $i \leq j < \omega$, we can define in $V[G^*]$ a new map $\sigma: \bar{N} \rightarrow N$ by:

$$\sigma'(x) =: \sigma_i(x) \text{ if } \sigma_i(x) = \sigma_j(x) \text{ for all } j \geq i.$$

But then, since $\sigma_i(\bar{\Omega}, \bar{B}, j, \lambda) = \Omega, B, j^*, \lambda^*$,

and $\sigma_i \upharpoonright u = \sigma^{\circ} \upharpoonright u$ for $i < \omega$, we conclude:

$$(4) \sigma'(\bar{\Omega}, \bar{B}, j, \lambda) = \Omega, B, j^*, \lambda^* \text{ and } \sigma' \upharpoonright u = \sigma^{\circ} \upharpoonright u.$$

Clearly

$$(5) \sigma'(\bar{\xi}_i) = \sigma_j(\bar{\xi}_i) = \bar{\xi}_i \text{ for } j \leq m_i$$

Hence:

$$(6) \sup \sigma' \upharpoonright \lambda = \eta,$$

since $\sigma'(\mu) = \sigma_i(\mu) < \eta$ for some i , whenever $\mu < \lambda$.

(7) $\sigma^{\prime \omega} \bar{G}_\nu \subset G'_{\sigma'(\nu)}$ for $\nu < \lambda$

proof.

It suffices to show $\sigma^{\prime \omega} \bar{G}_{\bar{3}_i} \subset G'_{\bar{3}_i}$.

Let $b \in \bar{G}_{\bar{3}_i}$. For sufficiently large $j \geq i$ we have: $\sigma^{\prime}(b) = \sigma_j^{\prime}(b) \in G_{\sigma_j^{\prime}(\bar{3}_i)} = G_{\bar{3}_i}$.

QED (7)

However, we need a stronger version of (7):

Set: $\langle e_\nu, \hat{N}_\nu, \hat{G}_\nu \rangle =$ the completion of \bar{N}, \bar{G}_ν for $\nu \leq \lambda$.

$e_{\nu, \tau} = \text{int}(\bar{G}_\nu, \bar{G}_\tau)$ for $\nu \leq \tau \leq \lambda$.

Since $\bar{G} = \bar{G}_\lambda \in S_\lambda$, we know that:

(8) $\hat{N}_\lambda, \langle e_{\nu, \lambda} \mid \nu \leq \lambda \rangle =$ the direct limit of $\langle \hat{N}_\nu \mid \nu < \lambda \rangle, \langle e_{\nu, \tau} \mid \nu \leq \tau < \lambda \rangle$, where $\hat{G}_\lambda \subset e_\lambda(\bar{B}_\lambda)$ - generic over \hat{N}_λ .

Set: $\hat{\lambda} = e_\lambda(\lambda), \hat{B} = e_\lambda(\bar{B}), \hat{G}_\lambda^* = \hat{G}_\lambda$,

$G_\nu^* = G \cap \hat{B}_\nu$ for $\nu \leq \hat{\lambda}$. Then $G_\nu^* \subset \hat{B}_\nu$ - generic over $\hat{N} = \hat{N}_\lambda$ for $\nu \leq \hat{\lambda}$.

For $i < \omega$ set: $\sigma_i^* = \text{int}(\sigma_i, G_{\bar{3}_i}^*)$.

Then $\sigma_i^* : \hat{N}_{\bar{3}_i} \hookrightarrow N, \sigma_i^* \circ e_{\bar{3}_i} = \sigma_i$.

We show:

(9) Let $x \in \hat{N} = \hat{N}_\lambda$. Let $x_i = e_{\bar{3}_i, \lambda}^{-1}(x)$ be

defined for $i \geq i_0$. There is $i \geq i_0$ s.t.

$\sigma_i^*(x) = \sigma_i(x)$ for all $j \geq i$.

proof of (9)

Let $x \in \hat{N}$, $x = e_{\bar{\alpha}_i, \lambda}(x_i)$ for $i \geq i_0$.

Let $x_0 = e_{\bar{\alpha}_{i_0}}(x) \hat{G}_{\bar{\alpha}_{i_0}}$.

Set: $\tilde{G}_i = \hat{G}_{\bar{\alpha}_i} \cap e_{\bar{\alpha}_i}(\bar{B}_{\bar{\alpha}_{i_0}})$ for $i \geq i_0$.

