

## § 2 Iterability (A short sketch)

In this section - following a time honored precedent - we sketch the proof of Thm 1 in the special case that the iteration  $\mathcal{Y} = \langle \langle P_i \rangle, \langle \nu_i \rangle, \langle \pi_i \rangle, T \rangle$  is of length  $\omega$  and has no truncation. We assume:  $\delta_0: P_0 \prec N = N_\theta$ , where  $P_0$  is countable. In order to avoid messy definability considerations we also assume that  $N$  is a ZFC-model. We prove:

Main Claim Let  $N, \mathcal{Y}, \delta_0, P_0$  be as above. There is a cofinal branch  $b$  in  $\mathcal{Y}$  and a  $\delta: P_b \prec N$  s.t.  $\delta \pi_b = \delta_0$ .

Because the notion "robust" is self-contained and  $\mathcal{Y}$  has no truncation, it turns out that we can handle this case without recourse to the "resurrection sequence" of [MS] and, indeed, without reference to any element of the array other than  $N$  itself. To this end we define:

Def Let  $N$  be an active premouse. Let  $\kappa \leq \delta \leq \lambda$   
 (where  $\kappa = \kappa_F = \text{crit}(F)$ ,  $\lambda = \lambda_F = \text{lh}(F)$ ).

$F$  is robust up to  $\delta$  in  $N$  iff for every pair  
 of countable sets  $u \subset \lambda$ ,  $w \subset \#(u) \cap N$  there  
 is a  $g: u \rightarrow \kappa$  s.t.

$$(a) \langle g(\vec{a}) \rangle \in X \iff \langle \vec{a} \rangle \in F(X)$$

for  $a_1, \dots, a_n \in u$ ,  $X \in W$ .

(b) Let  $c = \text{lub}(u \cap \delta)$ ,  $\bar{c} = \text{lub } g''(u \cap \delta)$ .

Then for all  $v \subset u$  and all  $\Sigma_1$ -formulae  $\varphi$ :

$$C_{\bar{c}, \kappa}^E \models \varphi(g''v, g''u) \iff C_{c, \infty}^E \models \varphi(v, u)$$

[Note If  $F$  is robust up to  $\kappa$ , then

$$C_{\bar{c}, \kappa}^E \prec_{\Sigma_1} C_{\bar{c}, \infty}^E \text{ for all } \bar{c} < \kappa.]$$

[Remark If  $N = M \parallel v$  and  $F$  is robust up to  $\omega_1^M$ ,  
 $\bar{M} = \text{core}(M)$ ,  $\sigma$  is the core map and  
 $\sigma(\bar{v}) = v$ ,  $\bar{N} = M \parallel \bar{v} = \langle \bigcup_{\bar{v}}^E, \bar{F} \rangle$ , then  $\bar{F}$  is also  
 robust up to  $\omega_1^{\bar{M}}$ .]

Def A premouse  $M$  is robust iff

whenever  $M \parallel v = \langle \bigcup_v^E, F \rangle$  is active and

$\delta \in (\kappa_F, \lambda_F]$  is a cardinal in  $M$ , then

$F$  is robust up to  $\delta$  in  $M \parallel v$ .

Using the above remark, it follows by

induction on  $i$  that  $N_i$  is robust for

all elements of the robust array,

An particular  $N = N_0$  is robust.

Def a premouse  $M$  is mouse-like iff each of its segments  $M \upharpoonright \beta$  ( $\beta < \text{ht}(M)$ ) is mouse-like in  $M$  - i.e.  $M \upharpoonright \beta$  is solid and  $M \# (M \upharpoonright \beta)$  satisfies the condensation lemma)

- [We are referring to [CR] § IV Lemma 4']

An induction on  $i$  shows that each  $N_i$  in the array is mouse-like, in particular  $N = N_\emptyset$ .

From now on we assume only that  $N$  is any robust, mouse-like premouse satisfying ZFC<sup>-</sup>. We make no further reference to the array.

Since  $\delta_0 : P_0 < N$ , it follows that  $P_0$  is mouse-like. We need the following fact, whose proof we defer until later:

Iteration Fact Let  $\gamma$  be a putative normal iteration of a mouse-like  $P_0$ . Let  $\gamma = T(i+1)$  in  $\gamma$ . There is  $\nu \leq \text{ht}(P_\gamma)$  s.t.  $\bar{\nu} > \bar{\nu}_i = \kappa_i + \nu_i^{E N_i}$  and  $\text{crit}(E_\nu^{N_\gamma}) = \kappa_i$  (where the  $\kappa_i$  are the iteration ptr.).

