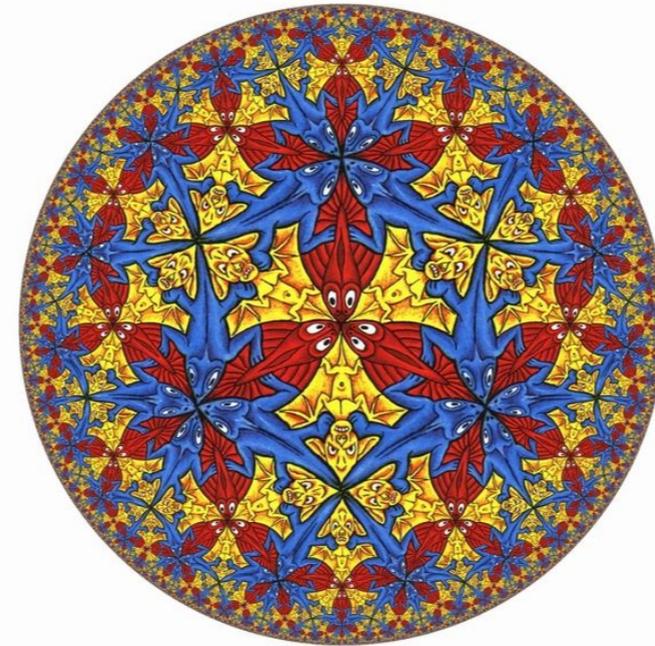


# Positivity, higher Teichmüller spaces and (non-commutative) cluster algebras



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Heidelberg Institute for  
Theoretical Studies



STRUCTURES  
CLUSTER OF  
EXCELLENCE



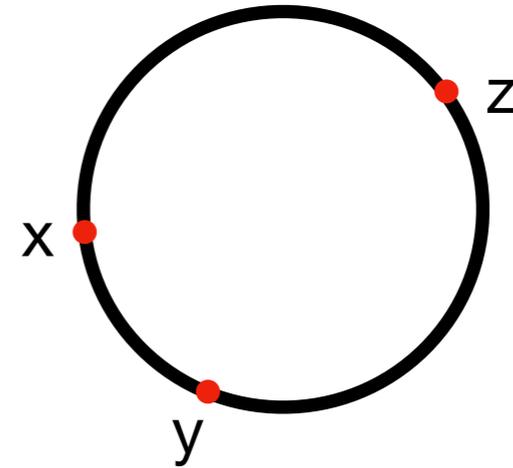
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# The circle

The real line  $(\mathbb{R}, <)$  

covers the circle and induces a cyclic order

$(x, y, z)$  is a positive triple



Recast this ordering on  $\mathbb{RP}^1$

Assume  $x = \mathbb{R}e_2, z = \mathbb{R}e_1$

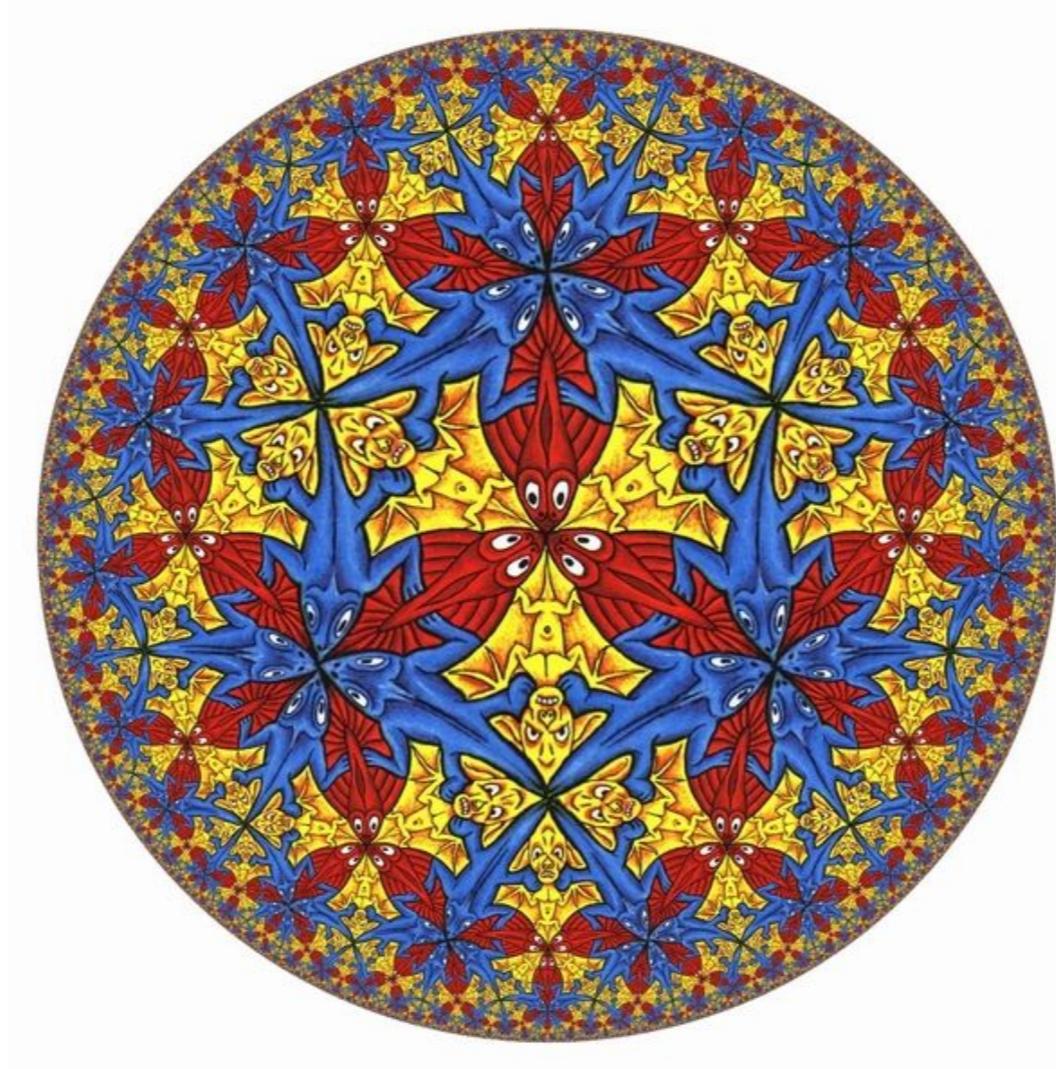
every  $y \neq z$  can be written as  $y = \begin{pmatrix} 1 & t_y \\ 0 & 1 \end{pmatrix} \cdot e_2$  with  $t_y \in \mathbb{R}$ .

The triple  $(x, y, z)$  is positive if and only if  $t_y \in \mathbb{R}_{>0}$ .