Then \tilde{G}_i is $e_{\bar{\alpha}_i}(\bar{B}_{\bar{\alpha}_{i_0}})$ -generic over $\hat{N}_{\bar{\alpha}_{i_0}}$

and $e_{\bar{\alpha}_i, \bar{\alpha}_j} \upharpoonright \tilde{G}_i \subset \tilde{G}_j$ for $i_0 \leq i \leq j \leq \lambda$.

Hence $e_{\bar{\alpha}_i, \bar{\alpha}_i}$ extends uniquely to $e_{i,i}$

with: $e_{i,i}: \hat{N}_i[\tilde{G}_i] \hookrightarrow \hat{N}_j[\tilde{G}_j]$ and

$e_{i,i}(\tilde{G}_i) = \tilde{G}_j$.

Clearly $x_i = e_{\bar{\alpha}_i, \bar{\alpha}_{i_0}}(x_{i_0}) = \tilde{e}_{i_0, i}(x) \hat{G}_{\bar{\alpha}_{i_0}} =$

$= e_{\bar{\alpha}_i, \bar{\alpha}_{i_0}}(x) \tilde{G}_i$ for $i_0 \leq i$.

Assume w.l.o.g. that i_0 was chosen big enough that $\sigma_i(x) = \sigma_{i_0}(x)$ for $i \geq i_0$.

Then $\sigma_i^*(x_i) = \sigma_i^*(e_{\bar{\alpha}_i, \bar{\alpha}_{i_0}}(x) \tilde{G}_{\bar{\alpha}_{i_0}}) =$

$= \sigma_{i_0}(x) \tilde{G}_{\bar{\alpha}_{i_0}}$ for $i \geq i_0$. QED (9)

Letting $\hat{N} = \hat{N}_\lambda$, we can define

$\sigma^*: \hat{N} \hookrightarrow N$ by:

Def Let $x \in \hat{N}$, $x = e_{i, \lambda}(x_i)$ for sufficient i .

$\sigma^*(x) =: \sigma_i^*(x_i)$ if $\sigma_i^*(x_i) = \sigma_j^*(x_j)$ for

all $j \geq i$.

Then:

$$(10) \sigma^* e_\lambda = \sigma'$$

proof.

Let $x = e_{i,\lambda}(x_i)$ for sufficient i . Then

$$\sigma'(x) = \sigma_i^* e_i(x_i) = \sigma^*(x) \text{ for sufficient } i.$$

QED (10)

Recalling our definition:

$$G_\nu^* = (\hat{G}_\lambda) \cap \hat{B}_\nu \quad (\hat{B}_\nu = e_\lambda(\bar{B})) \text{ for } \nu \leq \lambda,$$

we have:

$$(11) \sigma^* \llcorner G_\nu^* \subset G_{\sigma^*(\nu)}^* \text{ for } \nu < \lambda.$$

proof.

Let $b \in \hat{B}_\nu$, $b, \nu = e_{i,\lambda}(b_i, x_i)$ for sufficient i .

By Lemma 3.6 and (8) it follows that

$$e_\lambda(\lambda) = \sup e_\lambda \llcorner \lambda. \text{ Hence } \nu < e_\lambda(\bar{\lambda}_k).$$

for a sufficient $i < \omega$. But for

$$\sigma^*(b) = \sigma_i^*(b_i) \in G_i' \cap B_{\sigma_i^*(x_i)} = G_i' \cap B_{\sigma^*(\nu)} =$$

$$= G_{\sigma^*(\nu)}^* \quad \text{QED (11)}$$

By the fact that we are using an RSC iteration we then get:

(12) $\sigma^* \hat{G} \subset G'$

proof.

Let $\mu = \hat{\lambda} = e_\lambda(\lambda)$. Since $\langle \hat{B}_\nu \mid \nu \leq \mu \rangle$ is an RSC iteration in \hat{N} , the set

D is dense in $\hat{B} =: e_\lambda(\hat{B}_\lambda)$ where:

$a \in D$ iff either $a \in \bigcup_{\nu < \mu} \hat{B}_\nu$ or else

$a = \bigcap_{\nu < \mu} b_\nu(a)$ in \hat{B} and there is

$\nu < \mu$ s.t. $b_\nu(a) \Vdash_{\hat{B}_\nu} \text{cf}(\check{\mu}) = \omega$.

