

## §6 Another Look

We now return to Example 1 and consider the case  $\beta = \omega_2$  (hence we assume  $2^\omega = \omega_1$  and  $2^{\omega_1} = \omega_2$ ). Our forcing then adds a cofinal  $\omega$ -sequence in  $\omega_2$  without adding new reals.

This is, of course, what Namba forcing was designed to accomplish. When we first developed the forcing  $\mathbb{P} = \mathbb{P}_{\mathcal{L}}$  of Example 1 we were enormously proud of having accomplished the same task in a "completely different" way. Indeed the motivation of the construction and the combinatorics of the proof are different, but the forcing turns out to be the same, for we have:  $BA(\mathbb{P}) \cong BA(\mathbb{N})$ , where  $\mathbb{N}$  is the set of Namba conditions. We shall now prove this. Until further notice we assume:  $2^\omega = \omega_1$  and  $2^{\omega_1} = \omega_2$ . (We also let  $\beta$  denote  $\omega_2$ .) We first define the set  $\mathbb{N}$  of Namba conditions:

Def  $\mathbb{N} =$  the set of subtrees  $T \neq \emptyset$  of  $\omega_2 < \omega$  s.t.  $\overline{\{t \mid r \sqsubseteq_T t\}} = \omega_2$  for all  $r \in T$ .

$\mathbb{N}$  is partially ordered by:  $T \leq T' \iff T \subset T'$ .

Note Call  $T$  a strict Namba tree iff

•  $\forall r \in T \forall t (r \sqsubseteq_T t \wedge t \text{ is a split pt. of } T)$

• At  $r$  is a split point of  $T$ , then  $r$  has  $\omega_2$  many immediate successors in  $T$ .

$\mathbb{N}$  is sometimes defined as the set of strict Namba trees. This is equivalent, however, since the strict Namba trees are dense in the Namba trees.

Call  $f: \omega \rightarrow \omega_2$  a branch in  $T$  iff  $f \upharpoonright m \in T$

for  $m < \omega$ . If  $H$  is  $\mathbb{N}$ -generic over  $V$ ,

then  $h = \cup H$  is a cofinal map of  $\omega$

to  $\omega_2$ . Moreover,  $H = \{T \in \mathbb{N} \mid h \text{ is a branch in } T\}$ .

We then say that  $h$  is a Namba-generic sequence.

Let  $\mathbb{P} = \mathbb{P}$  be as in Example 1 with  $\beta = \omega_2$ .

(Thus we assume  $2^\omega = \omega_1$  and  $2^{\omega_1} = \omega_2$ .)

Lemma 1 Let  $G$  be  $\mathbb{P}$ -generic. Let

$h \in V[G]$  s.t.  $h: \omega \rightarrow \omega_2^V$  cofinally.

Then  $h$  is Namba-generic.

proof of Lemma 1

Let  $h^G = h$ . Assume w.l.o.g. that  $\Vdash h : \check{\omega} \rightarrow \check{\omega}_2$  cofinally.

Claim 1  $\Delta_h^o = \{ p \mid |p| = \omega_1 \overset{M^P}{|p|} \wedge \bigwedge \check{h} \in M_{|p|}^P \ p \Vdash h^o = \pi_{|p|, \omega_1}^o \circ \check{h} \}$

is dense in  $\mathbb{P}$ ,

proof.

Let  $r \in \mathbb{P}$ . We seek  $p \leq r$  s.t.  $p \in \Delta_h^o$ .

Let  $G \ni r$  be  $\mathbb{P}$ -generic,  $h = h^G$ . Then

there must be  $d < \omega_1$  s.t.

$\text{rng}(h) \subset \text{rng}(\pi_{d, \omega_1}^o)$ . Hence  $h = \pi_{d, \omega_1}^o \circ \bar{h}$

for an  $\bar{h} \in M_d^G$ . But then there must

be a  $p \in G$  s.t.  $|p| \geq d$ ,  $|p| = \omega_1 \overset{M^P}{|p|}$ ,

and  $p \Vdash h^o = \pi_{d, \omega_1}^o \circ \check{h}$ . We may

then assume  $|p| = d$  (otherwise replace

$\bar{h}$  by  $\pi_{d, |p|}^P \circ \bar{h}$ ), QED (Claim 1)

Def Let  $p \in \Delta_h^o$ . Set

$T^p =$  the set of  $\alpha \in \omega_2 < \omega$  s.t.

$\llbracket \dot{\varphi}_\alpha \rrbracket \neq \emptyset$  in  $BA(\mathbb{P})$ , where  $\dot{\varphi}_\alpha =$

$(\check{p} \in \check{G} \wedge \bigwedge_{i < |\alpha|} h(i^{\check{v}}) = \check{\alpha}_i)$ . ( $|\alpha| = \text{length}(\alpha)$ )

Claim 2  $T^p \in \mathbb{N}$  for  $p \in \Delta_h^0$ .

pf. Suppose not, let  $T = T^p$ ,

Then there is  $\alpha \in T$  s.t.  $\overline{T_{(\alpha)}} < \omega_2$ ,

where  $T_{(\alpha)} = \{t \in T \mid \alpha \leq_T t \vee t \leq_T \alpha\}$ .

Hence  $\{t(i) \mid t \in T_{(\alpha)} \wedge i < |t|\} \subset \delta < \omega_2$

for some  $\delta$ . Let  $G$  be IP-  
-generic s.t.  $G \cap \mathbb{Q}_\alpha \neq \emptyset$ . Then

$p \in G$  and  $h^G(i) = \alpha_i$  for  $i < |\alpha|$ .

But  $\sup h^G \omega_1 = \omega_2^V$ , hence there is  
j s.t.  $h^G(j) \geq \delta$ . But then

$V[G] \models \varphi_t$ , where  $t = h^G(j+1)$ ,

Hence  $t \in T_{(\alpha)}$  and  $t(j) \geq \delta$ ,

Contr! QED (Claim 2)

In the following let  $p \in \Delta_h^0$  and

let  $\bar{M} = M_a^p$ ,  $\alpha = |p|$ . Let

$$p \Vdash \pi_{\alpha}^{\omega_1} \check{h} = h^0,$$

Def For  $r \in M$  set  $M^{(r)} = L_r^A$ , where

$M = L_\beta^A$ . Similarly, for  $r \in \bar{M} = M_a^p =$

$= L_{\beta_a}^{A_a}$  we set  $\bar{M}^{(r)} = L_r^{A_a}$ .

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Claim 3 Let  $\bar{v} = \bar{h}(i)$  and let  $v = \pi(v)$  for an  $\pi \in T = T^P$ . Set:

$f =$  the  $M$ -locus  $f: \omega_1 \xrightarrow{\text{onto}} M^{(v)}$

$\bar{f} =$  "  $\bar{M}$  - "  $\bar{f}: \omega_1 \xrightarrow{\text{onto}} \bar{M}^{(\bar{v})}$ .

Set  $\pi = \pi(\bar{v}, v) = \{ \langle \bar{f}(\bar{z}), f(z) \rangle \mid \bar{z} < \alpha \}$ ,

Let  $\langle a, \bar{a} \rangle \in FP$ . Then

$$\pi: \langle \bar{M}^{(\bar{v})}, \bar{a} \cap \bar{M}^{(\bar{v})} \rangle \leftarrow \langle M^{(v)}, a \cap M^{(v)} \rangle$$

proof.

Let  $G$  be  $P$ -generic with  $\llbracket \Phi_1 \rrbracket \cap G \neq \emptyset$ .

Then  $\pi_{d\omega_1}^G(\bar{f}) = f$ . Hence

$$\pi_{d\omega_1}^G(\bar{f}(\bar{z})) = f(z) \text{ for } \bar{z} < \alpha \text{ + hence}$$

$$\pi = \pi_{d\omega_1}^G \upharpoonright \bar{M}^{(\bar{v})}, \text{ where}$$

$$\pi_{d\omega_1}^G(\langle \bar{M}^{(\bar{v})}, \bar{a} \cap \bar{M}^{(\bar{v})} \rangle) = \langle M^{(v)}, a \cap M^{(v)} \rangle,$$

since  $\pi_{d\omega_1}^G(\bar{v}) = v$  and

$$\pi_{d\omega_1}^G: \langle \bar{M}, \bar{a} \rangle \leftarrow \langle M, a \rangle. \quad \square \text{ED (Claim 3)}$$

Claim 4 Let  $T \leq T^P$  in  $\mathcal{N}$ . There is

$q \leq p$  s.t.  $q \Vdash \bar{h}$  is a branch in  $\bar{T}$ .

proof of Claim 4,

Let  $N^* = \langle H_\delta, M, <, p, T, \bar{P}, \bar{N}, \dots \rangle$  where  $\delta > \beta^+$ . Let  $p' \leq p$  conform to  $N^*$ .

Let  $\bar{N}^* = \bar{N}^*(p', N^*) = \langle \bar{N}, \bar{M}, <, \bar{p}, \bar{T}, \bar{P}, \bar{N}, \dots \rangle$ .

Let  $\bar{H}$  be  $\bar{N}$ -generic over  $\bar{N}^*$  s.t.

$\bar{T} \in \bar{H}$ . Set  $\bar{h} = U \cap \bar{H}$ . Then

$\bar{h} : \omega \rightarrow \bar{\beta}$  cofinally, where  $\bar{\beta} = \text{On} \cap \bar{M}$ .

(Note that  $\bar{M} = M_{(p)}$ .) Obviously Claim 3

holds relativized to  $\bar{N}^*$ . Thus, for  $\bar{v} = \bar{h}(i)$ ,  $\tilde{v} = \tilde{h}(i)$  we can define  $\pi(\bar{v}, \tilde{v})$  as in Claim 3. It is easily seen that

if  $\bar{h}(i) < \bar{h}(j)$ , then

$$\pi(\bar{h}(i), \tilde{h}(i)) \subset \pi(\bar{h}(j), \tilde{h}(j)).$$

Thus, setting  $\pi = \bigcup_{i < \omega} \pi(\bar{h}(i), \tilde{h}(i))$ ,

we have:

$\pi : \langle \bar{M}, \bar{a} \rangle \prec \langle \bar{M}, \tilde{a} \rangle$  cofinally for all  $\langle \tilde{a}, \bar{a} \rangle \in F^{\bar{p}}$ . Since  $\langle \bar{M}, \tilde{a} \rangle$  is a ZFC<sup>-</sup> model, we conclude:

(1)  $\pi : \langle \bar{M}, \bar{a} \rangle \prec \langle \bar{M}, \tilde{a} \rangle$  for all  $\langle \tilde{a}, \bar{a} \rangle \in F^{\bar{p}}$ .