# Inside: the hyperbolic plane

Poincare disk model

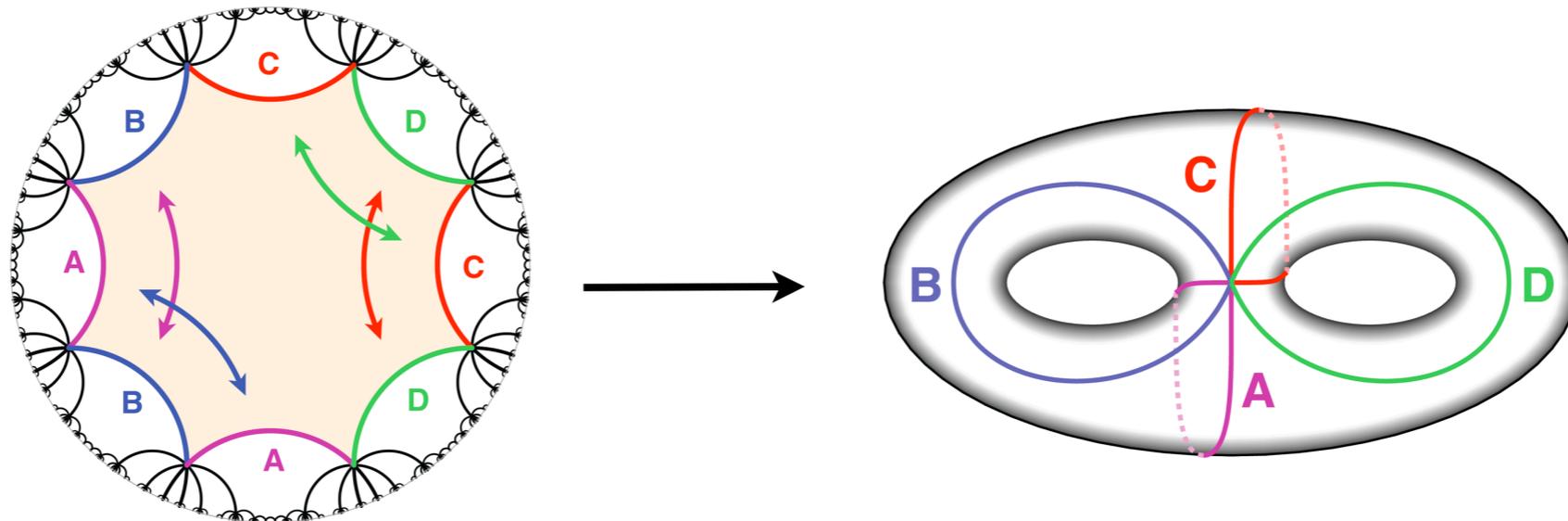
$$\mathbb{D} = \{z \in \mathbb{C} \mid |z| < 1\} = \{z \in \mathbb{C} \mid 1 - \bar{z}z > 0\}$$



There is a rich interplay between the interior and the boundary circle

# Hyperbolic structures on surfaces

Surfaces of genus  $>1$  naturally carry a hyperbolic structure.



$$\text{Hyp}(S) = \{(X, f) \mid X \text{ hyperbolic surface, } f : S \rightarrow X \text{ homeo}\} / \sim$$

holonomy  
↓

quotient by mapping class group  
is moduli space  $\mathcal{M}(S)$

$$\text{Hom}(\pi_1(S), \text{PSp}(2, \mathbb{R})) / \text{PSp}(2, \mathbb{R})$$

# Hyperbolic structures

The space of hyperbolic structures  $\text{Hyp}(S) \subset \text{Hom}(\pi_1(S), \text{PSL}(2, \mathbb{R})) / \text{PSL}(2, \mathbb{R})$  is a connected component consisting entirely of discrete and faithful representations.

$\text{Hyp}(S)$  can be identified with the Teichmüller space of  $S$ .

Representations in  $\text{Hyp}(S)$  are positive representations

The action of  $\rho(\pi_1(S))$  on the circle is by orientation preserving homeomorphism.

For every representation  $\rho \in \text{Hyp}(S)$  there is an equivariant map  $\xi : \mathbb{RP}^1 \cong \partial\pi_1(S) \rightarrow \mathbb{RP}^1$  sending positive triples to positive triples.

Representations in  $\text{Hyp}(S)$  are those of maximal Euler number [Goldman]

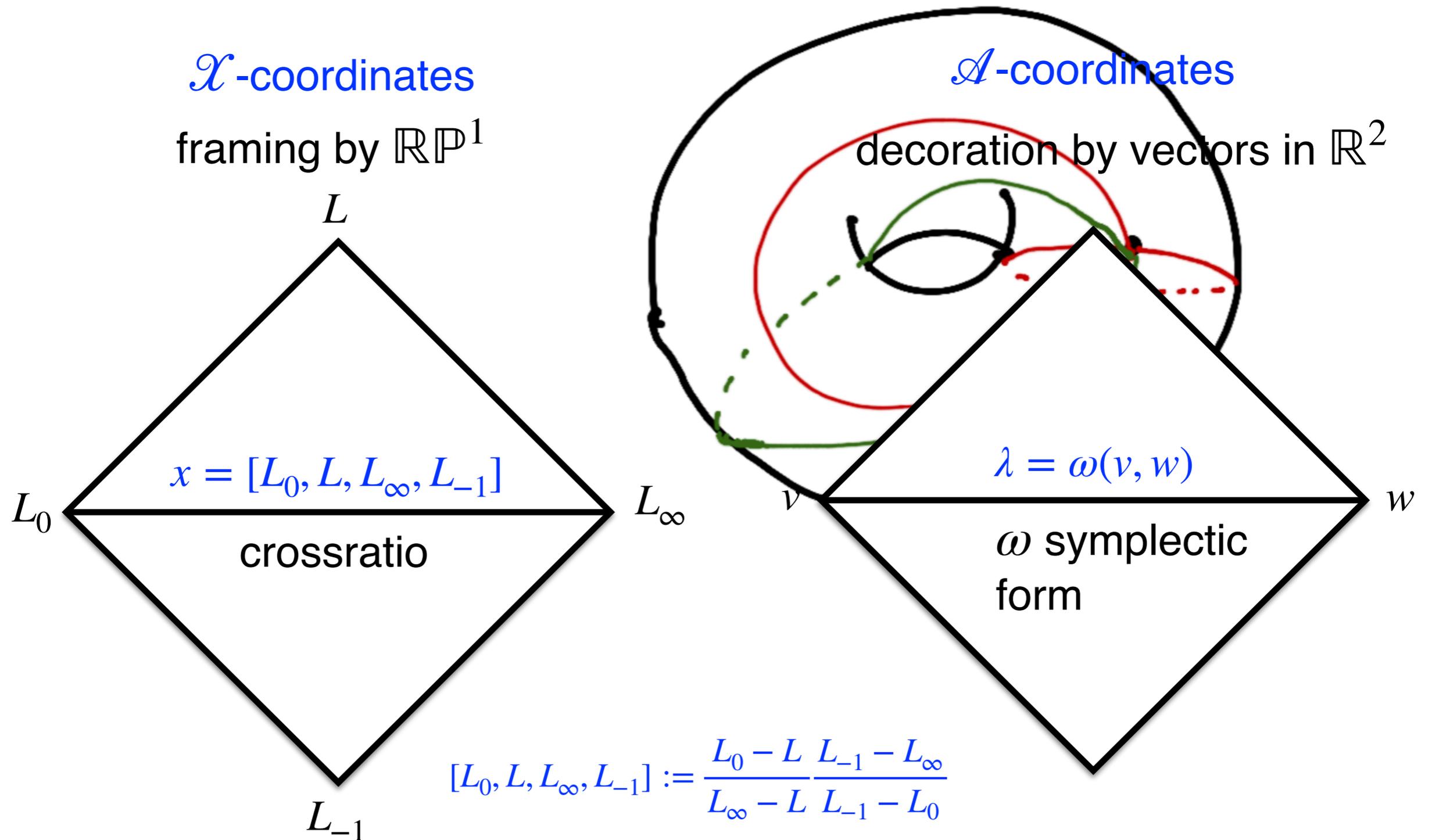
The Euler number is the obstruction to lifting to universal covering  $\widetilde{\text{PSL}(2, \mathbb{R})}$