Since $\sigma^* \hat{G}_\nu \subset G'_{\sigma^*(\nu)}$, the map

σ^* extends to $\sigma^{**}: \hat{N}[\hat{G}_\nu] \rightarrow N[G'_{\sigma^*(\nu)}]$

s.t. $\sigma^{**}(\hat{G}_\nu) = G'_{\sigma^*(\nu)}$. Let $f \in \hat{N}[\hat{G}_\nu]$

s.t. $f: \omega \rightarrow \mu$ is monotone and cofinal. Hence $\sigma^{**}(f): \omega \rightarrow \lambda^\nu$ is

is monotone and cofinal in λ^ν .

Hence $\lambda^* = \sup_{\nu < \omega} \sigma^{**}(f \restriction \omega) =$

$= \sup \sigma^* \hat{\mu}$. But then

$\sigma^*(b) = \bigcap_{\nu < \mu} h_\nu(\sigma^*(b))$, where

$h_{\sigma^*(\nu)}(\sigma^*(b)) = \sigma^*(h_\nu(b)) \in G'_{\sigma^*(\nu)}$.

Since $\lambda^* = \sup \sigma^* \text{''} \mu$, we have

$$\sigma^*(b) = \bigwedge_{\nu < \lambda^*} h_\nu(\sigma^*(b)) \text{ where}$$

$h_\nu(\sigma^*(b)) \in G_\nu$ for $\nu < \lambda^*$. By genericity it follows that

$$\sigma^*(b) = \bigwedge_{\nu < \lambda^*} h_\nu(\sigma^*(b)) \in G'$$

QED (12)

But then!

(13) $\langle \sigma', G' \rangle$ witnesses \bar{G}

proof.

By (4) it is enough to show:

$$\sigma' \text{''} \bar{G} \subset G'. \text{ But}$$

$$\sigma' \text{''} \bar{G} = \sigma^* \text{''} e_\lambda \text{''} \bar{G} \subset \sigma^* \text{''} \hat{G}_\lambda \subset G'.$$

QED (13)

(1) $\langle \sigma', \sigma' \rangle$ is strong over $\langle \sigma', \sigma' \rangle$.

But then:
 $\langle \sigma', \sigma' \rangle$ is strong over $\langle \sigma', \sigma' \rangle$.
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 $\langle \sigma', \sigma' \rangle$ is strong over $\langle \sigma', \sigma' \rangle$.
 $\langle \sigma', \sigma' \rangle$ is strong over $\langle \sigma', \sigma' \rangle$.

But then:

(14) $\langle \sigma', \sigma' \rangle$ is strong over $\langle \sigma', \sigma' \rangle$
proof.

The only thing left to show is:

$$C_{\sigma'}^N(\text{rng } \sigma') = C_{\sigma'}^N(\text{rng } \sigma'), \text{ where}$$

$$\sigma = \delta(B_{\lambda}).$$

(\subseteq) We show $\text{rng } \sigma' \subset C_{\sigma'}^N(\text{rng } \sigma')$

Let $x \in \text{rng } \sigma'$. Then $x = \sigma'(w_i)$ for

some i . Hence $x \in \sigma_i(w_i)$ and

$\sigma_i(w_i) = \sigma_i(w_i)$ for $i \geq i$. Hence

$x \in \sigma'(w_i)$ where $\bar{w}_i \leq \delta(\bar{B}_{\bar{w}_i}) \leq \delta(\bar{B}_{\lambda})$

in \bar{N} . Hence there is $f \in \bar{N}$ s.t.

$f: \bar{\delta} \xrightarrow{\text{onto}} w_i$, where $\bar{\delta} = \delta(\bar{B}_{\lambda})$ (hence

$\sigma'(\bar{\delta}) = \sigma'(\delta(\bar{B}_{\lambda}))$.) Then:

$x = \sigma'(f)(\mu)$ for some $\mu \in \bar{\delta}$.

QED (\subseteq)

(≥ 1) We show: $\text{rang } \sigma' \subset C_{\delta}^N(\text{rang } \sigma^0)$.

Let $x = \sigma'(\bar{x})$. Then $x = \sigma'_i(\bar{x}) \in$

$$\subset C_{\delta'_i}^N(\text{rang } \sigma_i^0) = C_{\delta'_i}^N(\text{rang } \sigma_i^0) \subset$$

$$\subset C_{\delta}^N(\text{rang } \sigma^0) \text{ where } \delta'_i = \delta(B_{\frac{\delta}{3}}).$$

Q.E.D. (8)

Then prove the Claim on the

assumption that $\langle a_i \rangle, \langle \hat{a}_i \rangle, \langle \hat{\pi}_i \rangle$

are defined in $V[G^0]$ and satisfy I-V.