Moreover:

(2)  $\text{rng}(\pi) = \text{the smallest } X \prec \bar{M}$   
s.t.  $\text{rng}(\tilde{h}) \cup d \subset X$  ( $d = \bar{p}_\dagger(p)$ )

proof of (2)

( $\supset$ ) is trivial. But if  $\text{rng}(\tilde{h}) \subset X < \tilde{M}$ , then  $f_{\tilde{h}(i)} \in X$  for all  $i < \omega$ . Hence

$$\text{rng}(\pi(\tilde{h}(i), \tilde{h}(i))) = f_{\tilde{h}(i)} \in X.$$

QED(2)

Now let  $\tilde{\alpha} = |P'|$  (hence  $\tilde{\alpha} = \omega_1 \bar{N}^* + 1$ ).

Since  $\bar{H}$  is  $\bar{N}^*$ -generic over  $\bar{N}^*$ ,  $\bar{N}^*[\tilde{h}]$  is a ZFC-model. An  $\bar{N}^*[\tilde{h}]$  we define

$\langle \tilde{M}_i \mid i \leq \tilde{\alpha} \rangle, \langle \tilde{\pi}_i \mid i \leq \tilde{\alpha} \rangle$  as follows:

For  $\tilde{\beta} < \tilde{\alpha}$  let  $X_{\tilde{\beta}} =$  the smallest  $X < \tilde{M}$  s.t.  $\tilde{\beta} \text{rng}(\tilde{h}) \subset X$ . Set:

$$C = \{ \tilde{\beta} \geq \alpha \mid \tilde{\beta} = (X_{\tilde{\beta}} \cap \tilde{\alpha}) \}.$$

Then  $\tilde{\alpha} \in C$  and  $C \cap \tilde{\alpha}$  is club in  $\tilde{\alpha}$ . Set:

$$\tilde{C} = C \cup \{ \omega_1^{M_i^P} \mid i \leq \alpha \} \quad (\alpha = |P|).$$

For  $\alpha \leq i \leq \tilde{\alpha}$  set:  $\tilde{\pi}_i: \tilde{M}_i \leftrightarrow X_{\tilde{\alpha}_i}$ ,

where  $\tilde{M}_i$  is transitive. For  $i \leq \alpha$  set:

$$\tilde{M}_i = M_i^P, \quad \tilde{\pi}_i = \pi_{\tilde{\alpha}} \circ \pi_{i, \tilde{\alpha}}^P.$$

$$\tilde{\pi}_{i, j} = \tilde{\pi}_j^{-1} \circ \tilde{\pi}_i \quad \text{for } i \leq j \leq \tilde{\alpha}.$$

Define  $\mathcal{G}$  by:  $M^{\mathcal{G}} = \langle \tilde{M}_i \mid i \leq \tilde{\alpha} \rangle,$

$$\pi^{\mathcal{G}} = \langle \tilde{\pi}_{i, j} \mid i \leq j \leq \tilde{\alpha} \rangle, \quad F^{\mathcal{G}} = F^{P'}.$$

But then:

(3)  $q \in \mathbb{P}$

proof.

Let  $\mathcal{M}$  model  $\mathcal{L}(p')$ . Change  $\mathcal{M}$  to  $\tilde{\mathcal{M}}$  by replacing  $\dot{m}_i^{\mathcal{M}}, \dot{\pi}_{i'}^{\mathcal{M}}$  by  $\tilde{m}_i, \tilde{\pi}_{i'}$  for  $i \leq \tilde{\alpha} - i.e$

$$\dot{m}_i^{\tilde{\mathcal{M}}} = \begin{cases} \dot{m}_i^{\mathcal{M}} & \text{for } i \geq \tilde{\alpha} \\ \tilde{m}_i & \text{for } i \leq \tilde{\alpha} \end{cases}$$

$$\dot{\pi}_{i'}^{\tilde{\mathcal{M}}} = \begin{cases} \dot{\pi}_{i'}^{\mathcal{M}} & \text{for } \tilde{\alpha} \leq i' \leq j' \\ \dot{\pi}_{\tilde{\alpha}j'}^{\mathcal{M}} = \tilde{\pi}_{i', \tilde{\alpha}} & \text{for } i' \leq \tilde{\alpha} \leq j' \\ \tilde{\pi}_{i'} & \text{for } i' \leq \tilde{\alpha} \end{cases}$$

Then  $\tilde{\mathcal{M}}$  models  $\mathcal{L}(q)$ . QED (3)

But then:

(4)  $\leq$

proof.

$M^P = M^Q \uparrow (|P|+1)$ ,  $\pi^P = \pi^Q \uparrow (|P|+1)^2$  by the construction of  $q$ . But if

$\langle a, \bar{a} \rangle \in F^P$ , then, since  $P \leq P'$ ,

there is  $a'$  s.t.  $\langle a, a' \rangle \in F^{P'}$  and

$$\pi_{|P|, |P'|}^{P'} : \langle M_{|P|}^P, \bar{a} \rangle \prec \langle M_{|P'|}^{P'}, a' \rangle,$$

But then, whenever  $G \ni P'$  is  $|P|$ -generic, we have:

$$\pi_{|P'|, |P|}^G : \langle M_{|P'|}^{P'}, a' \rangle \prec \langle M, a \rangle,$$

Since  $\pi_{|P'|, |P|}^a$  extends uniquely



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to  $\sigma: \bar{N}^* \hookrightarrow N^*$  with  $\pi_{|P', |P|}^c \cup F^{P'} \subset \sigma$ ,

it follows that  $F^{P'}(a') = \sigma(a') = a$ ,

hence  $a' = \tilde{a} = \sigma^{-1}(a)$ . Then we

have  $\pi_{|P', |Q|}^g: \langle M_{|P|}^P, \tilde{a} \rangle \hookrightarrow \langle M_{|P'|}^{P'}, \tilde{a} \rangle$

by (1), where  $\langle a, \tilde{a} \rangle \in F^g$ .

□ E D (4)

It remains only to show:

(5)  $g \mid h$  is a branch in  $T'$ .

Let  $G \ni g$  be  $P$ -generic. Let

$h = h^c$ . Then  $\pi_{\tilde{a}, \omega_1}^g$  extends to a

unique  $\sigma: \bar{N}^* \hookrightarrow N^*$  s.t.  $F^g \subset \sigma$ .

Since  $p \in G$ , we know that

$h = \pi_{\tilde{a}, \omega_1}^c \circ \bar{h}$ , but  $\tilde{h} = \pi_{\tilde{a}, \tilde{a}}^c \circ \bar{h}$ .

Hence  $h = \pi_{\tilde{a}, \omega_1}^g \circ \tilde{h}$ . Since  $\tilde{h}$  is

a branch in  $\tilde{T}'$ ,  $h$  must be a

branch in  $T' = \sigma(\tilde{T}')$ .

□ E D (Claim 4)

We now prove Lemma 1.

Let  $G$  be  $P$ -generic,  $h = h^c$ . We

must show that

$$H = \{T \mid h \text{ is a branch in } T\}$$

is  $N$ -generic.

Let  $\Delta$  be dense in  $\mathbb{N}$ . It suffices to show that  $\Delta^*$  is dense in  $\mathbb{P}$ , where:

$$\Delta^* = \{p \mid \exists T \in \Delta \text{ } p \Vdash \dot{h} \text{ is a branch in } \check{T}\}$$

is dense in  $\mathbb{P}$ . Let  $r \in \mathbb{P}$ . By Claim 1

there is  $p \leq r$  s.t.  $p \in \Delta_h^0$ . Let  $T \leq T_p$

s.t.  $T \in \Delta$ . By Claim 4 there is

$p' \leq p$  s.t.  $p' \Vdash \dot{h}$  is a branch in  $\check{T}$ .

Q.E.D. (Lemma 1)

Let  $A = BA(\mathbb{N})$ ,  $B = BA(\mathbb{P})$ . We

wish to show that  $A \cong B$ . For

$a \in A$  let  $A|a$  be the restriction

of  $A$  to  $\{a' \mid a' \leq a\}$ . Then  $A|a$  is a

complete BA and  $\|_{A|a} = a$ . We also

have:  $A|a = BA(\mathbb{P}|a)$ . Similarly

for  $B$ . As a corollary of Claim 4 in

the foregoing proof we have:

Lemma 2.1 Let  $p \in \Delta_h^0$ . Then

$$B|_{[p]_{\mathbb{P}}} = A|_{[T_p]_{\mathbb{N}}}.$$

(Here  $[p]_{\mathbb{P}}$  is the smallest  $b \in B$  s.t.  $p \in b$ .

Similarly for  $[T]_{\mathbb{N}}$ .)

prf. of Lemma 2.1

Let  $G \ni p$  be IP-generic. Set:

$H_G = \{T \in \mathbb{N} \mid h^G \text{ is a branch in } T\}$ . Then

$H_G$  is  $\mathbb{N}$ -generic by Claim 4. But

$h^G = \bigcup \bigwedge H_G \in V[H_G]$  and  $\langle M_i^G \mid i \leq \omega_1 \rangle,$

$\langle \pi_{i_i}^G \mid i \leq i \leq \omega_1 \rangle$  are uniformly  $V[H_G]$ -

definable in  $M, p, h^G$ . But

then  $G$  is uniformly  $V[H_G]$ -definable

in  $M, p, H_G$ , since

$$q \in G \iff (M^q = M^G \cap (|q|+1) \wedge \pi^q = \pi^G \upharpoonright (|q|+1)^{2-1}$$

$$\wedge \bigwedge \langle a, \bar{a} \rangle \in F^q \left( \frac{\pi^G}{|q|, \omega_1} : \langle M_{|q|}^G, \bar{a} \rangle \prec \langle M, a \rangle \right).$$

Hence there is a canonical  $\check{G} \in V^{\mathbb{N}}$

s.t.  $\check{G} \upharpoonright H_G = G$ , whenever  $G \ni p$  is IP-

-generic. For  $a \in \mathbb{B}[\mathbb{P}]_{\mathbb{P}}$  set

$$\sigma(a) = \sigma(\llbracket \check{a} \cap \check{G} \neq \emptyset \rrbracket_{\mathbb{P}}) = \llbracket \check{a} \cap \check{G} \neq \emptyset \rrbracket_{\mathbb{N}}$$

$\sigma$  is easily seen to be a homomor-

phism of  $\mathbb{B}[\mathbb{P}]$  into  $\mathbb{A}[\mathbb{T}_p]$ . But

$\sigma$  is injective, since if  $\sigma(a) = \emptyset$ ,

then  $a \cap G = \emptyset$  for all IP-generic

$G \ni p$ . Hence  $a = \emptyset$ . It remains only

to show that  $\sigma$  is onto. It is enough

to show that  $[T] \in \text{rng}(\sigma)$  for

each  $T \leq T_p$  in  $\mathbb{N}$ . Let  $a = \llbracket T \in H_G \rrbracket_{\mathbb{P}}$ .

We claim:  $\sigma(a) = [T]$ , or in other words?