We shall adhere closely to the structure of Steel's proof. We enclose  $N$  and the map  $\delta: P \prec N$  in a "world",  $W$ , consisting of a chunk of  $V$ . We assume that the Main Claim is false, which means that a certain relation definable in  $W$  is well founded. Using this, we construct a sequence of increasingly shorter worlds  $W_i$ , each with its own  $N_i$ . The contradiction comes from the fact that  $\tau_{i+1} < \tau_i$ , where  $\tau_i = \text{On} \cap W_i$ . Our method of obtaining the  $W_i$  is, however, somewhat different from that of Steel. We take  $W_0 = W$ .  $W_{i+1}$  will, however, not be constructed in  $V$ , but rather in a generic extension  $V[G]$ , which collapses  $W$  to  $w$ . Our tool for constructing  $W_{i+1}$  is the completeness theorem for the infinitary language of a countable admissible set. The admissible sets employed will be of the form:

$C_{c,d}^E$ , where  $E = EN_i$ .

We begin by specifying the notion "world" more precisely. Each world will be a model of the theory  $ZFC^*$  obtained as follows: We recall that  $ZF^-$  is  $ZF$  without the power set axiom.  $ZFC^-$  is  $ZF^-$  together with "every set is enumerable by an ordinal".  $ZFC^*$  is then  $ZFC^- + \Lambda d [d]^\omega$  is a set. In  $ZFC^*$  we can prove: Let  $\kappa$  be regular n.t.,  $H_\kappa \models ZFC^*$ . Then  $C_{\tau,\kappa}^a \subset \sum_1 C_{\tau,\infty}^a$  for all  $a \in H_\kappa$  and all  $\tau < \kappa$ .

Def A world is a transitive model  $W$  of  $ZFC^*$  n.t.  $[\tau]^\omega \cap W = [\tau]^\omega \cap V$ , where  $\tau = 0 \cap W$ .

Note  $[\tau]^\omega \cap W \subset V$  is not vacuous, since we shall construct our worlds in  $V[G]$ .

Note If  $w, w'$  are two worlds,  $\alpha \in W \cap w'$  and  $\beta \in W \cap w'$ , then  $(C_{\tau, \alpha}^e)^w = (C_{\tau, \beta}^e)^{w'}$  for all  $\tau < \alpha$ . We shall make constant use of this fact.

Def Let  $\bar{\theta}$  be a strong limit cardinal s.t.  $N \in V_{\bar{\theta}}$  and  $\bar{V}_{\bar{\theta}} = \bar{\theta}$ . Set:

$\bar{W} = H_{\bar{\theta}}^+$ . Then  $\bar{w}$  is a world which we shall call the standard world.

Let  $a \in \theta^+$  s.t.  $[\theta^+]^w \subset L_{\bar{\theta}^+}[a]$

and  $V_{\bar{\theta}} = L_{\bar{\theta}}[a]$ .  $a$  is fixed for later reference and is called the standard predicate.

We assume the Main Claim is false. This says that the following relation  $R$  is well founded:

Def  $D = \{ \langle i, \delta \rangle \mid \delta : P_i \prec N \wedge \delta \pi_{0, i} = \delta_0 \}$

$R \subset D^2$  is then defined by:

$\langle j, \delta' \rangle R \langle i, \delta \rangle \iff (i \leq j \wedge \delta \pi_{i, j} = \delta')$

For  $z \in D$  set:  $\pi(z) =$  the rank of

$z$  in  $R = \text{lub} \{ \pi(w) \mid w R z \}$ ,

We also set:  $\pi = \pi(\langle 0, \delta_0 \rangle)$ .

Clearly  $R \in \bar{w}$ ,  $\langle \pi(z) \mid z \in D \rangle \in \bar{w}$ .

Def Let  $\theta = \langle \theta_v \mid v \leq \alpha \rangle$  be defined by  $\theta_0 = \bar{\theta}$ ,  $\theta_{v+1} = 2^{\theta_v^+}$ ,

$\theta_\lambda = \sup_{\nu < \lambda} \theta_\nu$  for limit  $\lambda$ .