We now define these sequences and verify I-V, as stated, the defini-

tion of each $\langle a_i, \hat{a}_i, \hat{\pi}_i \rangle$ takes

place in V and the definition of

the full sequence takes place in

$V[\langle \gamma_i \mid i < \omega \rangle] \subset V[G^0]$. We define

$a_i, \hat{a}_i, \hat{\pi}_i$ by induction on i .

Case 1 $i=0$

Set $a_0 = a$, $\sigma_0 = \sigma$. Then I is trivial.

But then II holds with $m_0 = m_0^+ = 1$.

We now construct Γ'_0 and verify III - V

at 0. We set $\Gamma'_0 = \check{\Gamma}$ where:

$$\Gamma = \langle \langle \bar{z}_i, \sigma_i, b_i, u_i \rangle \mid i \leq 1 \rangle$$

with
$$\bar{z}_i = \gamma_i \quad (i=0,1)$$

We set $b_0 = a_0 = a$, $u_0 = u_0^v$ where $u_0 = u_0 \cup \{\bar{z}_0, \bar{z}_1\}$,

and $\sigma_0 = \sigma$. If $G \supset a_0$ is \mathbb{B}_{j^*} -generic, then

$\langle G, \sigma \rangle$ witnesses \bar{G}_j where $\sigma = \sigma_0 \upharpoonright G$.

But then we can apply II at the induction hypothesis to $\langle \gamma_0, \bar{\gamma}_1 \rangle$

where $j^* = \gamma_0 < \gamma_1 = \sigma(\bar{z}_1)$ and $t = u_0$.

That gives us $b \in \mathbb{B}_{\gamma_1}$ with $h_{\gamma_1}(b) = a$

and whenever $G' \ni b$ is \mathbb{B}_{γ_1} -generic, then

letting $G = G' \cap \mathbb{B}_{\gamma_0}$, $\sigma_1 = \sigma_0 \upharpoonright G$, we have:

- $\langle \sigma', G' \rangle$ witnesses $\bar{G}_{\bar{z}_1}$
- $\langle \sigma', G' \rangle$ is strong over $\langle \sigma, G \rangle$
- $\sigma \upharpoonright u_0 = \sigma' \upharpoonright u_0$.

Set $b_1 = b$. Then there is $\sigma' \in V^{\mathbb{B}_{\gamma_1}}$

st. b forces the above to hold.

- d.e.

b) If $\langle \sigma', \hat{G} \rangle$ witnesses $\check{G}_{\check{\gamma}_1} \wedge \langle \sigma, \hat{G} \rangle$ is strong over $\check{B}_{\check{\gamma}_1}$
 $\langle \tilde{\sigma}, \tilde{G} \rangle$ where $\hat{G} = \hat{G} \cap \check{B}_{\check{\gamma}_0} \wedge \tilde{\sigma} = \check{\sigma}_0 \upharpoonright \hat{G} \wedge$
 $\wedge \sigma \upharpoonright \check{u}_0 = \tilde{\sigma} \upharpoonright \check{u}_0$

(\hat{G} being the canonical generic name).

Finally we note that there is $\check{u}' \in V^{\check{B}_{\check{\gamma}_1}}$ with the property that whenever $G' \ni b$ is

$\check{B}_{\check{\gamma}_1}$ -generic, then

$$\check{u}' \upharpoonright G' = u \cup \{ \check{\gamma}_0, \dots, \check{\gamma}_m \} \cup \{ x_0, w_0 \}$$

where w_0 is least s.t. $\sigma(x) \in \sigma'(w_0)$ and

$\check{w}_0 = \delta(\check{B}_{\check{\gamma}_0})$ and m is least s.t. $\sigma'(\check{\gamma}_m) \geq \check{\gamma}_1$.

(where $\langle \sigma, \hat{G} \rangle, \langle \sigma', \hat{G}' \rangle$ are as above).

We then set: $b_1 = b, \check{\gamma}_1 = \check{\gamma}_1, \sigma_1 = \sigma', \check{u}_1 = \check{u}'$.

Then I-V hold at $i=0$ ($\check{V}(b)$ being vacuous).