$$\rho : \pi_1(S) = \{a_1, b_1, \dots, a_g, b_g \mid a_1 b_1 a_1^{-1} b_1^{-1} \dots a_g b_g a_g^{-1} b_g^{-1} = 1\} \rightarrow \text{PSL}(2, \mathbb{R})$$

$$eu(\rho) = \widetilde{A_1 B_1 A_1^{-1} B_1^{-1}} \dots \widetilde{A_g B_g A_g^{-1} B_g^{-1}} \in \mathbb{Z} \cap [2 - 2g, 2g - 2]$$

# Coordinates

$S = S(g,n)$  surface with punctures — pick an ideal triangulation

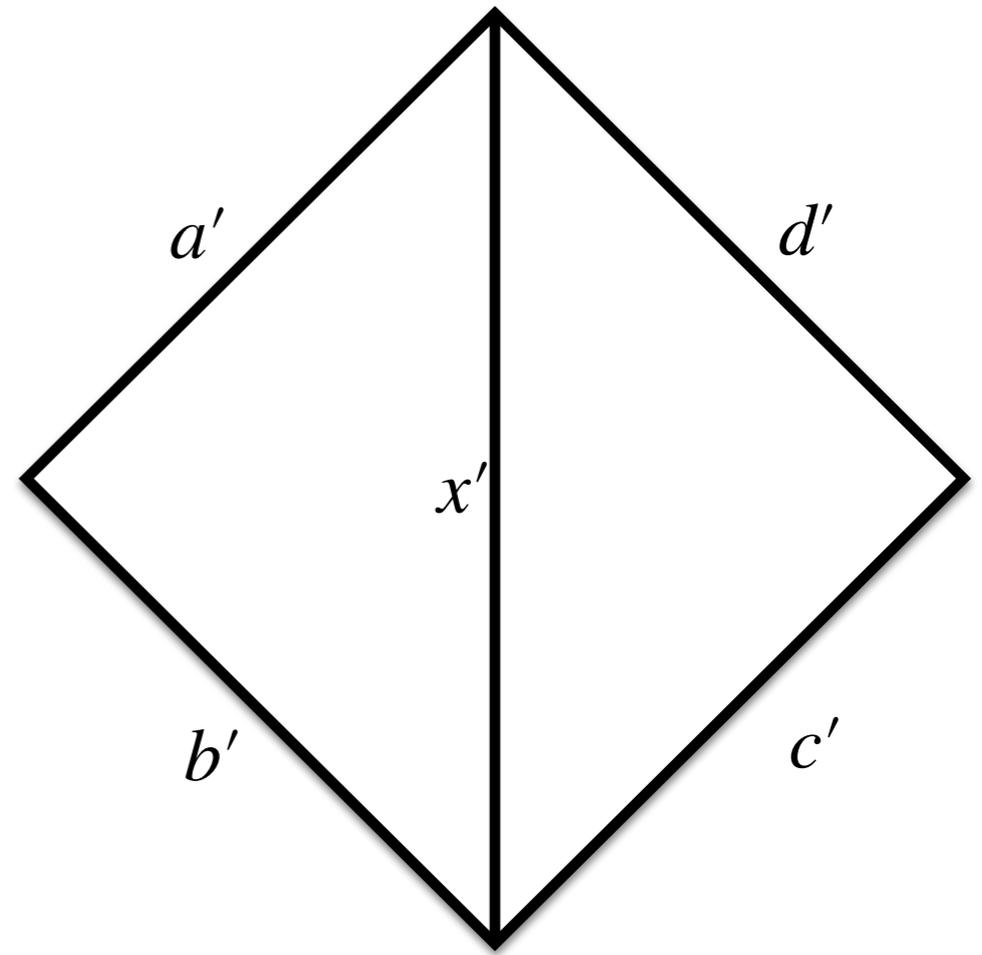
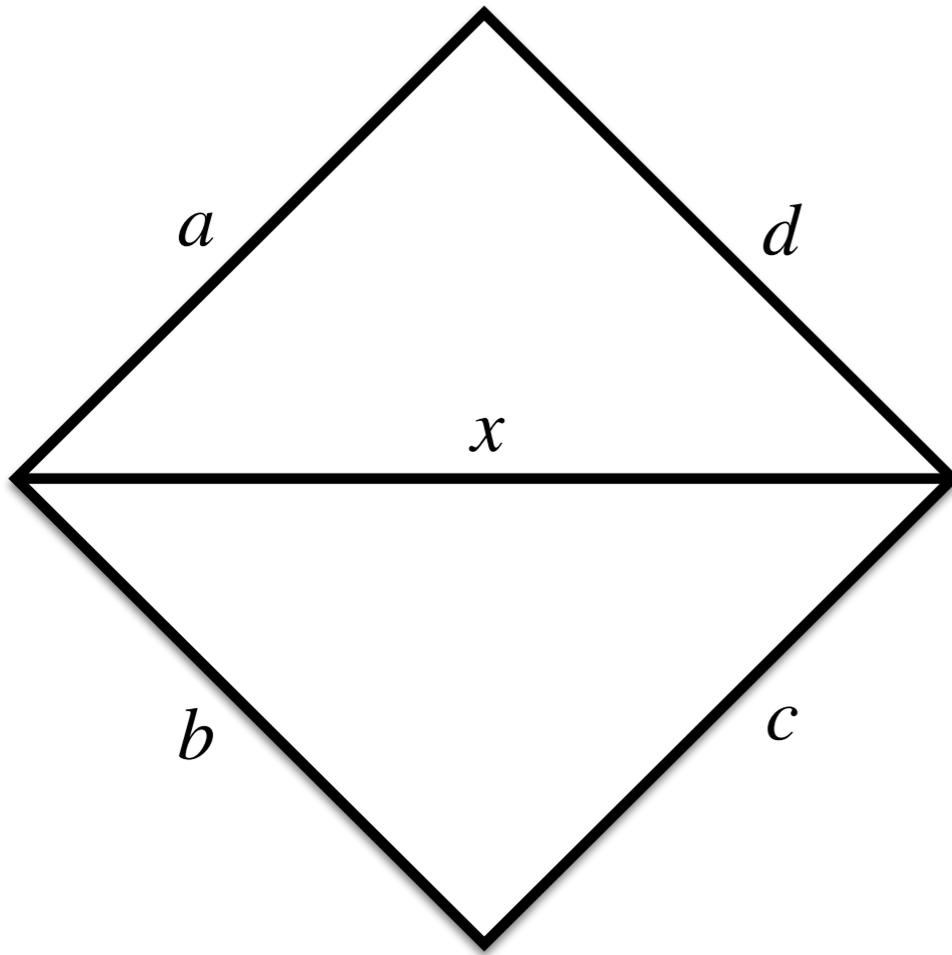


