#### THE KODAIRA DIMENSION OF THE MODULI SPACE OF PRYM VARIETIES

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Prym varieties provide a correspondence between the moduli spaces of curves and abelian varieties  $\mathcal{M}_g$  and  $\mathcal{A}_{g-1}$ , via the *Prym map*  $\mathcal{P}_g:\mathcal{R}_g\to\mathcal{A}_{g-1}$  from the moduli space  $\mathcal{R}_g$  parameterizing pairs  $[C, \eta]$ , where  $[C] \in \mathcal{M}_g$  is a smooth curve and  $\eta \in \text{Pic}^0(C)[2]$  is a torsion point of order 2. When  $g \leq 6$  the Prym map is dominant and  $\mathcal{R}_g$  can be used directly to determine the birational type of  $\mathcal{A}_{g-1}$ . It is known that  $\mathcal{R}_g$  is rational for g = 2, 3, 4 (see [Dol] and references therein and [Ca] for the case of genus 4) and unirational for g = 5 (cf. [IGS] and [V2]). The situation in genus 6 is strikingly beautiful because  $\mathcal{P}_6: \mathcal{R}_6 \to \mathcal{A}_5$  is equidimensional (precisely  $\dim(\mathcal{R}_6) = \dim(\mathcal{A}_5) = 15$ ). Donagi and Smith showed that  $\mathcal{P}_6$  is generically finite of degree 27 (cf. [DS]) and the monodromy group equals the Weyl group  $WE_6$  describing the incidence correspondence of the 27 lines on a cubic surface (cf. [D1]). There are three different proofs that  $\mathcal{R}_6$  is unirational (cf. [D1], [MM], [V]). Verra has very recently announced a proof of the unirationality of  $\mathcal{R}_7$  (see also Theorem 0.8 for a weaker result). The Prym map  $\mathcal{P}_q$  is generically injective for  $g \ge 7$  (cf. [FS]), although never injective. In this range, we may regard  $\mathcal{R}_q$  as a partial desingularization of the moduli space  $\mathcal{P}_q(\mathcal{R}_q) \subset \mathcal{A}_{q-1}$  of Prym varieties of dimension g-1.

The scheme  $\mathcal{R}_g$  admits a suitable modular compactification  $\overline{\mathcal{R}}_g$ , which is isomorphic to (1) the coarse moduli space of the stack  $\overline{\mathbf{R}}_g = \overline{\mathbf{M}}_g(\mathcal{B}\mathbb{Z}_2)$  of Beauville admissible double covers (cf. [B], [ACV]) and (2) the coarse moduli space of the stack of Prym curves (cf. [BCF]). The forgetful map  $\pi: \mathcal{R}_g \to \mathcal{M}_g$  extends to a finite map  $\pi: \overline{\mathcal{R}}_g \to \overline{\mathcal{M}}_g$ . The aim of this paper is to initiate a study of the enumerative and global geometry of  $\overline{\mathcal{R}}_g$ , in particular to determine its Kodaira dimension. The main result of the paper is the following:

**Theorem 0.1.** The moduli space of Prym varieties  $\overline{\mathcal{R}}_g$  is of general type for g > 13 and  $g \neq 15$ . The Kodaira dimension of  $\overline{\mathcal{R}}_{15}$  is at least 1.

We point out in Remark 2.9 that the existence of an effective divisor  $D \in \mathrm{Eff}(\overline{\mathcal{M}}_{15})$  of slope s(D) < 6 + 12/(g+1) = 27/4 (that is, violating the Harris-Morrison Slope Conjecture on  $\overline{\mathcal{M}}_{15}$ ), would imply that  $\overline{\mathcal{R}}_{15}$  is of general type. There are known examples of divisors  $D \in \mathrm{Eff}(\overline{\mathcal{M}}_g)$  satisfying  $s(D) < 6 + \frac{12}{g+1}$  for every genus of the form g = s(2s+si+i+1) with  $s \geq 2$  and  $i \geq 0$  (cf. [F1], [F2]). No such examples have been found yet on  $\overline{\mathcal{M}}_{15}$ , though they are certainly expected to exist.

The normal variety  $\overline{\mathcal{R}}_g$  has finite quotient singularities and an important part of the proof is concerned with showing that pluricanonical forms defined on the smooth

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part  $\overline{\mathcal{R}}_g^{\mathrm{reg}} \subset \overline{\mathcal{R}}_g$  can be lifted to any resolution of singularities  $\widehat{\mathcal{R}}_g \to \overline{\mathcal{R}}_g$ , that is, we have isomorphisms

$$H^0(\overline{\mathcal{R}}_g^{\mathrm{reg}}, K_{\overline{\mathcal{R}}_g}^{\otimes l}) \cong H^0(\widehat{\mathcal{R}}_g, K_{\widehat{\mathcal{R}}_g}^{\otimes l})$$

for  $l \geq 0$ . This is achieved in the last section of the paper. The locus of non-canonical singularities in  $\overline{\mathcal{R}}_g$  is also explicitly described: A Prym curve  $[X, \eta, \beta] \in \overline{\mathcal{R}}_g$  is a non-canonical singularity if and only if X has an elliptic tail C with  $\operatorname{Aut}(C) = \mathbb{Z}_6$ , such that the line bundle  $\eta_C \in \operatorname{Pic}^0(C)[2]$  is trivial (cf. Theorem 6.7).

We outline the strategy to prove that  $\overline{\mathcal{R}}_g$  is of general type for given g. If  $\lambda = \pi^*(\lambda) \in \operatorname{Pic}(\overline{\mathcal{R}}_g)$  is the pull-back of the Hodge class and  $\delta_0', \delta_0'', \delta_0^{\operatorname{ram}} \in \operatorname{Pic}(\overline{\mathcal{R}}_g)$  and  $\delta_i, \delta_{g-i}, \delta_{i:g-i} \in \operatorname{Pic}(\overline{\mathcal{R}}_g)$  for  $1 \leq i \leq [g/2]$  are boundary divisor classes such that

$$\pi^*(\delta_0) = \delta_0' + \delta_0'' + 2\delta_0^{\text{ram}} \text{ and } \pi^*(\delta_i) = \delta_i + \delta_{g-i} + \delta_{i:g-i} \text{ for } 1 \le i \le [g/2]$$

(see Section 2 for a precise definition of these classes), then one has the formula

$$K_{\overline{\mathcal{R}}_g} \equiv 13\lambda - 2(\delta_0' + \delta_0'') - 3\delta_0^{\text{ram}} - 2\sum_{i=1}^{[g/2]} (\delta_i + \delta_{g-i} + \delta_{i:g-i}) - (\delta_1 + \delta_{g-1} + \delta_{1:g-1}).$$

We show that this class is big by explicitly constructing effective divisors D on  $\overline{\mathcal{R}}_g$  such that one can write  $K_{\overline{\mathcal{R}}_g} \equiv \alpha \cdot \lambda + \beta \cdot D + \{\text{effective combination of boundary classes}\}$ , for certain  $\alpha, \beta \in \mathbb{Q}_{>0}$  (see (2) for the inequalities the coefficients of such D must satisfy).

We carry out an enumerative study of divisors on  $\overline{\mathcal{R}}_g$  defined in terms of pairs  $[C,\eta]$  such that the 2-torsion point  $\eta\in \operatorname{Pic}^0(C)$  is transversal with respect to the theta divisors associated to certain stable vector bundles on C. We fix integers  $k\geq 2$  and  $b\geq 0$  and then define the integers

$$i := kb + k - b - 2$$
,  $r := kb + k - 2$ ,  $q := ik + 1$  and  $d := rk$ .

The Brill-Noether number  $\rho(g,r,d):=g-(r+1)(g-d+r)=0$  and a general  $[C]\in\mathcal{M}_g$  carries a finite number of line bundles  $L\in W^r_d(C)$ . For each such line bundle L, if  $Q_L$  denotes the dual of the *Lazarsfeld bundle* defined by the exact sequence (see [L])

$$0 \longrightarrow Q_L^{\vee} \longrightarrow H^0(C,L) \otimes \mathcal{O}_C \longrightarrow L \longrightarrow 0,$$

we compute that  $\mu(Q_L) = d/r = k$  and then  $\mu(\wedge^i Q_L) = ik = g - 1$ . In these circumstances we define the *Raynaud divisor* (degeneration locus of virtual codimension 1)

$$\Theta_{\wedge^i Q_L} := \{ \eta \in \operatorname{Pic}^0(C) : H^0(C, \wedge^i Q_L \otimes \eta) \neq 0 \}.$$

This is a virtual divisor inside  $\operatorname{Pic}^0(C)$ , in the sense that either  $\Theta_{\wedge^i Q_L} = \operatorname{Pic}^0(C)$  or else  $\Theta_{\wedge^i Q_L}$  is a divisor on  $\operatorname{Pic}^0(C)$  belonging to the linear system  $|\binom{r}{i}\theta|$ , cf. [R]. We study the relative position of  $\eta$  with respect to  $\Theta_{\wedge^i Q_L}$  and introduce the following locus on  $\overline{\mathcal{R}}_g$ :

$$\mathcal{D}_{g:k} := \{ [C, \eta] \in \mathcal{R}_g : \exists L \in W^r_d(C) \ \text{ such that } \ \eta \in \Theta_{\wedge^i Q_L} \}.$$

When k=2, i=b, then g=2i+1, d=2g-2 and  $\mathcal{D}_{2i+1:2}$  has a new incarnation using the proof of the *Minimal Resolution Conjecture* [FMP]. In this case,  $L=K_C$  (a genus g curve has only one  $\mathfrak{g}_{2g-2}^{g-1}$ !) and [FMP] gives an identification of cycles

$$\Theta_{\wedge^i Q_{K_C}} = C_i - C_i \subset \operatorname{Pic}^0(C),$$

where the right-hand-side stands for the i-th difference variety of C.

We prove in Section 2 that  $\mathcal{D}_{g:k}$  is an effective divisor on  $\mathcal{R}_g$ . By specialization to the k-gonal locus  $\mathcal{M}_{g,k}^1\subset \mathcal{M}_g$ , we show that for a generic  $[C,\eta]\in \mathcal{R}_g$  the vanishing  $H^0(C,\wedge^iQ_L\otimes\eta)=0$  holds for every  $L\in W^r_d(C)$  (Theorem 2.3). Then we extend the determinantal structure of  $\mathcal{D}_{g:k}$  to a partial compactification of  $\mathcal{R}_g$  which enables us to compute the class of the compactification  $\overline{\mathcal{D}}_{g:k}$ . Precisely we construct two vector bundles  $\mathcal{E}$  and  $\mathcal{F}$  over a stack  $\overline{\mathbf{R}}_g^0$  which is a partial compactification of  $\mathbf{R}_g$ , such that  $\mathrm{rank}(\mathcal{E})=\mathrm{rank}(\mathcal{F})$ , together with a vector bundle homomorphism  $\phi:\mathcal{E}\to\mathcal{F}$  such that  $Z_1(\phi)\cap\mathcal{R}_g=\mathcal{D}_{g:k}$ . Then we explicitly determine the class  $c_1(\mathcal{F}-\mathcal{E})\in A^1(\overline{\mathbf{R}}_g^0)$  (Theorem 2.8). The cases of interest for determining the Kodaira dimension of  $\overline{\mathcal{R}}_g$  are when k=2,3 when we obtain the following results:

**Theorem 0.2.** The closure of the divisor  $\mathcal{D}_{2i+1:2} = \{ [C, \eta] \in \mathcal{R}_{2i+1} : h^0(C, \wedge^i Q_{K_C} \otimes \eta) \geq 1 \}$  inside  $\overline{\mathcal{R}}_{2i+1}$  has class given by the following formula in  $\operatorname{Pic}(\overline{\mathcal{R}}_{2i+1})$ :

$$\overline{\mathcal{D}}_{2i+1:2} \equiv \frac{1}{2i-1} \binom{2i}{i} \Big( (3i+1)\lambda - \frac{i}{2} (\delta_0' + \delta_0'') - \frac{2i+1}{4} \delta_0^{\text{ram}} - (3i-1)\delta_{g-1} - i(\delta_{1:g-1} + \delta_1) - \cdots \Big).$$

To illustrate Theorem 0.2 in the simplest case, i=1 hence g=3, we write  $\mathcal{D}_{3:2}=\{[C,\eta]\in\mathcal{R}_3:\eta=\mathcal{O}_C(x-y),\,x,y\in C\}$ . The analysis carried out in Section 5 shows that the vector bundle morphism  $\phi:\mathcal{E}\to\mathcal{F}$  is generically non-degenerate along the boundary divisors  $\Delta_0',\,\Delta_0^{\mathrm{ram}}\subset\overline{\mathcal{R}}_3$  and degenerate (with multiplicity 1) along the divisor  $\Delta_0''\subset\overline{\mathcal{R}}_3$  of Wirtinger covers. Theorem 0.2 reads like

$$\overline{\mathcal{D}}_{3:2} \equiv c_1(\mathcal{F} - \mathcal{E}) - \delta_0'' \equiv 8\lambda - \delta_0' - 2\delta_0'' - \frac{3}{2}\delta_0^{\text{ram}} - 6\delta_1 - 4\delta_2 - 2\delta_{1:2} \in \text{Pic}(\overline{\mathcal{R}}_3),$$

and then  $\pi_*(\overline{\mathcal{D}}_{3:2}) \equiv 56(9\lambda - \delta_0 - 3\delta_1) \equiv 56 \cdot \overline{\mathcal{M}}_{3,2}^1 \in \operatorname{Pic}(\overline{\mathcal{M}}_3)$  (see Theorem 5.1). Theorem 0.2 is consistent with the following elementary fact, see e.g. [HF]: If  $[\tilde{C} \to C] \in \mathcal{R}_3$  is an étale double cover, then  $[\tilde{C}] \in \mathcal{M}_5$  is hyperelliptic if and only if  $[C] \in \mathcal{M}_3$  is hyperelliptic and  $\eta = \mathcal{O}_C(x - y)$ , with  $x, y \in C$  being Weierstrass points.

**Theorem 0.3.** For  $b \ge 1$  and r = 3b + 1 the class of the divisor  $\overline{\mathcal{D}}_{6b+4:3}$  on  $\overline{\mathcal{R}}_{6b+4}$  is given by:

$$\overline{\mathcal{D}}_{g:3} \equiv \frac{4}{r} \binom{6b+3}{b,2b,3b+3} \Big( (3b+2)(b+2)\lambda - \frac{3b^2+7b+3}{6} (\delta_0' + \delta_0'') - \frac{24b^2+47b+21}{24} \delta_0^{\mathrm{ram}} - \cdots \Big).$$

Theorems 2.8, 0.2 and 0.3 specify precisely the  $\lambda, \delta_0', \delta_0'$  and  $\delta_0^{\mathrm{ram}}$  coefficients in the expansion of  $[\overline{\mathcal{D}}_{g:k}]$ . Good lower bounds for the remaining boundary coefficients of  $[\overline{\mathcal{D}}_{g:k}]$  can be obtained using Proposition 1.9. The information contained in Theorems 0.2 and 0.3 is sufficient to finish the proof of Theorem 0.1 for odd genus  $g=2i+1\geq 15$ .

When b = 0, hence i = r = k - 2, Theorem 2.8 has the following interpretation:

**Theorem 0.4.** We fix integers  $k \ge 3$ , r = k - 2 and  $g = (k - 1)^2$ . The following locus

$$\mathcal{D}_{g:k} := \{ [C, \eta] \in \mathcal{R}_g : \exists L \in W^{k-2}_{k(k-2)}(C) \text{ such that } H^0(C, L \otimes \eta) \neq 0 \}$$

is a divisor on  $\mathcal{R}_q$ . The class of its compactification inside  $\overline{\mathcal{R}}_q$  is given by the formula

$$\overline{\mathcal{D}}_{g:k} \equiv g! \frac{1! \ 2! \ \cdots (k-2)!}{(k-1)! \ \cdots (2k-3)! \ (k^2-2k-1)} \left(\frac{1}{2} (k^4-4k^3+11k^2-14k+2)\lambda - \frac{1}{2} (k^4-4k^3+11k+2)\lambda - \frac{1}{2} (k^4-4k^4+11k+2)\lambda - \frac{$$

$$-\frac{k(k-2)(k^2-2k+5)}{12}(\delta_0'+\delta_0'')-\frac{(k^2-2k+3)(2k^2-4k+1)}{12}\delta_0^{\mathrm{ram}}-\cdots\Big)\in \mathrm{Pic}(\overline{\mathcal{R}}_g).$$

When k=3 and g=4, the divisor  $\mathcal{D}_{4:3}$  consists of Prym curves  $[C,\eta]\in\mathcal{R}_4$  for which the plane Prym-canonical model  $\iota:C\stackrel{|K_C\otimes\eta|}{\longrightarrow}\mathbf{P}^2$  has a triple point. Note that for a general  $[C,\eta]\in\mathcal{R}_4$ ,  $\iota(C)$  is a 6-nodal sextic. We can then verify the formula

$$\pi_*(\overline{\mathcal{D}}_{4:3}) = 60(34\lambda - 4\delta_0 - 14\delta_1 - 18\delta_2) = 60 \cdot \overline{\mathcal{GP}}_{4:3}^1 \in \operatorname{Pic}(\overline{\mathcal{M}}_4),$$

where  $\overline{\mathcal{GP}}_{4,3}^1 \subset \overline{\mathcal{M}}_4$  is the divisor of curves with a vanishing theta-null. This is consistent with the set-theoretic equality  $\pi(\mathcal{D}_{4:3}) = \mathcal{GP}_{4,3}^1$  which can be easily established (see Theorem 5.4).