Case 2 $i = k+1$

Let $\check{z}, \check{\sigma}, \check{b}, \check{u}, n_k$ be terms s.t.

$$a_k \Vdash_{\mathbb{B}_{\gamma_n}} \check{\tau}_k = \langle \langle \check{z}_i, \check{\sigma}_i, \check{b}_i, \check{u}_i \rangle \mid 1 \leq n_k \rangle$$

Since $I = \check{V}$ hold at k , we know that

$$a_k \Vdash_{\mathbb{B}_{\gamma_i}} (\check{z}_{n_k} \leq \check{\gamma}_i \wedge \check{b}_{n_k} \in \check{\mathbb{B}}_{\check{\gamma}_i})$$

$$\text{For } b \in \mathbb{B}_{\gamma_i} \text{ set: } a(b) =: a_k \wedge \llbracket \check{b}_{n_k} = \check{b} \rrbracket_{\mathbb{B}_{\gamma_i}}$$

Then $a(b) \wedge a(b') = 0$ for $b \neq b'$ and

$$a = \bigcup_b a(b). \text{ Set: } a_i =: \bigcup_{a(b) \neq 0} b$$

If $a(b) \neq 0$, then

$$h_{\gamma_k}(b) = \llbracket \check{b}/\check{c} \neq 0 \rrbracket_{\mathbb{B}_{\gamma_k}} = a(b),$$

since if $G \ni a_k$ is \mathbb{B}_{γ_i} -generated, then

$$b/\check{c} \neq 0 \rightarrow a(b) \in G,$$

$$\text{since } a_k \Vdash_{\mathbb{B}_{\gamma_i}} \check{b}_{n_k} \in G.$$

$$\text{Thus } h_{\gamma_k}(a_i) = \bigcup_{a(b) \neq 0} a(b) = a_k.$$

We then let $a_k \Vdash_{\mathbb{B}_{\gamma_n}} t = \check{\sigma}_{n_k}$ and

$$\text{let } \check{z} \in \mathbb{B}_{\gamma_i} \text{ s.t. } a_k \Vdash_{\mathbb{B}_{\gamma_i}} \check{z} = \check{z} \check{c} \cap \check{\mathbb{B}}_{\check{\gamma}_{n_k}}.$$

Then a_i, τ_i satisfy (I), (II). To see (II) note that if $\tilde{G} \ni a_i$ is B_{γ_i} -generic, then $G' = \tilde{G} \cap B_{\gamma_i}$ extends $G = \tilde{G} \cap B_{\gamma_k}$, where $\Gamma_k = \Gamma_k^{\tilde{G}} =$

$$= \{ \langle \bar{z}_j, \sigma_j, b_j, u_j \rangle \mid j \leq n_k \} \text{ and } \tau_i = \tau_i^G =$$

$$= \sigma_{m_k}^i G'. \text{ Since } \bar{z}_0, \dots, \bar{z}_{m_k} \in U_k = U_{m_k}^G,$$

we have (e) at i . (a)-(d) are straightforward.

(b) is also immediate.

We now define $\Gamma_i^{\tilde{G}}$ and verify (III), (IV) and (V)(a) at i .

Let $G \ni a_i$ be B_{γ_i} -generic. Inside V^G we define

$$\Gamma = \Gamma^G = \langle \langle \bar{z}_j, \sigma_j, b_j, u_j \rangle \mid j \leq m_i \rangle$$

where m_i, m_i^+ are defined as usual from $\tau_i = \tau_i^G, \Gamma_k = \Gamma_k^G \cap \gamma_k$ is given and

Γ will be a continuation of it.

$$\text{Then } \tau_i = \sigma_{\bar{z}_{m_k}}^i = \sigma_{\bar{z}_{m_k}}^i G \cap \bar{z}_{m_k}. \text{ For}$$

$m_k \leq j \leq m_i$ we have,

$$\bar{z}_j = \tau_i(\bar{z}_j). \text{ (At } m_i = m_k \text{ - i.e.}$$

$$\tau_i(\bar{z}_{m_k+1}) > \gamma_i, \text{ then } \Gamma_i = \Gamma_k.)$$

By induction on j we define σ_j, b_j, u_j for $m_k < j \leq m_i$. We closely imitate our procedure for defining σ_1, b_1, u_1 in Case 1.

Case A $j = m_k + 1$.

Then $j > \eta_i$ and $j \geq m_k^+$. We apply the induction hypothesis to $\bar{\Sigma}_{m_k}, \bar{\Sigma}_j$.