[Thurston, Bonahon]

[Fock-Goncharov]

[Penner]

# The flip



$\mathcal{X}$ -coordinates:  $x' = x^{-1}$      $a' = a(1+x)^{-1}$      $d' = d(1+x)$   
 $b' = b(1+x^{-1})$      $c' = c(1+x^{-1})^{-1}$

$\mathcal{A}$ -coordinates:  $x' = \frac{ac + bd}{x}$     Ptolemy equation

These are examples of cluster transformations

# Representation varieties

Representation variety  
 $\text{Hom}(\pi_1(S), G)/G$

$$\rho : \pi_1(S) \rightarrow G$$

$$E_\rho = (\tilde{S} \times G)/\pi_1(S)$$

$G$ -local systems on  $S$   
 $\mathcal{M}(S, G)$

[Donaldson, Corlette]  
 [Hitchin, Simpson]

fix  $X \cong S$

$G$ -Higgs bundles on  $X$   
 $\mathcal{M}_{\text{Higgs}}(X, G)$

Many different tools to study the same object !

Hitchin fibration

$$\mathcal{M}_{\text{Higgs}}(X, G)$$



$$\bigoplus_{i=1}^{r_G} H^0(X, K^{m_i})$$

$(E, \phi)$

$E$  holomorphic  
 vector bundle

$\phi$  holomorphic  
 one form with  
 values in  $\text{End}(E)$

# Higher Teichmüller spaces

(Unions of) connected components  $\text{Hom}(\pi_1(S), G)/G$   
consisting entirely of discrete and faithful representations

## Hitchin component

$G$  split real group

$\text{SL}(n, \mathbb{R}), \text{Sp}(2n, \mathbb{R}), \text{SO}(n, n+1), \text{SO}(n, n)$

deformations of

$\pi_{\text{princ}} \circ \iota : \pi_1(S) \rightarrow \text{SL}(2, \mathbb{R}) \rightarrow G$

section of Hitchin fibration

$\text{Hit}(S, G) \cong \mathbb{R}^{(2g-2)\dim G}$

[Hitchin, Goldman, Choi-Goldman]

[Labourie, Fock-Goncharov]

connection to total positivity,  
cluster algebras, physics ...

[Gaiotto-Moore-Neitzke]

## Maximal representations

$G$  Hermitian type

$\text{Sp}(2n, \mathbb{R}), \text{SU}(n, m), \text{SO}(2, n), \text{SO}^*(2n)$

$\text{Max}(S, G) = \tau^{-1}((2g-2)\text{rk}_G)$

several components  
nontrivial topology

[Goldman, Toledo, Hernandez]

[Burger-Iozzi-W] [Bradlow-GarciaPrada-Gothen]

???



!!?

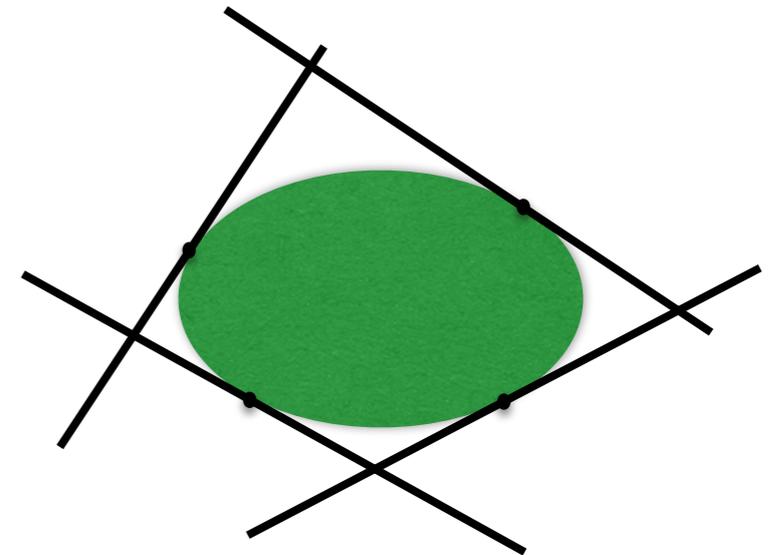
# Total positivity and Hitchin components

An invertible matrix is **totally positive** if every minor is positive.  
A totally positive lower triangular unipotent matrix is one,  
where every possibly nonzero minor is positive.

Triple of flags  $F_0, F, F_\infty \in \mathcal{F}(\mathbb{R}^n)$  is positive if

$$F = u_F \cdot F_0$$

for a totally positive unipotent matrix  $u_F$



A representation  $\rho : \pi_1(S) \rightarrow \mathrm{SL}(n, \mathbb{R})$  is Hitchin iff there exists a positive  $\rho$ -equivariant boundary map  $\xi : \mathbb{RP}^1 \rightarrow \mathcal{F}(\mathbb{R}^n)$

[Fock-Goncharov, Labourie, Guichard]

General characterization in terms of Lusztig total positivity. [Fock-Goncharov]

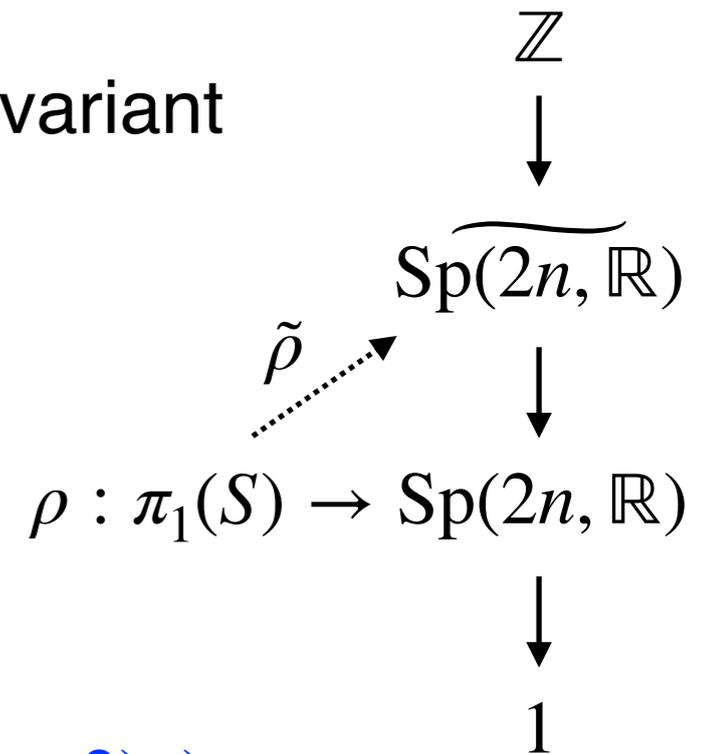
Fock-Goncharov cluster coordinates associated to ideal triangulation.

