

*Integrable systems in geometry and mathematical physics,
in memory of Boris Dubrovin*



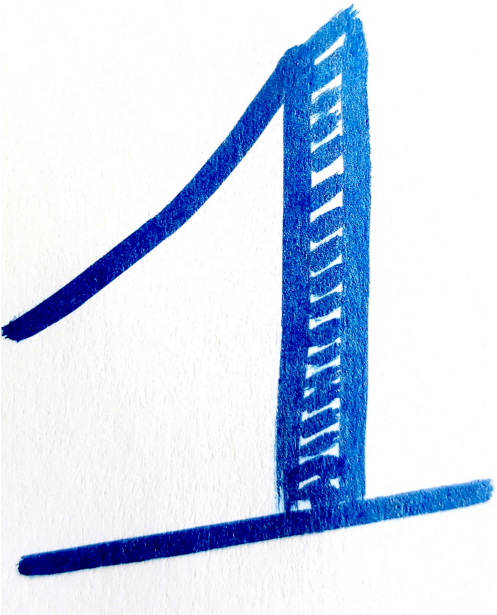
Sissa

July 1st 2021

Geometry and
topological recursion

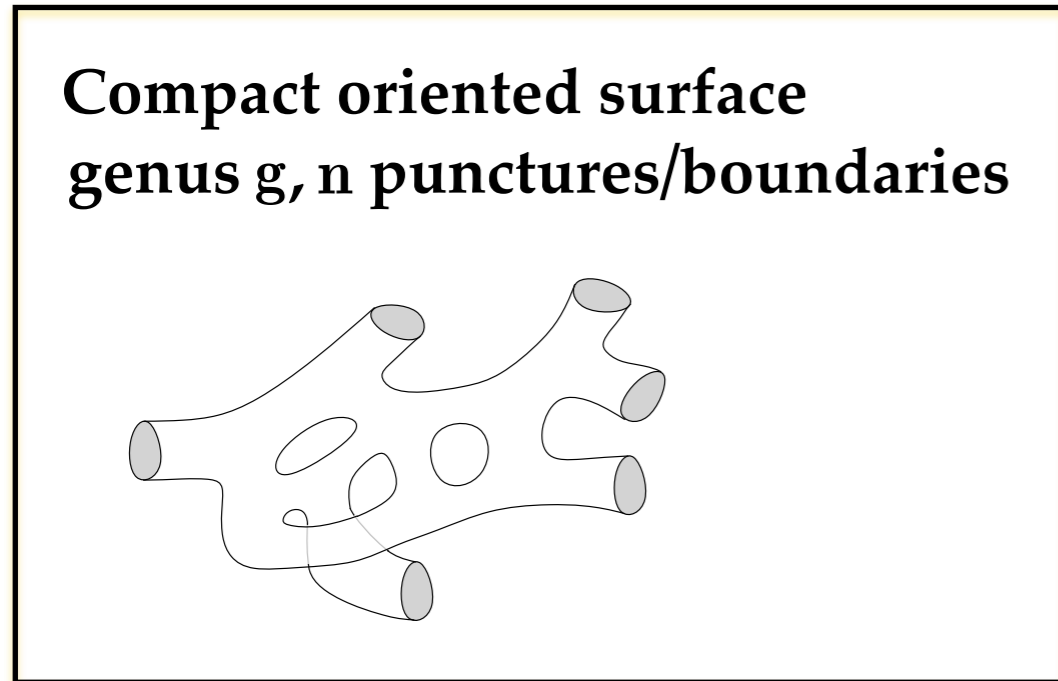


Gaëtan Borot



Topological recursion: recent history

- Ideas of 15 years ago
- Tools of today



quantities $F_{g,n}$ or $\Omega_{g,n}$

numeric	geometric
$\mathcal{V}^{\otimes n}$	$H^\bullet(\overline{\mathcal{M}}_{g,n}) \otimes \mathcal{V}^{\otimes n}$ $\text{Fun}(\mathcal{M}_{g,n}, \mathcal{V}^{\otimes n})$

← integration

We want to compute $F_{g,n}$ and better understand the *algebraic structures* governing these computations, and their *ubiquity*. E.g.

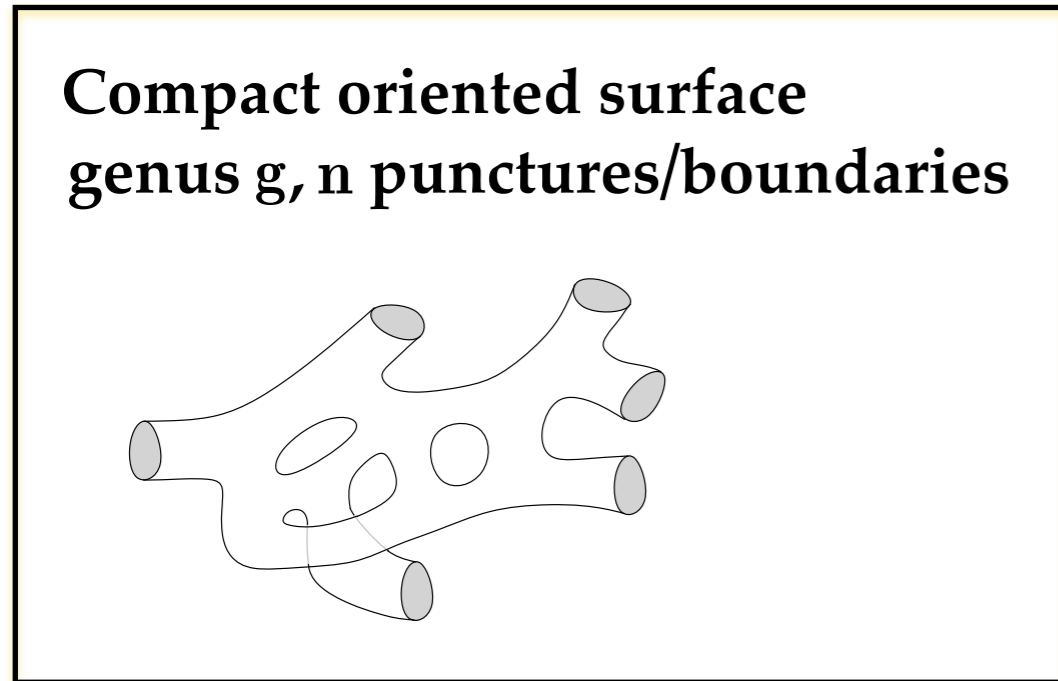
mirror symmetry

$F_{g,n}$ = periods on X^n , X = algebraic variety

non-linear integrable PDEs

linear PDEs

for $Z_{\hbar} = \exp \left(\sum_{g,n} \frac{\hbar^{g-1}}{n!} F_{g,n} \right) \in \text{Fun}_{\hbar}(\mathcal{V})$



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mirror symmetry

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non-linear integrable PDEs

linear PDEs \longleftrightarrow recursion on
 $|\mathcal{X}_{g,n}| = 2g - 2 + n$

for $Z_{\hbar} = \exp \left(\sum_{g,n} \frac{\hbar^{g-1}}{n!} F_{g,n} \right) \in \text{Fun}_{\hbar}(\mathcal{V})$

universal idea : cutting surfaces into smaller pieces / pasting

... > 10 years ago

1. Chekhov, Eynard, Orantin identified a universal recursive structure governing the formal and asymptotic expansions of matrix integrals

$$d\mu(M) = \frac{1}{Z_N} dM e^{-N \text{Tr} V(M)}$$

$$\left\langle \text{Tr} \frac{1}{x_1 - M} \cdots \text{Tr} \frac{1}{x_n - M} \right\rangle_c \approx \sum_{g \geq 0} N^{2-2g-n} F_{g,n}(x_1, \dots, x_n)$$

- recursion on $2g - 2 + n$
- period computations on the spectral curve $P(x, F_{0,1}) = 0$

They called it **topological recursion (TR)**

... > 10 years ago

Terms $\longleftrightarrow \left\{ \begin{array}{l} \text{embeddings of pairs of pants } P \hookrightarrow \Sigma_{g,n} \\ \text{such that } \partial_1 P = \partial_1 \Sigma_{g,n} \text{ and } \Sigma_{g,n} - P \text{ is stable} \end{array} \right\} / \text{Diff}^\partial(\Sigma_{g,n})$

$$F_{g,n} = \sum \begin{array}{c} \text{1} \\ \text{m} \end{array} \begin{array}{c} \text{1} \\ \text{B} \end{array} \begin{array}{c} \text{2} \\ \text{n} \end{array} \begin{array}{c} g \\ \vdots \end{array} \\ + \sum \begin{array}{c} \text{1} \\ \text{c} \end{array} \begin{array}{c} \text{2} \\ \text{n} \end{array} \begin{array}{c} g-1 \\ \vdots \end{array} \\ + \sum \begin{array}{c} \text{1} \\ \text{c} \end{array} \begin{array}{c} \text{h} \\ \text{h}' \end{array} \begin{array}{c} \text{J} \\ \text{J}' \end{array}$$