Another case which has a simple interpretation is when b=1, i=r-1, and then g=(2k-1)(k-1), d=2k(k-1). Since  $\mathrm{rank}(Q_L)=r$  and  $\det(Q_L)=L$ , by duality we have that  $\wedge^iQ_L=M_L\otimes L$ , hence points  $[C,\eta]\in\mathcal{D}_{(2k-1)(k-1):k}$  can be described purely in terms of multiplication maps of sections of line bundles on C:

**Theorem 0.5.** We fix integers  $k \ge 2$  and g = (2k - 1)(k - 1). The following locus

$$\mathcal{D}_{g:k} = \{[C, \eta] \in \mathcal{R}_g: \exists L \in W^{2k-2}_{2k(k-1)}(C) \text{ with } H^0(L) \otimes H^0(L \otimes \eta) \to H^0(L^{\otimes 2} \otimes \eta) \text{ not bijective}\}$$

is a divisor on  $\mathcal{R}_g$ . The class of its compactification inside  $\overline{\mathcal{R}}_g$  equals

$$\overline{\mathcal{D}}_{g:k} \equiv g! \frac{1! \ 2! \cdots (k-2)! \ (k-1)}{3(2k^2 - 3k - 1)(2k - 1)! \ (2k)! \cdots (3k - 3)! \ (3k - 2)} \cdot \left(6(8k^5 - 36k^4 + 78k^3 - 95k^2 + 49k - 6)\lambda - \left(8k^5 - 36k^4 + 70k^3 - 71k^2 + 29k - 2\right)\left(\delta_0' + \delta_0''\right) - \frac{1}{2}\left(32k^5 - 144k^4 + 262k^3 - 245k^2 + 107k - 14\right)\delta_0^{\text{ram}} - \cdots\right).$$

The second class of (virtual) divisors is provided by Koszul divisors on  $\overline{\mathcal{R}}_g$ . For a pair (C,L) consisting of a curve  $[C] \in \mathcal{M}_g$  and a line bundle  $L \in \operatorname{Pic}(C)$ , we denote by  $K_{i,j}(C,L)$  its (i,j)-th Koszul cohomology group, cf. [L]. For a point  $[C,\eta] \in \mathcal{R}_g$  we set  $L := K_C \otimes \eta$  and we stratify  $\mathcal{R}_g$  using the syzygies of the Prym-canonical curve  $C \stackrel{|L|}{\to} \mathbf{P}^{g-2}$ . We define the stratum

$$\mathcal{U}_{g,i} := \{ [C, \eta] \in \mathcal{R}_g : K_{i,2}(C, K_C \otimes \eta) \neq \emptyset \},$$

that is,  $\mathcal{U}_{g,i}$  consists of those Prym curves  $[C, \eta] \in \mathcal{R}_g$  for which the Prym-canonical model  $C \xrightarrow{|L|} \mathbf{P}^{g-2}$  fails to satisfy the Green-Lazarsfeld property  $(N_i)$  in the sense of [GL], [L].

**Theorem 0.6.** There exist two vector bundles  $\mathcal{G}_{i,2}$  and  $\mathcal{H}_{i,2}$  of the same rank defined over a partial compactification  $\widetilde{\mathbf{R}}_{2i+6}$  of the stack  $\mathbf{R}_{2i+6}$ , together with a morphism  $\phi: \mathcal{H}_{i,2} \to \mathcal{G}_{i,2}$  such that

$$\mathcal{U}_{2i+6,i} := \{ [C, \eta] \in \widetilde{\mathcal{R}}_{2i+6} : K_{i,2}(C, K_C \otimes \eta) \neq 0 \}$$

is the degeneracy locus of the map  $\phi$ . The virtual class of  $[\overline{\mathcal{U}}_{2i+6,i}]$  is given by the formula:

$$[\overline{\mathcal{U}}_{2i+6,i}]^{virt} = c_1(\mathcal{G}_{i,2} - \mathcal{H}_{i,2}) = \binom{2i+2}{i} \left( \frac{3(2i+7)}{i+3} \lambda - \frac{3}{2} \delta_0^{\text{ram}} - (\delta_0' + \alpha \delta_0'') - \cdots \right),$$

where the constant  $\alpha$  satisfies  $\alpha \geq 1$ .

The compactification  $\widetilde{\mathbf{R}}_g$  has the property that if  $\widetilde{\mathcal{R}}_g \subset \overline{\mathcal{R}}_g$  denotes its coarse moduli space, then  $\operatorname{codim} \left(\pi^{-1}(\mathcal{M}_g \cup \Delta_0) - \widetilde{\mathcal{R}}_g\right) \geq 2$ . In particular Theorem 0.6 precisely determines the coefficient of  $\lambda, \delta_0', \delta_0''$  and  $\delta_0^{\operatorname{ram}}$  in the expansion of  $[\overline{\mathcal{U}}_{2i+6,i}]^{virt}$ . We also show that when g < 2i+6 then  $K_{i,2}(C, K_C \otimes \eta) \neq \emptyset$  for any  $[C, \eta] \in \mathcal{R}_g$ . By analogy with the case of canonical curves and the classical M. Green Conjecture on syzygies of canonical curves (see [Vo]), we conjecture that the morphism of vector bundles  $\phi: \mathcal{G}_{i,2} \to \mathcal{H}_{i,2}$  over  $\widetilde{\mathbf{R}}_{2i+6}$  is generically non-degenerate:

**Conjecture 0.7.** (Prym-Green Conjecture) For a generic point  $[C, \eta] \in \mathcal{R}_g$  and  $g \ge 2i + 6$ , we have that  $K_{i,2}(C, K_C \otimes \eta) = 0$ . Equivalently, the Prym-canonical curve  $C \stackrel{|K_C \otimes \eta|}{\hookrightarrow} \mathbf{P}^{g-2}$  satisfies the Green-Lazarsfeld property  $(N_i)$  whenever  $g \ge 2i + 6$ . For g = 2i + 6, the locus  $\mathcal{U}_{2i+6,i}$  is an effective divisor on  $\mathcal{R}_{2i+6}$ .

Proposition 3.1 shows that, if true, Conjecture 0.7 is sharp. In [F4] we verify the Prym-Green Conjecture for g=2i+6 with  $0 \le i \le 4, i \ne 1$ . In particular, this together with Theorem 0.6 proves that  $\overline{\mathcal{R}}_g$  is of general type for g=14.

The strata  $\mathcal{U}_{g,i}$  have been considered before for i=0,1, in connection with the Prym-Torelli problem. Unlike the classical Torelli problem, the Prym-Torelli problem is a subtle question: Donagi's tetragonal construction shows that  $\mathcal{P}_g$  fails to be injective over points  $[C,\eta] \in \pi^{-1}(\mathcal{M}_{g,4}^1)$  where the curve C is tetragonal (cf. [D2]). The locus  $\mathcal{U}_{g,0}$  consists of those points  $[C,\eta] \in \mathcal{R}_g$  where the differential

$$(d\mathcal{P}_g)_{[C,\eta]}: H^0(C,K_C^{\otimes 2})^{\vee} \to (\operatorname{Sym}^2 H^0(C,K_C \otimes \eta))^{\vee}$$

is not injective and thus the *infinitesimal Prym-Torelli theorem* fails. It is known that  $(d\mathcal{P}_g)_{[C,\eta]}$  is generically injective for  $g \geq 6$  (cf. [B], or [De] Corollaire 2.3), that is,  $\mathcal{U}_{g,0}$  is a proper subvariety of  $\mathcal{R}_g$ . In particular, for g=6 the locus  $\mathcal{U}_{6,0}$  is a divisor of  $\mathcal{R}_6$ , which gives another proof of Conjecture 0.7 for i=0.

Debarre proved that  $\mathcal{U}_{g,1}$  is a proper subvariety of  $\mathcal{R}_g$  for  $g \geq 9$  (cf. [De] Théoreme 2.2). This immediately implies that for  $g \geq 9$  the Prym map  $\mathcal{P}_g$  is generically injective, hence the *Prym-Torelli theorem* holds generically. Debarre's proof unfortunately does not cover the interesting case g = 8.

The proof of Theorem 0.1 is finished in Section 4, using in an essential way results from [F3]: We set  $g':=1+\frac{g-1}{g}\binom{2g}{g-1}$  and then we consider the rational map which associates to a curve one of its Brill-Noether loci

$$\phi: \overline{\mathcal{M}}_{2g-1} \dashrightarrow \overline{\mathcal{M}}_{1+\frac{g-1}{a}\binom{2g}{g-1}}, \quad \phi[Y] := W^1_{g+1}(Y),$$

where  $W^1_{g+1}(Y):=\{L\in \operatorname{Pic}^{g+1}(Y):h^0(Y,L)\geq 2\}$ . If  $\chi:\overline{\mathcal{R}}_g\to \overline{\mathcal{M}}_{2g-1}$  is the map given by  $\chi([C,\eta]):=[\tilde{C}]$ , where  $f:\tilde{C}\to C$  is the étale double cover with the property that  $f_*\mathcal{O}_{\tilde{C}}=\mathcal{O}_C\oplus \eta$ , then using [F3] we compute the slope of myriads of effective divisors of type  $\chi^*\phi^*(A)$ , where  $A\in \operatorname{Ample}(\overline{\mathcal{M}}_{g'})$ . This proves Theorem 0.1 for even genus  $g=2i+6\geq 18$ .

We mention in passing as an immediate application of Proposition 1.9, a different proof of the statement that  $\overline{\mathcal{R}}_g$  has good rationality properties for low g (see again the Introduction for the history of this problem). Our proof is quite simple and uses only numerical properties of Lefschetz pencils of curves on K3 surfaces:

**Theorem 0.8.** For all  $g \leq 7$ , the Kodaira dimension of  $\overline{\mathcal{R}}_q$  is  $-\infty$ .

We close by summarizing the structure of the paper. In Section 1 we introduce the stack  $\overline{\mathbf{R}}_g$  of Prym curves and determine the Chern classes of certain tautological vector bundles. In Section 2 we carry out the enumerative study of the divisors  $\overline{\mathcal{D}}_{g:k}$  while in Section 3 we study Koszul divisors on  $\overline{\mathcal{R}}_g$  in connection with the Prym-Green Conjecture. The proof of Theorem 0.1 is completed in Section 4 while Section 5 is concerned with the enumerative geometry of  $\overline{\mathcal{R}}_g$  for  $g \leq 5$ . In Section 6 we describe the behaviour of singularities of pluricanonical forms of  $\overline{\mathcal{R}}_g$ . There is a significant overlap between some of the results of this paper and those of [Be]. Among the things we use from [Be] we mention the description of the branch locus of  $\pi$  and the fact that  $\overline{\mathcal{R}}_g$  is isomorphic to the coarse moduli space of  $\overline{\mathbf{M}}_g(\mathcal{B}\mathbb{Z}_2)$  (see Section 1). However, some of the results in [Be] are not correct, in particular the statement in [Be] Chapter 3 on singularities of  $\overline{\mathcal{R}}_g$ . Hence we carried out a detailed study of singularities of  $\overline{\mathcal{R}}_g$  in Section 6 of our paper.

#### 1. The stack of Prym curves

In this section we review a few facts about compactifications of  $\mathcal{R}_g$ . As a matter of terminology, if **M** is a Deligne-Mumford stack, we denote by  $\mathcal{M}$  its coarse moduli space (This is contrary to the convention set in [ACV] but it makes sense, at least from a historical point of view). All the Picard groups of stacks or schemes we are going to consider are with rational coefficients.

We recall that  $\pi:\mathcal{R}_g\to\mathcal{M}_g$  is the  $(2^{2g}-1)$ -sheeted cover which forgets the point of order 2 and we denote by  $\overline{\mathcal{R}}_g$  the normalization of  $\overline{\mathcal{M}}_g$  in the function field of  $\mathcal{R}_g$ . By definition,  $\overline{\mathcal{R}}_g$  is a normal variety and  $\pi$  extends to a finite ramified covering  $\pi:\overline{\mathcal{R}}_g\to\overline{\mathcal{M}}_g$ . The local behaviour of this branched cover has been studied in the thesis of M. Bernstein [Be] as well as in the paper [BCF]. In particular, the scheme  $\overline{\mathcal{R}}_g$  has two distinct modular incarnations which we now recall. If X is a nodal curve, a smooth rational component  $E\subset X$  is said to be *exceptional* if  $\#(E\cap\overline{X}-E)=2$ . The curve X is said to be *quasi-stable* if any two exceptional components of X are disjoint. Thus a quasi-stable curve is obtained from a stable curve by blowing-up each node at most once. We denote by  $[st(X)]\in\overline{\mathcal{M}}_g$  the stable model of X. We have the following definition (cf. [BCF]):

**Definition 1.1.** A *Prym curve* of genus g consists of a triple  $(X, \eta, \beta)$ , where X is a genus g quasi-stable curve,  $\eta \in \operatorname{Pic}^0(X)$  is a line bundle of degree 0 such that  $\eta_E = \mathcal{O}_E(1)$  for every exceptional component  $E \subset X$ , and  $\beta : \eta^{\otimes 2} \to \mathcal{O}_X$  is a sheaf homomorphism which is generically non-zero along each non-exceptional component of X.

A family of Prym curves over a base scheme S consists of a triple  $(\mathcal{X} \xrightarrow{f} S, \eta, \beta)$ , where  $f: \mathcal{X} \to S$  is a flat family of quasi-stable curves,  $\eta \in \operatorname{Pic}(\mathcal{X})$  is a line bundle and

 $\beta: \eta^{\otimes 2} \to \mathcal{O}_{\mathcal{X}}$  is a sheaf homomorphism, such that for every point  $s \in S$  the restriction  $(X_s, \eta_{X_s}, \beta_{X_s}: \eta_{X_s}^{\otimes 2} \to \mathcal{O}_{X_s})$  is a Prym curve.

We denote by  $\overline{\mathbf{R}}_g$  the non-singular Deligne-Mumford stack of Prym curves of genus g. The main result of [BCF] is that the coarse moduli space of  $\overline{\mathbf{R}}_g$  is isomorphic to the normalization of  $\overline{\mathcal{M}}_g$  in the function field of  $\mathcal{R}_g$ . On the other hand, it is proved in [Be] that  $\overline{\mathcal{R}}_g$  is also isomorphic to the coarse moduli space of the Deligne-Mumford stack  $\overline{\mathbf{M}}_g(\mathcal{B}\mathbb{Z}_2)$  of  $\mathbb{Z}_2$ -admissible double covers introduced in [B] and later in [ACV]. For intersection theory calculations the language of Prym curves is better suited than that of admissible covers. In particular, the existence of a degree 0 line bundle  $\eta$  over the universal Prym curve will be often used to compute the Chern classes of various tautological vector bundles defined over  $\overline{\mathbf{R}}_g$ . Throughout this paper we use the isomorphism between rational Picard groups  $\epsilon^*: \operatorname{Pic}(\overline{\mathcal{R}}_g) \to \operatorname{Pic}(\overline{\mathbf{R}}_g)$  induced by the map  $\epsilon: \overline{\mathbf{R}}_g \to \overline{\mathcal{R}}_g$  from the stack to its coarse moduli space.