That gives us $b \in \mathbb{B}_{\bar{\Sigma}_j}$ with $h_{\bar{\Sigma}_{m_k}}(b) = b_{m_k}$ and whenever $G' \ni b$ is $\mathbb{B}_{\bar{\Sigma}_{m_k}}$ -generic, then, letting $G = G' \cap \mathbb{B}_{\bar{\Sigma}_{m_k}}$, $\sigma = \sigma_{m_k}^i \upharpoonright G$, we have:

- $\langle \sigma', G' \rangle$ witnesses $\bar{G}_{\bar{\Sigma}_j}$

- $\langle \sigma', G' \rangle$ is strong over $\langle \sigma, G \rangle$

where $\langle \sigma, G \rangle$ witnesses $\bar{G}_{\bar{\Sigma}_{m_k}}$

- $\sigma \upharpoonright u_{m_k} = \sigma' \upharpoonright u_{m_k}$

where $u_{m_k} = u_{m_k}^i \upharpoonright G$.

Set $b_j = b$. Then there is $\sigma_j^i \in V^{\mathbb{B}_{\bar{\Sigma}_j}}$ with b forcing the above to hold with $\sigma' = \sigma_j^i \upharpoonright G'$ - i.e.

but $\langle \sigma_j^i, G' \rangle$ witnesses $\bar{G}_{\bar{\Sigma}_j}^{\forall}$ and

$\langle \sigma_j^i, G' \rangle$ is strong over $\langle \tilde{\sigma}, \tilde{G} \rangle$ where

$\tilde{G} = G' \cap \mathbb{B}_{\bar{\Sigma}_{m_k}}^{\forall}$ and $\tilde{\sigma} = (\sigma_{m_k}^i \upharpoonright G) \upharpoonright \tilde{G}$

and $\sigma_j^i \upharpoonright u_{m_k}^{\forall} = \tilde{\sigma} \upharpoonright u_{m_k}^{\forall}$

This gives us σ_j . Finally we note that there is $\bar{u} \in V[\mathbb{B}_{\bar{z}_j}]$ with the property that whenever G' is $\mathbb{B}_{\bar{z}_j}$ -generic, then for $G = G' \cap \mathbb{B}_{\bar{z}_{m_k}}$ we have:

$$\bar{u} G' = \bar{u}_{m_k} G \cup \{\bar{z}_0, \dots, \bar{z}_m\} \cup \{x_j, w\}$$

where m is least s.t. $\sigma_j(\bar{z}_m) \geq \gamma_{i+1}$ and $w =$
 $=$ the \bar{N} -least w s.t. $\bar{w} \leq \sigma(\mathbb{B}_{\bar{z}_j})$ in \bar{N} and
 $\sigma_0(x_{m_k}) \in \sigma_{m_k}(w)$, where $\sigma_{m_k} = \sigma_{m_k}^{\bar{z}_{m_k}} G$,
 $\sigma_j = \sigma_j^{\bar{z}_j} G'$ and $\bar{u} = \bar{u}_{m_k}^G$.

We set $\bar{u}_j = \bar{u}$.

This completes Case A.

Case B: $j > m_k + 1$. Let $h = j - 1$. We define

b_j, σ_j by exactly the same procedure using $\langle \bar{z}_h, \bar{z}_j \rangle$ in place of $\langle \bar{z}_{m_k}, \bar{z}_{m_k+1} \rangle$.

We then set $\bar{u}_j = \bar{u}$, where \bar{u} has the property that whenever G' is $\mathbb{B}_{\bar{z}_j}$ -generic, then $\bar{u} G' = \bar{u}_h^G \cup \{w_h, x_h\}$, w_h being defined as before. I, II follow as before.

This completes the construction of $\Gamma = \Gamma G$ in $V[G]$. But since ΓG is uniformly $V[G]$ -definable in the parameters: $\bar{N}, N, \omega, \mathbb{B}, \bar{\omega}, \bar{\mathbb{B}}, \bar{z}_0, \dots, \bar{z}_0$ and $\gamma_0, \dots, \gamma_i$, it follows

that there is a term $\pi_i^1 \in V^{\mathbb{B}_{\eta_i}}$ s.t

$\pi_i^G = \pi^G$ whenever G is \mathbb{B}_{η_i} -generic,

This completes the construction. The verification of III-V is straightforward.

This completes the proof of II in Case 2, and with it the main theorem.