... > 10 years ago

2. **Mirzakhani theorem (07)** : recursion for Weil-Petersson volumes of $\mathcal{M}_{g,n}(\mathbb{L})$

(TR on $y = \frac{\sin(\pi\sqrt{2x})}{\pi\sqrt{2x}}$)

... > 10 years ago

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3. **Witten conjecture/Kontsevich + Dijkgraaf-Verlinde-Verlinde theorem (91)**

Virasoro constraints for $F_{g,n} = \sum_{k_1, \dots, k_n \geq 0} \left(\int_{\overline{\mathcal{M}}_{g,n}} \psi_1^{k_1} \cdots \psi_n^{k_n} \right) \prod_{i=1}^n \frac{(2k_i + 1)!! dy_i}{y_i^{2k_i + 2}}$

(TR on $x = \frac{y^2}{2}$)

... > 10 years ago

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4. **Remodeling the B-model (conjecture of Bouchard, Klemm, Mariño, Pasquetti, 07)**

TR on mirror curve $P(e^x, e^y) = 0$ of a toric CY3 X
computes its open Gromov-Witten theory

\Rightarrow **Bouchard-Mariño conjecture (08)**

TR on $e^x = ye^{-y}$ computes simple Hurwitz numbers

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5. **Norbury-Scott conjecture** : TR on $x = e^y + e^{-y}$

computes the (stationary) Gromov-Witten theory of $\mathbb{C}\mathbb{P}^1$

... > 10 years ago

Why/How does TR appears in a given problem ?

I. Via analysis of functional relations

Schwinger-Dyson equations / Tutte's recursion on Feynman graphs (matrix models)
1,3, non-rigorous derivations for 4,5

Cut-and-join equations in Hurwitz theory

Bouchard-Mariño conjecture proved by Eynard, Mulase, Safnuk (11)

More general Hurwitz theory ???

II. Via geometry

Isolated example of Mirzakhani : recursive partition of unity
on Teichmüller space, whose integration yields TR for volumes

III. Relation to Frobenius manifolds & Givental formalism in GW-theory ???

Nowadays: many ways to prove TR

I. Via analysis of Schwinger-Dyson equations

TR for large class of matrix models (multitrace, multicut) B, Eynard, Orantin 13, B. 15

Existence of asymptotic expansions properly justified Albeverio, Pastur, Shcherbina (01)
B, Guionnet, Kozłowski (11-15)

I'. Via analysis of cut-and-join equations/semi-infinite wedge formulas

Amsterdam/Moscow school, Bouchard, Mulase, Norbury, Lewanski, Do, Karev, B., Moskowsky (2011-2021)
Alexandrov, Chapuy, Eynard, Harnad

I''. Reconstruction of formal WKB expansions

Bergere, Eynard, B, Iwaki, Marchal, Dumitrescu, Mulase, Orantin, Garcia-Failde (2009-...)

II. Via geometric recursions Andersen, B, Orantin (17-...)

III. { Relation to Frobenius manifolds & Givental formalism & CohFTs

Dunin-Barkowski, Orantin, Spitz, Shadrin, Norbury, Popolitov (12-16)

Intersection theory on $\overline{\mathcal{M}}_{g,n}$

Eynard (12) + ...

IV. Representation theory of VOAs

Orantin, Kostov (2010) Milanov (2015), B., Bouchard, Chidambaram, Creutzig, Noshchenko (2017-...)

Nowadays: many ways to prove TR

I. Via analysis of Schwinger-Dyson equations

TR for large N expansion of SU(N) Chern-Simons theory

I'. Via analysis of cut-and-join equations/semi-infinite wedge formulas

For all weighted double Hurwitz numbers and spin Hurwitz numbers

I''. Reconstruction of formal WKB expansions

TR for Painleve tau-functions, for large class of Hurwitz problems, ...

II. Via geometric recursions

III. { Relation to Frobenius manifolds/Givental formalism/CohFTs

Proof of Norbury-Scott conjecture

Intersection theory on $\overline{\mathcal{M}}_{g,n}$

Proof of remodeling B-model conjecture

IV. Representation theory of VOAs

Nowadays: TR can emerge in many ways

I. Via analysis of Schwinger-Dyson equations

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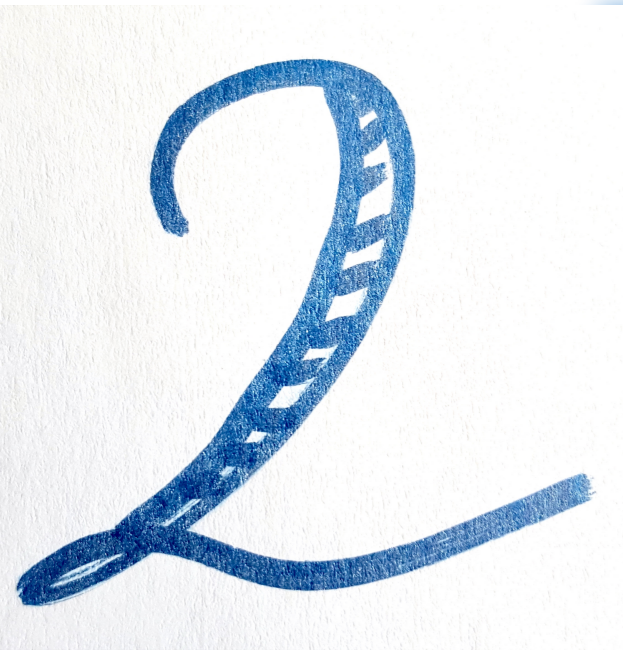
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Geometric recursions

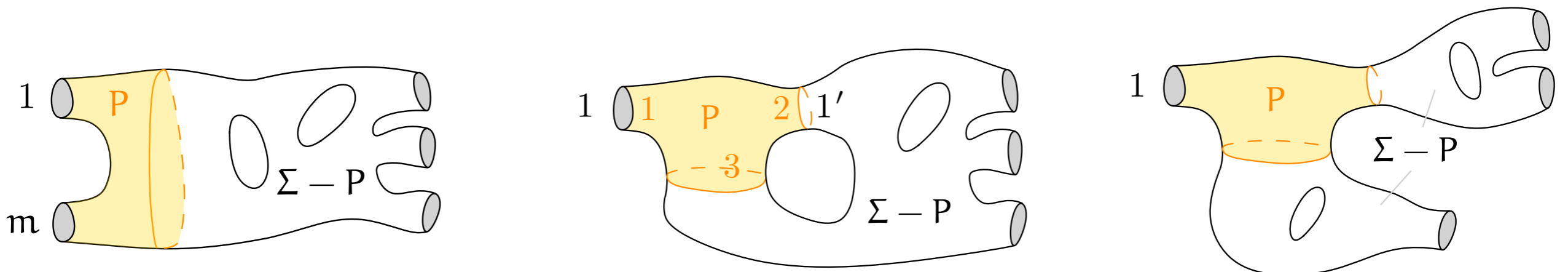
- Mirzakhani identity
- Generalisations and applications

1. Mirzakhani identity

- Σ compact oriented smooth surface, genus g , n boundaries
- $\mathcal{T}_\Sigma = \text{Teichmüller space} = \left\{ \begin{array}{l} \text{hyperbolic metrics on } \Sigma \\ \text{with geodesic boundaries} \end{array} \right\} / \text{Diff}_0(\Sigma, \partial\Sigma)$
- $\mathcal{M}_\Sigma(L) = \mathcal{T}_\Sigma(L) / \Gamma_\Sigma^\partial = \text{moduli space of bordered Riemann surfaces with fixed boundary lengths } L \in \mathbb{R}_+^n$

equipped with $\mu_{\text{WP}} = \text{Weil-Petersson (symplectic) volume form}$

- $\mathcal{P}_\Sigma = \left\{ \begin{array}{l} \text{isotopy class of } P \hookrightarrow \Sigma \text{ with labeled boundaries} \\ \text{such that } \partial_1 P = \partial_1 \Sigma \text{ and } \Sigma - P \text{ is stable} \end{array} \right\}$
 $= \left(\bigsqcup_{m=2}^n \mathcal{P}_\Sigma^m \right) \sqcup \mathcal{P}_\Sigma^\emptyset$



1. Mirzakhani identity

$$B_M(L_1, L_2, \ell) = \frac{1}{2L_1} (F(L_1 + L_2 - \ell) + F(L_1 - L_2 - \ell) - F(-L_1 + L_2 - \ell) - F(-L_1 - L_2 - \ell))$$

$$C_M(L_1, \ell, \ell') = \frac{1}{L_1} (F(L_1 - \ell - \ell') - F(-L_1 - \ell - \ell')) \quad \text{with } F(x) = 2 \ln(1 + e^{x/2})$$

