

# On the incompleteness of some Namba-type forcings

§1 In this paper we show — under the assumption  $\text{CH} + 2^{\omega_1} = \omega_2$  — that two Namba-like forcings  $\text{IN}'$  and  $\text{IN}^*$  are incomplete.

$\text{IN}'$  is the set of Namba trees with a finite stem  $s = \text{stem}(T) \neq t$  for all  $t \in T$ , either  $t = s \upharpoonright n$  for an  $n < \omega_1$  or else  $t \supset s$  has  $\omega_2$  many immediate successors. The ratiat property of  $\text{IN}'$ -generic sequences  $\langle \gamma_i : i < \omega \rangle$  is that, whenever  $F : \omega_2 \rightarrow \omega_2$  is a function in the ground model, then:

$$\forall n \ \exists m \geq n \ F(\gamma_m) < \gamma_{m+1}.$$

$\text{IN}^*$  is defined like  $\text{IN}'$  except that we impose the stronger requirement that

if  $t \in T$  and  $t \supset \text{stem}(T)$ , then:

$\{\alpha \mid t \supset \alpha\} \in T\}$  is stationary in  $\omega_2$ .

The ratiat property of  $\text{IN}^*$ -generic sequences is that whenever  $A \subset \omega_2$  is club in the ground model, then:

$$\forall n \ \exists m \geq n \ \gamma_m \in A.$$

Both forcings add no reals, assuming  $\text{CH}$  in the ground model.

$\text{IN}'$  has been treated extensively in the literature, especially [PIF]. We are not aware of previous treatments of  $\text{IN}^*$  and would be grateful for any references.

In [DSP] we generalized Shelah's notions of "dee-complete" and " $\omega_1$ -proper" forcing to "dee-subcomplete" and " $\omega_1$ -subproper". Unfortunately we inadvertently changed the term "dee-complete" into "dee-proper". We apologize for this and will avoid doing so in the future. We showed that, under CH,  $\text{IN}'$  had both properties and, therefore, can be iterated without adding reals. Quite recently we introduced the notion of "almost subcomplete forcing" and proved an iteration theorem for these forcings. Assuming  $\text{CH} + \check{\omega}_1 = \omega_2$  we showed that  $\text{IN}'$  and  $\text{IN}^*$  are both almost subcomplete. We then belatedly realized that our proof showed  $\text{IN}'$ ,  $\text{IN}^*$  to be, in fact, fully subcomplete. (Embarrassingly, this leaves<sup>"</sup> with no "real" applications for almost subcomplete forcing.)

Our proofs will deal mainly with  $\text{IN}^*$ , though we shall briefly indicate the changes to be made in proving the same results for  $\text{IN}'$ . After that we introduce the basic theory of  $\mathbb{L}$ -forcing in §3. (For this the reader may also consult [LP], which for present purposes is more suitable than the rather abstruse treatment in [Sing]).

In §4 we prove the equivalence of  $\text{IN}^*$  with an  $\mathbb{L}$ -forcing. In §5 we then prove the main result. In §6 we discuss further properties of the forcings  $\text{IN}$ ,  $\text{IN}'$  and  $\text{IN}^*$ . Throughout this paper - except in §3 - we assume CH. In §5 we also assume:  $2^{\omega_1} = \omega_2$ .

## Bibliography

[PIF] Proper and Amiproper Forcing

[LR] L-Forcing

[SPSC] Subproper and Subcomplete Forcing

[Sing] Singapore Notes

[FCH] Forcing Axioms compatible with CH

[ITSC] Iteration Theorem for Subcomplete  
and Related Forcings

[EN] The Extended Namba Problem

[DSP] ~~also~~ Subproper Forcing