$\sigma(a) \cap H \neq \emptyset \iff T \in H$  for  $\mathbb{N}$ -generic  $T$  with  $T_p \in H$   
 If not there is  $T' \leq T_p$  which forces  
 the negation of this equivalence,

let  $G \ni p$  be  $\mathbb{P}$ -generic w.t.  $T' \in H_G$ .

Then  $G = \check{G}^H$ , where  $H = H_G$ . Hence

$$\sigma(a) \cap H \neq \emptyset \iff a \cap \check{G}^H = a \cap G \neq \emptyset \iff T \in H,$$

Contr! QED (Lemma 2.1)

Using this we prove:

Lemma 2.2  $A \simeq B$  ( $A = BA(\mathbb{N}), B = BA(\mathbb{P})$ )

prf.

We first note some facts about  $\mathbb{N}$ . We recall that the strict Mamba trees are dense in  $\mathbb{N}$ .

(1) Let  $T \in \mathbb{N}$  be strict. Then

$$A|[T] \simeq A$$

proof.

$A|[T] \simeq BA(\{T' \mid T' \leq T\})$ . But forcing with subtrees of  $T$  is the same as forcing with subtrees of the set  $S$  of split points in  $T$ .

$$\text{But } S \simeq 2^{<\omega_1}. \quad \text{QED(1)}$$

(2) Let  $a \in A \setminus \{\emptyset\}$ . Then  $A|a \simeq A$

prf. of (2)

$|A|a = BA(\{\tau \mid \tau \in a\})$ . But  $\{\tau \mid \tau \in a\}$  then collapses  $2^{\omega_2}$ , hence cannot satisfy the  $2^{\omega_2}$ -chain condition. Thus there is an max antichain  $\langle T_r \mid r < 2^{\omega_2} \rangle$  in  $\{\tau \mid \tau \in a\}$ .

We may w.l.o.g assume that each  $T_r$  is strict. Similarly there is such a <sup>maximal</sup> antichain  $\langle T'_r \mid r < 2^{\omega_2} \rangle$  in  $\mathbb{N}$ . Let  $\sigma_r : |A|[T_r] \xrightarrow{\sim} |A|[T'_r]$ .

Then  $a = \bigcup_r [T_r]$ ,  $\mathbb{N} = \bigcup_r [T'_r]$  and we can define  $\sigma : |A|a \xrightarrow{\sim} |A|$  by  $\sigma(b) = \bigcup_{r < 2^{\omega_2}} \sigma_r(b \cap [T_r])$ . QED(2)

Since  $\Delta_h^o$  is dense in  $\mathbb{P}$ , there is a max. antichain  $\langle P_r \mid r < 2^{\omega_2} \rangle$  in  $\mathbb{P}$  s.t.  $P_r \in \Delta_h^o$  for all  $r$ . Hence we may pick  $\sigma_r : |B|[P_r] \xrightarrow{\sim} |A|[T'_{P_r}]$

by Lemma 2.1. We then define  $\sigma : |B| \xrightarrow{\sim} |A|$  by  $\sigma(b) = \bigcup_r \sigma_r(b \cap [P_r])$ .

QED (Lemma 2.2)

We now show that, even if  $\beta > \omega_2$ , the forcing  $\mathbb{P} = \mathbb{P}_\beta$  of Example 1 is equivalent to a variant of Namba forcing. We define:

Def Let  $\beta > \omega_1$  be regular. By a Namba amoeba on  $\beta$  we mean a subtree  $T$

of  $\mathcal{P}_{\omega_2}(\beta) < \omega$  s.t. if  $s \in T$ , then

(a)  $s(i) \subset s(j)$  for  $i \leq j < |s|$

(b) If  $u \in \mathcal{P}_{\omega_2}(\beta)$ , then

$$\{t \mid s \leq_T t \wedge \forall i \ u \cap t(i) \neq \emptyset\} \neq \emptyset.$$

From now on we let  $\mathbb{N}$  be the set of Namba amoebas ordered by:

$$T \leq T' \iff T \subset T' \text{ for } T, T' \in \mathbb{N}.$$

We develop the main properties of Namba amoebas with a view to proving Lemma 3 below.

Def In any forcing extension of  $V$  we call  $h$  a meat sequence iff

$h: \omega \rightarrow \mathcal{P}_{\omega_2}(\beta)^V$ ,  $h(i) \subset h(j)$  for  $i \leq j < \omega$ ,  
and for every  $u \in \mathcal{P}_{\omega_2}(\beta)^V$  there is  $i$  s.t.  $u \subset h(i)$ .

It is easily seen that if  $G$  is  $\mathbb{N}$ -generic and  $h = \bigcup G$ , then  $h$  is a meat sequence. We shall also show that  $\mathbb{N}$  does not add new reals.

We shall prove:

Lemma 3 Let  $G$  be  $\mathbb{P}$ -generic over  $V$ .

(a)  $V[G] = V[h]$ , where  $h$  is a neat sequence.

(b) If  $h \in V[G]$  is a neat sequence,

then  $h$  is  $\mathbb{N}$ -generic over  $V$  and  $V[G] = V[h]$ .

Note We do not know whether

$$BA(\mathbb{P}) \cong BA(\mathbb{N}).$$

Def Let  $T \in \mathbb{N}$ ,  $r \in T$  is a big split point in  $T$  iff  $\text{card}\{\{u : r \hat{<} u\} \in T\} \geq \beta$ .

(1) Let  $r \in T$ . Then there is a big split point  $t \geq r$  in  $T$ .

prf. Suppose not.

By ind. on  $n$  there are fewer than  $\beta$   $t \in T$  s.t.  $r \leq_T t$  and  $|t| \leq n$ . Hence

$T_{(r)} < \beta$ , which contradicts (b)

in the definition of Namba amoeba.

(Here  $T_{(r)} = T \setminus \{t \mid r \leq_T t \vee t \leq_T r\}$ )

As in the case of ordinary Namba trees we get an amalgamation lemma for Namba amoebas:

Def By an amalgamation sequence we mean a sequence  $\langle \langle T_u, \tau_u \rangle \mid u \in \beta^{<\omega} \rangle$  s.t.

(a)  $T_u \in \mathbb{N}$  and  $\tau_u \in T_u$  is a big split pt.

in  $T_u$  s.t.  $T_{u \langle i \rangle} \subset T_u(\tau_u)$

(b)  $\tau_u \subseteq \tau_v$  if  $u \subseteq v$

(c) There is a 1-1 enumeration  $\langle \tau_u^i \mid i < \beta \rangle$  of the immediate successors of  $\tau_u$

s.t.  $\tau_u^i \leq_{T_u} \tau_u \langle i \rangle$  for  $i < \beta$ .

(d) If  $v \in \mathbb{N}_{\omega_2}^{(m)}$ , then  $\forall i \forall m \ v \subset \tau_u \langle i \rangle^{(m)}$ .

(2) Let  $\langle \langle T_u, \tau_u \rangle \mid u \in \beta^{<\omega} \rangle$  be an amalgamation sequence. Then

$$\bigcap_{m < \omega} \bigcup_{|u|=m} T_u = \bigcup_{h: \omega \rightarrow \beta} \bigcap_{m < \omega} T_{hm}$$

is a Namba amoeba.

Note If such a sequence is defined for  $|u| < m$ , it can be extended to  $|u| \leq m$ .

Note At  $T^* = \bigcap_{m < \omega} \bigcup_{|u|=m} T_u$ , then

the  $\tau_u$  ( $u \in \beta^{<\omega}$ ) are exactly the split points of  $T^*$ . Hence every split pt. of  $T^*$  is a big split pt.

Using this we get:



(3) Let  $G$  be  $\aleph$ -generic over  $V$ , Then  $\#(\omega)$  is absolute in  $V[G]$ .

Prf.

Let  $\Vdash f: \check{\omega} \rightarrow \check{2}$ . It suffices to show:

Claim  $\Delta = \{T \mid \forall f \ T \Vdash \check{f} = \check{f}^\vee\}$  is dense in  $\aleph$

Let  $T \in \aleph$ . We first construct an amalgamation sequence  $\langle T_u, \check{\alpha}_u \rangle (u \in \beta^{<\omega})$

s.t.  $T_u \leq T$ ,  $T_u \Vdash \check{f}(\check{\alpha}_u) = \check{n}$  for some  $n$ .

(We construct  $\langle T_u, \check{\alpha}_u \rangle (u \in \beta^n)$  by induction on  $n$ .) Let  $T^* = \bigcap_{n \in \omega} \bigcup_{|u|=n} T_u$ .

Then  $T^* \Vdash \check{f}(\check{\alpha}_u) = \check{n}$  for some  $n < \omega$

for all  $u \in \beta^{<\omega}$ . For each  $f: \omega \rightarrow 2$  define a game  $G_f$  by:

I chooses  $\check{\sigma}_i \in \mathcal{P}(\beta)_{\omega_2}$  in the  $i$ -th step s.t.  $\check{\sigma}_i \supset \check{\sigma}_h$  for all  $h < i$ .

II then chooses  $\check{\zeta}_i < \beta$  s.t.  $\check{\sigma}_i \subset \check{\alpha}_{\check{\zeta}_0 \dots \check{\zeta}_i} (h)$  for an  $h < |\check{\alpha}_{\check{\zeta}_0 \dots \check{\zeta}_i}|$ .

II wins iff  $T^* \Vdash \check{f}(\check{\alpha}_{\check{\zeta}_0 \dots \check{\zeta}_i}) = \check{f}(i)^\vee$

for all  $i < \omega$ .

Clearly, I can only win at a finite stage. Hence one player has a winning strategy.

Subclaim II has a winning strategy.

Suppose not. For each  $f: \omega \rightarrow 2$  let  $S_f$  be I's winning strategy. Set:

$$S(\bar{\alpha}_0, \dots, \bar{\alpha}_{i-1}) = \bigcup_{f: \omega \rightarrow 2} S_f(\bar{\alpha}_0, \dots, \bar{\alpha}_{i-1}).$$

Then

$S(u) \in \mathcal{P}_{\omega_2}(\beta)$  for  $u \in \beta^{<\omega}$ , since  $2^\omega = \omega_1$ .

But then S wins every  $G_f$ . Now let

$\bar{\alpha}_i =$  the least  $\bar{\alpha}$  s.t.  $S(\bar{\alpha}_0, \dots, \bar{\alpha}_{i-1}) \subset \mathcal{P}_{\bar{\alpha}_0, \dots, \bar{\alpha}_{i-1}}(\bar{\alpha})$ .