**Remark 1.2.** If  $(X, \eta, \beta)$  is a Prym curve with exceptional components  $E_1, \ldots, E_r$  and  $\{p_i, q_i\} = E_i \cap \overline{X - E_i}$  for  $i = 1, \ldots, r$ , then obviously  $\beta_{E_i} = 0$ . Moreover, if  $\tilde{X} := \overline{X - \bigcup_{i=1}^r E_i}$  (viewed as a subcurve of X), then we have an isomorphism of sheaves

(1) 
$$\eta_{\tilde{X}}^{\otimes 2} \stackrel{\sim}{\to} \mathcal{O}_{\tilde{X}}(-p_1 - q_1 - \dots - p_r - q_r).$$

It is straightforward to describe all Prym curves  $[X, \eta, \beta] \in \overline{\mathcal{R}}_g$  whose stable model has a prescribed topological type. We do this when st(X) is a 1-nodal curve and we determine in the process the boundary components of  $\overline{\mathcal{R}}_g - \mathcal{R}_g$ .

**Example 1.3.** (Curves of compact type) If  $st(X) = C \cup D$  is a union of two smooth curves C and D of genus i and g-i respectively meeting transversally at a point, we use (1) to note that  $X = C \cup D$  (that is, X has no exceptional components). The line bundle  $\eta$  on X is determined by the choice of two line bundles  $\eta_C \in \operatorname{Pic}^0(C)$  and  $\eta_D \in \operatorname{Pic}^0(D)$  satisfying  $\eta_C^{\otimes 2} = \mathcal{O}_C$  and  $\eta_D^{\otimes 2} = \mathcal{O}_D$  respectively. This shows that for  $1 \leq i \leq [g/2]$  the pull-back under  $\pi$  of the boundary divisor  $\Delta_i \subset \overline{\mathcal{M}}_g$  splits into three irreducible components

$$\pi^*(\Delta_i) = \Delta_i + \Delta_{g-i} + \Delta_{i:g-i},$$

where the generic point of  $\Delta_i \subset \overline{\mathcal{R}}_g$  is of the form  $[C \cup D, \eta_C \neq \mathcal{O}_C, \eta_D = \mathcal{O}_D]$ , the generic point of  $\Delta_{g-i}$  is of the form  $[C \cup D, \eta_C = \mathcal{O}_C, \eta_D \neq \mathcal{O}_D]$ ), and finally  $\Delta_{i:g-i}$  is the closure of the locus of points  $[C \cup D, \eta_C \neq \mathcal{O}_C, \eta_D \neq \mathcal{O}_D]$  (see also [Be] pg. 9).

**Example 1.4.** (*Irreducible one-nodal curves*) If  $st(X) = C_{yq} := C/y \sim q$ , where  $[C, y, q] \in \mathcal{M}_{g-1,2}$ , then there are two possibilities, depending on whether X has an exceptional component or not. Suppose first that X = C' and  $\eta \in \text{Pic}^0(X)$ . If  $\nu : C \to X$  is the normalization map, then there is an exact sequence

$$1 \longrightarrow \mathbb{C}^* \longrightarrow \operatorname{Pic}^0(X) \xrightarrow{\nu^*} \operatorname{Pic}^0(C) \longrightarrow 0.$$

Thus  $\eta$  is determined by a (non-trivial) line bundle  $\eta_C := \nu^*(\eta) \in \operatorname{Pic}^0(C)$  satisfying  $\eta_C^{\otimes 2} = \mathcal{O}_C$  together with an identification of the fibres  $\eta_C(y)$  and  $\eta_C(q)$ . If  $\eta_C = \mathcal{O}_C$ , then there is a unique way to identify the fibres  $\eta_C(y)$  and  $\eta_C(q)$  such that  $\eta \neq \mathcal{O}_X$ , and this corresponds to the classical Wirtinger cover of X. We denote by  $\Delta_0'' = \Delta_0^{\operatorname{wir}}$  the

closure in  $\overline{\mathcal{R}}_g$  of the locus of Wirtinger covers. If  $\eta_C \neq \mathcal{O}_C$ , then for each such choice of  $\eta_C \in \operatorname{Pic}^0(C)[2]$  there are 2 ways to glue  $\eta_C(y)$  and  $\eta_C(q)$ . This provides another  $2 \times (2^{2g-2}-1)$  Prym curves having C' as their stable model. We set  $\Delta_0' \subset \overline{\mathcal{R}}_g$  to be the closure of the locus of Prym curves with  $\eta_C \neq \mathcal{O}_C$ .

We now treat the case when  $X=C\cup_{\{y,q\}}E$ , with E being an exceptional component. Then  $\eta_E=\mathcal{O}_E(1)$  and  $\eta_C^{\otimes 2}=\mathcal{O}_C(-y-q)$ . The analysis carried out in [BCF] Proposition 12, shows that  $\pi$  is simply ramified at each of these  $2^{2g-2}$  Prym curves in  $\pi^{-1}([C'])$ . We denote by  $\Delta_0^{\mathrm{ram}}\subset\overline{\mathcal{R}}_g$  the closure of the locus of Prym curves  $[C\cup_{\{y,q\}}E,\eta,\beta]$  and then  $\Delta_0^{\mathrm{ram}}$  is the ramification divisor of  $\pi$ . Moreover one has the relation,

$$\pi^*(\Delta_0) = \Delta_0' + \Delta_0'' + 2\Delta_0^{\text{ram}}.$$

It is easy to establish a dictionary between Prym curves and Beauville admissible covers. We explain how to do this in codimension 1 in  $\overline{\mathcal{R}}_g$  (see also [D2] Example 1.9). The general point of  $\Delta_0'$  corresponds to an étale double cover  $[\tilde{C} \xrightarrow{f} C] \in \mathcal{R}_{g-1}$  induced by  $\eta_C$ . We denote by  $y_i, q_i (i=1,2)$  the points lying in  $f^{-1}(y)$  and  $f^{-1}(q)$  respectively. Then

$$\overline{\mathcal{M}}_{2g-1} \ni \frac{\tilde{C}}{y_1 \sim q_1, y_2 \sim q_2} \longrightarrow \frac{C}{y \sim q} \in \overline{\mathcal{M}}_g$$

is a admissible double cover, defined up to a sign. This ambiguity is then resolved in the choice of an element in  $\operatorname{Ker}\{\nu^*:\operatorname{Pic}^0(C_{yq})[2]\to\operatorname{Pic}^0(C)[2]\}$ .

If  $[C/y \sim q, \eta, \beta]$  is a general point of  $\Delta_0''$ , then we take identical copies  $[C_1, y_1, q_1]$  and  $[C_2, y_2, q_2]$  of  $[C, y, q] \in \mathcal{M}_{g-1,2}$ . The Wirtinger cover is obtained by taking

$$\overline{\mathcal{M}}_{2g-1} \ni \frac{C_1 \cup C_2}{y_1 \sim q_2, y_2 \sim q_1} \longrightarrow \frac{C}{y \sim q} \in \overline{\mathcal{M}}_g.$$

If  $[C \cup_{\{y,q\}} E, \eta, \beta] \in \Delta_0^{\text{ram}}$ , then  $\eta_C \in \sqrt{\mathcal{O}_C(-y-q)}$  induces a 2:1 cover  $\tilde{C} \xrightarrow{f} C$  branched over y and q. We set  $\{\tilde{y}\} := f^{-1}(y), \{\tilde{q}\} := f^{-1}(q)$ . The Beauville cover is

$$\overline{\mathcal{M}}_{2g-1} \ni \frac{\tilde{C}}{\tilde{y} \sim \tilde{q}} \longrightarrow \frac{C}{y \sim q} \in \overline{\mathcal{M}}_g.$$

As usual, one denotes by  $\delta_0', \delta_0'', \delta_0^{\mathrm{ram}}, \delta_i, \delta_{g-i}, \delta_{i:g-i} \in \mathrm{Pic}(\overline{\mathbf{R}}_g)$  the stacky divisor classes corresponding to the boundary divisors of  $\overline{\mathcal{R}}_g$ . We also set  $\lambda := \pi^*(\lambda) \in \mathrm{Pic}(\overline{\mathbf{R}}_g)$ . Next we determine the canonical class  $K_{\overline{\mathcal{R}}_g}$ :

**Theorem 1.5.** *One has the following formula in*  $\operatorname{Pic}(\overline{R}_g)$ *:* 

$$K_{\overline{\mathcal{R}}_g} = 13\lambda - 2(\delta_0' + \delta_0'') - 3\delta_0^{\text{ram}} - 2\sum_{i=1}^{\lfloor g/2 \rfloor} (\delta_i + \delta_{g-i} + \delta_{i:g-i}) - (\delta_1 + \delta_{g-1} + \delta_{1:g-1}).$$

*Proof.* We use that  $K_{\overline{\mathcal{M}}_g} \equiv 13\lambda - 2\delta_0 - 3\delta_1 - 2\delta_2 - \dots - 2\delta_{[g/2]}$  (cf. [HM]), together with the Hurwitz formula for the cover  $\pi: \overline{\mathcal{R}}_g \to \overline{\mathcal{M}}_g$ . We find that  $K_{\overline{\mathcal{R}}_g} = \pi^*(K_{\overline{\mathcal{M}}_g}) + \delta_0^{\mathrm{ram}}$ .

Using this formula as well as the Appendix, we conclude that in order to prove that  $\overline{\mathcal{R}}_q$  is of general type for a certain g, it suffices to exhibit a single effective divisor

$$D \equiv a\lambda - b_0'\delta_0' - b_0''\delta_0'' - b_0^{\text{ram}}\delta_0^{\text{ram}} - \sum_{i=1}^{[g/2]} (b_i\delta_i + b_{g-i}\delta_{g-i} + b_{i:g-i}\delta_{i:g-i}) \in \text{Eff}(\overline{\mathcal{R}}_g),$$

satisfying the following inequalities:

(2) 
$$\max\left\{\frac{a}{b_0'}, \frac{a}{b_0''}\right\} < \frac{13}{2}, \quad \max\left\{\frac{a}{b_0^{\text{ram}}}, \frac{a}{b_1}, \frac{a}{b_{g-1}}, \frac{a}{b_{1:g-1}}\right\} < \frac{13}{3}$$

and

$$\max_{i \ge 1} \left\{ \frac{a}{b_i}, \frac{a}{b_{g-i}}, \frac{a}{b_{i:g-i}} \right\} < \frac{13}{2}.$$

1.1. The universal Prym curve. We start by introducing the partial compactification  $\widetilde{\mathcal{M}}_g:=\mathcal{M}_g\cup\widetilde{\Delta}_0$  of  $\mathcal{M}_g$ , obtaining by adding to  $\mathcal{M}_g$  the locus  $\widetilde{\Delta}_0\subset\overline{\mathcal{M}}_g$  of one-nodal irreducible curves  $[C_{yq}:=C/y\sim q]$ , where  $[C,y,q]\in\mathcal{M}_{g-1,2}$ . Let  $p:\widetilde{\mathbf{M}}_{g,1}\to\widetilde{\mathbf{M}}_g$  denote the universal curve. We denote  $\widetilde{\mathcal{R}}_g:=\pi^{-1}(\widetilde{\mathcal{M}}_g)\subset\overline{\mathcal{R}}_g$  and note that the boundary divisors  $\widetilde{\Delta}_0':=\Delta_0'\cap\widetilde{\mathcal{R}}_g$ ,  $\widetilde{\Delta}_0'':=\Delta_0''\cap\widetilde{\mathcal{R}}_g$  and  $\widetilde{\Delta}_0^{\mathrm{ram}}:=\Delta_0^{\mathrm{ram}}\cap\widetilde{\mathcal{R}}_g$  become disjoint inside  $\widetilde{\mathcal{R}}_g$ . Finally, we set  $\mathcal{Z}:=\widetilde{\mathbf{R}}_g\times_{\widetilde{\mathbf{M}}_g}\widetilde{\mathbf{M}}_{g,1}$  and denote by  $p_1:\mathcal{Z}\to\widetilde{\mathbf{R}}_g$  the projection.

To obtain the universal family of Prym curves over  $\widetilde{\mathbf{R}}_g$ , we blow-up the codimension 2 locus  $V \subset \mathcal{Z}$  corresponding to points

$$v = \left( [C \cup_{\{y,q\}} E, \eta_C \in \sqrt{\mathcal{O}_C(-y-q)}], \ \eta_E = \mathcal{O}_E(1), \ \nu(y) = \nu(q) \right) \in \Delta_0^{\mathrm{ram}} \times_{\widetilde{\mathbf{M}}_q} \widetilde{\mathbf{M}}_{g,1}$$

(recall that  $\nu:C\to C_{yq}$  denotes the normalization map). Suppose that  $(t_1,\ldots,t_{3g-3})$  are local coordinates in an étale neighbourhood of  $[C\cup_{\{y,q\}}E,\eta_C,\eta_E]\in\widetilde{\mathcal{R}}_g$  such that the local equation of  $\Delta_0^{\mathrm{ram}}$  is  $(t_1=0)$ . Then  $\mathcal Z$  around v admits local coordinates  $(x,y,t_1,\ldots,t_{3g-3})$  satisfying the equation  $xy=t_1^2$ . In particular,  $\mathcal Z$  is singular along V. We denote by  $\mathcal X:=\mathrm{Bl}_V(\mathcal Z)$  and by  $f:\mathcal X\to\widetilde{\mathbf R}_g$  the induced family of Prym curves. Then for every  $[X,\eta,\beta]\in\widetilde{\mathcal R}_g$  we have that  $f^{-1}([X,\eta,\beta])=X$ .

On  $\mathcal X$  there exists a Prym line bundle  $\mathcal P\in \operatorname{Pic}(\mathcal X)$  as well as a morphism of  $\mathcal O_X$ -modules  $B:\mathcal P^{\otimes 2}\to\mathcal O_{\mathcal X}$  with the property that  $\mathcal P_{|f^{-1}([X,\eta,\beta])}=\eta$  and  $B_{|f^{-1}([X,\eta,\beta])}=\beta:\eta^{\otimes 2}\to\mathcal O_X$ , for all points  $[X,\eta,\beta]\in\widetilde{\mathcal R}_g$  (see e.g. [C], the same argument carries over from the spin to the Prym moduli space).

We set  $\mathcal{E}_0'$ ,  $\mathcal{E}_0''$  and  $\mathcal{E}_0^{\mathrm{ram}} \subset \mathcal{X}$  to be the proper transforms of the boundary divisors  $p_1^{-1}(\widetilde{\Delta}_0'), p_1^{-1}(\widetilde{\Delta}_0'')$  and  $p_1^{-1}(\widetilde{\Delta}_0^{\mathrm{ram}})$  respectively. Finally, we define  $\mathcal{E}_0$  to be the exceptional divisor of the blow-up map  $\mathcal{X} \to \mathcal{Z}$ .

We recall that  $g: \mathcal{Y} \to S$  is a family of nodal curves and L, M are line bundles on  $\mathcal{Y}$ , then  $\langle L, M \rangle \in \text{Pic}(S)$  denotes the bilinear *Deligne pairing* of L and M.

**Proposition 1.6.** If  $f: \mathcal{X} \to \widetilde{\mathbf{R}}_g$  is the universal Prym curve and  $\mathcal{P} \in \operatorname{Pic}(\mathcal{X})$  is the corresponding Prym bundle, then one has the following relations in  $\operatorname{Pic}(\widetilde{\mathbf{R}}_g)$ :

(i) 
$$\langle \omega_f, \mathcal{P} \rangle = 0$$
.

(ii) 
$$\langle \mathcal{O}_{\mathcal{X}}(\mathcal{E}_0), \mathcal{O}_{\mathcal{X}}(\mathcal{E}_0) \rangle = -2\delta_0^{\mathrm{ram}}.$$
  
(iii)  $\langle \mathcal{O}_{\mathcal{X}}(\mathcal{P}), \mathcal{O}_{\mathcal{X}}(\mathcal{P}) \rangle = -\delta_0^{\mathrm{ram}}/2.$ 

*Proof.* The sheaf homomorphism  $B: \mathcal{P}^{\otimes 2} \to \mathcal{O}_{\mathcal{X}}$  vanishes (with order 1) precisely along the exceptional divisor  $\mathcal{E}_0$ , hence  $[\mathcal{E}_0] = -2c_1(\mathcal{P})$ . Furthermore, we have the relations  $f^*(\Delta_0^{\mathrm{ram}}) = \mathcal{E}_0^{\mathrm{ram}} + \mathcal{E}_0$  and  $f_*([\mathcal{E}_0^{\mathrm{ram}}] \cdot [\mathcal{E}_0]) = 2\delta_0^{\mathrm{ram}}$  (In the fibre  $f^{-1}([C \cup_{\{y,q\}} E, \eta_C])$  the divisors  $\mathcal{E}_0$  and  $\mathcal{E}_0^{\mathrm{ram}}$  meet over two points, corresponding to whether the marked points equals y or q. Now (ii) and (iii) follow simply from the push-pull formula. For (i), it is enough to show that  $\omega_{f|\mathcal{E}_0}$  is the trivial bundle. This follows because for any point  $[X,\eta,\beta] \in \widetilde{\mathcal{R}}_g$  we have that  $\omega_X \otimes \mathcal{O}_E = 0$ , for any exceptional component  $E \subset X$ .  $\square$ 

We now fix  $i \geq 1$  and set  $\mathcal{N}_i := f_*(\omega_f^{\otimes i} \otimes \mathcal{P}^{\otimes i})$ . Since  $R^1 f_*(\omega_f^{\otimes i} \otimes \mathcal{P}^{\otimes i}) = 0$ , Grauert's theorem implies that  $\mathcal{N}_i$  is a vector bundle over  $\widetilde{\mathbf{R}}_g$  of rank (g-1)(2i-1).