Theorem (Mirzakhani, 07) For $2g - 2 + n \geq 2$ and any $\sigma \in \mathcal{T}_\Sigma$

$$(a) \quad 1 = \sum_{m=2}^n \sum_{[P] \in \mathcal{P}_\Sigma^m} B_M(\vec{\ell}_\sigma(\partial P)) + \frac{1}{2} \sum_{[P] \in \mathcal{P}_\Sigma^\emptyset} C_M(\vec{\ell}_\sigma(\partial P))$$

(b) Topological recursion for the WP volumes Terms $\longleftrightarrow \mathcal{P}_\Sigma / \text{Diff}_\Sigma^\emptyset$ (finite)

$$V_{g,n}(L) = \sum_{m=2}^n \int_{\mathbf{R}_+} d\ell \ell B_M(L_1, L_m, \ell) V_{g,n-1}(\ell, L \setminus \{L_m\}) \\ + \frac{1}{2} \int_{\mathbf{R}_+^2} d\ell d\ell' \ell \ell' C_M(L_1, \ell, \ell') \left(V_{g-1,n+1}(\ell, \ell', L) + \sum_{\substack{J \sqcup J' = L \setminus \{L_1\} \\ h+h'=g}} V_{h,1+|J|}(\ell, J) V_{h',1+|J'|}(\ell', J') \right)$$

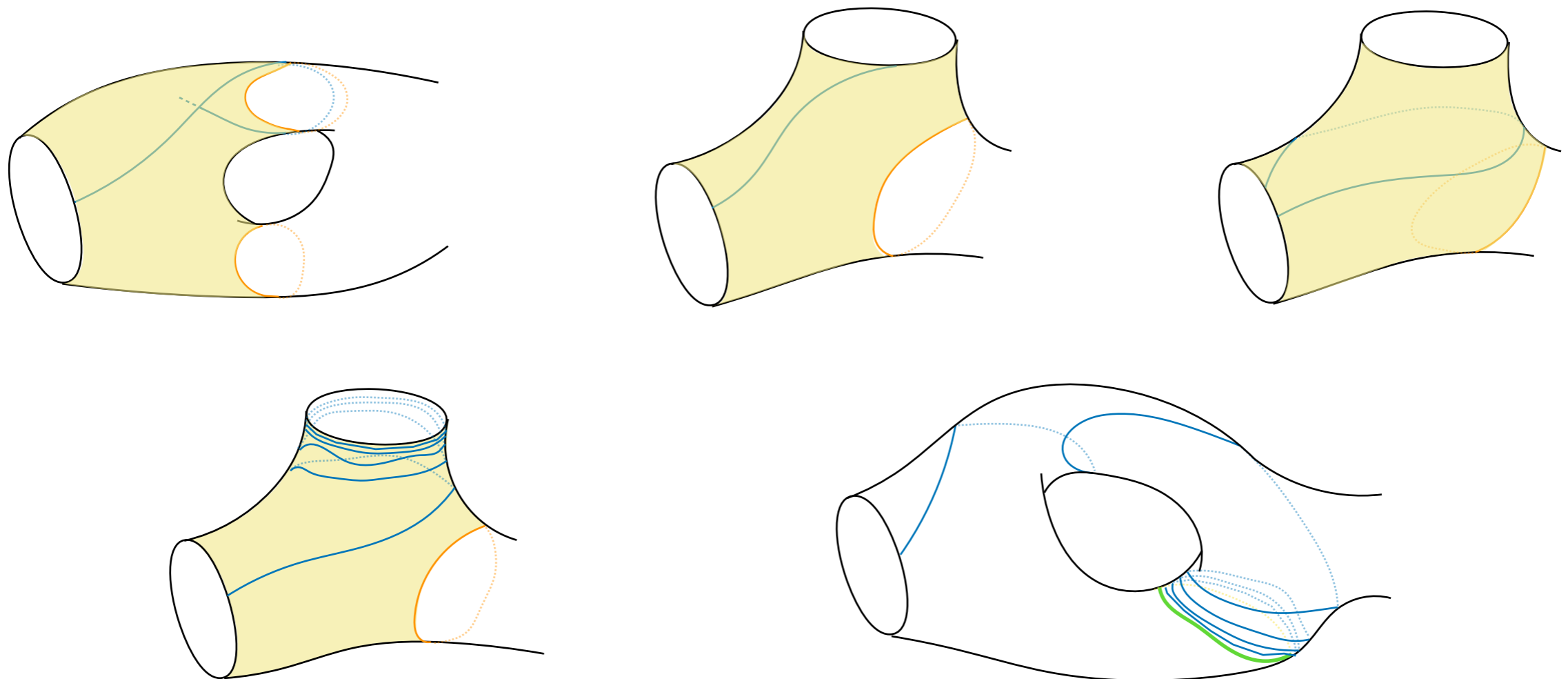
1. Mirzakhani identity

Idea of the proof Let $\sigma \in \mathcal{T}_\Sigma$

$x \in \partial_1 \Sigma \rightsquigarrow \gamma_x$ geodesic issuing from $x \perp \partial_1 \Sigma$, stopped at first intersection point

$\rightsquigarrow [P_x] \in \mathcal{P}_\Sigma^1$ determined by tubular neighborhood of $\partial_1 \Sigma \cup \gamma_x$

when the geodesic does not accumulate on $\alpha \subset \mathring{\Sigma}$



1. Mirzakhani identity

Idea of the proof (continued)

We have an almost everywhere defined map $\partial_1 \Sigma \dashrightarrow \mathcal{P}_{\Sigma}$

$$1 = \frac{1}{\ell_{\sigma}(\partial_1 \Sigma)} \sum_{[P] \in \mathcal{P}_{\Sigma}} \ell_{\sigma}(\{x \in \partial_1 \Sigma \mid [P_x] = [P]\})$$

Given $[P]$, one can identify the set of points $x \in \partial_1 \Sigma$ intrinsically and compute their measure by hyperbolic trigonometry

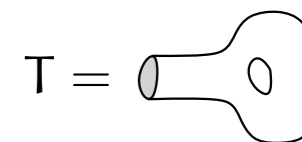
Key for integration is that Fenchel-Nielsen coordinates are

- compatible with cutting / gluing
- canonical for Weil-Petersson symplectic form (Wolpert formula)

2. TR from geometric recursion

● Initial data $A, B, C \in C^0(\mathcal{T}_P) \cong C^0(\mathbb{R}_+^3)$

and $D \in C^0(\mathcal{T}_T)$



$$X(L_1, L_2, L_3) = X(L_1, L_3, L_2) \quad \text{for } X = A, C$$

● $|\chi| = 1$ $\Omega_P = A$ $\Omega_T = D$

union $\Omega_{\Sigma_1 \sqcup \Sigma_2}(\sigma_1, \sigma_2) = \Omega_{\Sigma_1}(\sigma_1) \Omega_{\Sigma_2}(\sigma_2)$

$$|\chi| \geq 2 \quad \Omega_{\Sigma}(\sigma) = \sum_{m=2}^n \sum_{[P] \in \mathcal{P}_{\Sigma}^b} B(\vec{\ell}_{\sigma}(\partial P)) \Omega_{\Sigma-P}(\sigma|_{\Sigma-P}) + \frac{1}{2} \sum_{[P] \in \mathcal{P}_{\Sigma}^{\emptyset}} C(\vec{\ell}_{\sigma}(\partial P)) \Omega_{\Sigma-P}(\sigma|_{\Sigma-P})$$

Theorem Andersen B Orantin 17

If A,B,C,D satisfy some (explicit) bounds

$\Sigma \longmapsto \Omega_{\Sigma} \in C^0(\mathcal{T}_{\Sigma})$ is a well-defined and invariant under $\Gamma_{\Sigma}^{\partial}$
(absolute convergence on any compact)

2. TR from geometric recursion

GR formula
$$\Omega_{\Sigma}(\sigma) = \sum_{b=2}^n \sum_{[P] \in \mathcal{P}_{\Sigma}^b} B(\vec{\ell}_{\sigma}(\partial P)) \Omega_{\Sigma-P}(\sigma|_{\Sigma-P}) + \frac{1}{2} \sum_{[P] \in \mathcal{P}_{\Sigma}^{\emptyset}} C(\vec{\ell}_{\sigma}(\partial P)) \Omega_{\Sigma-P}(\sigma|_{\Sigma-P})$$