Set:  $w_\nu = H_{\theta_\nu^+}$

Then  $w = \langle w_\alpha, \theta, a \rangle$  is called the standard enhanced world.

This, of course suggests the following definition:

Def  $\langle w', \theta', a' \rangle$  is an enhanced world iff

- $w'$  is a world
- $\theta' \in w'$ ; in  $w'$  we have:  $\theta' = \langle \theta'_v \mid v \leq p \rangle$ , where  $\theta'_0 = \bar{\theta}'_0$  and  $\theta'_0 > w$ ,  $\theta'_{v+1} = (2^{\theta'_v})^+$ ,  $\theta'_\lambda = \sup_{\nu < \lambda} \theta'_\nu$  for limit  $\lambda$

• Set:  $w'_\nu = (H_{(2^{\theta'_\nu})^+})^w$  for  $\nu \leq p$ .

(where  $H_{\gamma^+} = \text{rf } \{x \mid TC(x) \leq \gamma\}$ )

Then  $w' = w'_p$

- Set  $\bar{w}' = w'_0$ . Then  $a \in \theta'_0$  s.t.,  $\mathcal{V}_{\theta'_0} = L_{\theta'_0}[a]$  and  $[\theta'_0]^w \subset L_{\theta'_0}[a]$  in  $w'$ .

Hence  $(C_{z, \infty}^E) \prec (C_{z, \infty}^E)_{w'}$   
for  $z \in w'_\nu, E \in w'_\nu$

If  $W' = \langle W', \theta', a' \rangle$  is any enhanced world, we set:

$$\theta^{W'} = \theta', a^{W'} = a', p^{W'} = p = \text{dom}(\theta'), \bar{W}' = W'_0.$$

Now let  $G$  be a generic set which collapses the standard enhanced world  $W$  to  $w$ . Working in  $V[G]$  we construct a sequence:

$$\langle W_i, N_i, \delta_i \rangle \quad (i < \omega)$$

such that  $W_i$  is an enhanced world and:

$$(a) \langle W_0, N_0, \delta_0 \rangle = \langle W, N, \delta_0 \rangle$$

$$(b) \langle \bar{W}_i, N_i, e \rangle \equiv \langle \bar{W}, N, e \rangle, \text{ where } e \in \omega \text{ codes } \gamma \text{ (e.g., } e = \{ \langle m, m \rangle \mid f(m) \in f(m) \}, \text{ where } f: \omega \xrightarrow{\text{onto}} TC(\{\gamma\}) \text{)},$$

$$(c) \delta_i \in \bar{W}_i \text{ such that } \delta_i \upharpoonright P_0 \prec N_i \text{ and}$$

$$\bullet \langle \bar{W}_i, N_i, \delta_i \upharpoonright \pi_{0i}, e \rangle \equiv \langle \bar{W}, N, \delta_0, e \rangle$$

$$\bullet \langle \bar{W}_i, N_i, \delta_i \upharpoonright \pi_{hi}, e \rangle \equiv \langle \bar{W}_h, N_h, \delta_h, e \rangle$$

for  $h \leq_T i$ .

$$(d) \text{ Let } R_i, \pi_i = \langle \pi_i(z) \mid z \in D_i \rangle$$

be defined in  $\bar{W}_i$  from  $N_i, \delta_i, \pi_{0i}$  as  $R, \pi$

were defined in  $\bar{W}$  from  $N, \delta_0$ .

$$\text{Then } P_i = P^{W_i} \supseteq \tilde{\pi}_i = \pi_i \upharpoonright \langle i, \delta_i \rangle.$$

$$(e) \text{ Let } \delta_i = \text{the largest } \delta \leq \lambda_i \text{ which}$$

is a cardinal in  $P_i$ . Set  $c_i = \text{lub } \delta_i \upharpoonright \delta_i$ .

Then  $\dots$



$$J_{c_h}^{E_i} = J_{c_h}^{E_h} \quad \text{for } h \leq i, \text{ where } E_i = E^{N_i},$$

and  $\delta_i \uparrow \delta_h = \delta_h \uparrow \delta_h$  for  $h \leq i$ .

(f)  $\bar{c}_i < \bar{c}_h$  for  $h < i$ , where  $\bar{c}_h = \text{Om} \cap W_h$ .