Let  $f(m) =$  that  $m$  s.t.  $T^* \upharpoonright_{(\mathcal{P}_{\bar{\alpha}_0, \dots, \bar{\alpha}_{m-1}})}$  If  $f^{\check{v}}(m) = \check{m}$ ,

Then II wins  $G_f$  against S with the play  $\langle \bar{\alpha}_i \mid i < \omega \rangle$ . Contr! QED (Subclaim)

Now let S be II's winning strategy for  $G_f$ . Let  $\tilde{T}$  be the maximal Namba amoeba

(i.e. the set of all  $\sigma: m \rightarrow \mathcal{P}_{\omega_2}(\beta)$  s.t.  $m < \omega$  and  $\sigma_i \subset \sigma_j$  for  $i \leq j < m$ ). Then  $\tilde{T}$  is the tree

of all possible plays by I. For  $\sigma = \langle \sigma_0, \dots, \sigma_{m-1} \rangle \in \tilde{T}$  s.t.  $S(\sigma) = \langle S(\sigma_0, \dots, \sigma_{j-1}) \mid j < m \rangle$ .

Then  $\check{A}_{S(\sigma)}$  is a point of length  $|\sigma|$  in  $T^*$ .

It is easily seen that

$$T' = \left\{ \check{a} \mid \forall \sigma \in \tilde{T} \check{a} \in \frac{\check{a}}{T^*} \check{A}_{S(\sigma)} \right\}$$

is a Namba amoeba. But

$$T' \upharpoonright_{(\mathcal{P}_{S(\sigma)})} \subset T^* \upharpoonright_{(\mathcal{P}_{S(\sigma)})} \quad \text{If } f^{\check{v}}(1) = f^{\check{v}}(1),$$

Hence  $T' \upharpoonright_{(\mathcal{P}_{S(\sigma)})} = T^* \upharpoonright_{(\mathcal{P}_{S(\sigma)})}$ . QED (3)

We are assuming  $2^\omega = \omega_1$  and  $2^\beta = \beta$  in  $V$ .  
 If  $G$  is  $\aleph$ -generic over  $V$ , then  $\beta$  has  
 cofinality  $\omega$  in  $V[G]$ , where  $\aleph(\omega)$  is  
 absolute in  $V[G]$ . Hence  $2^\beta$  is not a  
 cardinal in  $V[G]$ , by §4 Lemma 4.1  
 Since  $\aleph = 2^\beta$  in  $V$ , however,  $2^{\beta^+}$  remains  
 regular. Hence  $\text{cf}(2^{\beta^+}) = \omega_1$  in  $V[G]$

We note that we could also have used  
 the set of strict Namba amoebas in the  
 above proof, where we define:

Def  $T$  is a strict Namba amoeba iff  
 $T$  is a Namba amoeba and whenever  $\iota$  is  
 a split point of  $T$ , then for each  
 $\sigma \in \aleph_{\omega_2}(\beta)$  we have  $\sigma \subset u$  for a  $u$  s.t.  
 $\iota(u) \in T$ .

Open question Does forcing with strict  
 Namba amoebas yield the same model?  
 We doubt it.

Now let  $IP = IP_{\mathcal{L}}$  be as in Example 1.

Recalling the definition of neat sequence we first show:

(4) Let  $G$  be  $IP$ -generic over  $V$ , Then  $V[G]$  contains a neat sequence.

pf.

Letting  $M^G = \langle M_i \mid i \leq \omega_1 \rangle$ ,  $\pi^G = \langle \pi_i \mid i \leq \omega_1 \rangle$ , we know that  $\langle M_{\omega_1}, \pi_{\omega_1} \rangle$  is the liftup of  $\langle M_0, \pi_{0, \omega_1} \upharpoonright M_{\omega_2}^{M_i} \rangle$ . Hence each  $x \in M = M_{\omega_1}$  is  $M$ -definable in parameters from  $\omega_1 \cup \text{rng}(\pi_{0, \omega_1})$ .

Let  $\langle u_i \mid i < \omega \rangle \in V$  s.t.  $u_i$  is finite and

$M_0 = \bigcup_i u_i$ ,  $u_i \subseteq u_j$  for  $i \leq j < \omega$ . Set:

$v_i =$  the set of  $\bar{z} < \beta$  s.t.  $\{\bar{z}\}$  is  $M$ -definable in parameters from  $\omega_1 \cup \pi_{0, \omega_1}^{-1} u_i$ .

Then  $\langle v_i \mid i < \omega \rangle$  is a neat sequence.

(To see this, note that if  $v \in \mathcal{P}_{\omega_2}^V(\beta)$ , then  $\{v\}$  is  $M$ -definable in  $\omega_1 \cup u_i$  for some  $i$ , parameters from for some  $i$ .

Hence  $v \subseteq v_i$ . QED (4)

We also note:

(5) Let  $h = \langle v_i \mid i < \omega \rangle \in V[G]$  be a neat sequence. Then  $V[G] = V[h]$

proof.

Pick  $\bar{z} < \omega_1$  s.t.  $v_i \in \text{rng}(\pi_{\bar{z}, \omega_1}^G)$  for  $i < \omega$ .

Then  $\text{rng}(\pi_{\bar{z}, \omega_1}^G) =$  the smallest  $X \prec M$

s.t.  $\bar{z} \cup \{v_i \mid i < \omega\} \subset X$ .

But  $M^G \nmid ((\omega_1 + 1) - 3)$ ,  $\pi^G \nmid ((\omega_1 + 1) - 3)^2$  are definable from  $M$ ,  $\text{rng}(\pi_{\bar{3}, \omega_1}^G)$ . Hence  $M^G, \pi^G \in V[\mathbb{H}]$ , where  $G$  is definable from  $M^G, \pi^G$  by §4 Cor. 2.8. Hence  $V[G] = V[\mathbb{H}]$ . QED (5)

Def A neat sequence  $\bar{h}$  is  $\mathbb{N}$ -generic over  $V$  iff  $G_{\bar{h}} = \{T \in \mathbb{N} \mid \bar{h} \text{ is a branch in } T\}$  is  $\mathbb{N}$ -generic over  $V$ .

(Note If  $\bar{h}$  is  $\mathbb{N}$ -generic, then  $\bar{h} = \bigcup G_{\bar{h}}$ . Hence  $V[G_{\bar{h}}] = V[\bar{h}]$ . Conversely, if  $G$  is  $\mathbb{N}$ -generic and  $\bar{h} = \bigcup G$ , then  $G = G_{\bar{h}}$ .)

Lemma 3(a) follows by (4), (5), as does the last clause in Lemma 3(b). We must still prove:

Sublemma 3.1 Let  $G$  be  $\mathbb{P}$ -generic over  $V$  and  $\bar{h} \in V[G]$  a neat sequence. Then  $\bar{h}$  is  $\mathbb{N}$ -generic over  $V$ .

We shall closely imitate the proof of Lemma 1.

Let  $\bar{h} = \bar{h}^G$ . We assume wlog that  $\bar{h}$  is a neat sequence.

Claim 1  $\Delta_{\bar{h}}^{\circ}$  is dense in  $\mathbb{P}$ , where

$\Delta_{\bar{h}}^{\circ} =$  the set of  $p \in \mathbb{P}$  s.t.  $|p| = \omega_1^{M_{|p|}^{\mathbb{P}}}$  and  $\forall \bar{h} \in M_{|p|}^{\mathbb{P}} \quad p \Vdash \bar{h} = \pi_{|p|, \omega_1}^{\circ} \circ \bar{h}^{\vee}$ .

proof of Claim 1: Exactly as before.

Def Let  $p \in \Delta_h^0$ . Set:

$$T^p = \{t \in \mathcal{P}_{\omega_2}(\beta) < \omega \text{ s.t. } [\varphi_t] \neq \emptyset \text{ in } BA(\mathbb{P}),$$

$$\text{where } \varphi_t = (\check{p} \in G \wedge \bigwedge_{i < |t|} h(i^v) = \check{r}_i)$$

Claim 2  $T^p \in \mathbb{N}$  for  $p \in \Delta_h^0$ .

prf. Suppose not.

Let  $T = T^p$ . Then there is  $t \in T$  and  $v \in \mathcal{P}(\beta)_{\omega_2}$  s.t. for all  $t' \geq t$  we have  $\Lambda_{|t'|} v \notin t'$ .

Let  $G$  be  $\mathbb{P}$ -generic s.t.  $G \cap [\varphi_t] \neq \emptyset$ .

Then  $p \in G$  and  $h \upharpoonright |t| = t$ , where  $h = h \circ G$ . But  $h$  is neat. Hence there is  $j' \geq |t|$  s.t.  $v \subset h(j')$ . Let  $t' = h \upharpoonright j'+1$ . Then  $t' \in T^p$  and  $v \subset t'$ . Contr!

QED (Claim 2)

In the following let  $p \in \Delta_h^0$ ,  $\alpha = |p|$ ,

$$\text{where } p \upharpoonright \frac{\alpha}{\omega_2} \circ \check{h} = h.$$

Def For  $u \in M$  s.t.  $\bar{u} \leq \omega_1$  set:

$M^u =$  the smallest  $X < L_\nu^A$  s.t.  $u \subset X$ , where  $\nu = \sup(u)$  and  $M = L_\beta^A$ .

Similarly for  $u \in \bar{M} = M_a^p$  s.t.  $\bar{u} \leq \alpha$

we set  $M^u =$  the smallest  $X < L_\nu^{\bar{A}}$  s.t.  $u \in X$ , where  $\nu = \sup(u)$ ,  $\bar{M} = L_\beta^{\bar{A}}$ .

Claim 3 Let  $\bar{u} = \bar{h}(i)$ ,  $u = h(i)$ , where  $i \in T^P$ .

Set:  $f =$  the  $M$ -least  $f: \omega_1 \xrightarrow{\text{onto}} M^u$

$\bar{f} =$  "  $\bar{M}$ - "  $f: \alpha \xrightarrow{\text{onto}} \bar{M}^{\bar{u}}$

Set  $\pi = \pi^{\bar{u}u} = \{ \langle \bar{f}(\bar{z}), f(z) \rangle \mid \bar{z} < \alpha \}$ .

Let  $\langle a, \bar{a} \rangle \in F^P$ . Then

$\pi: \langle \bar{M}^{\bar{u}}, \bar{a} \cap \bar{M}^{\bar{u}} \rangle \prec \langle M^u, a \cap M^u \rangle$ .

prf. Exactly as in the proof of Lemma 1

Claim 4 Let  $T \subseteq T^P$  in  $\mathbb{N}$ . There is  $q \leq p$  s.t.  $q \Vdash h$  is a branch in  $\check{T}$ .

prf.

We imitate the earlier proof. Let

$N^* = \langle H_\delta, M, <, p, T, IP, IN, \dots \rangle$  where

$\delta > \beta^+$ , let  $p' \leq p$  conform to  $N^*$ . Set:

$\bar{N}^* = \bar{N}^*(p', N^*) = \langle \bar{N}, \bar{M}, <, \bar{p}, \bar{T}, \bar{IP}, \bar{IN}, \dots \rangle$

Let  $\bar{H}$  be  $\bar{N}$ -generic over  $\bar{N}^*$ , s.t.  $\bar{T} \in \bar{H}$ .