Theorem Andersen B Orantin 17

Under assumptions, $\Sigma \mapsto \Omega_{\Sigma} \in C^0(\mathcal{T}_{\Sigma})$ is well-defined, $\Gamma_{\Sigma}^{\partial}$ -invariant

and $F_{g,n}(L) = \int_{\mathcal{M}_{g,n}(L)} \Omega_{\Sigma_{g,n}} d\mu_{WP}(\sigma)$ satisfies TR

$$F_{g,n}(L) = \sum_{m=2}^n \int_{\mathbf{R}_+} d\ell \ell B(L_1, L_m, \ell) F_{g,n-1}(\ell, L \setminus \{L_m\}) + \frac{1}{2} \int_{\mathbf{R}_+^2} d\ell d\ell' \ell \ell' C(L_1, \ell, \ell') \left(F_{g-1,n+1}(\ell, \ell', L) + \sum_{\substack{J \sqcup J' = L \setminus \{L_1\} \\ h+h'=g}} F_{h,1+|J|}(\ell, J) F_{h',1+|J'|}(\ell', J') \right)$$

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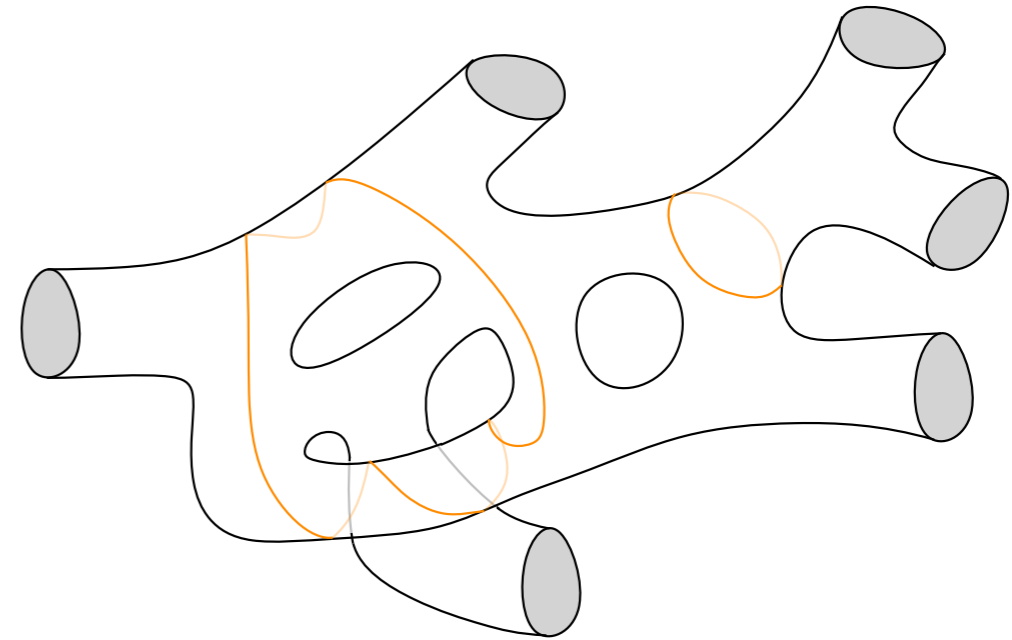
- compatible with cutting / gluing
- canonical for Weil-Petersson symplectic form

Sees TR as a shadow (after integration over moduli) of finer geometric recursions

3. Applications

Generalization of Mirzakhani identities

$$\mathcal{M}'_{\Sigma} = \{ \text{primitive multicurves on } \Sigma \}$$



Theorem Andersen B Orantin 18

For any test function $f \in C^0(\mathbb{R}_+)$ with fast decay

$$\Omega^M[f](\sigma) = \sum_{\gamma \in \mathcal{M}'_{\Sigma}} \prod_{c \in \pi_0(\gamma)} f(\ell_{\sigma}(c)) \quad \text{is computed by GR for twisted initial data}$$

$$A^M[f](L_1, L_2, L_3) = A^M(L_1, L_2, L_3)$$

$$B^M[f](L_1, L_2, \ell) = B^M(L_1, L_2, \ell) + f(\ell)A^M(L_1, L_2, \ell)$$

$$C^M[f](L_1, \ell, \ell') = C^M(L_1, \ell, \ell') + f(\ell)B^M(L_1, \ell, \ell') + f(\ell')B^M(L_1, \ell', \ell) + f(\ell)f(\ell')A^M(L_1, \ell, \ell')$$

$$D^M[f](\sigma) = \sum_{\gamma \text{ simple}} C^M(L_1, \ell_{\sigma}(\gamma), \ell_{\sigma}(\gamma)) + f(\ell_{\sigma}(\gamma))A^M(L_1, \ell_{\sigma}(\gamma), \ell_{\sigma}(\gamma))$$

3. Applications

Idea of the proof

same in hyperbolic or combinatorial setting

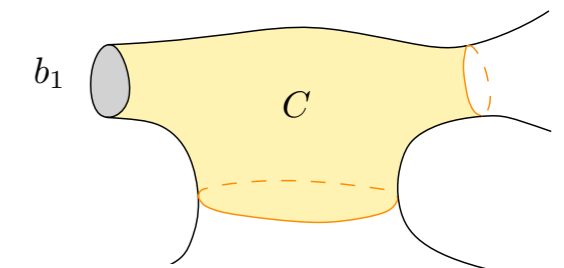
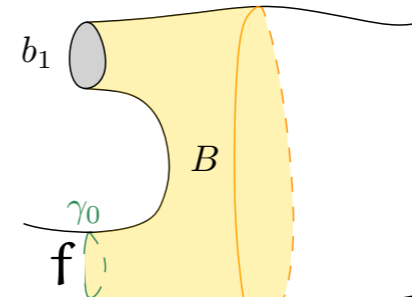
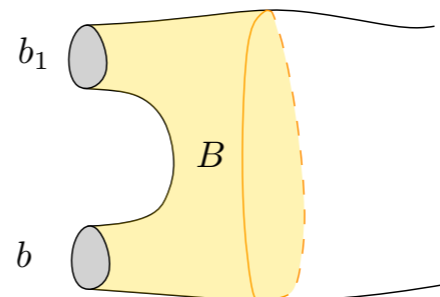
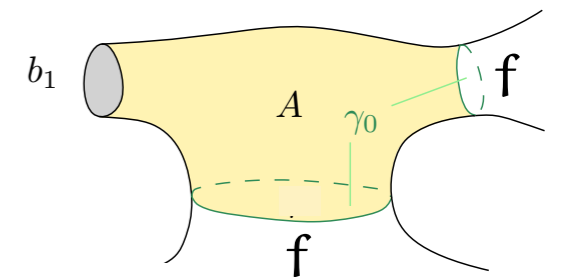
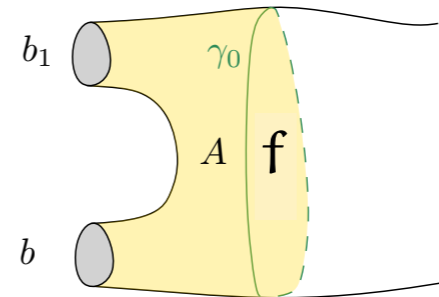
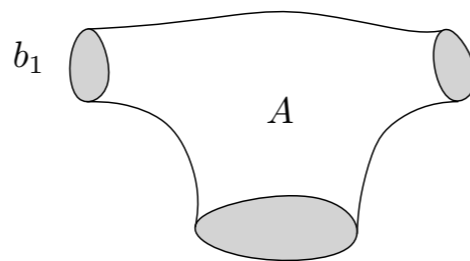
$$\Omega^M[f](\sigma) = \sum_{\gamma \in \mathcal{M}'_{\Sigma}} \prod_{c \in \pi_0(\gamma)} f(\ell_{\sigma}(c)) \cdot \mathbf{1}_{\Sigma-\gamma}(\sigma|_{\Sigma-\gamma})$$

$$= \sum_{\gamma \in \mathcal{M}'_{\Sigma}} \prod_{c \in \pi_0(\gamma)} f(\ell_{\sigma}(c)) \sum_{[P] \in \mathcal{P}_{\Sigma-\gamma}} \chi_P^M(\sigma|_{\Sigma-P})$$

use Mirzakhani identity

$$= \sum_{[P] \in \mathcal{P}_{\Sigma}} \sum_{\gamma \in \mathcal{M}'_{\Sigma-P}} \dots$$

and collect the weights



$$A = \Omega_{0,3} \equiv 1$$

3. Applications

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$$D^M[f](\sigma) = \sum_{\gamma \text{ simple}} C^M(L_1, \ell_{\sigma}(\gamma), \ell_{\sigma}(\gamma)) + f(\ell_{\sigma}(\gamma))A^M(L_1, \ell_{\sigma}(\gamma), \ell_{\sigma}(\gamma))$$