**Proposition 1.7.** For each integer  $i \geq 1$  the following formula in  $Pic(\widetilde{R}_q)$  holds:

$$c_1(\mathcal{N}_i) = {i \choose 2} (12\lambda - \delta_0' - \delta_0'' - 2\delta_0^{\text{ram}}) + \lambda - \frac{i^2}{4} \delta_0^{\text{ram}}.$$

*Proof.* We apply Grothendieck-Riemann-Roch to the universal Prym curve  $f: \mathcal{X} \to \widetilde{\mathbf{R}}_g$ :

$$c_1(\mathcal{N}_i) = f_* \left[ \left( 1 + ic_1(\omega_f \otimes \mathcal{P}) + \frac{i^2 c_1^2(\omega_f \otimes \mathcal{P})}{2} \right) \left( 1 - \frac{c_1(\omega_f)}{2} + \frac{c_1^2(\omega_f) + [\operatorname{Sing}(f)]}{12} \right) \right]_2,$$

and then use Proposition 1.6 and Mumford's formula  $(\kappa_1)_{\widetilde{\mathbf{R}}_g} = 12\lambda - \delta_0' - \delta_0'' - 2\delta_0^{\mathrm{ram}}$ .  $\square$ 

1.2. Inequalities between coefficients of divisors on  $\overline{\mathcal{R}}_g$ . We use pencils of curves on K3 surfaces to establish certain inequalities between the coefficients of effective divisors on  $\overline{\mathcal{R}}_g$ . Using K3 surfaces we construct pencils that fill up the boundary divisors  $\Delta_i, \Delta_{g-i}$  and  $\Delta_{i:g-i}$  for  $1 \leq i \leq [g/2]$  when  $g \leq 23$ . The use of such pencils in the context of  $\overline{\mathcal{M}}_g$  has already been demonstrated in [FP].

We start with a Lefschetz pencil  $B \subset \overline{\mathcal{M}}_i$  of curves of genus i lying on a fixed K3 surface S. The pencil B is induced by a family  $f: \mathrm{Bl}_{i^2}(S) \to \mathbf{P}^1$  which has  $i^2$  sections corresponding to the base points and we choose one such section  $\sigma$ . Using B, for each  $g \geq i+1$  we create a genus g pencil  $B_i \subset \overline{\mathcal{M}}_g$  of stable curves, by gluing a fixed curve  $[C_2,p] \in \mathcal{M}_{g-i,1}$  along the section  $\sigma$  to each member of the pencil B. Then we have the following formulas on  $\overline{\mathcal{M}}_g$  (cf. [FP] Lemma 2.4):

$$B_i \cdot \lambda = i + 1$$
,  $B_i \cdot \delta_0 = 6i + 18$ ,  $B_i \cdot \delta_i = -1$  and  $B_i \cdot \delta_j = 0$  for  $j \neq i$ .

We fix  $1 \le i \le [g/2]$  and lift  $B_i$  in three different ways to pencils in  $\overline{\mathcal{R}}_g$ . First we choose a non-trivial line bundle  $\eta_2 \in \operatorname{Pic}^0(C_2)[2]$ . Let us denote by  $A_{g-i} \subset \Delta_{g-i} \subset \overline{\mathcal{R}}_g$  the pencil of Prym curves  $[C_2 \cup_{\sigma(\lambda)} f^{-1}(\lambda), \ \eta_{C_2} = \eta_2, \ \eta_{f^{-1}(\lambda)} = \mathcal{O}_{f^{-1}(\lambda)}]$ , with  $\lambda \in \mathbf{P}^1$ .

Next, we denote by  $A_i \subset \Delta_i \subset \overline{\mathcal{R}}_g$  the pencil consisting of Prym curves

$$\left[C_2 \cup_{\sigma(\lambda)} f^{-1}(\lambda), \ \eta_{C_2} = \mathcal{O}_{C_2}, \ \eta_{f^{-1}(\lambda)} \in \overline{\operatorname{Pic}}^0(f^{-1}(\lambda))[2]\right], \ \text{ where } \lambda \in \mathbf{P}^1.$$

Clearly  $\pi(A_i) = B_i$  and  $\deg(A_i/B_i) = (2^{2i} - 1)$ . Finally,  $A_{i:q-i} \subset \Delta_{i:q-i} \subset \overline{\mathcal{R}}_q$ denotes the pencil of Prym curves  $\left[C_2 \cup f^{-1}(\lambda), \eta_{C_2} = \eta_2, \ \eta_{f^{-1}(\lambda)} \in \overline{\operatorname{Pic}}^0(f^{-1}(\lambda))[2]\right]$ . Again, we have that  $deg(A_{i:q-i}/B_i) = 2^{2i} - 1$ .

**Lemma 1.8.** If  $A_i$ ,  $A_{q-i}$  and  $A_{i:q-i}$  are pencils defined above, we have the following relations:

- $\bullet \ \ A_{g-i} \cdot \lambda = i+1, \ A_{g-i} \cdot \delta_0' = 6i+18, \ A_{g-i} \cdot \delta_i = A_{g-i} \cdot \delta_0^{\mathrm{ram}} = 0, \ \ \text{and} \ \ A_{g-i} \cdot \delta_{q-i} = -1.$
- $A_i \cdot \lambda = (i+1)(2^{2i}-1), \ A_i \cdot \delta_0' = (2^{2i-1}-2)(6i+18), \ A_i \cdot \delta_0'' = 6i+18,$
- $A_{i} \cdot \delta_{0}^{\text{ram}} = 2^{2i-2}(6i+18) \text{ and } A_{i} \cdot \delta_{i} = -(2^{2i}-1).$   $\bullet A_{i:g-i} \cdot \lambda = (i+1)(2^{2i}-1), A_{i:g-i} \cdot \delta'_{0} = (2^{2i-1}-1)(6i+18),$  $A_{i:g-i} \cdot \delta_0^{\text{ram}} = 2^{2i-2}(6i+18), \ A_{i:g-i} \cdot \delta_0'' = 0 \ \text{ and } \ A_{i:g-i} \cdot \delta_{i:g-i} = -(2^{2i}-1).$

Note that all these intersections are computed on  $\overline{\mathcal{R}}_q$ . The intersection numbers of  $A_i, A_{q-i}$  and  $A_{i:q-i}$  with the generators of  $Pic(\overline{\mathcal{R}}_q)$  not explicitly mentioned in Lemma 1.8 are all equal to 0.

*Proof.* We treat in detail only the case of  $A_i$  the other cases being similar. Using [FP] we find that  $(A_i \cdot \lambda)_{\overline{\mathcal{M}}_g} = (\pi_*(A_i) \cdot \lambda)_{\overline{\mathcal{M}}_g} = (2^{2i} - 1)(B_i \cdot \lambda)_{\overline{\mathcal{M}}_g}$ . Furthermore, since  $A_i \cap \Delta_{q-i} = A_i \cap \Delta_{i:q-i} = \emptyset$ , we can write the formulas

$$(A_i \cdot \delta_i)_{\overline{\mathcal{R}}_g} = (A_i \cdot \pi^*(\delta_i))_{\overline{\mathcal{R}}_g} = (2^{2i} - 1)(B_i \cdot \delta_i)_{\overline{\mathcal{M}}_g}.$$

Clearly  $(A_i \cdot \delta_0'')_{\overline{\mathcal{R}}_q} = (B_i \cdot \delta_0)_{\overline{\mathcal{M}}_q} = 6i + 18$ , whereas the intersection  $A_i \cdot \delta_0'$  corresponds to choosing an element in  $\operatorname{Pic}^0(f^{-1}(\lambda))[2]$ , where  $f^{-1}(\lambda)$  is a singular member of B. There are  $2(2^{2i-2}-1)(6i+18)$  such choices. 

**Proposition 1.9.** Let  $D \equiv a\lambda - b_0' \delta_0' - b_0'' \delta_0'' - b_0^{\text{ram}} \delta_0^{\text{ram}} - \sum_{i=1}^{[g/2]} (b_i \delta_i + b_{g-i} \delta_{g-i} + b_{i:g-i} \delta_{i:g-i}) \in \mathcal{C}_{i}$  $\operatorname{Pic}(\overline{\mathcal{R}}_q)$  be the closure in  $\overline{\mathcal{R}}_q$  of an effective divisor in  $\overline{\mathcal{R}}_q$ . Then if  $1 \leq i \leq \min\{[g/2], 11\}$ , we have the following inequalities:

- (1)  $a(i+1) b'_0(6i+18) + b_{q-i} \ge 0.$
- (2)  $a(i+1) b_0^{\text{ram}}(6i+18) \frac{2^{2i-2}}{2^{2i-1}} b_0'(6i+18) \frac{2^{2i-1}-1}{2^{2i-1}} + b_{i:g-i} \ge 0.$
- (3)  $a(i+1) b_0^{\text{ram}}(6i+18) \frac{2^{2i-2}}{2^{2i-1}} b_0' (6i+18) \frac{2^{2i-1}-2}{2^{2i-1}} b_0''(6i+18) \frac{1}{2^{2i-1}} + b_i \ge 0.$

*Proof.* We use that in this range the pencils  $A_i$ ,  $A_{g-i}$  and  $A_{i:g-i}$  fill-up the boundary divisors  $\Delta_i, \Delta_{g-i}$  and  $\Delta_{i:g-i}$  respectively, hence  $A_i \cdot D, A_{g-i} \cdot D, A_{i:g-i} \cdot D \ge 0$ .

*Proof of Theorem 0.8.* We lift the Lefschetz pencil  $B \subset \overline{\mathcal{M}}_g$  corresponding to a fixed K3surface, to a pencil  $\tilde{B} \subset \overline{\mathcal{R}}_g$  of Prym curves by taking Prym curves  $\tilde{B} := \{ [C_\lambda, \eta_{C_\lambda}] \in$  $\overline{\mathcal{R}}_q: [C_{\lambda}] \in B, \eta_{C_{\lambda}} \in \overline{\operatorname{Pic}}^0(C_{\lambda})[2]$ . We have the following formulas

$$\tilde{B} \cdot \lambda = (2^{2g} - 1)(g + 1), \tilde{B} \cdot \delta_0' = (2^{2g - 1} - 2)(6g + 18), \ \tilde{B} \cdot \delta_0'' = 6g + 18, \ \tilde{B} \cdot \delta_0^{\mathrm{ram}} = 2^{2g - 2}(6g + 18).$$

Furthermore,  $\tilde{B}$  is disjoint from all the remaining boundary classes of  $\overline{\mathcal{R}}_q$ . One now verifies that  $\tilde{B} \cdot K_{\overline{R}_g} < 0$  precisely when  $g \leq 7$ . Since  $\tilde{B}$  is a covering curve for  $\overline{R}_g$  in the range  $g \leq 11, g \neq 10$ , we find that  $\kappa(\overline{\mathcal{R}}_g) = -\infty$ . 

## 2. Theta divisors for vector bundles and geometric loci in $\overline{\mathcal{R}}_q$

We present a general method of constructing geometric divisors on  $\overline{\mathcal{R}}_g$ . For a fixed point  $[C, \eta] \in \mathcal{R}_g$  we shall study the relative position of  $\eta \in \operatorname{Pic}^0(C)[2]$  with respect to certain pluri-theta divisors on  $\operatorname{Pic}^0(C)$ .

We start by fixing a smooth curve C. If  $E \in U_C(r,d)$  is a semistable vector bundle on C of integer slope  $\mu(E) := d/r \in \mathbb{Z}$ , then following Raynaud [R], we introduce the determinantal cycle

$$\Theta_E := \{ \eta \in \operatorname{Pic}^{g-\mu-1}(C) : H^0(C, E \otimes \eta) \neq 0 \}.$$

Either  $\Theta_E = \operatorname{Pic}^{g-\mu-1}(C)$ , or else,  $\Theta_E$  is a divisor on  $\operatorname{Pic}^{g-\mu-1}(C)$  and then  $\Theta_E \equiv r \cdot \theta$ . In the latter case we say that  $\Theta_E$  is the *theta divisor* of the vector bundle E. Clearly,  $\Theta_E$  is a divisor if and only if  $H^0(C, E \otimes \eta) = 0$ , for a general bundle  $\eta \in \operatorname{Pic}^{g-\mu-1}(C)$ .

Let us now fix a globally generated line bundle  $L \in \text{Pic}^d(C)$  such that  $h^0(C, L) = r + 1$ . The *Lazarsfeld vector bundle*  $M_L$  of L is defined using the exact sequence on C

$$0 \longrightarrow M_L \longrightarrow H^0(C, L) \otimes \mathcal{O}_C \longrightarrow L \longrightarrow 0$$

(see also [GL], [L], [Vo], [F1], [FMP] for many applications of these bundles). It is customary to denote  $Q_L := M_L^\vee$ , hence  $\mu(Q_L) = d/r$ . When  $L = K_C$ , one writes  $Q_C := Q_{K_C}$ . The vector bundles  $Q_L$  (and all its exterior powers) are semistable under mild genericity assumptions on C (see [L] or [F1] Proposition 2.1). In the case  $\mu(\wedge^i Q_L) = g-1$ , when we expect  $\Theta_{\wedge^i Q_L}$  to be a divisor on  $\operatorname{Pic}^0(C)$ , we may ask whether for a given point  $[C, \eta] \in \mathcal{R}_g$  the condition  $\eta \in \Theta_{\wedge^i Q_L}$  is satisfied or not. Throughout this section we denote by  $\mathfrak{G}_d^r \to \mathcal{M}_g$  the Deligne-Mumford stack parameterizing pairs [C, l], where  $[C] \in \mathcal{M}_g$  and  $l = (L, V) \in G_d^r(C)$  is a linear series of type  $\mathfrak{g}_d^r$ .

We fix integers  $k \ge 2$  and  $b \ge 0$ . We set integers i := kb + k - b - 2,

$$r := kb + k - 2$$
,  $g := k(kb + k - b - 2) + 1 = ik + 1$  and  $d := k(kb + k - 2)$ .

Since  $\rho(g,r,d)=0$ , a general curve  $[C]\in \mathcal{M}_g$  carries a finite number of (obviously complete) linear series  $l\in G^r_d(C)$ . We denote this number by

$$N := g! \frac{1! \ 2! \ \cdots r!}{(k-1)! \ \cdots \ (k-1+r)!} = \deg(\mathfrak{G}_d^r/\mathcal{M}_g).$$

We also note that we can write g=(r+1)(k-1) and d=rk, and moreover, each line bundle  $L\in W^r_d(C)$  satisfies  $h^1(C,L)=k-1$ . Furthermore, we compute  $\mu(\wedge^iQ_L)=ik=g-1$  and then we introduce the following virtual divisor on  $\mathcal{R}_q$ :

$$\mathcal{D}_{g:k} := \{ [C, \eta] \in \mathcal{R}_g : \exists L \in W_d^r(C) \text{ such that } h^0(C, \wedge^i Q_L \otimes \eta) \ge 1 \}.$$

¿From the definition it follows that  $\mathcal{D}_{g:k}$  is either pure of codimension 1 in  $\mathcal{R}_g$ , or else  $\mathcal{D}_{g:k} = \mathcal{R}_g$ . We shall prove that the second possibility does not occur.

For  $[C, \eta] \in \mathcal{R}_q$  and  $L \in W^r_d(C)$  one has the following exact sequence on C

$$0 \longrightarrow \wedge^{i} M_{L} \otimes K_{C} \otimes \eta \longrightarrow \wedge^{i} H^{0}(C, L) \otimes K_{C} \otimes \eta \longrightarrow \wedge^{i-1} M_{L} \otimes L \otimes K_{C} \otimes \eta \longrightarrow 0,$$

from which, using Serre duality, one derives the following equivalences:

$$[C, \eta] \in \mathcal{D}_{q:k} \Leftrightarrow h^1(C, \wedge^i M_L \otimes K_C \otimes \eta) \ge 1 \Leftrightarrow$$

(3)  $\wedge^i H^0(C, L) \otimes H^0(C, K_C \otimes \eta) \to H^0(C, \wedge^{i-1} M_L \otimes L \otimes K_C \otimes \eta)$  is not an isomorphism.