By (f) we will, of course, have a contradiction.

Let  $\langle w_i, N_i, \delta_i \rangle$  be given, satisfying (a)-(e).  
 (For  $i=0$  this is trivial.) We construct  $\langle w_{i+1}, N_{i+1}, \delta_{i+1} \rangle$ . Let  $\gamma = T(i+1)$ .

By the robustness of  $N_i$  in  $w_i$  there is  $g: \lambda_i \rightarrow \delta_i(\kappa_i)$  s.t.

$$(1) \text{ (a) } \langle \vec{\alpha} \rangle \in E_{\kappa_i}^{P_i}(X) \iff \langle g(\vec{\alpha}) \rangle \in \delta_i(X)$$

for  $\alpha_1, \dots, \alpha_m < \lambda_i$ ,  $X \in \mathcal{P}(\kappa_i) \cap P_i$

(b) Let  $U \subset \delta_i$ ,  $c_i = \sup \delta_i \upharpoonright U$ ,  $\bar{c} = \sup g \upharpoonright \delta_i$   
 Then  $C_{c_i, \infty}^{E_i} \upharpoonright \delta_i = \varphi(\delta_i \upharpoonright U, \delta_i \upharpoonright \delta_i) \iff C_{\bar{c}, \delta_i(\kappa_i)}^{E_i} \upharpoonright \delta_i$

in  $\bar{w}_i$  for all  $\varphi_i$  formulae, where  $E_i = E^{N_i}$ .

(Note that  $(C_{c_i, \infty}^{E_i})_{\bar{w}_i} \leq (C_{c_i, \infty}^{E_i})_{w_i}$ .)

We know:

$$(2) J_{c_\gamma}^{E_\gamma} = J_{c_\gamma}^{E_i}, \quad \delta_\gamma \uparrow J_{\kappa_i}^{E_\gamma} = \delta_i \uparrow J_{\delta_i}^{E_i}$$

where  $\kappa_i < \delta_i$ . Hence  $\delta_\gamma(\kappa_i) = \delta_i(\kappa_i)$ ,  
 and  $\delta_\gamma(X) = \delta_i(X)$  for  $X \in \mathcal{P}(\kappa_i) \cap P_i =$   
 $= \mathcal{P}(\kappa_i) \cap P_\gamma$ .

In particular, if  $f_1, \dots, f_m \in P_\gamma$  s.t.,  
 $f_i: \kappa_i \rightarrow P_\gamma$ , and  $d_i \wedge d_m < \lambda_i$ , then  
 setting:  $X = \{ \langle \vec{\alpha} \rangle \mid P_\gamma \models \varphi(f_1(\vec{\alpha}_1), \dots, f_m(\vec{\alpha}_m)) \}$ ,  
 we have:

$$P_{i+1} \models \varphi(\pi_{\gamma, i+1}(f)(\vec{\alpha})) \iff$$

$$\iff \langle \vec{\alpha} \rangle \in E_{\kappa_i}^{P_i}(X) \iff \langle g(\vec{\alpha}) \rangle \in \delta_i(X) = \delta_\gamma(X)$$

$$\iff P_\gamma \models \varphi(\delta_\gamma(f)(g(\vec{\alpha}))).$$

Hence there is  $\sigma: P_{i+1} \prec N_\gamma$  defined  
 by:  $\sigma(\pi_{\gamma, i+1}(f)(\alpha)) = \delta_\gamma(f)(g(\alpha))$ .

for  $f \in P_\gamma$ ,  $f: \kappa_i \rightarrow P_\gamma$ ,  $\alpha < \lambda_i$ .

Clearly  $g \in [\delta_\gamma(\kappa_i) \times \lambda_i]^\omega \subset \bar{W}_\gamma$ .

Since  $\delta_\gamma \in W_\gamma$ , we have:

$$(3) \sigma \in W_\gamma$$

Note that  $\sigma \upharpoonright \lambda_i = g$ ,  $\sigma \pi_{\gamma, i+1} = \delta_\gamma$ .

Hence:

$$(4) \langle i+1, \sigma \rangle R_\gamma \langle \gamma, \delta_\gamma \rangle.$$

Set:  $\bar{\alpha} = \pi_\gamma(\langle i+1, \sigma \rangle) \in \bar{\alpha}_\gamma$ .