Consequences

TR computes WP-averages of multicurve statistics

TR computes Masur-Veech volumes of moduli of quadratic differentials

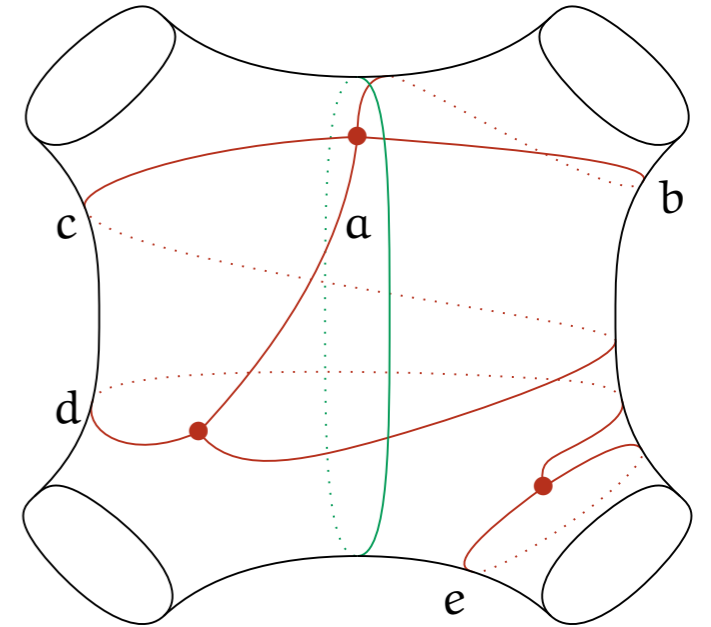
Andersen, B., Charbonnier, Delecroix, Giacchetto, Lewanski, Wheeler 19

4. Combinatorial geometry

$$\mathcal{T}_\Sigma^{\text{comb}} = \left\{ \begin{array}{l} \text{embedded metric ribbon graphs } \mathbb{G} \hookrightarrow \Sigma \\ \text{s.t. } \Sigma \text{ retracts onto } \mathbb{G}, \text{ up to isotopy} \end{array} \right\}$$

is homeomorphic to \mathcal{T}_Σ

but a different (symplectic) geometry than WP geometry



Kontsevich 2-form on $\mathcal{T}_\Sigma^{\text{comb}}(L)$

Γ_Σ^∂ - invariant

$$\omega_K = \frac{1}{2} \sum_{i=1}^n \sum_{\substack{e < e' \\ \text{around } \partial_i \Sigma}} dl_e \wedge dl_{e'}$$

Associated volume form

μ_K

Combinatorial volumes

$$\int_{\mathcal{M}_{g,n}^{\text{comb}}(L)} d\mu_K = \int_{\overline{\mathcal{M}}_{g,n}} \exp \left(\sum_{i=1}^n \frac{L_i^2}{2} \psi_i \right)$$

4. Combinatorial geometry

$$\mathcal{T}_\Sigma^{\text{comb}} = \left\{ \begin{array}{l} \text{embedded metric ribbon graphs } \mathbb{G} \hookrightarrow \Sigma \\ \Sigma \text{ retracts onto } \mathbb{G} \end{array} \right\} \text{ homeo. to } \mathcal{T}_\Sigma$$

but different (symplectic) geometry : Kontsevich μ_K vs. Weil-Petersson μ_{WP}

Kontsevich 91
Zvonkine 03

$$\int_{\mathcal{M}_{g,n}^{\text{comb}}(L)} d\mu_K = \int_{\overline{\mathcal{M}}_{g,n}} \exp\left(\sum_{i=1}^n \frac{L_i^2}{2} \psi_i\right)$$

Theorem Andersen B Charbonnier Giacchetto Lewanski Wheeler 21

There are combinatorial FN coordinates $\mathcal{T}_\Sigma^{\text{comb}} \rightarrow (\mathbb{R}^+ \times \mathbb{R})^{3g-3+n} \times \mathbb{R}_+^n$

Image is open dense with zero measure complement

$$\omega_K = \sum_{i=1}^{3g-3+n} dl_i \wedge d\tau_i \quad \text{on locus with fixed boundary lengths}$$

One can set up geometric recursion to get $\Omega_\Sigma \in C^0(\mathcal{T}_\Sigma^{\text{comb}})$

and $F_{g,n}(L) = \int_{\mathcal{M}_\Sigma^{\text{comb}}(L)} \Omega_\Sigma d\mu_K$ satisfy TR

4. Combinatorial geometry

Andersen B Charbonnier Giacchetto Lewanski Wheeler 21

Most tools of hyperbolic geometry have an analogue in $\mathcal{T}_\Sigma^{\text{comb}}$

Theorem (combinatorial Mirzakhani-McShane identity)

$$A^K(L_1, L_2, L_3) = 1$$

$$B^K(L_1, L_2, \ell) = \frac{1}{2L_1} ([L_1 - L_2 - \ell]_+ - [-L_1 + L_2 - \ell]_+ + [L_1 + L_2 - \ell]_+)$$

$$C^K(L_1, \ell, \ell') = \frac{1}{L_1} [L_1 - \ell - \ell']_+$$

$$D^K(\mathbb{G}) = \sum_{\gamma \text{ simple}} C^K(\vec{\ell}_{\mathbb{G}}(\partial(\Sigma - \gamma)))$$

GR ↓

$$\Omega_\Sigma^K \equiv 1$$

integration

TR

$$F_{g,n}(L) = \int_{\overline{\mathcal{M}}_{g,n}} \exp\left(\sum_{i=1}^n \frac{L_i^2}{2} \psi_i\right)$$

Fully geometric proof of Virasoro constraints of Witten's conjecture
(bypassing matrix models/integrability)

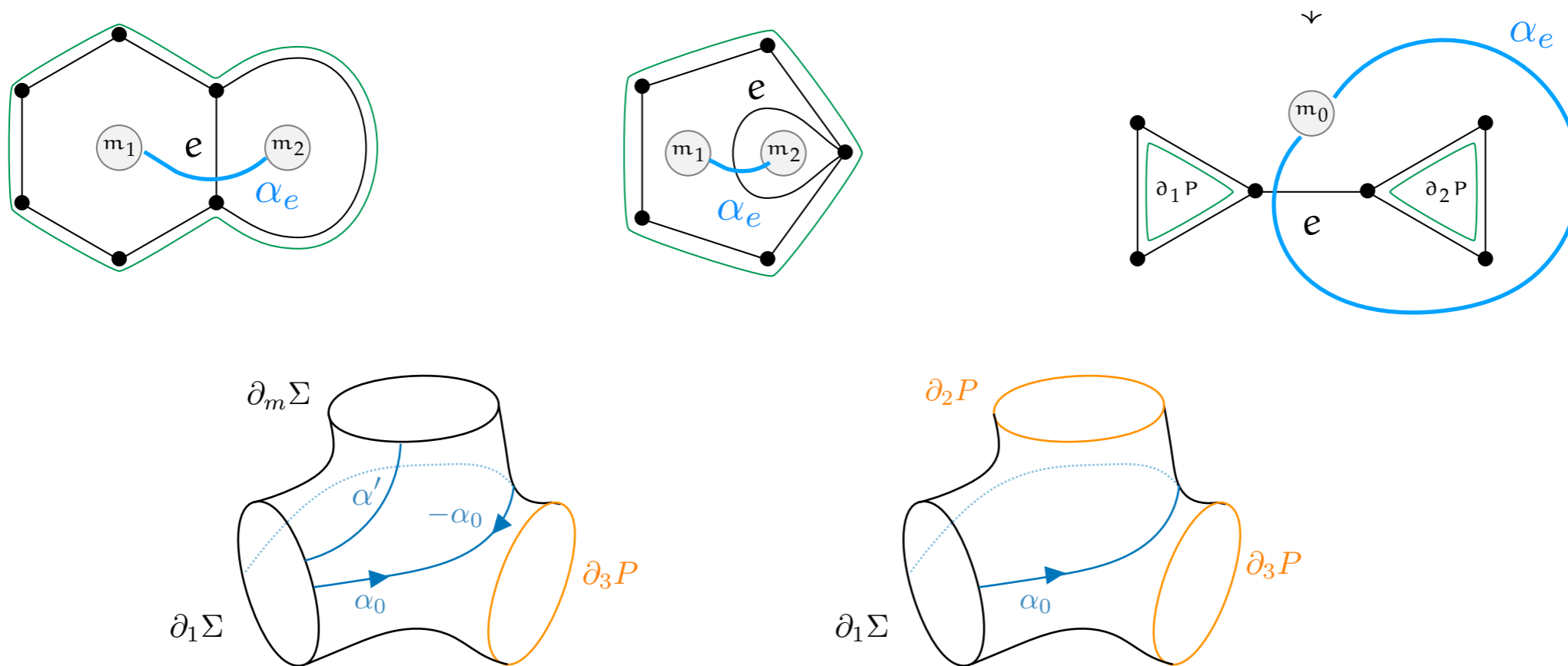
4. Combinatorial geometry

Idea of proof Let $\mathbb{G} \in \mathcal{T}_\Sigma^{\text{comb}}$

We shoot geodesics, but the starting point is already the ending point (on the graph)