Note that obviously  $\operatorname{rank}(\wedge^i H^0(C,L) \otimes H^0(C,K_C \otimes \eta)) = \binom{r+1}{i}(g-1)$ , while

$$h^{0}(C, \wedge^{i-1}M_{L} \otimes L \otimes K_{C} \otimes \eta) = \chi(C, \wedge^{i-1}M_{L} \otimes L \otimes K_{C} \otimes \eta) =$$
$$= \binom{r}{i-1} \left(-k(i-1) + d + g - 1\right) = \binom{r+1}{i} (g-1)$$

(use that  $M_L$  is a semistable vector bundle and that  $\mu(\wedge^{i-1}M_L\otimes L\otimes K_C\otimes \eta)>2g-1$ ).

Remark 2.1. As pointed out in the Introduction, an important particular case is k=2, when i=b,g=2i+1,r=2i,d=4i=2g-2. Since  $W^{g-1}_{2g-2}(C)=\{K_C\}$ , it follows that  $[C,\eta]\in\mathcal{D}_{2i+1,2}\Leftrightarrow\eta\in\Theta_{\wedge^iQ_C}$ . The main result from [FMP] states that for any  $[C]\in\mathcal{M}_g$  the Raynaud locus  $\Theta_{\wedge^iQ_C}$  is a divisor in  $\mathrm{Pic}^0(C)$  (that is,  $\wedge^iQ_C$  has a theta divisor) and we have an equality of cycles

(4) 
$$\Theta_{\wedge^i Q_C} = C_i - C_i \subset \operatorname{Pic}^0(C),$$

where the right-hand-side denotes the i-th difference variety of C, that is, the image of the difference map

$$\phi: C_i \times C_i \to \operatorname{Pic}^0(C), \ \phi(D, E) := \mathcal{O}_C(D - E).$$

Using Lazarsfeld's filtration argument [L] Lemma 1.4.1, one finds that for a generic choice of distinct points  $x_1, \ldots, x_{q-2} \in C$ , there is an exact sequence

$$0 \longrightarrow \bigoplus_{l=1}^{g-2} \mathcal{O}_C(x_l) \longrightarrow Q_C \longrightarrow K_C \otimes \mathcal{O}_C(-x_1 - \dots - x_{g-2}) \longrightarrow 0,$$

which implies the inclusion  $C_i - C_i \subset \Theta_{\wedge^i Q_C}$ . The importance of (4) is that it shows that  $\Theta_{\wedge^i Q_C}$  is a divisor on  $\operatorname{Pic}^0(C)$ , that is,  $H^0(C, \wedge^i Q_C \otimes \eta) = 0$  for a generic  $\eta \in \operatorname{Pic}^0(C)$ .

**Theorem 2.2.** For every genus g = 2i + 1 we have the following identification of cycles on  $\mathcal{R}_a$ :

$$\mathcal{D}_{2i+1:2} := \{ [C, \eta] \in \mathcal{R}_g : \eta \in C_i - C_i \}.$$

Next we prove that  $\mathcal{D}_{g:k}$  is an actual divisor on  $\mathcal{R}_g$  for any  $k \geq 2$  and we achieve this by specialization to the k-gonal locus  $\mathcal{M}_{g,k}^1$  in  $\mathcal{M}_g$ .

**Theorem 2.3.** Fix  $k \geq 2, b \geq 1$  and g, r, d, i defined as above. Then  $\mathcal{D}_{g:k}$  is a divisor on  $\mathcal{R}_g$ . Precisely, for a generic  $[C, \eta] \in \mathcal{R}_g$  we have that  $H^0(C, \wedge^i Q_L \otimes \eta) = 0$ , for every  $L \in W^r_d(C)$ .

*Proof.* Since there is a unique irreducible component of  $\mathfrak{G}_d^r$  mapping dominantly onto  $\mathcal{M}_g$ , to prove that  $\mathcal{D}_{g:k}$  is a divisor it suffices to exhibit a single element  $[C, L, \eta] \in \mathfrak{G}_d^r$  such that (1) the Petri map

$$\mu_0(C,L): H^0(C,L) \otimes H^0(C,K_C \otimes L^{\vee}) \to H^0(C,K_C)$$

is an isomorphism, and (2) for each point  $\eta \in \text{Pic}^0(C)[2]$ , we have that  $\eta \notin \Theta_{\wedge^i Q_L}$ .

Proposition 2.1.1 from [CM] ensures that for a generic k-gonal curve  $[C,A] \in \mathfrak{G}^1_k$  of genus g=(r+1)(k-1) one has that  $h^0(C,A^{\otimes j})=j+1$  for  $1\leq j\leq r+1$ . In particular there is an isomorphism  $\operatorname{Sym}^j H^0(C,A)\cong H^0(C,A^{\otimes j})$ . Using this and Riemann-Roch, we obtain that  $h^0(C,K_C\otimes A^{\otimes (-j)})=(k-1)(r+1-j)$  for  $0\leq j\leq r+1$ . Thus there is a generically injective rational map  $\mathfrak{G}^1_k\dashrightarrow \mathfrak{G}^r_d$  given by  $[C,A]\mapsto [C,A^{\otimes r}]$  (The use of such a map has been first pointed out to me in a different context by S. Keel). We claim

that  $\mathfrak{G}_k^1$  maps into the "main component" of  $\mathfrak{G}_d^r$  which maps dominantly onto  $\overline{\mathcal{M}}_g$ . To prove this it suffices to check that the Petri map

$$\mu_0(C, A^{\otimes r}): H^0(C, A^{\otimes r}) \otimes H^0(C, K_C \otimes A^{\otimes (-r)}) \to H^0(C, K_C)$$

is an isomorphism (Remember that  $H^0(C, A^{\otimes r}) \cong \operatorname{Sym}^r H^0(C, A)$ ). We use the base point free pencil trick to write down the exact sequence

$$0 \longrightarrow H^0(K_C \otimes A^{\otimes -(j+1)}) \longrightarrow H^0(A) \otimes H^0(K_C \otimes A^{\otimes (-j)}) \stackrel{\mu_j(A)}{\longrightarrow} H^0(K_C \otimes A^{\otimes -(j-1)}).$$

One can now easily check that  $\mu_j(A)$  is surjective for  $1 \le j \le r$  by using the formulas  $h^0(C, K_C \otimes A^{\otimes (-j)}) = (k-1)(r+1-j)$  valid for  $0 \le j \le r+1$ . This in turns implies that  $\mu_0(C, A^{\otimes r})$  is surjective, hence an isomorphism.

We now check condition (2) and note that for  $[C, L = A^{\otimes r}] \in \mathfrak{G}_d^r$ , the Lazarsfeld bundle splits as  $Q_L \cong A^{\oplus r}$ . In particular,  $\wedge^i Q_L \cong \bigoplus_{\binom{r}{i}} A^{\otimes i}$ , hence the condition  $H^0(C, \wedge^i Q_L \otimes \eta) \neq 0$  is equivalent to  $H^0(C, A^{\otimes i} \otimes \eta) \neq 0$ , that is, the translate of the theta divisor  $W_{g-1}(C) - A^{\otimes i} \subset \operatorname{Pic}^0(C)$  cannot contain any point of order 2 on  $\operatorname{Pic}^0(C)$ . Using that the moduli space of triples  $[C, A, \eta]$ , where  $[C, A] \in \mathfrak{G}_k^1$  and  $\eta \in \operatorname{Pic}^0(C)[2]$  is irreducible for each  $k \geq 3$ , it suffices to prove the statement for a single such triple.

We assume by contradiction that for any  $[C,A] \in \mathfrak{G}^1_k$  and any  $\eta \in \operatorname{Pic}^0(C)[2]$ , we have that  $H^0(C,A^{\otimes i}\otimes \eta)\geq 1$ . We specialize C to a hyperelliptic curve and choose  $A=\mathfrak{g}^1_2\otimes \mathcal{O}_C(x_1+\cdots+x_{k-2})$ , with  $x_1,\ldots,x_{k-2}\in C$  being general points. Finally we take  $\eta:=\mathcal{O}_C(p_1+\cdots+p_{i+1}-q_1-\cdots-q_{i+1})\in \operatorname{Pic}^0(C)[2]$ , with  $p_1,\ldots,p_{i+1},q_1,\ldots,q_{i+1}$  being distinct ramification points of the hyperelliptic  $\mathfrak{g}^1_2$ . It is now straightforward to check that  $H^0(C,A^{\otimes i}\otimes \eta)=0$ .

In order to compute the class  $[\overline{\mathcal{D}}_{g:k}] \in \operatorname{Pic}(\overline{\mathcal{R}}_g)$  we extend the determinantal description of  $\mathcal{D}_{g:k}$  to the boundary of  $\overline{\mathcal{R}}_g$ . We start by setting some notation. We denote by  $\mathbf{M}_g^0 \subset \mathbf{M}_g$  the open substack classifying curves  $[C] \in \mathcal{M}_g$  such that  $W_{d-1}^r(C) = \emptyset$  and  $W_d^{r+1}(C) = \emptyset$ . We know that  $\operatorname{codim}(\mathcal{M}_g - \mathcal{M}_g^0, \mathcal{M}_g) \geq 2$ . We further denote by  $\Delta_0^0 \subset \Delta_0 \subset \overline{\mathcal{M}}_g$  the locus of curves  $[C/y \sim q]$  where  $[C] \in \mathcal{M}_{g-1}$  is a curve that satisfies the Brill-Noether theorem and where  $y, q \in C$  are arbitrary points. Note that every Brill-Noether general curve  $[C] \in \mathcal{M}_{g-1}$  satisfies

$$W^r_{d-1}(C)=\emptyset, \ \ W^{r+1}_d(C)=\emptyset \ \ \text{and} \ \ \dim W^r_d(C)=\rho(g-1,r,d)=r.$$

We set  $\overline{\mathbf{M}}_g^0 := \mathbf{M}_g^0 \cup \Delta_0^0 \subset \overline{\mathbf{M}}_g$ . Then we consider the Deligne-Mumford stack

$$\sigma_0:\mathfrak{G}^r_d\to\overline{\mathbf{M}}_q^0$$

classifying pairs [C,L] with  $[C] \in \overline{\mathcal{M}}_g^0$  and  $L \in G_d^r(C)$  (cf. [EH], [F2], [Kh] -note that it is essential that  $\rho(g,r,d)=0$ . At the moment there is no known extension of this stack over the entire  $\overline{\mathbf{M}}_g$ ). We remark that for any curve  $[C] \in \overline{\mathcal{M}}_g^0$  and  $L \in W_d^r(C)$  we have that  $h^0(C,L)=r+1$ , that is,  $\mathfrak{G}_d^r$  parameterizes only complete linear series. Indeed, for a smooth curve  $[C] \in \mathcal{M}_g^0$  we have that  $W_d^{r+1}(C)=\emptyset$ , so necessarily  $W_d^r(C)=G_d^r(C)$ . For a point  $[C_{yq}:=C/y\sim q]\in \Delta_0^0$  we have the identification

$$\sigma_0^{-1}[C_{yq}] = \{ L \in W_d^r(C) : h^0(C, L \otimes \mathcal{O}_C(-y-q)) = r \},$$

where we note that since the normalization  $[C] \in \mathcal{M}_{g-1}$  is assumed to be Brill-Noether general, any sheaf  $L \in \sigma_0^{-1}[C_{yq}]$  satisfies  $h^0(C, L \otimes \mathcal{O}_C(-y)) = h^0(C, L \otimes \mathcal{O}_C(-q)) = r$  and  $h^0(C, L) = r + 1$ . Furthermore,  $\sigma_0 : \mathfrak{G}_d^r \to \overline{\mathbf{M}}_g^0$  is proper, which is to say that  $\overline{W}_d^r(C_{yq}) = W_d^r(C_{yq})$ , where the left-hand-side denotes the closure of  $W_d^r(C_{yq})$  in the variety  $\overline{\mathrm{Pic}}^d(C_{yq})$  of torsion-free sheaves on  $C_{yq}$ . This follows because a non-locally free torsion-free sheaf in  $\overline{W}_d^r(C_{yq}) - W_d^r(C_{yq})$  is of the form  $\nu_*(A)$ , where  $A \in W_{d-1}^r(C)$  and  $\nu: C \to C_{yq}$  is the normalization map. But we know that  $W_{d-1}^r(C) = \emptyset$ , because  $[C] \in \mathcal{M}_{g-1}$  satisfies the Brill-Noether theorem. Since  $\rho(g,r,d) = 0$ , by general Brill-Noether theory, there exists a unique irreducible component of  $\mathfrak{G}_d^r$  which maps onto  $\overline{\mathbf{M}}_g^0$ . It is certainly not the case that  $\mathfrak{G}_d^r$  is irreducible, unless  $k \leq 3$ , when either  $\mathfrak{G}_d^r = \mathbf{M}_g$  (k=2), or  $\mathfrak{G}_d^r$  is isomorphic to a Hurwitz stack (k=3). We denote by  $f_d^r: \mathfrak{C}_{g,d}^r:=\overline{\mathbf{M}}_{g,1}^0 \times_{\overline{\mathbf{M}}_g^0} \mathfrak{G}_d^r \to \mathfrak{G}_d^r$  the pull-back of the universal curve  $\overline{\mathbf{M}}_g^0 \to \overline{\mathbf{M}}_g^0$  to  $\mathfrak{G}_d^r$ . Once we have chosen a Poincaré bundle  $\mathcal{L}$  on  $\mathfrak{C}_{g,d}^r$  we can form the three codimension 1 tautological classes in  $A^1(\mathfrak{G}_d^r)$ :

(5) 
$$\mathfrak{a} := (f_d^r)_* (c_1(\mathcal{L})^2), \ \mathfrak{b} := (f_d^r)_* (c_1(\mathcal{L}) \cdot c_1(\omega_{f_d^r})), \ \mathfrak{c} := (f_d^r)_* (c_1(\omega_{f_d^r})^2) = (\sigma_0)^* ((\kappa_1)_{\overline{\mathbf{M}}_o^0}).$$

These classes depend on the choice of  $\mathcal{L}$  and behave functorially with respect to base change, see also Remark 2.7 on the precise statement regarding the choice of  $\mathcal{L}$ . We set  $\overline{\mathbf{R}}_q^0 := \pi^{-1}(\widetilde{\mathbf{M}}_q^0) \subset \widetilde{\mathbf{R}}_g$  and introduce the stack of  $\mathfrak{g}_d^{r}$ 's on Prym curves

$$\sigma:\mathfrak{G}^r_d(\widetilde{\mathbf{R}}_g^0/\widetilde{\mathbf{M}}_g^0):=\overline{\mathbf{R}}_g^0\times_{\overline{\mathbf{M}}_g^0}\mathfrak{G}_d^r\to\overline{\mathbf{R}}_g^0.$$

By a slight abuse of notation we denote the boundary divisors by the same symbols, that is,  $\Delta_0' := \sigma^*(\Delta_0'), \Delta_0'' := \sigma^*(\Delta_0'')$  and  $\Delta_0^{\mathrm{ram}} := \sigma^*(\Delta_0^{\mathrm{ram}})$ . Finally, we introduce the universal curve over the stack of  $\mathfrak{g}_d^{r}$ 's on Prym curves:

$$f': \mathcal{X}^r_d := \mathcal{X} imes_{\overline{\mathbf{R}}^0_d} \mathfrak{G}^r_d(\overline{\mathbf{R}}^0_g/\overline{\mathbf{M}}^0_g) 
ightarrow \mathfrak{G}^r_d(\overline{\mathbf{R}}^0_g/\overline{\mathbf{M}}^0_g).$$

On  $\mathcal{X}^r_d$  there are two tautological line bundles, the universal Prym bundle  $\mathcal{P}^r_d$  which is the pull-back of  $\mathcal{P} \in \operatorname{Pic}(\mathcal{X})$  under the projection  $\mathcal{X}^r_d \to \mathcal{X}$ , and a Poincaré bundle  $\mathcal{L} \in \operatorname{Pic}(\mathcal{X}^r_d)$  characterized by the property  $\mathcal{L}_{|f'^{-1}[X,\eta,\beta,L]} = L \in W^r_d(C)$ , for each point  $[X,\eta,\beta,L] \in \mathfrak{G}^r_d(\overline{\mathbb{R}}^0_g/\overline{\mathbb{M}}^0_g)$ . Note that we also have the codimension 1 classes  $\mathfrak{a},\mathfrak{b},\mathfrak{c} \in A^1(\mathfrak{G}^r_d(\overline{\mathbb{R}}^0_g/\overline{\mathbb{M}}^0_g))$  defined by the formulas (5).