Since  $\bar{c} = \text{lub } g'' \delta_i < \sigma_i(\kappa_i) < c_\gamma$ , we

know that  $J_{\bar{c}}^{E_\gamma} = J_{\bar{c}}^{E_i}$ . Pick

$\alpha > (\theta_{\bar{\alpha}}^+)_W$  s.t.  $\alpha \in W_\gamma$  and

(5)  $C_{\bar{c}, \alpha}^{E_\gamma}$  is admissible.

Consider the following theory  $T = T_{\bar{c}, d}$  in the infinitary language of  $C_{\bar{c}, d}^{E\gamma}$ , where  $\bar{c} = (\theta_{\bar{c}}^+ | W_\gamma$ ;

Predicates:  $\bar{c}$

Constants:  $\underline{x}$  ( $x \in C_{\bar{c}, d}^{E\gamma}$ ),  $\dot{W}$ ,  $\dot{N}$ ,  $\dot{\sigma}$

Axioms:

(A) ZFC\*,  $\bigwedge x (x \in \underline{x} \leftrightarrow \exists z \in x (z \in x))$  for all  $x$ ,

$\dot{W} = \langle \dot{W}, \theta, d \rangle$  is an enhanced world,

$0_m \cap \dot{W} = \underline{x}$ ,  $[\underline{x}]^{\omega} \cap \dot{W} = [\underline{x}]^{\omega}$

(B) (i)  $\dot{N}, \dot{\sigma} \in \dot{W} = (\dot{W})_0$  and

$\langle \dot{W}, \dot{N}, \dot{\sigma}, \bar{c} \rangle \models T_0$ , where

$T_0 =$  the complete theory of  $\langle \bar{W}_\gamma, N_\gamma, \sigma, \bar{c} \rangle$

(ii)  $\dot{\sigma} \restriction \underline{x} = \underline{g \restriction \bar{x}}$

(iii)  $p \dot{W} = \bar{x}(\langle \dot{c}_{i+1}, \dot{\sigma} \rangle)$ , where  $\bar{x}$  is

defined in  $\dot{W}$  from  $\dot{N}, \dot{\sigma} \restriction_{\dot{N}_0, i+1}$  as

$\bar{x}$  in  $\bar{W}$  from  $N, \sigma$ .

Then  $T = T_{\bar{c}, d}$  is consistent, since  $W_\gamma$  is a model with  $\dot{W}, \dot{N}, \dot{\sigma}$  interpreted by  $(W_\gamma)_{\bar{c}}, N_\gamma, \sigma$  resp.

Thus in  $W_\gamma$  we have:

$\forall \alpha \forall \tau. (\bar{c} < \tau < \alpha \wedge C_{\bar{c}, \alpha}^{E_\gamma}$  is admissible  $\wedge$   
 $\wedge T_{\bar{c}, \alpha}$  is consistent)

But this can be expressed in  $(C_{\bar{c}, \alpha}^{E_\gamma})^{W_\gamma}$   
 as a  $\Sigma_1$ -statement in the parameters  
 $g''\delta_i, T_0$ ;

(6)  $C_{\bar{c}, \alpha}^{E_\gamma} \models \varphi(g''\delta_i, T_0, e)$   
 (where  $\varphi$  is  $\Sigma_1$ ).

Note, however, that

(7)  $C_{\bar{z}, \delta_\gamma(\kappa_i)}^{E_\gamma} \prec_{\Sigma_1} C_{\bar{z}, \infty}^{E_\gamma}$  in  $W_\gamma$   
 for all  $\bar{z} < \delta_\gamma(\kappa_i)$

prf.

By the Iteration Fact there is  $\nu \leq \text{ht}(P_\gamma)$   
 s.t.  $\nu > \tau_i = \kappa_i + P_\gamma$  and  $E_\nu^{P_\gamma} = \kappa_i$ .

Since  $\delta_\gamma(\tau_i)$  is a cardinal in  $N$ ,

$E_{\delta_\gamma(\tau_i)}^N$  is robust up to  $\delta_\gamma(\tau_i)$  in  $W_\gamma$ .