Write $1 = \frac{1}{l_{\mathbb{G}}(\partial_1 \Sigma)} \sum_{\substack{e=\text{edge} \\ \text{around } \partial_1 \Sigma}} l_{\mathbb{G}}(e) = \frac{1}{l_{\mathbb{G}}(\partial_1 \Sigma)} \sum_{\substack{\alpha \text{ arc} \\ \text{(dual edge)}}} l_{\mathbb{G}}(\alpha) = \sum_{[P] \in \mathcal{P}_\Sigma} \sum_{\alpha \in Q^{-1}([P])} l_{\mathbb{G}}(\alpha)$

Partition the sum according to the isotopy class of pair of pants $Q(P_\alpha) \in \mathcal{P}_\Sigma$ determined by the tubular neighborhood of $\partial_1 \Sigma \cup \alpha$



5. Future directions

- Differential-geometric approach to Witten r-spin conjecture is missing

Construction of Witten r-spin class

Polishchuk, Vaintrob 01, Chiodo 02

Proof of Witten r-spin conjecture (rKdV)

Faber, Shadrin, Zvonkine 06

Proof of W-constraints/TR

Milanov 16

- Analogue for surfaces with corners ?

in progress, Andersen, B., Orantin

What can be hoped for :

- open Mirzakhani identity

- twisting: geometric recursion for statistics of length spectrum

- via Selberg trace formula: geometric recursion for statistics of Laplace spectrum

- integration over the open moduli space



Representation theory of VOAS

- Airy structures from VOAS
- Supersymmetric gauge theories
- Intersection theory

1. Airy structures

$\mathcal{D}_{\mathcal{V}}^{\hbar} = \mathbb{C}[[\hbar]] \langle x_i, \hbar \partial_{x_i} \mid i \in I \rangle$ $\deg x_i = 1$ $\deg \hbar = 2$ basis $(e_i)_{i \in I}$
graded algebra of differential operators on \mathcal{V} (dual) linear coordinates $(x_i)_{i \in I}$

A **quantum Airy structure** is a linear map $\mathcal{L} : \mathcal{V} \rightarrow \mathcal{D}_{\mathcal{V}}^{\hbar}$ such that

- $\mathcal{L}(e_i) = \hbar \partial_{x_i} + O(\hbar^2)$
- $[\mathcal{L}, \mathcal{L}] \subseteq \hbar \mathcal{D}_{\mathcal{V}}^{\hbar} \cdot \mathcal{L}$

Theorem (Kontsevich, Soibelman 17)

For a given quantum Airy structure, there exists a unique

$$F = \sum_{\substack{2g-2+n>0 \\ n>0}} \frac{\hbar^g}{n!} F_{g,n} \quad F_{g,n} \in \text{Sym}^n \mathcal{V}^*$$

satisfying $\forall v \in \mathcal{V} \quad \mathcal{L}(v) \cdot e^{F/\hbar} = 0$

1. Airy structures

initial data (A, B, C, D) $\xrightarrow{\text{Topological recursion (TR)}}$ $F_{g,n} \in \text{Sym}^n \mathcal{V}^*$

Degree 2 case $\mathcal{L}(e_i) = \hbar \partial_{x_i} - \sum_{a,b} \left(\frac{1}{2} A_{a,b}^i x_a x_b + B_{a,b}^i x_a \hbar \partial_{x_b} + \frac{1}{2} C_{a,b}^i \hbar^2 \partial_{x_a} \partial_{x_b} \right) - \hbar D^i$

$|\chi| = 1$ $F_{0,3} = A$ $F_{1,1} = D$

$|\chi| \geq 2$ $F_{g,n} = \sum$

$+ \sum$

Identifies the minimal algebraic conditions for the emergence of TR

1. Airy structures

- (Quantum) Airy structures are not easy to find !
- Large abelian group of symmetries (containing Givental group)

E.g. $\mathcal{U} = \exp(\frac{\hbar}{2} u_{a,b} \partial_{x_a} \partial_{x_b})$

$$\mathcal{L}_i \rightarrow \mathcal{U} \mathcal{L}_i \mathcal{U}^{-1} \longrightarrow e^{F/\hbar} \rightarrow \mathcal{U} e^{F/\hbar}$$

$$x_i \rightarrow x_i + u_{i,a} \hbar \partial_{x_a}$$

$$A[u]_{j,k}^i = A_{j,k}^i$$

$$B[u]_{j,k}^i = B_{j,k}^i + u_{j,a} A_{a,k}^i$$

$$C[u]_{j,k}^i = C_{j,k}^i + u_{j,a} B_{a,k}^i + u_{k,a} B_{a,j}^i + u_{j,a} u_{k,b} A_{a,b}^i$$

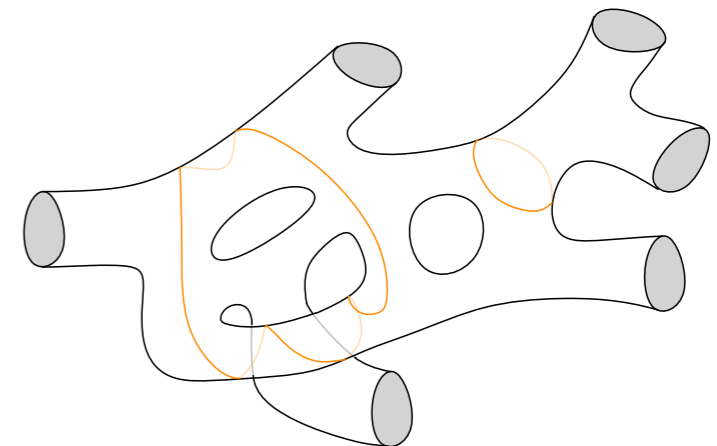
$$D[u]^i = D^i + \frac{1}{2} u_{a,b} A_{a,b}^i$$

$$F_{g,n} \rightarrow \text{sum over } \mathcal{M}'_{\Sigma} / \Gamma_{\Sigma}^{\partial}$$

(stable graphs)

vertex weights $F_{h(v),k(v)}$

edge weights u



Twisting is a geometric lift of this symmetry

Vertex operator algebra (2d chiral CFT)

+ twist by an automorphism σ

\rightsquigarrow quantum Airy structures

+ representation in $\mathcal{D}_{\mathcal{V}}^{\hbar}$

Fundamental example $W(\mathfrak{gl}_r)_c$ (= Virasoro for $r = 2$)

generators H_k^α $k \in \mathbb{Z}$ $\alpha \in \{1, \dots, r\}$

admit representations in $\mathcal{D}_{\mathbb{C}^r[[z]]}^{\hbar}$

automorphisms $c = r$ $\mathfrak{S}_r \times \mathbb{Z}_2$

(quantum Miura transform)

$c \neq r$ \mathbb{Z}_2

With help of representation theory, one can find subsets of modes \mathcal{H}

satisfying the (tricky) ideal condition $[\mathcal{H}, \mathcal{H}] \subseteq \hbar W(\mathfrak{gl}_r) \cdot \mathcal{H}$

By suitable translations $x_k \rightarrow x_k + t_k$, one can get the degree condition

There is a tension between choice of ideal vs. choice of translation

2. Construction from VOAs

Fundamental example $W(\mathfrak{gl}_r)_c$

generators H_k^i $k \in \mathbb{Z}$ $i \in \{1, \dots, r\}$ have representation in $\mathcal{D}_{\mathbb{C}^r}^{\hbar}[[z]]$

Theorem B Bouchard Chidambaram Creutzig Noshchenko 18

For $c = r$, twist by $\sigma = (1 \cdots r)$, $s \in \{1, \dots, r+1\}$ such that $r = \pm 1 \pmod s$

$\mathcal{H} = \{ H_k^i : rk + s(i-1) + \delta_{i,1} > 0 \}$ with translation $x_s \rightarrow x_s - 1/s$

→ free energies $F_{g,n}^{(r,s)}$ computed by TR

Likewise with $\sigma = (1\ 2 \cdots r-1) \in \mathfrak{S}_r$ and $s|r$

→ free energies $\tilde{F}_{g,n}^{(r,s)}$ computed by TR

General twist $\sigma \in \mathfrak{S}_r$: many more (almost classified)

B Kramer Schüler 20

Untwisted cases ?