**Proposition 2.4.** Let C be a curve of genus g and let  $L \in W_d^r(C)$  be a globally generated complete linear series. Then for any integer  $0 \le j \le r$  and for any line bundle  $A \in \operatorname{Pic}^a(C)$  such that  $a \ge 2g + d - r + j - 1$ , we have that  $H^1(C, \wedge^j M_L \otimes A) = 0$ .

*Proof.* We use a filtration argument due to Lazarsfeld [L]. Having fixed L and A, we choose general points  $x_1, \ldots, x_{r-1} \in C$  such that  $h^0(C, L \otimes \mathcal{O}_C(-x_1 - \cdots - x_{r-1})) = 2$  and then there is an exact sequence on C

$$0 \longrightarrow L^{\vee}(x_1 + \dots + x_{r-1}) \longrightarrow M_L \longrightarrow \bigoplus_{l=1}^{r-1} \mathcal{O}_C(-x_l) \longrightarrow 0.$$

Taking the *j*-th exterior powers and tensoring the resulting exact sequence with A, we find that in order to conclude that  $H^1(C, \wedge^i M_L \otimes A) = 0$  for  $i \leq r$ , it suffices to show that for  $1 \leq i \leq r$  the following hold:

- (1)  $H^1(C, A \otimes \mathcal{O}_C(-D_j)) = 0$  for each effective divisor  $D_j \in C_j$  with support in the set  $\{x_1, \dots, x_{r-1}\}$ , and
- (2)  $H^1(C, A \otimes L^{\vee} \otimes \mathcal{O}_C(D_{r-j})) = 0$ , for any effective divisor  $D_{r-j} \in C_{r-j}$  with support contained in  $\{x_1, \ldots, x_{r-1}\}$ .

Both (1) and (2) hold for degree reasons since  $\deg(C, A \otimes \mathcal{O}_C(-D_j)) \geq 2g-1$  and  $\deg(C, A \otimes L^{\vee} \otimes \mathcal{O}_C(D_{r-j})) \geq 2g-1$  and the points  $x_1, \ldots, x_{r-1} \in C$  are general.  $\square$ 

Next we use Proposition 2.4 to prove a vanishing result for Prym curves.

**Proposition 2.5.** For each point  $[X, \eta, \beta, L] \in \mathfrak{G}_d^r(\overline{R}_a^0/\overline{M}_a^0)$  and  $0 \le a \le i-1$ , we have that

$$H^1(X, \wedge^a M_L \otimes L^{\otimes (i-a)} \otimes \omega_X \otimes \eta) = 0.$$

*Proof.* If X is smooth, then the vanishing follows directly from Proposition 2.4. Assume now that  $[X,\eta,\beta]\in\Delta_0'\cup\Delta_0''$ , that is, st(X)=X and  $\eta\in\operatorname{Pic}^0(X)[2]$ . As usual, we denote by  $\nu:C\to X$  the normalization map, and  $L_C:=\nu^*(L)\in W^r_d(C)$  satisfies  $h^0(C,L_C\otimes\mathcal{O}_C(-y-q))=r$ , hence  $H^0(X,L)\cong H^0(C,L_C)$ , which implies that  $\nu^*(M_L)=M_{LC}$ . Tensoring the usual exact sequence on X

$$0 \longrightarrow \mathcal{O}_X \longrightarrow \nu_* \mathcal{O}_C \longrightarrow \nu_* \mathcal{O}_C / \mathcal{O}_X \longrightarrow 0,$$

by the line bundle  $\wedge^a M_L \otimes L^{(i-a)} \otimes \omega_X \otimes \eta$ , we find that a sufficient condition for the vanishing  $H^1(X, \wedge^a M_L \otimes L^{\otimes (i-a)} \otimes \omega_X \otimes \eta) = 0$  to hold, is to show that

$$H^1(C, \wedge^a M_{L_C} \otimes L_C^{\otimes (i-a)} \otimes K_C \otimes \eta_C) = H^1(C, \wedge^a M_{L_C} \otimes L_C^{\otimes (i-a)} \otimes K_C(y+q) \otimes \eta_C) = 0.$$
 Since  $i < r$ , this follows directly from Proposition 2.4.

We are left with the case when  $[X,\eta,\beta]\in\Delta_0^{\mathrm{ram}}$ , when  $X:=C\cup_{\{q,y\}}E$ , with E being a smooth rational curve,  $L_C\in W^r_d(C), L_E=\mathcal{O}_E$  and  $\eta_C^{\otimes 2}=\mathcal{O}_C(-y-q)$ . We also have that  $M_{L|C}=M_{L_C}$  and  $M_{L|E}=H^0(C,L_C\otimes\mathcal{O}_C(-y-q))\otimes\mathcal{O}_E$ . A standard argument involving the Mayer-Vietoris sequence on X shows that the vanishing of the group  $H^1(X,\wedge^aM_L\otimes L^{\otimes (i-a)}\otimes\omega_X\otimes\eta)$  is implied by the following vanishing conditions

$$H^1(C, \wedge^a M_{L_C} \otimes L_C^{\otimes (i-a)} \otimes K_C(y+q) \otimes \eta_C) = H^1(C, \wedge^a M_{L_C} \otimes L_C^{\otimes (i-a)} \otimes K_C \otimes \eta_C) = 0.$$
 The conditions of Proposition 2.4 being satisfied  $(i \leq r-1)$ , we finish the proof.

Proposition 2.5 enables us to define a sequence of tautological vector bundles on  $\mathfrak{G}^r_d(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0)$ : First, we set  $\mathcal{H}:=f'_*(\mathcal{L})$ . By Grauert's theorem, it follows that  $\mathcal{H}$  is a vector bundle of rank r+1 with fibre  $\mathcal{H}[X,\eta,\beta,L]=H^0(X,L)$ . For  $j\geq 0$  we set

$$\mathcal{A}_{0,j} := f'_*(\mathcal{L}^{\otimes j} \otimes \omega_{f'} \otimes \mathcal{P}_d^r).$$

Since  $R^1 f'_*(\mathcal{L}^{\otimes j} \otimes \omega_{f'} \otimes \mathcal{P}^r_d) = 0$  we find that  $\mathcal{A}_{0,j}$  is a vector bundle over  $\mathfrak{G}^r_d(\overline{\mathbf{R}}^0_g/\overline{\mathbf{M}}^0_g)$  of rank equal to  $h^0(X, L^{\otimes j} \otimes \omega_X \otimes \eta) = jd + g - 1$ . Next we introduce the global Lazarsfeld vector bundle  $\mathcal{M}$  over  $\mathcal{X}^r_d$  by the exact sequence

$$0 \longrightarrow \mathcal{M} \longrightarrow f'^*(\mathcal{H}) \longrightarrow \mathcal{L} \longrightarrow 0$$
,

hence  $\mathcal{M}_{f'^{-1}[X,\eta,\beta,L]}=M_L$  for each  $[X,\eta,\beta,L]\in\mathfrak{G}^r_d(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0)$ . Then for integers  $a,j\geq 1$  we define the sheaf

$$\mathcal{A}_{a,j} := f'_*(\wedge^a \mathcal{M} \otimes \mathcal{L}^{\otimes j} \otimes \omega_{f'} \otimes \mathcal{P}^r_d).$$

For each  $1 \leq a \leq i-1$ , we have proved that  $R^1 f'_*(\wedge^a \mathcal{M} \otimes \mathcal{L}^{\otimes (i-a)} \otimes \omega_{f'} \otimes \mathcal{P}^r_d) = 0$  (cf. Proposition 2.5), therefore  $\mathcal{A}_{a,i-a}$  is a vector bundle over  $\mathfrak{G}^r_d(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0)$  having rank

$$\operatorname{rk}(\mathcal{A}_{a,i-a}) = \chi(X, \wedge^a M_L \otimes L^{\otimes (i-a)} \otimes \omega_X \otimes \eta) = \binom{r}{a} k(i-a)(r+1).$$

Proposition 2.5 also shows that for all integers  $1 \le a \le i - 1$ , the vector bundles  $A_{a,i-a}$  sit in exact sequences

$$(6) 0 \longrightarrow \mathcal{A}_{a,i-a} \longrightarrow \wedge^a \mathcal{H} \otimes \mathcal{A}_{0,i-a} \longrightarrow \mathcal{A}_{a-1,i-a+1} \longrightarrow 0.$$

We shall need the expression for the Chern numbers of  $A_{a,i-a}$ . Using (6) it will be sufficient to compute  $c_1(A_{0,j})$  for all  $j \geq 0$ .

**Proposition 2.6.** For all  $j \geq 0$  one has the following formula in  $A^1(\mathfrak{G}_d^r(\overline{R}_q^0/\overline{M}_q^0))$ :

$$c_1(\mathcal{A}_{0,j}) = \lambda + \frac{j}{2}B + \frac{j^2}{2}A - \frac{1}{4}\delta_0^{\text{ram}}.$$

*Proof.* We apply Grothendieck-Riemann-Roch to the morphism  $f': \mathcal{X}^r_d \to \mathfrak{G}^r_d(\overline{\mathbf{R}}^0_g/\overline{\mathbf{M}}^0_g)$ :

$$c_1(\mathcal{A}_{0,j}) = c_1(f'_!(\omega_{f'} \otimes \mathcal{L}^{\otimes j} \otimes \mathcal{P}^r_d)) =$$

$$= f'_* \left[ \left( 1 + c_1(\omega_{f'} \otimes \mathcal{L}^{\otimes j} \otimes \mathcal{P}_d^r) + \frac{c_1^2(\omega_{f'} \otimes \mathcal{L}^{\otimes j} \otimes \mathcal{P}_d^r)}{2} \right) \left( 1 - \frac{c_1(\omega_{f'})}{2} + \frac{c_1^2(\omega_{f'}) + [\operatorname{Sing}(f')]}{12} \right) \right]_2,$$

where  $\operatorname{Sing}(f') \subset \mathcal{X}_d^r$  denotes the codimension 2 singular locus of the morphism f', therefore  $f'_*[\operatorname{Sing}(f')] = \Delta'_0 + \Delta''_0 + 2\Delta^{\operatorname{ram}}_0$ . We finish the proof using Mumford's formula  $\kappa_1 = f'_*(c_1^2(\omega_{f'})) = 12\lambda - (\delta'_0 + \delta''_0 + 2\delta^{\operatorname{ram}}_0)$  and noting that  $f'_*(c_1(\mathcal{L}) \cdot c_1(\mathcal{P}_d^r)) = 0$  (the restriction of  $\mathcal{L}$  to the exceptional divisor of  $f': \mathcal{X}_d^r \to \mathfrak{G}_d^r(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0)$  is trivial) and  $f'_*(c_1(\omega_{f'}) \cdot c_1(\mathcal{P}_d^r)) = 0$ ,. Finally, according to Proposition 1.6 we have that  $f'_*(c_1^2(\mathcal{P}_d^r)) = -\delta^{\operatorname{ram}}_0/2$ .

**Remark 2.7.** While the construction of the vector bundles  $\mathcal{A}_{a,j}$  depends on the choice of the Poincaré bundle  $\mathcal{L}$  and that of the Prym bundle  $\mathcal{P}_d^r$ , it is easy to check that if we set the vector bundles  $\mathcal{A} := \wedge^i \mathcal{H} \otimes \mathcal{A}_{0,0}$  and  $\mathcal{B} := \mathcal{A}_{i-1,i}$ , then the vector bundle  $Hom(\mathcal{A}, \mathcal{B})$  on  $\mathfrak{G}_d^r(\overline{\mathbb{R}}_q^0/\overline{\mathbb{M}}_q^0)$ , as well as the morphism

$$\phi \in H^0\big(\mathfrak{G}^r_d(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0), Hom(\mathcal{A}, \mathcal{B})\big)$$

whose degeneracy locus is the virtual divisor  $\overline{\mathcal{D}}_{g:k}$ , are independent of such choices. More precisely, let us denote by  $\Xi$  the collection of triples  $\alpha:=\left(\pi_{\alpha},\mathcal{L}_{\alpha},(\mathcal{P}_{d}^{r})_{\alpha}\right)$ , where  $\pi_{\alpha}:\Sigma_{\alpha}\to\mathfrak{G}_{d}^{r}(\overline{\mathbf{R}}_{g}^{0}/\overline{\mathbf{M}}_{g}^{0})$  is an étale surjective morphism from a scheme  $\Sigma_{\alpha}$ ,  $(\mathcal{P}_{d}^{r})_{\alpha}$  is a Prym bundle and  $\mathcal{L}_{\alpha}$  is a Poincaré bundle on  $p_{2,\alpha}:\mathcal{X}_{d}^{r}\times_{\mathfrak{G}_{d}^{r}(\overline{\mathbf{R}}_{g}^{0}/\overline{\mathbf{M}}_{g}^{0})}\Sigma_{\alpha}\to\Sigma_{\alpha}$ . Recall that if  $\Sigma\to\mathfrak{G}_{d}^{r}(\overline{\mathbf{R}}_{g}^{0}/\overline{\mathbf{M}}_{g}^{0})$  is an étale surjection from a scheme and  $\mathcal{L}$  and  $\mathcal{L}'$  are two Poincaré bundles on  $p_{2}:\mathcal{X}_{d}^{r}\times_{\mathfrak{G}_{d}^{r}(\overline{\mathbf{R}}_{g}^{0}/\overline{\mathbf{M}}_{g}^{0})}\Sigma\to\Sigma$ , then the sheaf  $\mathcal{N}:=p_{2*}Hom(\mathcal{L},\mathcal{L}')$  is invertible and there is a canonical isomorphism  $\mathcal{L}\otimes p_{2}^{*}\mathcal{N}\cong\mathcal{L}'$ . For every  $\alpha\in\Xi$  we construct the

morphism between vector bundles of the same rank  $\phi_{\alpha}: \mathcal{A}_{\alpha} \to \mathcal{B}_{\alpha}$  as above. Then since a straightforward cocycle condition is met, we find that there exists a vector bundle  $Hom(\mathcal{A},\mathcal{B})$  on  $\mathfrak{G}_d^r(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0)$  together with a section  $\phi \in H^0(\mathfrak{G}_d^r(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0), Hom(\mathcal{A},\mathcal{B}))$  such that for every  $\alpha = (\pi_{\alpha}, \mathcal{L}_{\alpha}, (\mathcal{P}_d^r)_{\alpha}) \in \Xi$  we have that

$$\pi_{\alpha}^*(Hom(\mathcal{A},\mathcal{B})) = Hom(\mathcal{A}_{\alpha},\mathcal{B}_{\alpha}) \text{ and } \pi_{\alpha}^*(\phi) = \phi_{\alpha}.$$

We are finally in a position to compute the class of the divisor  $\overline{\mathcal{D}}_{g:k}$ .