Set  $\tilde{h} = \cup \bar{H}$ . Then  $\tilde{h}$  is a meet sequence

in  $\bar{N}^*$ . But Claim 3 holds relativized

to  $\bar{N}^*$ . Thus if  $\bar{u} = \bar{h}(i)$ ,  $\tilde{u} = \tilde{h}(i)$ , we

can define  $\pi^{\bar{u}u}$  as in Claim 3. It

is easily seen that if  $\bar{h}(i) \in \bar{M}^{\bar{h}(i)}$ ,

then  $\pi^{\bar{h}(i), \tilde{h}(i)} \subset \pi^{\bar{h}(i), \tilde{h}(i)}$ .

But  $\bigcup_i \bar{M}^{\bar{h}(i)} = \bar{M}$  since  $\bigcup_i \bar{h}(i) = \bar{\beta}$ .

Similarly  $\bigcup_i \tilde{M}^{\tilde{h}(i)} = \tilde{M}$ , Hence we have

$$\pi = \bigcup_i \pi^{\tilde{h}(i), \tilde{h}(i)} : \langle \tilde{M}, \tilde{a} \rangle \leq_{\Sigma_0} \langle \tilde{M}, \tilde{a} \rangle$$

cofinally for all  $\langle \tilde{a}, \tilde{a} \rangle \in F\tilde{P}$ . Since

$\langle \tilde{M}, \tilde{a} \rangle$  is a ZFC model, we conclude

(1)  $\pi \upharpoonright \langle \tilde{M}, \tilde{a} \rangle \leq \langle \tilde{M}, \tilde{a} \rangle$  for all  $\langle \tilde{a}, \tilde{a} \rangle \in F\tilde{P}$ ,

(2)  $\text{rng}(\pi) =$  the smallest  $X \subseteq \tilde{M}$  s.t.  
 $\text{rng}(\tilde{h}) \cap X \subseteq X$ .

The proof of (2) is as before.

Now let  $\tilde{\alpha} = |p'| = \omega_1^{\tilde{N}^*}$ . Since  $\tilde{H}$  is  $\tilde{M}$ -  
 -generic over  $\tilde{N}^*$  and  $\tilde{h} = \bigcup \tilde{H}$ ,

$\tilde{N}^*[\tilde{h}]$  is a ZFC<sup>-</sup> model. For  $\tilde{N}^*[\tilde{h}]$

we define  $\langle \tilde{M}_i \mid i \leq \tilde{\alpha} \rangle, \langle \tilde{\pi}_i \mid 1 \leq i \leq \tilde{\alpha} \rangle$

exactly as before, noting that

$$\tilde{M}_i = M_i^P, \quad \tilde{\pi}_i = \pi_i^P \quad \text{for } i \leq \tilde{\alpha}.$$

As before, we define  $q$  as follows:

$$M^q = \langle \tilde{M}_i \mid i \leq \tilde{\alpha} \rangle, \quad \pi^q = \langle \tilde{\pi}_i \mid 1 \leq i \leq \tilde{\alpha} \rangle,$$

$F^q = F^P$ . Just as before we then get:

(3)  $q \in IP$

(4)  $q \leq p$

(5)  $q \Vdash \tilde{h}$  is a branch in  $T$ .

QED (Sublemma 3.1) Lemma 3 then

follows exactly as Lemma 1 did, QED



We now again let  $\beta = \omega_1$ . There is a variant of Namba forcing which Shelah calls  $Nm'$  and we shall consequently call  $IN'$ . We shall show that  $IN'$  is reshapable and that, in fact,  $BA(IN') \simeq BA(IP)$  where  $IP$  is a variant of Example 1. We first define  $IN'$  and develop its properties.

Def  $IN'$  = the set of  $T \in IN$  s.t.

for some  $s \in T$  we have:

- $T = T_{(s)}$

- If  $t \in T$  and  $|t| \geq |s|$ , then  $t$  has  $\omega_2$  many immediate successors.

$s$  is then unique and is called the stem of  $T$ , denoted by  $stm(T)$ .

(Thus  $T$  consists of a single stem followed by a tree isomorphic to  $\omega_2^{<\omega}$ .)

$AIG$  is  $IN'$ -generic and  $b = \bigcup AIG$ , then  $b$  is a branch in  $\omega_2^{<\omega}$  and

$$G = G_b = \{ T \in IN' \mid b \text{ is a branch in } T \}$$

We then say that  $b$  is  $\mathbb{N}'$ -generic.

It is known that forcing with  $\mathbb{N}'$  adds no new reals. Magidor and Shelah have shown, however, that forcing with  $\mathbb{N}'$  adds no  $\mathbb{N}$ -generic sequence  $b: \omega \rightarrow \omega_1$  and conversely. We sketch the argument:

Lemma 4.1 Let  $b$  be  $\mathbb{N}'$ -generic over  $V$ .  
Let  $F \in V$ ,  $F: \omega_2 \rightarrow \omega_2$ . Then

$$(*) \quad \forall n \ \exists i \geq n \ \delta_i > \sup_{h < i} F(\delta_h),$$

where  $b = \langle \delta_i \mid i < \omega \rangle$ .

proof.

We show that the set of conditions which force  $(*)$  is dense in  $\mathbb{N}'$ . Let  $T \in \mathbb{N}'$ ,  $\kappa = \text{stem}(T)$ ,  $n = |\kappa|$ . Set

$$T' = \{ t \in T \mid \exists i \geq n \ \kappa(i) > \sup_{h < i} F(\kappa(h)) \}.$$

Then  $T' \in \mathbb{N}'$ ,  $T' \leq T$  in  $\mathbb{N}'$  and every branch thru  $\mathbb{N}'$  satisfies  $(*)$

QED(4.1)

Lemma 4.2 Let  $b = \langle \delta_i \mid i < \omega \rangle$  be  $\mathbb{N}$ -generic over  $V$ . For some  $F: \omega_2 \rightarrow \omega_2$  in  $V$  there are arbitrarily large  $c < \omega$  s.t.

$$\delta_c \leq \sup_{h < c} F(\delta_h).$$

prf. of 4.2

Let  $T \in \mathbb{N}$  be (w.l.o.g.) a strict Namba tree. We construct  $T' \leq T$ , all of whose branches must satisfy the conclusion.

As usual, let  $N = \langle H_{\omega_3}, M, <, \nu \rangle$ , where  $M = L_{\omega_2}^A = H_{\omega_2}$  and  $<$  well orders  $N$ .

For  $\bar{3} < \omega_2$  set:

$Y_{\bar{3}} =$  the least  $Y < N$  s.t.  $\omega_1 \cup Y$

$$F(\bar{3}) = \omega_2 \cap Y_{\bar{3}}$$

Then  $\bar{3} < F(\bar{3}) < \omega_2$ . Note that if

$\alpha \in F(\bar{3})^{<\omega}$  is a split pt. of  $T$ , then

$$(1) \sup \{ \beta < F(\bar{3}) \mid \alpha \langle \beta \rangle \in T \} = \bar{3}$$

For  $\alpha \in T$  let  $\langle l_0^\alpha, \dots, l_{m_\alpha-1}^\alpha \rangle$  be the monotone enumeration of the  $l < |\alpha|$

s.t.  $\alpha \langle l \rangle$  is a split point of  $T$ .

Set:  $T' =$  the set of  $\alpha \in T$  s.t.

$$\alpha \langle l_i^\alpha \rangle \leq \sup_{h < l_i^\alpha} F(\alpha \langle h \rangle)$$

whenever  $i$  is odd.

Claim  $T' \in \mathbb{N}$ .

prf.

Clearly  $\emptyset \in T'$ . Now let  $\alpha \in T'$ .

We show that  $\alpha$  can be extended to  $t \in T'$  which has  $\omega_2$  immediate successors in  $T'$ .

Case 1  $m_\alpha$  is even.

Let  $t \geq \alpha$  in  $T$  be minimal s.t.  $t$  is a split point in  $T$ . Then all immediate successors of  $t$  lie in  $T'$ .

Case 2  $m = m_\alpha$  is odd.

Let  $t \geq \alpha$  in  $T$  be as above. Let  $d = \sup_{h < |t|} F(t(h)) = F(\sup_{h < |t|} t(h))$ .

Then  $t \in d^{\omega}$  and there is  $i < d$  s.t.  $t^{(i)} \in T$  by (1). Hence,

letting  $t' = t^{(i)}$  we have:  
 $l_m^{t'} = |t'|$  and  $t^{(i)}(l_m^{t'}) \leq \sup_{h < |t'|} F(t'(h)) = d$ . Thus  $t' \in T'$  and  $m_{t'} = m + 1$  is even. We can then apply Case 1.

QED (Claim)

Thus  $T' \leq T$  in  $\mathbb{N}$  has the desired property. QED (4.2)

We know, however, that if  $b$  is  $\mathbb{N}$ -generic then every  $b' \in V[b]$  which is a cofinal  $\omega$ -sequence in  $\omega_2^V$  is  $\mathbb{N}$ -generic. Hence:

Lemma 4.3 Let  $b$  be  $\mathbb{N}$ -generic. Then  $V[b]$  contains no  $\mathbb{N}'$ -generic sequence.

But then we get the converse:

Lemma 4.4 Let  $b'$  be  $\mathbb{N}'$ -generic. Then  $V[b']$  contains no  $\mathbb{N}$ -generic sequence.

proof.

Let  $b \in V[b']$  be  $\mathbb{N}$ -generic. Let  $f \in V[b]$  biject  $\omega_1$  onto  $\omega_2^V$ . Then

$f^{-1} \circ b' \in H_{\omega_1} \subset V$ . But then

$b' = f \circ (f^{-1} \circ b) \in V[b]$ , contradicting

Lemma 4.3. QED (4.4).

We now develop some other basic properties of  $\mathbb{N}'$ . We have a weak amalgamation lemma:

Lemma 4.5 Let  $T \in \mathbb{N}'$ ,  $\kappa = \text{stem}(T)$ ,  
 let  $\langle T_u \mid u \in \omega_2^{<\omega} \rangle$  be a t.s.,  
 $T_u \in \mathbb{N}'$  and, letting  $\kappa_u = \text{stem}(T_u)$ ,  
 we have:  $T_\emptyset = T$ ,  $|\kappa_u| = |\kappa| + u$ ,

$T_{u \langle i \rangle} \subset T_u$  for  $i < \omega_2$ ,

~~$\kappa_{u \langle i \rangle} \neq \kappa_{u \langle j \rangle}$  for  $i < j < \omega_1$ .~~

Then  $T' \in \mathbb{N}'$ , where

$$T' = \bigcap_{m < \omega} \bigcup_{|u|=m} T_u = \bigcup_{f: \omega \rightarrow \omega_2} \bigcap_{m < \omega} T_{f \upharpoonright m}$$

The proof is left to the reader.

We also have the refinement

lemma:

Lemma 4.6 Let  $T \in \mathbb{N}'$ . Let  $f: T \rightarrow \omega_1$ .