# Maximal representations

Associated to  $\rho : \pi_1(S) \rightarrow \mathrm{Sp}(2n, \mathbb{R})$  there is an invariant

$$\tau(\rho) = \prod_{i=1}^g [\tilde{\rho}(a_i), \tilde{\rho}(b_i)] \in \mathbb{Z} \cap [(2-2g)n, (2g-2)n]$$

$$\pi_1(S) = \langle a_1, b_1, \dots, a_g, b_g \mid \prod_{i=1}^g [a_i, b_i] = 1 \rangle$$



Maximal representations  $\mathrm{Max}(S, \mathrm{Sp}(2n, \mathbb{R})) := \tau^{-1}((2g-2)n)$

1)  $\mathrm{Max}(S, \mathrm{Sp}(2, \mathbb{R})) = \mathrm{Hyp}(S)$  [Goldman]

2) Maximal representations are discrete and faithful [Burger-Iozzi-W]

3) For  $\mathrm{Sp}(4, \mathbb{R})$  there are components where every representation is Zariski-dense.

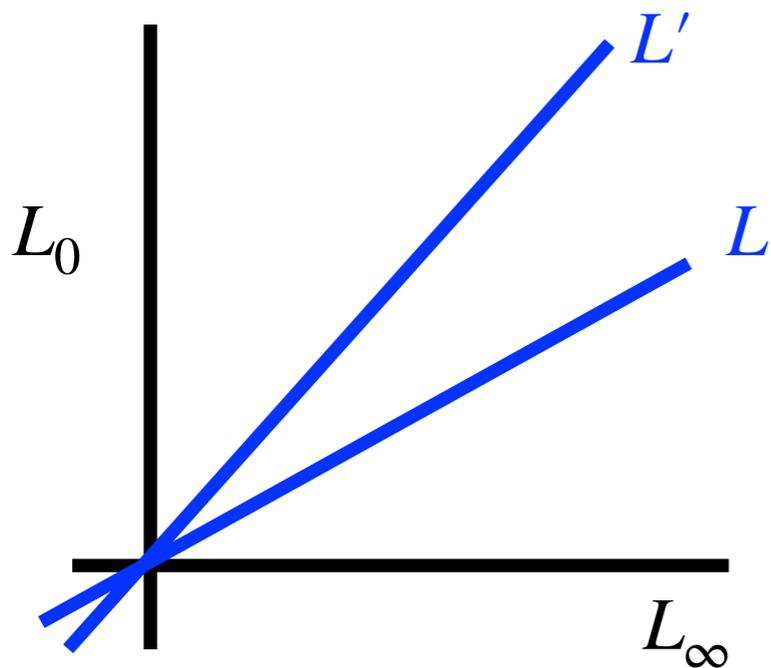
[Gothen, Guichard-W, Bradlow-GarciaPrada-Gothen]

# Maslov index

Space of Lagrangians:  $\mathbf{L}(\mathbb{R}^{2n}) = \{L < \mathbb{R}^{2n} \mid \dim L = n, \omega|_{L \times L} = 0\}$

$L_0, L_\infty \in \mathbf{L}(\mathbb{R}^{2n}), L_0 \oplus L_\infty = \mathbb{R}^{2n}$

Any  $L$  is the graph of a map  $M_L : L_0 \rightarrow L_\infty$ , set  $\alpha_L(v) := \omega(v, M_L(v))$



**Maslov index**  $\mu(L_0, L, L_\infty) = \text{sign}(\alpha_L)$

Triple  $L_0, L, L_\infty$  is positive if  $\mu(L_0, L, L_\infty) = n$

**Crossratio**  $[L_0, L, L_\infty, L'] = \text{ev}(M_{L'}^{-1} \circ M)$

A representation  $\rho : \pi_1(S) \rightarrow \text{Sp}(2n, \mathbb{R})$  is maximal iff there is a positive  $\rho$ -equivariant boundary map  $\xi : \mathbb{R}\mathbb{P}^1 \rightarrow \mathbf{L}(\mathbb{R}^{2n})$ , i.e. for all  $(x, y, z)$  in  $\mathbb{R}\mathbb{P}^1$  positively oriented,  $\mu(\xi(x), \xi(y), \xi(z)) = n$

[Burger-Iozzi-W, Burger-Iozzi-Labourie-W]

# Unifying picture

$G$  simple non-compact real Lie group,  $\Delta$  set of simple roots,  $\Theta \subset \Delta$   
 $P_\Theta$  parabolic subgroup,  $L_\Theta$  its Levi subgroup,  $U_\Theta$  its unipotent radical  
Decompose  $\mathfrak{u}_\Theta$  as a  $L_\Theta^\circ$  representation, simple pieces  $\mathfrak{u}_\alpha, \alpha \in \Theta$

$G$  has  **$\Theta$ -positive structure** if  $\exists L_\Theta^\circ$ -invariant convex cone  $c_\alpha \subset \mathfrak{u}_\alpha, \forall \alpha \in \Theta$

There are four families of  $G$  admitting a  $\Theta$ -positive structure:

- 1)  $G$  split real Lie group,  $\Theta = \Delta, P_\Delta$  minimal parabolic
- 2)  $G$  Hermitian Lie group of tube type,  $\Theta = \{\alpha_\Theta\}, P_{\alpha_\Theta}$  maximal parabolic
- 3)  $G = \mathrm{SO}(p, q), p < q, \Theta = \Delta - \{\alpha_p\}, P_\Theta$  stabilizer of  $(F_1, \dots, F_{p-1})$   
partial isotropic flag
- 4)  $G = F_4, E_6, E_7, E_8, \Theta = \{\alpha_1, \alpha_2\}$

[Guichard-W]

The **non-negative semigroup**  $U_\Theta^{\geq 0}$  is generated by  $\exp(c_\alpha), \alpha \in \Theta$

# The $\Theta$ -Weyl group

- 1)  $G$  split real Lie group,  $P_\Delta$  minimal parabolic  $W(\Theta) = W$  non-commutative  
 $Sp_2$ -theory
- 2)  $G$  Hermitian of tube type,  $P_{\alpha_\Theta}$  maximal parabolic  $W(\Theta) = W_{A_1}$
- 3)  $G = SO(p, q), p < q, P_{\Delta - \{\alpha_p\}}$  stabilizer of  $(F_1, \dots, F_{p-1})$   $W(\Theta) = W_{B_{p-1}}$   
non-commutative  
 $B_n$ -theory
- 4)  $G = F_4, E_6, E_7, E_8, P_{\{\alpha_1, \alpha_2\}}$   $W(\Theta) = W_{G_2}$   
non-commutative  
 $G_2$ -theory
- 
- We have  $\mathfrak{u}_\alpha = \mathfrak{g}_\alpha$  if  $\alpha \neq \alpha_\Theta$ , and  $\dim \mathfrak{u}_{\alpha_\Theta} > 1$ .