3. Spectral curve description

A **spectral curve** is a branched cover $\tilde{C} \xrightarrow{x} \mathbb{C}$ together with $\mathfrak{a} = \text{zeroes of } dx$

$y : \tilde{C} \rightarrow \mathbb{C}$ meromorphic, and $\omega_{0,2} \in H^0(K_{\tilde{C}}^{\boxtimes 2}(2\Delta))^{\mathfrak{S}_2}$

assuming $y - y(\alpha) \sim (x - x(\alpha))^{s_\alpha/r_\alpha - 1}$

with $r_\alpha = \pm 1 \pmod{s_\alpha}$ and $s_\alpha \in \{1, \dots, r_\alpha + 1\}$

Eynard-Orantin TR

by computations of periods on \tilde{C}

Kontsevich Soibelman 17

B et al. 17, 18, 20

$$\omega_{g,n}(z_1, \dots, z_n) = \sum_{i_1, \dots, i_n} F_{g,n}(e_{i_1} \otimes \dots \otimes e_{i_n}) \prod_{a=1}^n d\xi_{i_a}(z_i)$$

generating series (meromorphic differential on \tilde{C}^n)

quantum Airy structure on $\mathcal{V} = \bigoplus_{\alpha \in \mathfrak{a}} \bigoplus_{k \geq 0} \mathbb{C} \cdot e_{\alpha,k}$
based on $\bigoplus_{\alpha} W(\mathfrak{gl}_{r_\alpha})$

3. Spectral curve description

- The theory of Airy structures proves it is well-defined, e.g. $F_{g,n}$ symmetric (previously only known for $r_\alpha = 2$)

→ Definition of B-model for 1d Landau-Ginzburg model

- ... But also gives obstructions on local behavior (i.e. (s_α, r_α)) for well-definition/symmetry
- Extended to a description of admissible singular curves B Kramer Schüler 20

?? For each admissible curve: describe Frobenius structure on the deformation space

?? What is special geometrically for non-admissible curves

If there is an Frobenius structure, it cannot be defined as in Dubrovin theory for Hurwitz spaces

4. 4d N = 2 supersymmetric gauge theory

Donaldson 84

- $$M_G^d = \left\{ \begin{array}{l} \text{Moduli space of anti-self-dual} \\ \text{SU}(r) \text{ instantons on } S^4 \\ \text{framed at } \infty, \text{ instanton } \# = d \end{array} \right\} \cong \left\{ \begin{array}{l} \text{Algebraic SL}(r, \mathbb{C})\text{-bundles on } \mathbb{P}^2 \\ \text{with } c_2 = d + \text{trivialisation on } l_\infty \end{array} \right\}$$

- In N = 2 supersymmetric pure gauge theory with equivariant parameters $\epsilon_1, \epsilon_2, (Q_i)_{i=1}^{r-1}$ for the action of $\mathcal{G} = (\mathbb{C}^*)^2 \times \text{Cartan torus}$, the partition function reduces to “integrals” over M_G^d

$$Z_{\text{Nek}}(\Lambda) = \exp \left(\sum_{g \geq 0} \hbar^{g-1} \mathfrak{F}_g(\Lambda, \alpha) \right)$$

$$\hbar = -\epsilon_1 \epsilon_2 \rightarrow 0$$

$$\alpha = \epsilon_1 + \epsilon_2 \in \mathbb{C}$$

Λ coupling / energy scale

- Mathematically:

$$|1^d\rangle \in \text{IH}_g^*(\widetilde{M}_G^d) \rightsquigarrow |\mathbf{1}\rangle = \sum_{d \geq 0} \Lambda^{dr} |1^d\rangle \rightsquigarrow Z_{\text{Nek}}(\Lambda) = \langle \mathbf{1} | \mathbf{1} \rangle$$

Fundamental class
of a (partial) compactif.

Gaiotto vector

Nekrasov partition function

Uhlenbeck, Donaldson, ...

4. 4d $N = 2$ supersymmetric gauge theory

Alday-Gaiotto-Tachikawa conjectures : relations to $W(\mathfrak{sl}_r)_c$ - conformal blocks

$$c = r - 1 - r(r^2 - 1)\hbar^{-1}\alpha^2$$

The mathematical theorem incarnating this is
(here for \mathfrak{gl}_r)

Okounkov, Maulik 12

Schiffmann, Vasserot 13

Braverman, Finkelberg, Nakajima 14

- $\mathcal{H} = \bigoplus_{d \geq 0} \mathrm{IH}_G^*(\widetilde{M}_G^d)$ is a **Verma module** for $W(\mathfrak{gl}_r)_c$ (highest weight vector $|0\rangle$)
- $W_k^i |\mathbf{1}\rangle = \delta_{i,r} \delta_{k,1} \Lambda^{rd} |\mathbf{1}\rangle$ for all $i \in \{1, \dots, r\}$ and $k > 0$ (Whittaker vector)
- An explicit description of the intersection pairing in \mathcal{H}

→ W_k^i can be represented as differential operators $\in \mathcal{D}_{\mathcal{V}}^{\hbar}$
with $\mathcal{V} = \mathbb{C}^r[[z]]$

4. 4d $N = 2$ supersymmetric gauge theory

$$\Lambda = \hbar^{\frac{r}{2}} \widehat{\Lambda}$$

Theorem 6 B Bouchard Chidambaram Creutzig 21

$(W_k^i - \hbar^{\frac{r}{2}} \widehat{\Lambda} \delta_{i,r} \delta_{k,1})_{1 \leq i \leq r}^{k > 0}$ is a quantum Airy structure

and its partition function is $|\mathbf{1}\rangle = \exp \left(\sum_{g \in \frac{1}{2}\mathbb{N}, n > 0} \frac{\hbar^{g-1}}{n!} F_{g,n}^{(\widehat{\Lambda})} \right) \in \text{Fun}_{\hbar}(\mathcal{V})$

It is computed by TR associated to

- the (unramified) spectral curve $\prod_{a=1}^r (y - \frac{Q_a}{x}) = 0$

if $\epsilon_1 + \epsilon_2 = 0$

periods of algebraic functions

- the non-commutative spectral curve $\prod_{a=1}^r (\alpha \partial_x - \frac{Q_a}{x})$
if $\epsilon_1 + \epsilon_2 \neq 0$
(regular D-module on $x \in \mathbb{P}^1$)

(refined)

periods from solutions of the D-module

(new construction of TR)

For $r = 2$: Eynard et al. 13-19

5. Intersection theory

Virasoro constraints for GW(pt) — Witten's conjecture
correspond to the Airy structure $(r,s) = (3,2)$

TR on the spectral curve $x = y^2$ computes

$$\omega_{g,n}^{(3,2)}(z_1, \dots, z_n) = \sum_{d_1, \dots, d_n \geq 0} \int_{\overline{\mathcal{M}}_{g,n}} \psi_1^{k_1} \cdots \psi_n^{k_n} \prod_{i=1}^k \frac{(2k_i + 1)!! dz_i}{z_i^{2k_i + 2}}$$

is the tip of an iceberg ...

5. Intersection theory

$\mathcal{W}_{g,n}$	<i>TR on spectral curve</i>	<i>Partition function</i>	
$[1]_{\overline{\mathcal{M}}_{g,n}}$	$x = y^2$	$\mathbb{F}^{(3,2)}$... Kontsevich 92
Witten r-spin	$x = y^r$	$\mathbb{F}^{(r,r+1)}$... Milanov 16
$p_* c_{\text{top}}(-R^\bullet \pi_* \mathcal{L})$ from $\overline{\mathcal{M}}_{g,n}^{-1/r}$	$x = y^{-r}$	$\mathbb{F}^{(r,r-1)}$	$r = 2$: Norbury 17 Bouchard, B., Chidambaram, Chiodo, Tessler, Norbury, ...
$\Omega_{g,n}^{(r,s)}$?	$x^{r-s} y^r = 1$	$\mathbb{F}^{(r,s)}$	BBCCN 18 B Kramer Schüler 20
open r-spin GW(pt) Pandharipande Solomon, Tessler 15- ...	$y(x - y^r) = 0$	$\tilde{\mathbb{F}}^{(r,s)}$	$r = 2$: Alexandrov 16 all r : conjecture BBCCN 18

Expectation To each Airy structure based on a VOA computes intersection theory of a class $\Omega_{g,n}$ on moduli of curves

5. Intersection theory

Conjectural (r,s) class

For $s \in \{1, \dots, r-1\}$ such that $r = \pm 1 \pmod s$

there exists $w_{g,n}^{(r,s)}(\mathbf{a}) \in H^\bullet(\overline{\mathcal{M}}_{g,n})$ indexed by $\mathbf{a} \in \{1, \dots, r\}^n$ such that

- $$\omega_{g,n}^{(r,s)}(z_1, \dots, z_n) = \sum_{\substack{d_1, \dots, d_n \geq 0 \\ 1 \leq a_1, \dots, a_n \leq r}} \left(\int_{\overline{\mathcal{M}}_{g,n}} w_{g,n}^{(r,s)}(\mathbf{a}) \prod_{i=1}^n \psi_i^{d_i} \right) \prod_{i=1}^n \frac{(rd_i + a_i)!^{(r)} dz_i}{z_i^{rd_i + a_i + 1}}$$