There is  $T' \leq T$  in  $\mathbb{N}'$  s.t.,

$|z| = |z'| \rightarrow f(z) = f(z')$  for all  $z, z' \in T'$ ,

Proof.

For each  $g: \omega \rightarrow \omega_1$  we play a game

$G_g$  defined by Shelah: let  $s = \text{stem}(T)$ ,

At the  $i$ -th move, player I picks an  $\alpha_i < \omega_2$ . Player II must then pick a  $\beta_i$  s.t.  $\beta_i \geq \alpha_i$  and  $\lambda \langle \beta_{0,i}, \beta_i \rangle \in T$  and  $f(\lambda \langle \beta_{0,i}, \beta_i \rangle) = g(i)$ . If at any point II cannot move, then I wins.

Otherwise I wins. Thus one of the players has a winning strategy. Claim There is  $g$  for which II has a winning strategy.

pf. Suppose not.

Let  $S_g$  be I's winning strategy for the game  $G_g$ . Set  $S(t) = \bigcup_{g: \omega \rightarrow \omega_q} S_g(t)$ .

Then  $S(t) < \omega_2$ . (We assume of course  $2^\omega = \omega_1$ .) Then  $S$  wins all of the games. Now pick successively

$\beta_i$  ( $i < \omega$ ) s.t.  $\lambda \langle \beta_{0,i}, \beta_i \rangle \in T$  and  $\beta_i > S(\lambda \langle \beta_{0,i}, \beta_{i-1} \rangle)$ . Set

$g(i) = f(\beta_i)$ . This play wins  $G_g$ , defeating  $S$ . Contr! QED(Claim)

Let  $S$  be a winning strategy for  $\text{II}$  for  $G_{\vec{d}}$ .  
 Let  $T'$  be the tree of all  $t \in \mathcal{N}^S(\vec{d})$   
 where  $\vec{d}$  is any finite sequence of plays  
 by  $\text{I}$ . Then  $T' \leq T$  has the desired  
 property. QED (4, 6)

(Note. Using the weak amalgamation  
 lemma and refinement lemma in tandem,  
 it is not too hard to show that  $\mathcal{N}'$   
 adds no new reals.)

The following can be regarded as a  
 strengthening of Lemma 4.1:

Lemma 4.6 Let  $W$  be a transitive  $\text{ZF}C^-$   
 model s.t.  $2^{\omega} = \omega_1$  &  $2^{\omega_1} = \omega_2$  in  $W$  and  
 $d = (2^{\omega_2})^W$  exists and is countable in  $V$ .  
 Let  $\bar{\mathcal{N}} = \mathcal{N}'^W$ . Let  $F: \omega_2^W \rightarrow \omega_2$ . For  
 each  $T \in \bar{\mathcal{N}}$  there is an  $\mathcal{N}$ -generic  
 $G \ni T$  s.t. for  $b = \langle \delta_i : i < \omega \rangle = \bigcup G$ :

$$\forall m \ \exists i \geq m \ \delta_i > \sup_{h < i} F(\delta_h).$$

(Note. We do not require  $FEW$ )

(Note. If  $FEW$  it follows from this  
 that  $\prod_{\bar{\mathcal{N}}} \forall m \ \exists i \geq m \ \delta_i > \sup_{h < i} F^{\vee}(\delta_h^i).$ )



Lemma 4.6 follows from:

Lemma 4.7 Let  $T \in \mathbb{N}'$ ,  $s = \text{stem}(T)$ ,  
 $n = |s|$ . Let  $\Delta$  be dense in  $\mathbb{N}'$ . There  
 is  $T' \leq T$  in  $\mathbb{N}'$  with  $s' = \text{stem}(T')$   
 s.t.  $\wedge i \geq n (i < |s'| \rightarrow s'(i) > \sup_{h < i} F(s'(h)))$ ,  
 and  $T' \in \Delta$ .

proof.

For  $t \in T$ ,  $|t| \geq n$  define:

$$f(t) = \begin{cases} 1 & \text{if there is } T' \in \Delta \text{ with } T' \leq T \text{ and} \\ & t = \text{stem}(T') \\ 0 & \text{if not.} \end{cases}$$

By the refinement lemma there is  $T' \leq T$   
 s.t.  $\text{stem}(T') = s$  and  $f(t) = g(|t|)$  for

all  $t \in T'$  s.t.  $|t| \geq n$ , (here  $g: \omega \rightarrow \omega_2$ ).

But then there is  $m \geq n$  s.t.  $g(m) = 1$ ,

since there is certainly a  $T'' \leq T'$  s.t.

$T'' \in \Delta$ . We can certainly pick

$t \in T'$  s.t.  $|t| = m$  and

$$\wedge i \geq n (i < m \rightarrow t(i) > \sup_{h < i} F(t(h))).$$

Since  $f(t) = 1$  there is  $T' \leq T$  s.t.

$t = \text{stem}(T')$  and  $T' \in \Delta$ .

□ E D (4.7)

We now prove:

Lemma 5  $\mathcal{N}'$  is reshapable.

The proof will stretch over many sublemmas. In the course of proving it we shall develop a new concrete example of a resolvable forcing - to wit:

Example 6 Let  $\beta = \omega_2$ . (Hence  $2^\omega = \omega_1$  and  $2^{\omega_1} = \omega_2$ .) Let  $\mathcal{L}$  consist of the basic axioms together with:

(a)  $\dot{B} = \langle \delta_i \mid i < \omega \rangle$  is cofinal in  $\omega_2$

(b)  $\text{rng}(\pi_{i, \omega_1}^i) =$  the smallest  $X < M$  s.t.

$$\dot{B} \cup \{ \dot{\alpha}_h \mid h < \omega \} \subset X \quad \text{for } i < \omega_1$$

(c)  $\forall m < \omega \wedge i \geq m (i < \omega \rightarrow \delta_i > \sup_{h < i} F(h))$

for all  $F: \omega_2 \rightarrow \omega_2$ .

(Note that by (b),  $M, \pi$  are definable from  $\dot{B}$ )

We first prove:

Lemma 5.1  $\mathcal{L}$  is consistent.

prf.

Let  $Y < N$  be countable,  $\sigma: \bar{N} \xrightarrow{\sim} X$ , where  $\bar{N}$  is transitive. (We recall

that  $N = \langle H_{\omega_3}, M, <, \dots \rangle$  where

$$M = L_{\omega_2}^A = H_{\omega_2} \text{ and } < \text{ well adm } N, \}$$

Then  $\sigma: \bar{N} \prec N$ . Let  $\sigma(\bar{M}) = M$ . Choose  $\langle \bar{\delta}_i \mid i < \omega \rangle$  cofinal in  $\bar{\beta} = \sigma^{-1}(\omega_2)$  s.t. for all  $F \in \bar{N}$ ,  $F: \bar{\beta} \rightarrow \bar{\beta}$  there is  $n < \omega$  s.t.  $\bigwedge i \geq n \bar{\delta}_i > \sup_{h < i} F(\bar{\delta}_h)$  and each  $\bar{\delta}_i$  has the form  $\langle \gamma_i, \delta_i \rangle$ , where  $\gamma_i < \bar{\alpha} = \sigma^{-1}(\omega_1)$  and  $\sup_{i < \omega} \gamma_i = \omega_1$ . (This is possible since  $\bar{N}$  is countable.) Then  $\bar{M} =$  the smallest  $X \prec \bar{M}$  s.t.  $\bar{B} = \langle \bar{\delta}_i \mid i < \omega \rangle \subset X$ . Set:

$\langle \tilde{\sigma}, \tilde{N} \rangle =$  the lift up of  $\bar{N}$  by  $\sigma \upharpoonright \bar{M}$ . Then  $\tilde{\sigma}: \tilde{N} \prec \tilde{N}$  cofinally and there is  $\mu: \tilde{N} \prec N$  s.t.  $\mu \circ \tilde{\sigma} = \sigma$ . Let  $\tilde{\mathcal{L}}$  be defined on  $\tilde{N}$  as  $\mathcal{L}$  was defined on  $N$ . Then  $\mu: \langle \tilde{N}, \tilde{\mathcal{L}} \rangle \prec \langle N, \mathcal{L} \rangle$  and it suffices to show:

Claim  $\tilde{\mathcal{L}}$  is consistent.

Set  $\tilde{B} = \tilde{\sigma} \upharpoonright \bar{B} = \langle \tilde{\delta}_i \mid i < \omega \rangle$ . Then  $\tilde{B}$  is cofinal in  $\tilde{\beta} = \tilde{\sigma}(\bar{\beta}) = \mu^{-1}(\omega_2) = \sup \sigma \upharpoonright \bar{\beta}$ . Define

$$\tilde{M} = \langle \tilde{M}_i \mid i \leq \omega_1 \rangle, \tilde{\pi} = \langle \tilde{\pi}_i \mid i \leq i \leq \omega_1 \rangle$$

as follows:

Define  $X_i, \alpha_i$  ( $i \leq \omega_1$ ) by:

$$X_i = \text{the smallest } X \prec \tilde{M} = \tilde{\sigma}(\bar{M}) \text{ s.t.} \\ \tilde{B} \cup \{\alpha_i \mid h < i\} \subset X$$

$$\alpha_i = \omega_1 \cap X_i \text{ (hence } \alpha_i \text{ is transitive).}$$

$$\text{Set: } \tilde{\pi}_{i, \omega_1} : \tilde{M}_i \xrightarrow{\sim} X_i, \tilde{\pi}_{i, j} = \tilde{\pi}_{j, \omega_1}^{-1} \circ \tilde{\pi}_{i, \omega_1}$$

for  $i \leq j \leq \omega_1$  (Hence  $\tilde{M}_0 = \bar{M}, \tilde{\pi}_{0, \omega_1} = \sigma \upharpoonright \bar{M}$ .)

Clearly  $\tilde{\sigma}, \tilde{N}, \tilde{B} \in \mathcal{N}$ . It suffices to show:

Claim  $\langle \mathcal{N}, \tilde{M}, \tilde{\pi}, \tilde{B} \rangle$  models  $\tilde{\mathcal{L}}$ .

The only problematical verification is axiom (c). Let  $F \in \tilde{N}, F: \tilde{\beta} \rightarrow \tilde{\beta}$ .

Since  $\tilde{N}$  is the liftup of  $\bar{N}$  by  $\sigma \upharpoonright \bar{M} : \bar{M} \prec \tilde{M} = \bigcup_{u \in \bar{M}} \sigma(u)$ , and

$\bar{\alpha} = \omega_1^{\bar{M}}$  is the largest cardinal in  $\bar{M}$ ,

it follows that

$$\tilde{N} = \bigcup \{ \sigma(u) \mid u \in \bar{N} \wedge \text{card}(u) \leq \bar{\alpha} \text{ in } \bar{N} \}$$

Hence  $F \in \tilde{\sigma}(u)$  where  $\text{card}(u) \leq \bar{\alpha}$  in  $\bar{N}$ .