**Theorem 2.8.** We fix integers  $k \geq 2, b \geq 0$  and set

$$i := kb - b + k - 2$$
,  $r := kb + k - 2$ ,  $q := ik + 1$ ,  $d := rk$ 

as above. Then there exists a morphism  $\phi: \wedge^i \mathcal{H} \otimes \mathcal{A}_{0,0} \to \mathcal{A}_{i-1,1}$  between vector bundles of the same rank over  $\mathfrak{G}_d^r(\overline{R}_g^0/\overline{M}_g^0)$ , such that the push-forward under  $\sigma$  of the restriction to  $\mathfrak{G}_d^r(\overline{R}_g^0/M_g^0)$  of the degeneration locus of  $\phi$  is precisely the effective divisor  $\mathcal{D}_{g:k}$ . Moreover we have the following expression for its class in  $A^1(\overline{R}_g^0)$ :

$$\sigma_* \big( c_1(\mathcal{A}_{i-1,1} - \wedge^i \mathcal{H} \otimes \mathcal{A}_{0,0}) \big) \equiv \binom{r}{b} \frac{N}{(r+k)(kr+k-r-3)} \Big( \mathfrak{A} \lambda - \frac{\mathfrak{B}_0}{6} (\delta_0' + \delta_0'') - \frac{\mathfrak{B}_0^{\mathrm{ram}}}{12} \delta_0^{\mathrm{ram}} \Big),$$

where

$$\mathfrak{A} = (k^5 - 4k^4 + 5k^3 - 2k^2)b^3 + (3k^5 - 13k^4 + 24k^3 - 23k^2 + 9k)b^2 + \\ + (3k^5 - 14k^4 + 34k^3 - 45k^2 + 24k - 4)b + k^5 - 5k^4 + 15k^3 - 25k^2 + 16k - 2, \\ \mathfrak{B}_0 = (k^5 - 4k^4 + 5k^3 - 2k^2)b^3 + (3k^5 - 13k^4 + 22k^3 - 17k^2 + 5k)b^2 + \\ + (3k^5 - 14k^4 + 30k^3 - 33k^2 + 14k - 2)b + k^5 - 5k^4 + 13k^3 - 19k^2 + 10k$$

and

$$\mathfrak{B}_0^{\text{ram}} = (4k^5 - 16k^4 + 20k^3 - 8k^2)b^3 + (12k^5 - 52k^4 + 85k^3 - 65k^2 + 20k)b^2 + (12k^5 - 56k^4 + 111k^3 - 114k^2 + 53k - 8)b + 4k^5 - 20k^4 + 46k^3 - 58k^2 + 34k - 6.$$

*Proof.* To compute the class of the degeneracy locus of  $\phi$  we use the exact sequence (6) and Proposition 2.6. We write the following identities in  $A^1(\mathfrak{G}_d^r(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0))$ :

$$c_{1}(\mathcal{A}_{i-1,1} - \wedge^{i}\mathcal{H} \otimes \mathcal{A}_{0,0}) = \sum_{l=0}^{i} (-1)^{l-1} c_{1}(\wedge^{i-l}\mathcal{H} \otimes \mathcal{A}_{0,l}) =$$

$$= \sum_{l=0}^{i} (-1)^{l-1} \Big( (ld + g - 1) \binom{r}{i-l-1} c_{1}(\mathcal{H}) + \binom{r+1}{i-l} c_{1}(\mathcal{A}_{0,l}) \Big) =$$

$$= -k \binom{kb+k-4}{b-1} c_{1}(\mathcal{H}) + \frac{1}{2} \binom{kb+k-3}{b} \mathfrak{b} -$$

$$- \binom{kb+k-2}{b} \lambda - \frac{kb+k-2b-3}{2(kb+k-3)} \binom{kb+k-3}{b} \mathfrak{a} + \frac{1}{4} \binom{kb+k-2}{b} \delta_{0}^{\text{ram}} =$$

$$= \binom{r-1}{b} \Big( -\frac{kb}{r-1} c_{1}(\mathcal{H}) + \frac{1}{2} \mathfrak{b} - \frac{r-2b-1}{2(r-1)} \mathfrak{a} - \frac{r}{r-b} \lambda + \frac{r}{4(r-b)} \delta_{0}^{\text{ram}} \Big),$$

where  $\delta_0^{\mathrm{ram}} = \sigma^*(\delta_0^{\mathrm{ram}}) \in A^1(\mathfrak{G}_d^r(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0))$ . The classes  $\mathfrak{a}, \mathfrak{b} \in A^1(\mathfrak{G}_d^r(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0))$  and the line bundle  $\mathcal{H} \in \mathrm{Pic}\big(\mathfrak{G}_d^r(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0)\big)$  are defined in terms of a Poincaré bundle  $\mathcal{L}$ : If

 $\mathcal{L}' := \mathcal{L} \otimes f'^*(\mathcal{M})$  is another Poincaré bundle with  $\mathcal{M} \in \operatorname{Pic}\left(\mathfrak{G}^r_d(\overline{\mathbf{R}}^0_g/\overline{\mathbf{M}}^0_g)\right)$  and if  $\mathfrak{a}',\mathfrak{b}',\mathcal{H}'$ denote the classes defined in terms of  $\mathcal{L}'$  using (5), then we have formulas:

$$\mathfrak{a}' = \mathfrak{a} + 2dc_1(\mathcal{M}), \ \mathfrak{b}' = \mathfrak{b} + (2g - 2)c_1(\mathcal{M}) \ \text{and} \ c_1(\mathcal{H}') = c_1(\mathcal{H}) + (r+1)c_1(\mathcal{M}).$$

A straightforward calculation shows that the class

(7) 
$$\Xi := -\frac{kb}{r-1} c_1(\mathcal{H}) + \frac{1}{2} \mathfrak{b} - \frac{r-2b-1}{2(r-1)} \mathfrak{a} \in A^1(\mathfrak{G}_d^r(\overline{\mathbf{R}}_g^0/\overline{\mathbf{M}}_g^0))$$

is independent of the choice of  $\mathcal L$  and  $\sigma_*(\Xi)=\pi^*ig((\sigma_0)_*(\Xi_0)ig)$ , where the  $\Xi_0\in A^1(\mathfrak G^r_d)$  is defined by the same formula (7) but inside  $Pic(\mathfrak{G}_d^r)$ . We outline below the computation of  $\pi^*((\sigma_0)_*(\Xi_0))$  which uses [F2] in an essential way.

We follow closely [F2] and denote by  $\overline{\mathbf{M}}_q^1 := \mathbf{M}_q^0 \cup \Delta_0^0 \cup \Delta_1^0$  the partial compactification of  $\mathbf{M}_q^0$  obtained from  $\overline{\mathbf{M}}_q^0$  by adding the stack  $\Delta_1^0 \subset \Delta_1$  consisting of curves  $[C \cup_y E]$ , where  $[C, y] \in \mathcal{M}_{g-1,1}$  is a Brill-Noether general pointed curve and  $[E, y] \in \overline{\mathcal{M}}_{1,1}$ . We extend  $\sigma_0: \mathfrak{G}_d^r \to \overline{\mathbf{M}}_g^0$  to a proper map  $\sigma_1: \widetilde{\mathfrak{G}}_d^r \to \overline{\mathbf{M}}_g^1$  from the Deligne-Mumford stack of limit linear series  $\mathfrak{g}_d^r$  (cf. [EH], [F2], [Kh]). Then for each  $n \geq 1$  we consider the vector bundles  $\mathcal{G}_{0,n}$  over  $\mathfrak{G}_d^r$  defined in [F2] Proposition 2.8 and which has the following description of its fibres:

- $\mathcal{G}_{0,n}(C,L)=H^0(C,L^{\otimes n})$ , for each  $[C]\in\mathcal{M}_g^0$  and  $L\in W_d^r(C)$ .  $\mathcal{G}_{0,n}(t)=H^0\big(C,L^{\otimes n}(-y-q)\big)\oplus\mathbb{C}\cdot u^n\subset H^0(C,L^{\otimes n})$ , where the point t=0 $(C_{uq}, L \in W^r_d(C)) \in \sigma_0^{-1}([C_{uq}])$ , with  $u \in H^0(C, L)$  being a section such that

$$H^0(C,L) = H^0(C,L(-y-q)) \oplus \mathbb{C} \cdot u.$$

•  $\mathcal{G}_{0,n}(t) = H^0(C, L^{\otimes n}(-2y)) \oplus \mathbb{C} \cdot u^n \subset H^0(C, L^{\otimes n})$ , where  $t = (C \cup_y E, l_C, l_E) \in$  $\sigma_0^{-1}([C \cup_y E])$  and  $(l_C, l_E) \in G^r_d(C) \times G^r_d(E)$  being a limit linear series  $\mathfrak{g}^r_d$  with  $l_C = (L, H^0(C, L))$  and  $u \in H^0(C, L)$  a section such that

$$H^0(C,L) = H^0(C,L(-2y)) \oplus \mathbb{C} \cdot u.$$

We extend the classes  $\mathfrak{a},\mathfrak{b}\in A^1(\mathfrak{G}^r_d)$  over the stack  $\widetilde{\mathfrak{G}}^r_d$  by choosing a Poincaré bundle over  $\overline{\mathbf{M}}_{g,1}^{1} \times_{\overline{\mathbf{M}}_{g}^{1}} \widetilde{\mathfrak{G}}_{d}^{r}$  which restricts to line bundles of bidegree (d,0) on curves  $[C \cup_{y} E] \in$  $\Delta^0_1.$  Grothendieck-Riemann-Roch applied to the universal curve over  $\widetilde{\mathfrak{G}}^r_d$  gives that

(8) 
$$c_1(\mathcal{G}_{0,n}) = \lambda - \frac{n}{2}\mathfrak{b} + \frac{n^2}{2}\mathfrak{a} \in A^1(\widetilde{\mathfrak{G}}_d^r), \text{ for all } n \ge 2$$

while obviously  $\sigma^*(\mathcal{G}_{0,1}) = \mathcal{H}$ . We now fix a general pointed curve  $[C,q] \in \mathcal{M}_{g-1}$  and an elliptic curve  $[E, y] \in \mathcal{M}_{1,1}$  and consider the test curves (see also [F2] p. 7)

$$C^0:=\{C/y\sim q\}_{y\in C}\subset \Delta^0_0\subset \overline{\mathcal{M}}^1_g \text{ and } C^1:=\{C\cup_y E\}_{y\in C}\subset \Delta^0_1\subset \overline{\mathcal{M}}^1_g.$$

For  $n \geq 1$ , the intersection numbers  $C^0 \cdot (\sigma_0)_*(c_1(\mathcal{G}_{0,n}))$  and  $C^1 \cdot (\sigma_0)_*(c_1(\mathcal{G}_{0,n}))$  can be computed using [F2] Lemmas 2.6 and 2.13 and Proposition 2.12. Together with the relation (cf. [F2] p. 15 for details)

$$(\sigma_0)_* (c_1(\mathcal{G}_{0,n}))_{\lambda} - 12(\sigma_0)_* (c_1(\mathcal{G}_{0,n}))_{\delta_0} + (\sigma_0)_* (c_1(\mathcal{G}_{0,n}))_{\delta_1} = 0,$$

this completely determine the classes  $(\sigma_0)_*(c_1(\mathcal{G}_{0,n})) \in A^1(\widetilde{\mathfrak{G}}_d^r)$ . Then using (8) we find

$$(\sigma_0)_*(\mathfrak{a}) \equiv N \left( -\frac{rk(r^2k^2 - 3r^2k + 3rk^2 + 2r^2 + 2k^2 + 4k - 7rk - 4r - 10)}{(rk - r + k - 3)(rk - r + k - 2)} \lambda + \frac{rk(r^2k^2 - 3r^2k + 3rk^2 - 8rk + 2r^2 + 2k^2 + r - k - 3)}{6(rk - r + k - 3)(rk - r + k - 2)} \delta_0 + \cdots \right),$$

$$(\sigma_0)_*(\mathfrak{b}) \equiv N \left( \frac{6rk}{rk - r + k - 2} \lambda - \frac{rk}{2(rk - r + k - 2)} \delta_0 + \cdots \right),$$

and this completes the computation of the class  $(\sigma_0)_*(\Xi)$  and finishes the proof.

The rather unwieldy expressions from Theorem 2.8 simplify nicely when k=2,3 when we obtain Theorems 0.2 and 0.3.

Proof of Theorem 0.1 when g=2i+1. We construct an effective divisor on  $\overline{\mathcal{R}}_g$  satisfying the inequalities (2) as follows: The pull-back to  $\overline{\mathcal{R}}_g$  of the Harris-Mumford divisor  $\overline{\mathcal{M}}_{g,i+1}^1$  of curves of genus 2i+1 with a  $\mathfrak{g}_{i+1}^1$  is given by the formula:  $\pi^*(\overline{\mathcal{M}}_{g,i+1}^1)\equiv$ 

$$\equiv \frac{(2i-2)!}{(i+1)!(i-1)!} \Big( 6(i+2)\lambda - (i+1)(\delta'_0 + \delta''_0 + 2\delta_0^{\text{ram}}) - \sum_{j=1}^i 3j(g-j)(\delta_j + \delta_{g-j} + \delta_{j:g-j}) \Big).$$

We split  $\overline{\mathcal{D}}_{2i+1:2}$  into boundary components of compact type and their complement

$$\overline{\mathcal{D}}_{2i+1:2} \equiv E + \sum_{j=1}^{i} \left( a_j \delta_j + a_{g-j} \delta_{g-j} + a_{j:g-j} \delta_{j:g-j} \right),$$

where  $a_j, a_{g-j}, a_{j:g-j} \geq 0$  and  $\Delta_j, \Delta_{g-j}, \Delta_{j:g-j} \subsetneq \operatorname{supp}(E)$  for  $1 \leq j \leq i$ , we consider the following positive linear combination on  $\overline{\mathcal{R}}_g$ :

$$A := \frac{i! \ (i-1)!}{(2i-1) \ (2i-3)!} \cdot \pi^* (\overline{\mathcal{M}}_{2i+1,i+1}^1) + 4 \frac{(i!)^2}{(2i)!} \cdot E \equiv \frac{4(3i+5)}{i+1} \ \lambda - 2(\delta_0' + \delta_0'') - 3\delta_0^{\text{ram}} - \cdots,$$

where each of the coefficients of  $\delta_j$ ,  $\delta_{g-j}$  and  $\delta_{j:g-j}$  in the expansion of A are at least

$$\frac{6(i-1)j(2i+1-j)}{(2i-1)(i+1)} \ge 2.$$

Since  $\frac{4(3i+5)}{i+1} < 13$  for  $i \ge 8$ , the conclusion now follows using (2). For i = 7 we find that  $A \equiv 13\lambda - 2(\delta_0' + \delta_0'') - 3\delta_0^{\mathrm{ram}} - \cdots$ , hence  $\kappa(\overline{\mathcal{R}}_{15}) \ge 0$ . To obtain that  $\kappa(\overline{\mathcal{R}}_{15}) \ge 1$ , we use the fact that on  $\overline{\mathcal{M}}_{15}$  there exists a Brill-Noether divisor other than  $\overline{\mathcal{M}}_{15,8}^1$ , namely the divisor  $\overline{\mathcal{M}}_{15,14}^3$  of curves  $[C] \in \mathcal{M}_{15}$  with a  $\mathfrak{g}_{14}^3$ . This divisor has the same slope  $s(\overline{\mathcal{M}}_{15,14}^3) = s(\overline{\mathcal{M}}_{15,8}^1) = 27/4$ , but  $\operatorname{supp}(\overline{\mathcal{M}}_{15,14}^3) \ne \operatorname{supp}(\overline{\mathcal{M}}_{15,8}^1)$ . It follows that there exist constants  $\alpha, \beta, \gamma, m \in \mathbb{Q}_{>0}$  such that

$$\alpha \cdot E + \beta \cdot \pi^*(\overline{\mathcal{M}}_{15,8}^1) \equiv \alpha \cdot E + \gamma \cdot \pi^*(\overline{\mathcal{M}}_{15,14}^3) \in |mK_{\overline{\mathcal{R}}_{15}}|.$$

Thus we have found distinct multicanonical divisors on  $\overline{\mathcal{M}}_{15}$ , that is,  $\kappa(\overline{\mathcal{M}}_{15}) \geq 1$ .

**Remark 2.9.** The same numerical argument shows that if one replaces  $\overline{\mathcal{M}}_{15,8}^1$  with any divisor  $D \in \mathrm{Eff}(\overline{\mathcal{M}}_{15})$  with  $s(D) < s(\overline{\mathcal{M}}_{15,8}^1) = 27/4$ , then  $\overline{\mathcal{R}}_{15}$  is of general type. Any counterexample to the Slope Conjecture on  $\overline{\mathcal{M}}_{15}$  makes  $\overline{\mathcal{R}}_{15}$  of general type.

#### 3. KOSZUL COHOMOLOGY OF PRYM CANONICAL CURVES

We recall that for a curve C, a line bundle  $L \in \operatorname{Pic}^d(C)$  and integers  $i, j \geq 0$ , the Koszul cohomology group  $K_{i,j}(C, L)$  is obtained from the complex

$$\wedge^{i+1}H^0(L) \otimes H^0(L^{\otimes (j-1)}) \stackrel{d_{i+1,j-1}}{\longrightarrow} \wedge^i H^0(L) \otimes H^0(L^{\otimes j}) \stackrel{d_{i,j}}{\longrightarrow} \wedge^{i-1}H^0(L) \otimes H^0(L^{\otimes (j+1)}),$$

where the maps are the Koszul differentials (cf. [GL]). There is a well-known connection between Koszul cohomology groups and Lazarsfeld bundles. Assuming that L is globally generated, a diagram chasing argument involving exact sequences of the type

$$0 \longrightarrow \wedge^a M_L \otimes L^{\otimes b} \to \wedge^a H^0(L) \otimes L^{\otimes b} \longrightarrow \wedge^{a-1} M_L \otimes L^{\otimes (b+1)} \longrightarrow 0$$

for various  $a, b \ge 0$ , yields the following identification (see also [GL] Lemma 1.10)

(9) 
$$K_{i,j}(C,L) = \frac{H^0(C, \wedge^i M_L \otimes L^{\otimes j})}{\operatorname{Image}\{\wedge^{i+1} H^0(C, L) \otimes H^0(C, L^{\otimes (j-1)})\}}.$$

We fix  $[C, \eta] \in \mathcal{R}_g$ , set  $L := K_C \otimes \eta \in W^{g-2}_{2g-2}(C)$  and consider the *Prym-canonical* map  $C \stackrel{|L|}{\to} \mathbf{P}^{g-2}$ . We denote by  $\mathcal{I}_C \subset \mathcal{O}_{\mathbf{P}^{g-2}}$  the ideal sheaf of the Prym-canonical curve.