There is a subgroup  $W(\Theta) < W$  of the Weyl group that governs the combinatorics and gives a parametrization of the **positive semigroup**  $U_\Theta^{>0}$   
[Guichard-W]

“Almost everything that works for total positivity, works for  $\Theta$ -positivity with  $W$  replaced by  $W(\Theta)$ ”

# Higher Teichmüller spaces

A representation  $\rho : \pi_1(S) \rightarrow G$  is  $\Theta$ -positive if there is a  $\rho$ -equivariant positive boundary map  $\xi : \mathbb{RP}^1 \rightarrow G/P_\Theta$

A  $\Theta$ -positive representation  $\rho : \pi_1(S) \rightarrow G$  is discrete and faithful.  
(In fact it is  $\Theta$ -Anosov)

The set of  $\Theta$ -positive representations is open in  $\text{Hom}(\pi_1(S), G)/G$ .

[Guichard-Labourie-W]

Conjecture:

- 1) Higher Teichmüller spaces exist iff  $G$  admits a  $\Theta$ -positive structure.
- 2) Additional connected components in  $\text{Hom}(\pi_1(S), G)/G$  exist iff  $G$  admits a  $\Theta$ -positive structure.

[Guichard-Labourie-W]

Additional components confirmed for  $G$  admitting a  $\Theta$ -positive structure  
by Higgs bundle methods

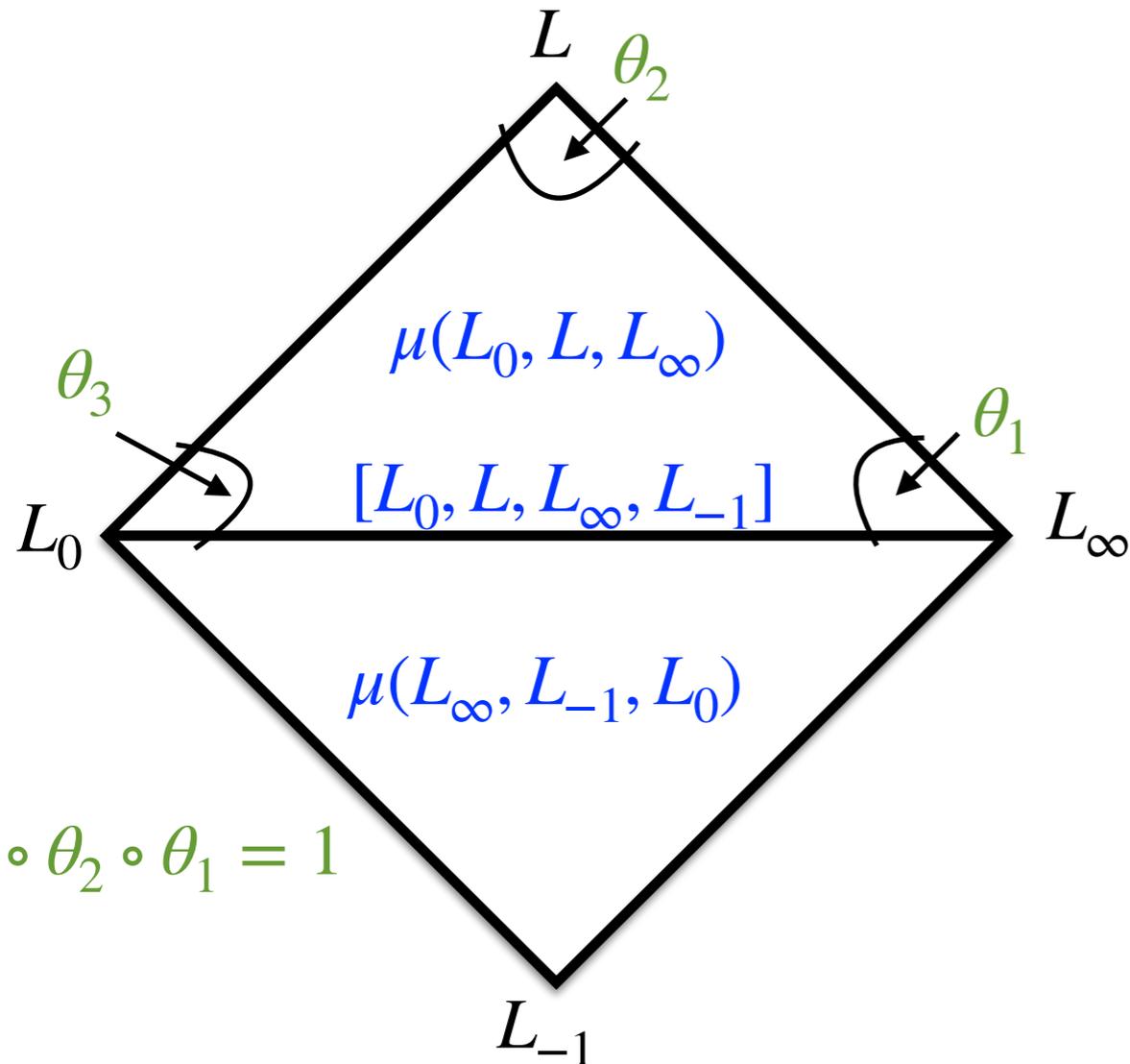
[Aparicio-Arroyo-Bradlow-Collier-García-Prada-Gothen-Oliveira]