Let  $\langle F_i \mid i < \bar{\alpha} \rangle \in \bar{N}$  enumerate the

$$F \in u \cup \{id \upharpoonright \bar{\beta}\} \text{ s.t. } F: \bar{\beta} \rightarrow \bar{\beta}$$

$$\text{Set: } \bar{F}^*(\bar{\zeta}) = \text{lub}_{i < \bar{\zeta}} F_i(\bar{\zeta}). \text{ Let } n < \omega$$

$$\text{s.t. } \bigwedge i \geq n \bar{\delta}_i > \sup_{h < i} \bar{F}^*(\bar{\delta}_h). \text{ Let}$$

$$F^* = \tilde{\sigma}(\bar{F}^*). \text{ Then}$$

$$\bigwedge i \geq n \bar{\delta}_i^* > \sup_{h < i} F^*(\bar{\delta}_h^*),$$

But  $F^*$  majorizes the  $F \in \mathcal{U} \cup \{id \upharpoonright \omega_2\}$  s.t.  $F: \omega_2 \rightarrow \omega_2$ . It follows easily that (c) holds for all such  $F \in \mathcal{U} \cup \{id \upharpoonright \omega_2\}$ ,

QED (Lemma 5.1)

Now let  $IP = IP_{\mathcal{L}}$ . Then the extension lemmas hold. If  $G$  is  $IP$ -generic and  $b = B^G$ , then  $G$  is uniformly definable from  $b$ , since  $M^G, \pi^G$  are uniformly definable from  $b$  and  $G$  is uniformly definable from  $\langle M^G, \pi^G, b \rangle$  by §4 Lemma 2.8. (Recall that this was proven only on the assumption that  $\mathcal{L}$  satisfies the basic axioms.) We then say that  $b$  is  $IP$ -generic.

Lemma 5.2 Let  $b = \langle \delta_i \mid i < \omega \rangle$  be  $IP$ -generic over  $V$ . Then for all  $F: \omega_2 \rightarrow \omega_2$  s.t.  $F \in V$  we have:

$$\forall n \ \exists i \geq n \ \delta_i > \sup_{h < i} F(\delta_h)$$

Proof.

$\Delta = \{p \in IP \mid F \in \mathcal{R}^p\}$  is dense in  $IP$ . Hence

$\Delta \cap G_p \neq \emptyset$ . Let  $p \in \Delta \cap G_p$ .

Let  $\langle F, \bar{F} \rangle \in \mathcal{R}^p$ . Let  $b^p = \langle \delta_i \mid i < \omega \rangle$ .

$$(1) \forall n \exists i \geq n \bar{\delta}_i > \sup_{h < i} \bar{F}(\bar{\delta}_h)$$

prf.

Let  $M$  be a solid model of  $\mathcal{L}(p)$ . Then there is  $n$  s.t.  $\exists i \geq n \delta_i^{M} > \sup_{h < i} F(\delta_h^M)$ .

Since  $\pi_{|p|, \omega_1}^i : \langle M_{|p|}^p, \bar{F} \rangle \prec \langle M, F \rangle$  and

$\pi_{|p|, \omega_1}^i(\bar{\delta}_i) = \delta_i^M$  for  $i < \omega$ , the conclusion follows

QED(1)

But then, since  $\pi_{|p|, \omega_1}^G : \langle M_{|p|}^p, \bar{F} \rangle \prec \langle M, F \rangle$

and  $\pi_{|p|, \omega_1}^G(\bar{\delta}_i) = \delta_i$ , the lemma follows.

QED(5.2)

In order to develop the properties of IP further, we must make use of  $\mathbb{N}'$ . In this context we can also make good use of a slightly larger class of conditions  $\mathbb{N}''$ ;

Def  $\mathbb{N}''$  is the set of  $T \in \mathbb{N}$  s.t. for each  $s \in T$  there is  $T' \in T_{(s)}$  with  $T' \in \mathbb{N}'$ .

Since  $\mathbb{N}'$  is dense in  $\mathbb{N}''$  we obviously have  $BA(\mathbb{N}') \cong BA(\mathbb{N}'')$ .

Def For  $s \in \omega_2^{<\omega}$  let  $\psi_s$  be the  $\mathcal{L}$ -statement  $\bigwedge_{i < |s|} \delta_i = \underline{s(i)}$ .

Def Let  $p \in IP$ ,  $T_p =$  the set of  $\alpha \in \omega_2^{<\omega}$   
s.t.  $\llbracket \check{p} \in \dot{G} \wedge \check{\Psi}_\alpha \rrbracket \neq \emptyset$ .

Note We think of  $IP$  as containing a  
"maximal condition"  $\mathbb{1}$  s.t.  $p \leq \mathbb{1}$   
for all  $p$ . Hence  $T_{\mathbb{1}} = \omega_2^{<\omega}$ .

Lemma 5.3 Let  $p \in IP$ , Then  $T_p \in \mathbb{N}''$ .

prf.

Clearly  $T_p \neq \emptyset$ , Now let  $\alpha \in T = T_p$ . We  
construct  $T' \subset T$  s.t.  $T' \in \mathbb{N}'$ . An order  
to do this we play a variant of the  
Shelah games used in the proof of  
the refinement lemma. For each  $n < \omega$   
let  $G_n$  be the following game: In the  
 $i$ -th move  $I$  plays  $\alpha_i < \omega_2$ . At  
 $i < n$ , however, he must play  $\alpha_i = 0$ .

$II$  then plays  $\beta_i$  s.t.  $\langle \beta_0, \dots, \beta_i \rangle \in T$ .  
At  $i \geq n$ , however, he must play  $\beta_i \geq \alpha_i$ .  
At any point  $II$  is unable to make  
his move,  $I$  wins. Otherwise  $II$  wins.  
Hence one of the players has a winning  
strategy for  $G_n$ .

Claim There is  $n$  s.t.  $II$  has a  
winning strategy for  $G_n$

Suppose not, Then I has a winning strategy  $S_n$  for each  $G_n$ . Set:

$C =$  the set of  $\alpha < \omega_2$  s.t.  $S_n(\alpha) < \alpha$  for all  $\alpha \in \alpha^{<\omega}$ ,  $n < \omega$ .

Then  $C$  is club in  $\omega_2$ . For  $\bar{\alpha} < \omega_2$  set:

$F(\bar{\alpha}) =$  the least  $\alpha \in C$  s.t.  $\bar{\alpha} < \alpha$ ,

Let  $p' \leq p$  s.t.  $p' \in \mathbb{I}[\psi_n]$  and for some  $n < \omega$ ,  $p' \Vdash \bigwedge i \geq n \ \delta_i > \sup_{h < i} F(\delta_h)$ .

Let  $\langle \bar{\alpha}_i \mid i < \omega \rangle$  be any branch in  $T_{p'}$ .

Then  $\bigwedge i \geq n \ \bar{\alpha}_i > \sup_{h < i} F(\bar{\alpha}_h)$ . But then

$\langle \bar{\alpha}_i \mid i < \omega \rangle$  wins  $G_n$  for II against the strategy  $S_n$ . Contr! QED (Claim)

Now let  $S$  be II's winning strategy for  $G_n$ . At  $T' =$  the set of all  $S$ -plays against possible plays by I, we have  $T' \subset T_{(s)}$ ,  $T' \in \mathbb{N}'$ , and  $T'$  has a stem of length  $n$ . QED (5.3)

Lemma 5.4 Let  $b = \langle \delta_i \mid i < \omega \rangle$  be  $\mathbb{P}$ -generic over  $\mathcal{V}$ . Then

$p \in G_p \iff b$  is a branch in  $T_p$ .

proof.

( $\rightarrow$ ) is trivial. We prove ( $\leftarrow$ )

The case  $p = \mathbb{1}_p$  is trivial, so let  $p \neq \mathbb{1}_p$



We repeat a part of the proof of Claim 3 and Claim 4 in the proof of Lemma 1. Set:

$$\bar{b} = b^P = \langle \bar{\delta}_i \mid i < \omega \rangle. \text{ For } \bar{\beta} = \bar{\delta}_i, \bar{\gamma} = \lambda(i), \lambda \in T^P$$

set:

$$\bar{f} = \text{the } M_{|P|}^P \text{-least } f \mid \alpha_p \xrightarrow{\text{onto}} L_{\bar{\beta}}^{\bar{A}},$$

$$\text{where } M_{|P|}^P = L_{\bar{\beta}}^{\bar{A}}, \alpha_p = \omega_1^{M_{|P|}^P}$$

$$f = \text{the } M \text{-least } f: \omega_1 \xrightarrow{\text{onto}} L_{\bar{\beta}}^A,$$

$$\text{where } M = L_{\omega_2}^A.$$

$$\pi_{\bar{\beta}, \bar{\gamma}} = \{ \langle f(\nu), \bar{f}(\nu) \rangle \mid \nu < \alpha_p \}.$$

Just as in the proof of Claim 3 we have:

$$(1) \pi_{\bar{\beta}, \bar{\gamma}}: \langle L_{\bar{\beta}}^{\bar{A}}, \bar{a} \in L_{\bar{\beta}}^{\bar{A}} \rangle < \langle L_{\bar{\beta}}^A, a \in L_{\bar{\beta}}^A \rangle$$

$$\text{for } \langle a, \bar{a} \rangle \in F^P$$

$$(2) \pi_{\bar{\beta}, \bar{\gamma}} \subset \pi_{\bar{\delta}, \bar{\delta}} \text{ if } i < j, \bar{\beta} = \bar{\delta}_i, \bar{\gamma} = \lambda(i), \\ \bar{\delta} = \bar{\delta}_j, \bar{\delta} = \lambda(j), \lambda \in T^P.$$

Now let  $b = \langle \delta_i \mid i < \omega \rangle$  be a  $IP$ -generic branch in  $T^P$ . Set  $\pi = \bigcup_{i < \omega} \pi_{\bar{\delta}_i, \delta_i}$ . Then

$$(3) \pi: \langle M_{|P|}^P, \bar{a} \rangle < \langle M, a \rangle \text{ for } \langle a, \bar{a} \rangle \in F^P,$$

since cofinal  $\Sigma_0$ -preserving maps of ZFC-structures are elementary.

But  $M_{|P|}^P = \text{the smallest } X \prec M_{|P|}^P \text{ s.t.}$

$b^P \cup \alpha_p \subset X$ . By the definition of

$M_{|P|}^P, \pi_{\bar{\delta}_i, \delta_i}$  from  $b$ , we see that:

$$(4) M^{G_b} \uparrow (|p|+1) = M^P, \quad \pi^{G_b} \uparrow (|p|+1)^2 = \pi^P$$

Hence  $p \in G_b$  by §4 Cor 2.8. QED (Lemma 5.4)

Lemma 5.5 Let  $T \leq T_p$  in  $\mathbb{N}''$ . There is  $\tilde{p} \leq p$  s.t.  $\tilde{p} \Vdash B'$  is a branch in  $\tilde{T}$ .

pf.