- $w_{g,n}^{(r,s)}(\mathbf{a})$ has pure dimension $\frac{s}{r}(2g - 2 + n) - \sum_{i=1}^n \frac{a_i}{r}$

- It is a modified CohFT (Norbury)

$$\psi_{n+1} \pi^*(w_{g,n}^{(r,s)}(\mathbf{a})) = w_{g,n+1}(s, \mathbf{a}) \quad \text{under forgetful map } \pi : \overline{\mathcal{M}}_{g,n+1} \rightarrow \overline{\mathcal{M}}_{g,n}$$

- Initial values $w_{0,3}^{(r,s)}(\mathbf{a}) = \frac{1 \mp r}{s} \delta_{a_1 + a_2 + a_3, s}$ $w_{1,1}^{(r,s)}(\mathbf{a}) = \frac{r^2 - 1}{s} \delta_{s, a} \psi_1$

- The partition function is a tau-function of r-KdV

5. Intersection theory

Theorem Eynard 12 | Chekhov Norbury 17 { B Kramer Schüler 20 modulo existence of $\Omega^{(r,s)}$

For any smooth admissible spectral curve can be constructed $\omega_{g,n} \in H^\bullet(\overline{\mathcal{M}}_{g,n}) \otimes \mathcal{A}^{*\otimes n}$
with $\mathcal{A} = \text{span}_{\mathbb{C}}(\gamma_\alpha = \text{thimble for } \chi : \tilde{\mathcal{C}} \rightarrow \mathbb{C})$ such that

$$\int_{z_i \in \gamma_{\alpha_i}} \omega_{g,n}(z_1, \dots, z_n) \prod_{i=1}^n e^{-\mu_i \chi(z_i)} = \left(\prod_{i=1}^n C(\mu_i) \right) \int_{\overline{\mathcal{M}}_{g,n}} \frac{\omega_{g,n} \left(\bigotimes_{i=1}^n e_{\alpha_i} \right)}{1 - \mu_i \psi_i}$$

- Givental group \subseteq {symmetries of Airy structures}
= {deformation of spectral curves respecting local behavior}

→ retrieves TR for semisimple CohFTs of Dunin-Barkowski, Orantin, Shadrin, Spitz 12
for smooth spectral curves with simple ramifications

Relies on Teleman's classification of semisimple CohFTs

- Many applications (e.g. ELSV-like formulas in Hurwitz theory)

6. Open problem

Establish a general picture :

(Equivariant) LG potential
with isolated critical point



Fan-Jarvis-Ruan-Witten theories
(generalisation of Witten r-spin classes)



???



for ADE

VOA + twisted representation
+ ideal of constraints



Integrable hierarchies (Drinfeld-Sokolov, ...)

i.e. Enlarge our understanding of building blocks for topological field theories
— thanks to symmetries, intersection-theoretic representations of TR correlators

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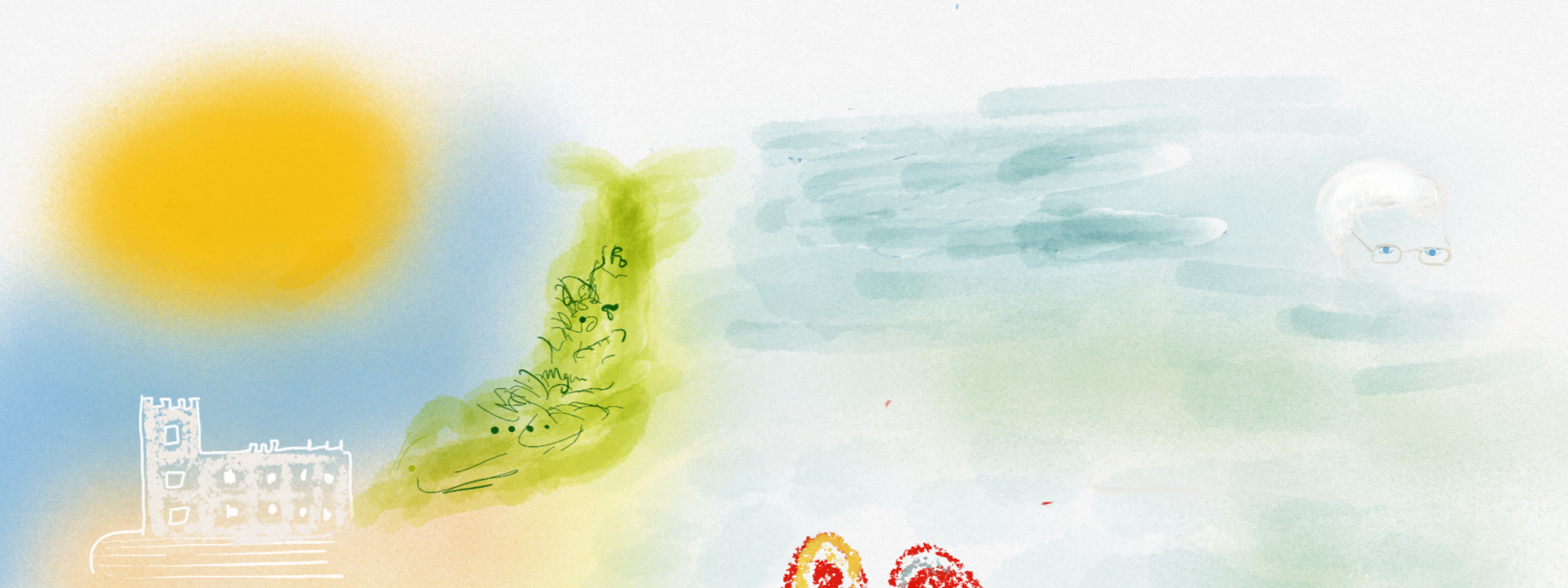
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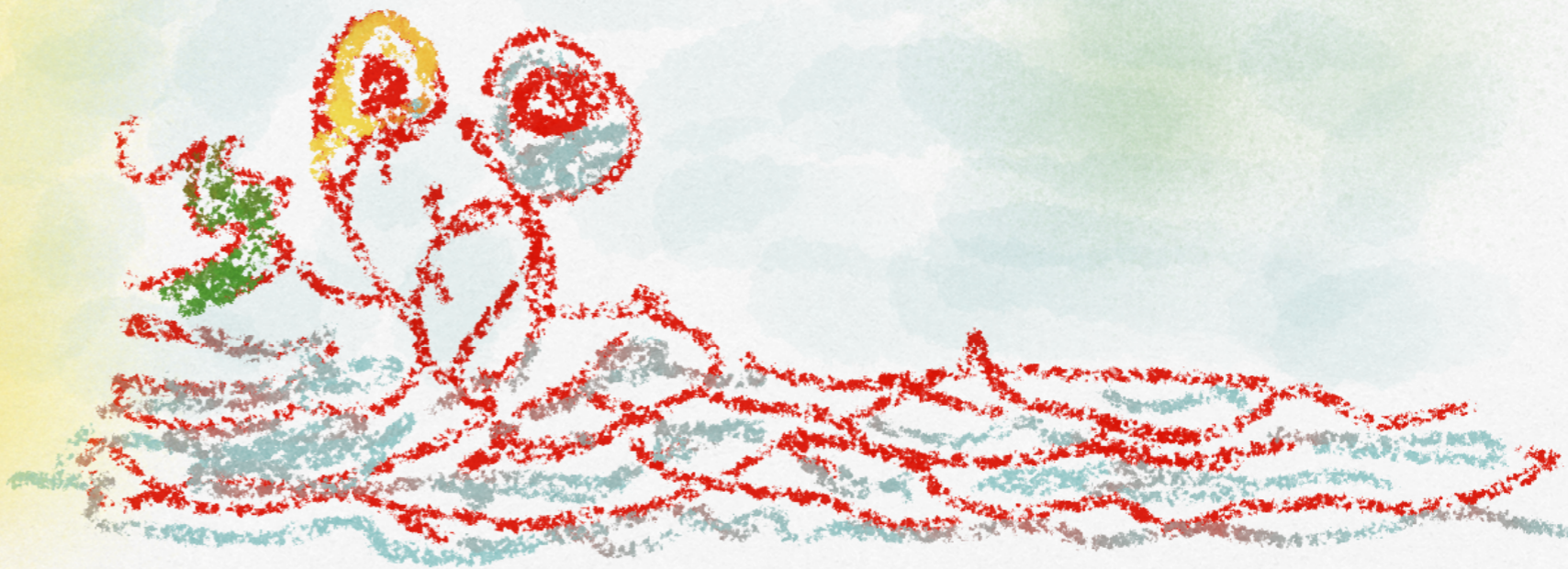
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Sissa

July 1st 2021



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