# Non-commutative coordinates

$S = S(g,n)$  surface with punctures — pick ideal triangulation

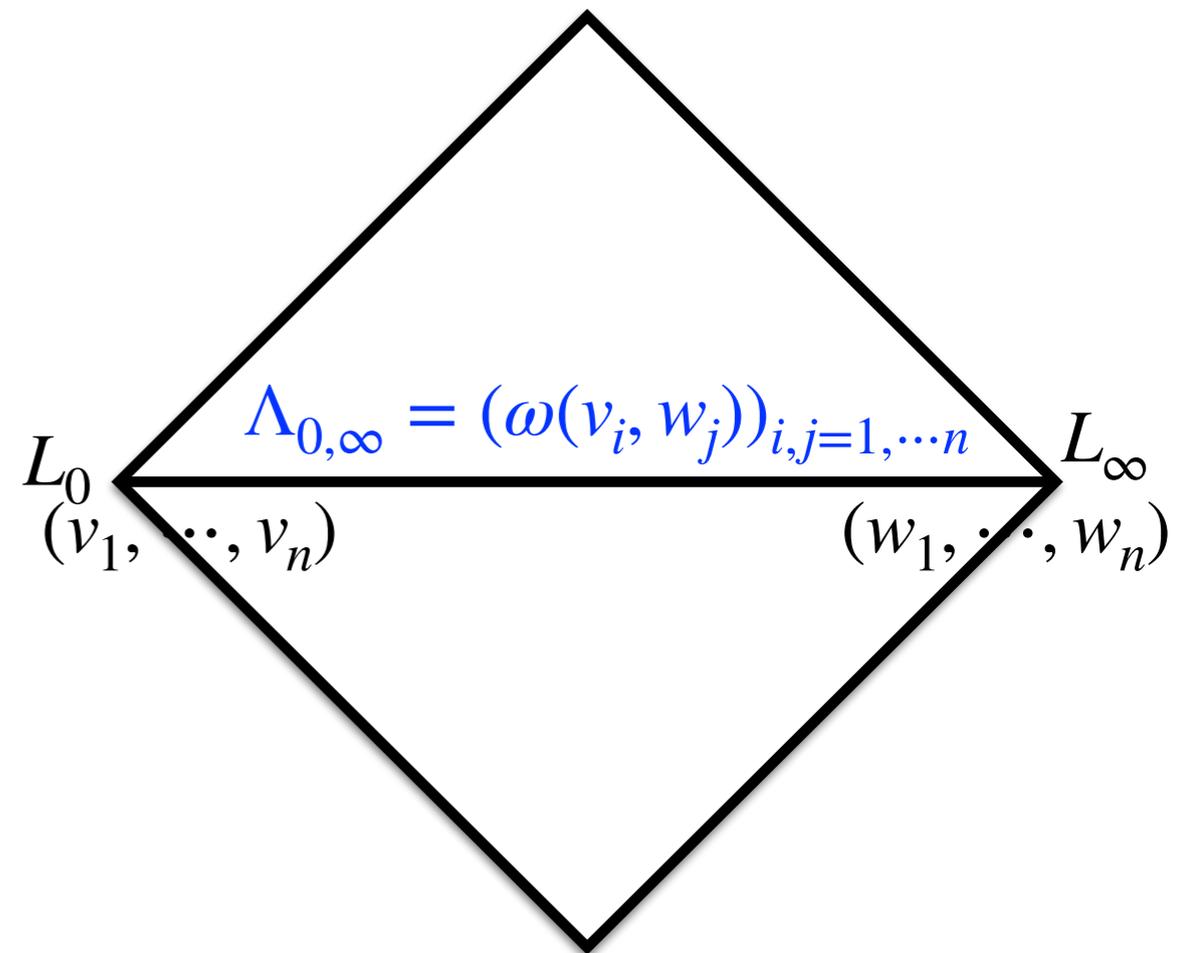
$\mathcal{X}$ -coordinates

framing by  $\text{Lag}(\mathbb{R}^{2n})$



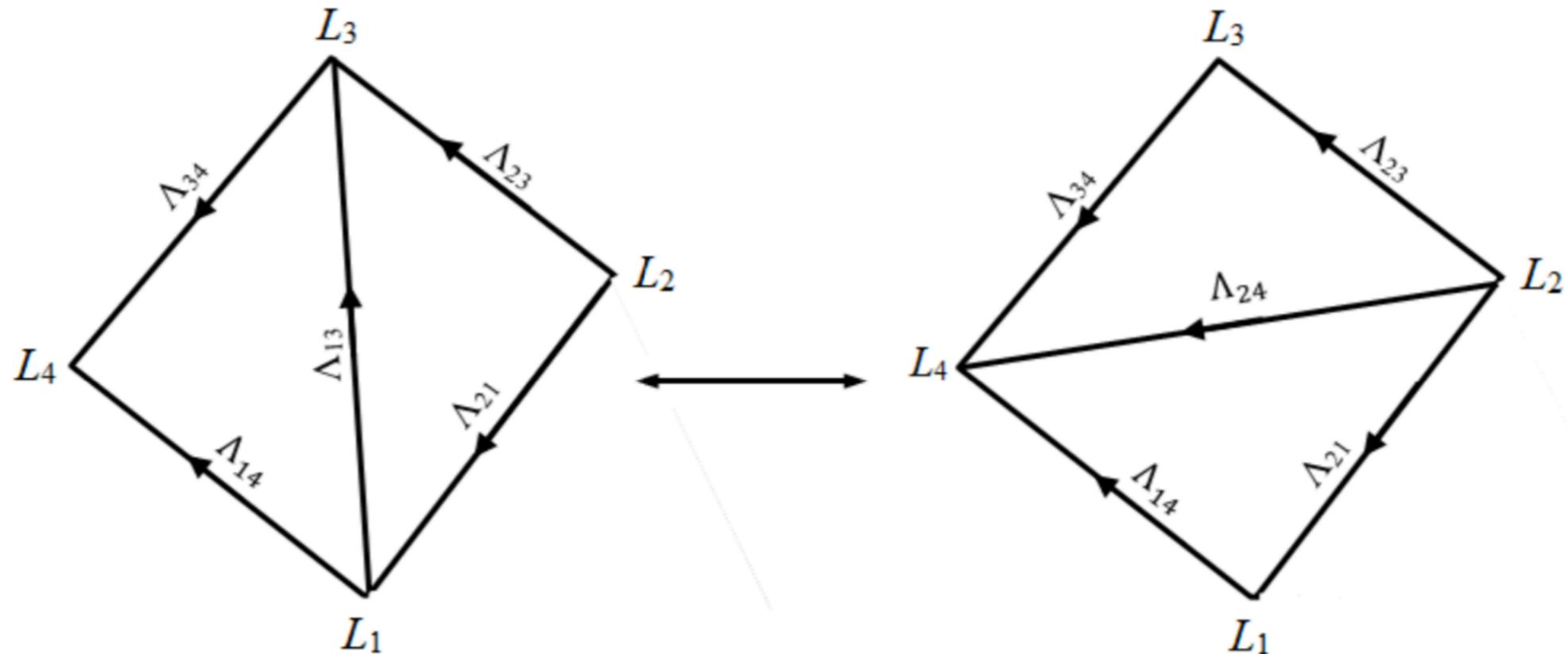
$\mathcal{A}$ -coordinates

framing by isotropic bases  
 $(v_1, \dots, v_n)$



# The $\mathcal{A}$ -flip

$\mathcal{A}$ -coordinates:  $\Lambda_{ij} \in \text{GL}(n, \mathbb{R})$ ,  $\Lambda_{ji} = -\Lambda_{ij}^T$