Hence (7) follows. QED (7)

Hence in  $W_\gamma$  we have:

$$C_{\bar{\sigma}, \delta_\gamma(n_i)}^{E_\gamma} \leq_{\Sigma_\gamma} C_{\bar{\sigma}, \infty}^{E_\gamma}$$

Hence:

$$(9) C_{\bar{\sigma}, \delta_\gamma(n_i)}^{E_\gamma} \models \varphi(g''\delta_i, T_0, e).$$

Since  $C_{\bar{\sigma}, \delta_\gamma(n_i)}^{E_\gamma} = C_{\bar{\sigma}, \delta_i}^{E_i}$  in the same in  $W_\gamma$  and  $W_i$ , we conclude by (1)(b) that

$$(10) C_{\bar{\sigma}, \infty}^{E_i} \models \varphi(\delta_i''\delta_i, T_0, e).$$

This means that there are  $\bar{\sigma}, \alpha$  such that  $c_i < \bar{\sigma} < \alpha$ ,  $C_{\bar{\sigma}, \alpha}^{E_i}$  is admissible, and  $\tilde{T}_{\bar{\sigma}, \alpha}$  is consistent, where  $\tilde{T}_{\bar{\sigma}, \alpha}$  is a theory in the language of  $C_{\bar{\sigma}, \alpha}^{E_i}$ , except that (B)(iii) is replaced by:  $\bar{\sigma} \upharpoonright \delta_i = \underline{\delta_i}$ .

Since  $\tilde{T}_{\bar{\sigma}, \alpha}$  is consistent it has a model  $\mathcal{M}$ , which we can assume to be good in the sense that the well founded core ( $wf_{core}(\mathcal{M})$ ) is transitive and  $\bar{\sigma} \upharpoonright wf_{core}(\mathcal{M}) = E$ ,

By the axioms (A) it follows that  $\underline{x}^{\omega} = x$  for all  $x \in C_{c, d}^{E_i}$  and that  $\dot{W}^{\omega}$  is an enhanced world with  $0m \cap \dot{W}^{\omega} = \underline{z}$ . ( $[\underline{z}]^{\omega} \cap \dot{W}^{\omega} = [\underline{z}]^{\omega} \cap V = \underline{z}$  follows easily.)

If we set:

$W_{i+1} = \dot{W}^{\omega}$ ,  $N_{i+1} = N^{\omega}$ ,  $\delta_{i+1} = \dot{\sigma}^{\omega}$ , then the conditions (a) - (f) are easily verified.

This proves the Main Claim. QED

It remains only to prove the Iterability Fact mentioned above.

Let  $\mathcal{J} = \langle \langle P_i \rangle, \langle v_i \rangle, \langle \pi_{ij} \rangle, T \rangle$  be a <sup>putative</sup> normal iteration, where  $P_0$  is mouse-like. It follows that each  $P_i$  is mouse-like. As usual, we set:  $\kappa_i = \text{crit}(E_{v_i})$  in  $P_i$ ,  $\bar{\tau}_i = \kappa_i^+ \vee v_i^{P_i}$ ,  $\lambda_i = \text{lh}(E_{v_i}) = \pi_{T(i+1), i}(\kappa_i)$ .

Let  $\gamma = T(i+1)$ .

Claim There is  $\nu \leq \text{ht}(P_\gamma)$  s.t.  $\nu > \bar{\tau}_i$  and  $\text{crit}(E_\nu^{P_\gamma}) = \kappa_i$

Suppose not. Let  $\gamma$  be a counterexample of minimal length. Then  $lh(\gamma) = i+2$ . Clearly  $i > \gamma$ . Hence  $\nu_\gamma$  is a cardinal in  $P_i$ , where  $J_{\nu_\gamma}^{EN_i} = \mathbb{ZFC}^-$ .

Case 1  $\nu_i \in P_i$ .

Set:  $\tilde{P} = P_i \parallel \nu_i$ . Define  $X \subset \tilde{P}$  by:

$X = h_{\tilde{P}}(\bar{\nu}_i)$  if  $\tilde{P}$  is of type 1 or 2

$X = h_{\tilde{P}}^1(\bar{\nu}_i) =$  the smallest  $X \prec_{\Sigma_1} \tilde{P}$  with  $\bar{\nu}_i \subset X$ ,

if  $\tilde{P}$  is of type 3. Then  $X \in P_i$ .