We imitate the proof of Claim 4 in the proof of Lemma 1.

Let  $p' \leq p$  conform to  $N^* = \langle H_\delta, M, \langle, p, T, m \rangle \rangle$  where  $\delta > \beta^{++}$  and (w.l.o.g.)  $\alpha = |p| = \omega_1^{M^P}$ .

Let  $\bar{N}^* = \bar{N}^*(p', N^*) = \langle \bar{H}, \bar{M}, \langle, \bar{p}, \bar{T}, m \rangle \rangle$ .

Let  $\bar{p}, \bar{N}''$  be defined in  $\bar{N}^*$  like  $p, \mathbb{N}''$  in  $N^*$ .

By Lemma 4.6 (applied to  $W = \bar{N}^*$ ) there is an  $\bar{N}''$ -generic  $\bar{G} \ni \bar{T}$  s.t., letting

$\bar{b} = \langle \bar{\delta}_i \mid i < \omega \rangle = \bigcup \bar{G}$ , we have:

$$(1) \forall m \wedge i \geq m \quad \bar{\delta}_i > \sup_{h < i} \bar{F}(\bar{\delta}_h),$$

where  $\bar{F}: \alpha \rightarrow \alpha$  is defined by:

$$\bar{F}(\bar{\xi}) = \bar{\delta}_i^{P'}$$

with  $i$  least s.t.  $\bar{\xi} < \bar{\delta}_i^{P'}$ ,

(where  $b^{P'} = \langle \delta_i^{P'} \mid i < \omega \rangle$ ).

Now define  $\hat{M}, \hat{\pi}, \hat{b}$  in the obvious way:  $\hat{b} = \bar{b}$ ;  $X_i =$  the least  $X \prec \bar{M}$  s.t.

$$\bar{b} \cup \{ \bar{\alpha}_h \mid h < i \} \subset X; \quad \bar{\alpha}_i = d \cap X_i$$

$\hat{\pi}_{i,d}: \hat{M}_i \xrightarrow{\sim} X_i$ , where  $\hat{M}_i$  is transitive;

$$\hat{\pi}_{i'} = (\hat{\pi}_{i, \alpha})^{-1} \circ \hat{\pi}_{i, \alpha} \quad \text{for } i \leq i' \leq \alpha. \text{ Set:}$$

$$\tilde{P} = \langle \langle \hat{M}, \hat{\pi}, \hat{B} \rangle, F P' \rangle$$

Claim 1  $\tilde{P} \in IP$

pf.

Let  $\mathcal{M}$  be a solid model of  $\mathcal{L}(P')$ . Let

$\tilde{\mathcal{M}}$  be the result of replacing

$\hat{M}^{\mathcal{M}} \uparrow (\alpha+1)$ ,  $\hat{\pi}^{\mathcal{M}} \uparrow (\alpha+1)^2$ , and  $\hat{B}^{\mathcal{M}}$  by

$\hat{M}$ ,  $\hat{\pi}$ , and  $\hat{\pi}_{\alpha \omega_1}^{\mathcal{M}} \circ B^{\bar{G}}$  resp. It suffices

to show:

Claim:  $\tilde{\mathcal{M}}$  models  $\mathcal{L}(\tilde{P})$ .

This is like previous proofs of this sort, the only new point being that we must verify the axiom (c):

$$(2) \forall m \wedge i \geq m \quad \tilde{\delta}_i > \sup_{h < i} F(\tilde{\delta}_h)$$

for all  $F: \omega_2 \rightarrow \omega_2$  in  $\mathcal{V}$ , where

$\tilde{B}^{\mathcal{M}} = \langle \tilde{\delta}_i \mid i < \omega \rangle$ . We first note that

this holds for

$$F(\tilde{\xi}) = \tilde{\delta}_i^{\mathcal{M}} \text{ where } i \text{ is least s.t. } \tilde{\xi} < \tilde{\delta}_i^{\mathcal{M}},$$

where  $\tilde{B}^{\mathcal{M}} = \langle \tilde{\delta}_i^{\mathcal{M}} \mid i < \omega \rangle$ , since

$$\pi_{\alpha \omega_1}^{\mathcal{M}}(\tilde{\delta}_i^{\mathcal{M}}) = \tilde{\delta}_i^{\mathcal{M}}, \quad \pi_{\alpha \omega_1}^{\mathcal{M}}(\tilde{\delta}_i) = \tilde{\delta}_i^{\mathcal{M}}$$

and by (1). But  $\tilde{B}^{\mathcal{M}}$  satisfies (2)

for all  $F: \omega_2 \rightarrow \omega_2$  in  $\mathcal{V}$ . Hence so does  $\tilde{B}^{\mathcal{M}}$ .

QED (Claim 1)

Claim 2 :  $\tilde{p} \Vdash \dot{B}$  is a branch in  $\tilde{T}$ .

pf.

Let  $G \ni \tilde{p}$  be IP-generic,  $\dot{B}^G = \langle \tilde{\delta}_i \mid i < \omega \rangle$ . Then  $\tilde{\delta}_i = \pi_{\alpha, \omega_1}^G(\bar{\delta}_i)$ , where  $\langle \bar{\delta}_i \mid i < \omega \rangle$  is a branch in  $\bar{T}$ . But  $\pi_{\alpha, \omega_1}^G$  extends to  $\sigma: \bar{N}^* \rightarrow N^*$  s.t.  $F^P \subset \sigma$ . Since  $\sigma(\bar{T}) = T$ ,  $\langle \tilde{\delta}_i \mid i < \omega \rangle$  is a branch in  $T$ . QED (Claim 2)

By Lemma 5.4 it then follows that if  $G \ni \tilde{p}$  is IP-generic, then  $p \in G$ . Hence  $\tilde{p}, p$  are certainly compatible and any  $q \leq \tilde{p}, p$  will have the desired property. QED (Lemma 5.5)

Cor 5.6 Let  $b = \langle \delta_i \mid i < \omega \rangle$  be IP-generic. Then  $b$  is  $N'$ -generic.

pf. Let  $G' = \{T \in N' \mid b \text{ is a branch in } T\}$   
 Let  $\Delta$  be dense in  $N'$ . Claim  $\Delta \cap G' \neq \emptyset$

$\Delta^* = \{p \mid \exists T \in \Delta \text{ } p \Vdash \dot{B} \text{ is a branch in } \check{T}\}$

is dense in IP (recalling that

Lemma 5.5 also holds for  $p = \pi_{\beta, \omega_1}$ , where  $T_{\pi_{\beta, \omega_1}} = \omega_2 < \omega$ ). Hence  $G_p \cap \Delta^* \neq \emptyset$

Hence  $G' \cap \Delta \neq \emptyset$ . QED (5.6)

Cor 5.7 IP adds no new reals.

Now define  $\sigma : \mathbb{N}' \rightarrow \text{BA}(\mathbb{R})$  by:

$$\sigma(T) = \llbracket \dot{B} \text{ is a branch in } \check{T} \rrbracket.$$

(1)  $\sigma(T) \neq \emptyset$  by Lemma 5.5

(2)  $T \leq T' \iff \sigma(T) \subset \sigma(T')$

pf.

( $\rightarrow$ ) is trivial. We prove ( $\leftarrow$ ).

Let  $T \not\leq T'$ . Then there is  $S \leq T'$  s.t.  $S \cap T$  is finite. Hence  $\sigma(S) \subset \sigma(T')$ ,  $\sigma(S) \neq \emptyset$ , and  $\sigma(S) \cap \sigma(T) = \emptyset$ .

But then, letting  $[T] = \bigcap \{a \in \text{BA}(\mathbb{N}') \mid T \in a\}$ ,

the map  $\tilde{\sigma}([T]) = \sigma(T)$  extends to an isomorphism  $\tilde{\sigma} : \text{BA}(\mathbb{N}') \xrightarrow{\sim} \mathbb{B}$ , where  $\mathbb{B}$  is a complete subalgebra of  $\text{BA}(\mathbb{R})$ .

But  $\sigma(T_p) = [p]$ , by Lemma 5.4, where  $[p] = \bigcap \{a \in \text{BA}(\mathbb{R}) \mid p \in a\}$ . Hence

We have shown:

Lemma 5.8 There is a unique  $\tilde{\sigma} : \text{BA}(\mathbb{N}'') \xrightarrow{\sim} \text{BA}(\mathbb{R})$  s.t.  $\tilde{\sigma}([T]) = \llbracket \dot{B} \text{ is a branch in } \check{T} \rrbracket$ .

The only thing remaining to show is:

Lemma 5.8  $IP$  is reversible.

proof.

Let  $p' \leq p$  conform to  $N^* = \langle H_g, M, \langle, p, \dots \rangle$ ,

Let  $\bar{N}^* = \bar{N}^*(p', N^*) = \langle \bar{H}, \bar{M}, \langle, \bar{p}, \dots \rangle$ ,

Let  $\bar{IP}, \bar{IN}''$  be defined over  $\bar{N}^*$  like  $IP, IN''$  over  $N^*$ . We imitate the construction in the proof of Lemma 5.5. Let  $\bar{G}$  be  $\bar{IN}''$ -generic over  $\bar{N}^*$  s.t.  $\bar{G} \ni T_{\bar{p}}$  and

$$\forall n \ \exists i \geq n \ \bar{\delta}_i > \sup_{n < i} \bar{F}(\bar{\delta}_n)$$

where  $\bar{b} = \langle \bar{\delta}_i \mid i < \omega \rangle = \bigcup \bigcap \bar{G}$  and

$\bar{F}(\bar{\zeta}) = \bar{\delta}_i^p$ , where  $i$  is least s.t.  $\bar{\zeta} < \bar{\delta}_i^p$

and  $b^p = \langle \bar{\delta}_i^p \mid i < \omega \rangle$ , ( $\bar{\zeta} < \bar{\beta} = \text{On} \cap M_{|p|}^p$ ).

Then  $\bar{p} = \langle \langle \hat{M}, \hat{\pi}, \hat{B} \rangle, F^{p'} \rangle \in P$ ,

where  $\hat{M}, \hat{\pi}, \hat{B}$  are defined as in the prf. of Lemma 5.5. But, letting

$\tilde{\sigma} : BA(\bar{IN}'') \leftrightarrow BA(\bar{IP})$  be defined in  $\bar{N}^*$  as above, then  $\bar{G} = \{p \in \bar{IP} \mid \exists T \in \bar{IN}'' \ p \in \tilde{\sigma}([T])\}$

is  $IP$ -generic over  $\bar{N}^*$ . Moreover, it is easily seen that  $\hat{M} = M^{\bar{G}}, \hat{\pi} = \pi^{\bar{G}}, \hat{B} = B^{\bar{G}}$ .

By Lemma 5.4 we have  $\bar{p} \in G$ .

□ E D

This completes the proof of Lemma 5.