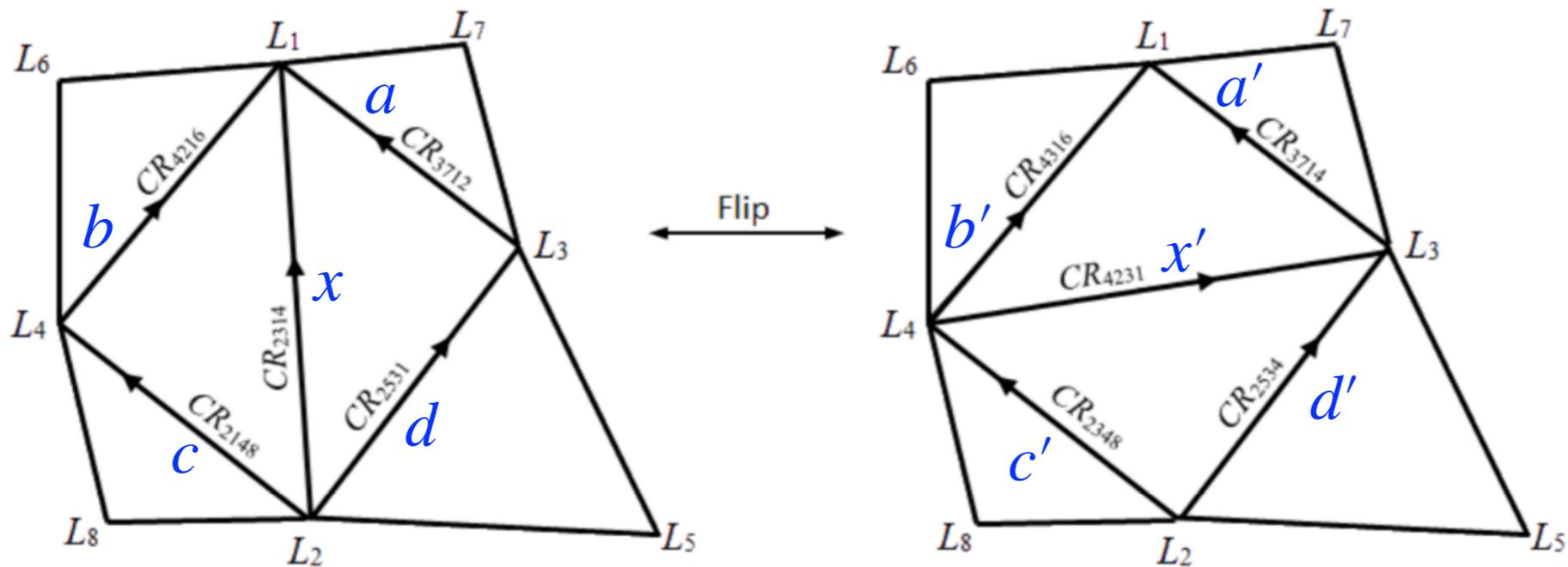
Ptolemy equation  $\Lambda_{24} = \Lambda_{23}\Lambda_{13}^{-1}\Lambda_{14} + \Lambda_{21}\Lambda_{31}^{-1}\Lambda_{34}$

Triangle equation

$$\Lambda_{32}^{-1}\Lambda_{31}\Lambda_{21}^{-1}\Lambda_{23}\Lambda_{13}^{-1}\Lambda_{12} = -1 \quad \Lambda_{23}\Lambda_{13}^{-1}\Lambda_{12} + \Lambda_{21}\Lambda_{31}^{-1}\Lambda_{32} = 0$$

Realization of Berenstein-Retakh non-commutative cluster algebra

# The $\mathcal{X}$ -flip



$$[L_1, L_2, L_3, L_4] = \text{ev}(-CR_{1234}), \quad CR_{1234} = -\Lambda_{41}^{-1} \Lambda_{43} \Lambda_{23}^{-1} \Lambda_{21}$$

$$CR_{4231} = \Lambda_{24}^{-1} \cdot CR_{2314}^{-T} \cdot \Lambda_{24} \quad x' = x^{-1}$$

$$CR_{2534} = (\text{Id} + CR_{2314}) CR_{2531} \quad d' = d(1 + x)$$

$$CR_{4316} = CR_{4216} (\text{Id} + CR_{4231}^{-1}) \quad b' = b(1 + x^{-1})$$

$$CR_{2348} = CR_{2148} (\text{Id} + CR_{2314}^{-1})^{-1} \quad c' = c(1 + x^{-1})^{-1}$$

$$CR_{3714} = (\text{Id} + CR_{3142})^{-1} CR_{3712} \quad a' = a(1 + x)^{-1}$$

Upshot: This is a noncommutative ~~SL(2)~~  $\text{Sp}(2)$ -Theory

# Symplectic groups over non-commutative rings

A non-commutative associative algebra,  $\sigma : A \rightarrow A$  involution

$$\omega : A^2 \times A^2 \rightarrow A, \quad \omega(v, w) := \sigma(v)^T \begin{pmatrix} 0 & \text{Id} \\ -\text{Id} & 0 \end{pmatrix} w$$

$$\text{Sp}_2(A, \sigma, \omega) := \{g \in \text{GL}_2(A) \mid \omega(g \cdot v, g \cdot w) = \omega(v, w) \forall v, w \in A^2\}$$

Example:  $\text{Sp}(2n, \mathbb{R}) = \text{Sp}_2(A, \sigma, \omega)$  with  $A = \text{Mat}(n, \mathbb{R})$ ,  $\sigma(X) = X^T$

Symplectic group is a  $\text{Sp}_2$  over a non-commutative ring

[Berentstein-Retakh-Rogozinnikov-W]

$$A_{\text{sym}} = \{X \in A \mid \sigma(X) = X\}, \quad A_{\text{sym}}^+ < A_{\text{sym}} \text{ open cone}$$

$$\mathcal{H} := \{Z \in A_{\text{sym}}^{\mathbb{C}} \mid \text{Im}(Z) \in A_{\text{sym}}^+\} \quad \text{hyperbolic plane over } A.$$

$\text{Sp}_2(A, \sigma, \omega)$  acts on  $\mathcal{H}_n$  by fractional linear transformations

New model for the symmetric space associated to  $\text{Sp}(2n, \mathbb{C})$

$$\mathcal{H}_{\mathbb{C}} := \{Z_1 + Z_2 J \mid Z_1 \in \text{Sym}_n(\mathbb{C}), Z_2 \in \text{Herm}_n^+(\mathbb{C})\} \subset \text{Mat}_n(\mathbb{H})$$

# Conjectures and Questions

1) There are (partially) non-commutative cluster algebras for  $B_n$  and  $G_2$  (arising geometrically  $\mathcal{A}$ -coordinates for  $\Theta$ -positive representations).

2) Are there non-commutative Hitchin fibrations ?

3) Are there quantum groups and canonical bases adapted to  $\Theta$ -positivity ?

4) There is a theory of generalized opers.

[Collier-Sanders]

5) What is the connections to physics ?

[Gaiotto-Moore-Neitzke]