Let  $\sigma: \bar{P} \xrightarrow{\sim} \tilde{P} \setminus X$ . Then  $\bar{P}$  is a

premouse,  $\bar{\nu}_i = \omega_{\bar{P}}^\omega = \omega_{\bar{P}}^1$  if  $\tilde{P}$  is

of type 1 or 2 and  $\bar{\nu}_i = \omega_{\bar{P}}^\omega = \omega_{\bar{P}}^3$

if  $\tilde{P}$  is of type 3. In the latter

case  $\omega_{\bar{P}}^1 = \nu$ , where  $\nu = ht(\bar{P})$ . Clearly

the standard parameter of  $\bar{P}$  is  $\emptyset$ .

Clearly  $\delta = crit(\sigma) \geq \bar{\nu}_i$ . Hence the

conditions for applying the condensation lemma [CR] §IV Lemma 4'

are satisfied. Since, however, it only holds internally, we must show:

Claim  $\sigma \in P_i$ ,  $P \in J_{\nu_\gamma}^{EP_i} = J_{\nu_\gamma}^{EP_\gamma}$ .

proof of Claim: - 15 -

Let  $h = h_{\tilde{P}} \upharpoonright (w \times \bar{\tau}_i)$  if  $\tilde{P}$  is of type 1 or 2  
and  $h = h_{\tilde{P}}^1 \upharpoonright (w \times \bar{\tau}_i)$  isom. Then

$X = h \upharpoonright (w \times \bar{\tau}_i)$ , where  $h \in P_i$ . Set

$d = \text{dom}(h)$ ,  $e = \{ \langle z, w \rangle \mid h(z) \in h(w) \}$ .

Then  $d, e \in \bigcup_{\kappa_7}^{E P_i} = \bigcup_{\kappa_7}^{E P_7}$ , since  $\kappa_7$  is

a cardinal in  $P_i$  and  $w \times \bar{\tau}_i \in \bigcup_{\kappa_7}^{E P_i}$ .

Since  $\bigcup_{\kappa_7}^{E P_7} \models ZFC'$ , we have

$\delta \in \bigcup_{\kappa_7}^{E P_7}$ , where  $\delta: \langle d, e \rangle \xrightarrow{\sim} \bar{P}$  (in the  
obvious sense). Hence:

$\sigma = \{ \langle h(z), \delta(z) \rangle \mid z \in d \} \in P_i$  QED

Since  $\bar{X} = \bar{\tau}_i$  in  $P_i$ , we have:  $\delta = \text{crit}(\sigma) \in$   
 $(\tau_i, \tau_i^+)$  in  $P_i$  (hence in  $\bigcup_{\kappa_7}^{E P_7}$ ).

Applying the condensation lemma, one of  
(a) - (d) must hold. (a) says that  
 $\bar{P} = \text{core}(\tilde{P})$ . This fails since  $\tilde{P}$  is sound  
& hence we would have:  $\bar{P} = \tilde{P}$ ,  $\sigma = \text{id}$ ,  
(c) is false, since otherwise  $\tau_i$  would  
be the critical pt. of an extender in  $P_i$ .

But  $\tau_i$  is a successor cardinal in  $P_i$ ,  
(d) is false, since otherwise  $\delta = \omega_{\bar{P}}^\omega$ .

Hence (c) holds and  $\bar{P} = P_i \parallel \nu = P_7 \parallel \nu$ .

QED (Case 1)



Case 2  $v_i = ht(P_i)$ .

By the minimal length of  $\gamma$  it is easily seen that  $i = h+1$ . Let  $\mu = T(i)$ .

(1)  $\kappa_h > \kappa_i$

Suppose not. Let  $\bar{\nu} = ht(P_h^*) = \pi_{\mu, i}^{-1}(v_i)$ ,

$\bar{\kappa} = \text{wit}(E_{\bar{\nu}}^{P_h^*})$ . At  $\kappa_h \leq \bar{\kappa}$ , then

$\kappa_i = \pi_{\mu, i}(\bar{\kappa}) = \lambda_i > \lambda_\gamma$ . Contr.

Hence  $\kappa_h > \bar{\kappa}$  and  $\kappa_i = \pi_{\mu, i}(\bar{\kappa}) = \bar{\kappa}$ . QED(1)

Hence  $\mu \geq \gamma$ . Define a shorter putative iteration by  $\gamma' |_{\mu+1} = \gamma |_{\mu+1}$ ,  $\nu'_{\mu} = \bar{\nu}$ . Then  $\gamma'$  is a counterexample. Contr! QED.