By analogy with [F2] we study the Koszul stratification of  $\mathcal{R}_g$  and define the strata

$$\mathcal{U}_{g,i} := \{ [C, \eta] \in \mathcal{R}_g : K_{i,2}(C, K_C \otimes \eta) \neq \emptyset \}.$$

Using (9) we write the series of equivalences

$$[C, \eta] \in \mathcal{U}_{g,i} \Leftrightarrow H^1(C, \wedge^{i+1}M_L \otimes L) \neq \emptyset \Leftrightarrow h^0(C, \wedge^{i+1}M_L \otimes L) >$$
$$> \binom{g-2}{i+1} \left( -\frac{(i+1)(2g-2)}{g-2} + (g-1) \right).$$

Next we write down the exact sequence

$$0 \longrightarrow H^0(\wedge^{i+1}M_{\mathbf{P}^{g-2}}(1)) \stackrel{a}{\longrightarrow} H^0(C, \wedge^{i+1}M_L \otimes L) \longrightarrow H^1(\wedge^{i+1}M_{\mathbf{P}^{g-2}} \otimes \mathcal{I}_C(1)) \longrightarrow 0,$$
 and then also

$$\operatorname{Coker}(a) = H^{1}(\mathbf{P}^{g-2}, \wedge^{i+1} M_{\mathbf{P}^{g-2}} \otimes \mathcal{I}_{C}(1)) = H^{0}(\mathbf{P}^{g-2}, \wedge^{i} M_{\mathbf{P}^{g-2}} \otimes \mathcal{I}_{C}(2)).$$

Using the well-known fact that  $h^0(\mathbf{P}^{g-2}, \wedge^{i+1}M_{\mathbf{P}^{g-2}}(1)) = \binom{g-1}{i+2}$  (use for instance the Bott vanishing theorem), we end-up with the following equivalence:

$$(10) [C,\eta] \in \mathcal{U}_{g,i} \Leftrightarrow h^0(\mathbf{P}^{g-2}, \wedge^i M_{\mathbf{P}^{g-2}} \otimes \mathcal{I}_C(2)) > \binom{g-3}{i} \frac{(g-1)(g-2i-6)}{i+2}.$$

**Proposition 3.1.** (1) For g < 2i + 6, we have that  $K_{i,2}(C, K_C \otimes \eta) \neq \emptyset$  for any  $[C, \eta] \in \mathcal{R}_g$ , that is, the Prym-canonical curve  $C \stackrel{|K_C + \eta|}{\longrightarrow} \mathbf{P}^{g-2}$  does not satisfy property  $(N_i)$ .

(2) For g=2i+6, the locus  $\mathcal{U}_{g,i}$  is a virtual divisor on  $\mathcal{R}_g$ , that is, there exist vector bundles  $\mathcal{G}_{i,2}$  and  $\mathcal{H}_{i,2}$  over  $\mathbf{R}_g$  such that  $\mathrm{rank}(\mathcal{G}_{i,2})=\mathrm{rank}(\mathcal{H}_{i,2})$ , together with a bundle morphism  $\phi:\mathcal{H}_{i,2}\to\mathcal{G}_{i,2}$  such that  $\mathcal{U}_{g,i}$  is the degeneracy locus of  $\phi$ .

*Proof.* Part (1) is an immediate consequence of (10), since we have the equivalence

$$K_{i,2}(C,K_C\otimes\eta)=0\Leftrightarrow h^0(\mathbf{P}^{g-2},\wedge^iM_{\mathbf{P}^{g-2}}\otimes\mathcal{I}_C(2))=\binom{g-3}{i}\frac{(g-1)(g-2i-6)}{i+2}.$$

For part (2) one constructs two vector bundles  $\mathcal{G}_{i,2}$  and  $\mathcal{H}_{i,2}$  over  $\mathbf{R}_g$  having fibres

$$\mathcal{G}_{i,2}[C,\eta] = H^0(C, \wedge^i M_{K_C \otimes \eta}(2))$$
 and  $\mathcal{H}_{i,2}[C,\eta] = H^0(\mathbf{P}^{g-2}, \wedge^i M_{\mathbf{P}^{g-2}}(2)).$ 

There is a natural morphism  $\phi: \mathcal{H}_{i,2} \to \mathcal{G}_{i,2}$  given by restriction. We have that

$$\operatorname{rank}(\mathcal{G}_{i,2}) = \binom{g-2}{i} \left( -\frac{i(2g-2)}{g-2} + 3(g-1) \right) \ \text{ and } \operatorname{rank}(\mathcal{H}_{i,2}) = (i+1) \binom{g}{i+2}$$

and the condition that  $rank(\mathcal{G}_{i,2}) = rank(\mathcal{H}_{i,2})$  is equivalent to g = 2i + 6.

We describe a set-up that will be used to define certain tautological sheaves over  $\widetilde{\mathbf{R}}_g$  and compute the class  $[\overline{\mathcal{U}}_{g,i}]^{virt}$ . We use the notation from Subsection 1.1, in particular from Proposition 1.7 and recall that  $f: \mathcal{X} \to \widetilde{\mathbf{R}}_g$  is the universal Prym curve,  $\mathcal{P} \in \operatorname{Pic}(\mathcal{X})$  denotes the universal Prym line bundle and  $\mathcal{N}_i = f_*(\omega_f^{\otimes i} \otimes \mathcal{P}^{\otimes i})$ . We denote by  $T:=\mathcal{E}_0''\cap \operatorname{Sing}(f)$  the codimension 2 subvariety corresponding to Wirtinger covers  $[C_{yq},\eta\in\operatorname{Pic}^0(C_{yq})[2],\nu(y)=\nu(q)]\in\mathcal{X}$  (where  $\nu^*(\eta)=\mathcal{O}_C$ ), with the marked point being the node of the underlying curve  $C_{yq}$ . Let us fix a point  $[X:=C_{yq},\eta,\beta]\in\widetilde{\Delta}_0'\cup\widetilde{\Delta}_0''$  where as usual  $\nu:C\to X$  is the normalization map. Then we have an identification

(11) 
$$\mathcal{N}_1[X,\eta,\beta] = \operatorname{Ker}\left\{H^0\left(C,\omega_C(y+q)\otimes\eta_C\right) \to (\nu_*\mathcal{O}_C/\mathcal{O}_X)\otimes\omega_X\otimes\eta\cong\mathbb{C}_{y\sim q}\right\},$$
 where the map is given by taking the difference of residues at  $y$  and  $q$ . Note that when  $\eta_C = \mathcal{O}_C$ , that is when  $[X,\eta,\beta] \in \widetilde{\Delta}''_0$ , we have that  $\mathcal{N}_1[X,\eta,\beta] = H^0(C,\omega_C)$ . For a point

$$[X = C \cup_{\{y,q\}} E, \ \eta_C \in \sqrt{\mathcal{O}_C(-y-q)}, \eta_E] \in \widetilde{\Delta}_0^{\text{ram}}$$

we have an identification

(12) 
$$\mathcal{N}_1[X,\eta,\beta] = \operatorname{Ker} \{ H^0(C,\omega_C(y+q)\otimes\eta_C) \oplus H^0(E,\mathcal{O}_E(1)) \to (\omega_X\otimes\eta)_{y,q} \cong \mathbb{C}^2_{y,q} \}.$$
 We set

$$\mathcal{M} := \operatorname{Ker} \{ f^*(\mathcal{N}_1) \to \omega_f \otimes \mathcal{P} \}.$$

¿From the discussion above it is clear that the image of  $f^*(\mathcal{N}_1) \to \omega_f \otimes \mathcal{P}$  is  $\omega_f \otimes \mathcal{P} \otimes \mathcal{I}_T$ . Since  $T \subset \mathcal{X}$  is smooth of codimension 2 it follows that  $\mathcal{M}$  is locally free. For  $a, b \geq 0$ , we define the sheaf  $\mathcal{E}_{a,b} := f_*(\wedge^a \mathcal{M} \otimes \omega_f^{\otimes b} \otimes \mathcal{P}^{\otimes b})$  over  $\widetilde{\mathbf{R}}_g$ . Clearly  $\mathcal{E}_{a,b}$  is locally free. We have that  $\mathcal{E}_{0,b} = \mathcal{N}_b$  for  $b \geq 0$ , and we always have left-exact sequences

$$(13) 0 \longrightarrow \mathcal{E}_{a,b} \longrightarrow \wedge^a \mathcal{E}_{0,1} \otimes \mathcal{E}_{0,b} \longrightarrow \mathcal{E}_{a-1,b+1},$$

which are right-exact off the divisor  $\widetilde{\Delta}_0''$  (to be proved later). We then define inductively a sequence of vector bundles  $\{\mathcal{H}_{a,b}\}_{a,b\geq 0}$  over  $\widetilde{\mathbf{R}}_g$  in the following way: We set  $\mathcal{H}_{0,b}:=\operatorname{Sym}^b\mathcal{N}_1$  for each  $b\geq 0$ . Then having defined  $\mathcal{H}_{a-1,b}$  for all  $b\geq 0$ , we define the vector bundle  $\mathcal{H}_{a,b}$  by the exact sequence

$$(14) 0 \longrightarrow \mathcal{H}_{a,b} \longrightarrow \wedge^a \mathcal{H}_{0,1} \otimes \operatorname{Sym}^b \mathcal{H}_{0,1} \longrightarrow \mathcal{H}_{a-1,b+1} \longrightarrow 0.$$

For a point  $[X, \eta, \beta] \in \widetilde{\mathcal{R}}_g$ , if we use the identification  $H^0(X, \omega_X \otimes \eta) = H^0(\mathbf{P}^{g-2}, \mathcal{O}_{\mathbf{P}^{g-2}}(1))$ , we have a natural identification of the fibre

$$\mathcal{H}_{a,b}[X,\eta,\beta] = H^0(\mathbf{P}^{g-2}, \wedge^a M_{\mathbf{P}^{g-2}}(b)).$$

By induction on  $a \ge 0$ , there exist vector bundle morphisms  $\phi_{a,b} : \mathcal{H}_{a,b} \to \mathcal{E}_{a,b}$ .

**Proposition 3.2.** For  $b \ge 2$  and  $a \ge 0$  we have the vanishing of the higher direct images

$$R^{1}f_{*}(\wedge^{a}\mathcal{M}\otimes\omega_{f}^{\otimes b}\otimes\mathcal{P}^{\otimes b})_{\mid \mathbf{R}_{q}\cup\widetilde{\Delta}_{0}^{\prime}\cup\widetilde{\Delta}_{0}^{\mathrm{ram}}}=0.$$

It follows that the sequences (13) are right-exact off the divisor  $\widetilde{\Delta}_0''$  of  $\widetilde{\mathbf{R}}_g$ .

*Proof.* Over the locus  $\mathbf{R}_g$  the vanishing is a consequence of Proposition 2.4. For simplicity we prove that  $R^1f_*(\wedge^a\mathcal{M}\otimes\omega_f^{\otimes b}\otimes\mathcal{P}^{\otimes b})\otimes\mathcal{O}_{\widetilde{\Delta}_0^{\mathrm{ram}}}=0$ , the vanishing over  $\widetilde{\Delta}_0'$  being similar. We fix a point  $[X=C\cup_{\{y,q\}}E,\eta_C,\eta_E]\in\widetilde{\Delta}_0^{\mathrm{ram}}$ , with  $\eta_C^{\otimes 2}=\mathcal{O}_C(-y-q)$ ,  $\eta_E=\mathcal{O}_E(1)$  and set  $L:=\omega_X\otimes\eta\in\mathrm{Pic}^{2g-2}(X)$ . We show that  $H^1(X,\wedge^aM_L\otimes L^{\otimes b})=0$  for all  $a\geq 0$  and  $b\geq 2$ . A Mayer-Vietoris argument shows that it suffices to prove that

(15) 
$$H^1(C, \wedge^a M_L \otimes L^{\otimes b} \otimes \mathcal{O}_C) = 0, \ H^1(E, \wedge^a M_L \otimes L^{\otimes b} \otimes \mathcal{O}_E) = 0, \ \text{and}$$

(16) 
$$H^{1}(C, \wedge^{a} M_{L} \otimes L^{\otimes b} \otimes \mathcal{O}_{C}(-y-q)) = 0.$$

For  $L_C := L \otimes \mathcal{O}_C = K_C(y+q) \otimes \eta_C$  and  $L_E := L_E \otimes \mathcal{O}_E$ , we write the exact sequences

$$0 \longrightarrow H^0(C, L_C(-y-q)) \otimes \mathcal{O}_E \longrightarrow M_L \otimes \mathcal{O}_E \longrightarrow M_{L_E} \longrightarrow 0, \text{ and}$$

$$0 \longrightarrow H^0(E, L_E(-y-q)) \otimes \mathcal{O}_C \longrightarrow M_L \otimes \mathcal{O}_C \longrightarrow M_{L_C} \longrightarrow 0,$$

and we find that  $M_L\otimes \mathcal{O}_C=M_{L_C}$  while obviously  $M_{L_E}=\mathcal{O}_E(-1)$ . We conclude that the statements (15) and (16) for all  $a\geq 0$  and  $b\geq 2$  can be reduced to showing that

$$H^1(C, \wedge^a M_{L_C} \otimes L_C^{\otimes b}) = H^1(C, \wedge^a M_{L_C} \otimes L_C^{\otimes b} \otimes \mathcal{O}_C(-y-q)) = 0$$
, for all  $a \geq 0, b \geq 2$ .

This is now a direct application of Proposition 2.4.

Proof of Theorem 0.6. We have constructed the vector bundle morphism  $\phi_{i,2}: \mathcal{H}_{i,2} \to \mathcal{E}_{i,2}$  over  $\widetilde{\mathbf{R}}_g$ . For g=2i+6 we have that  $\mathrm{rank}(\mathcal{H}_{i,2})=\mathrm{rank}(\mathcal{E}_{i,2})$  and the virtual Koszul class  $[\overline{\mathcal{U}}_{g,i}]^{virt}$  is given by  $c_1(\mathcal{E}_{i,2}-\mathcal{H}_{i,2})$ . We recall that for a rank e vector bundle  $\mathcal{E}$  over a variety X and for  $i\geq 1$ , we have the formulas  $c_1(\wedge^i\mathcal{E})=\binom{e-1}{i-1}c_1(\mathcal{E})$  and  $c_1(\mathrm{Sym}^i(\mathcal{E}))=\binom{e+i-1}{e}c_1(\mathcal{E})$ . Using (13) we find that there exists a constant  $\alpha\geq 0$  such that

$$c_1(\mathcal{E}_{i,2}) - \alpha \cdot \delta_0'' = \sum_{l=0}^i (-1)^l c_1(\wedge^{i-l} \mathcal{E}_{0,1} \otimes \mathcal{E}_{0,l+2}) = \sum_{l=0}^i (-1)^l \binom{g-1}{i-l} c_1(\mathcal{E}_{0,l+2}) + \sum_{l=0}^i (-1)^l ((g-1)(2l+3)) \binom{g-2}{i-l-1} c_1(\mathcal{E}_{0,1}),$$

while a repeated application of the exact sequence (14) gives that

$$c_{1}(\mathcal{H}_{i,2}) = \sum_{l=0}^{i} (-1)^{l} c_{1}(\wedge^{i-l}\mathcal{H}_{0,1} \otimes \operatorname{Sym}^{l+2}\mathcal{H}_{0,1}) =$$

$$= \sum_{l=0}^{i} (-1)^{l} \left( \binom{g-1}{i-l} c_{1}(\operatorname{Sym}^{l+2}(\mathcal{H}_{0,1})) + \binom{g+l}{l+2} c_{1}(\wedge^{i-l}\mathcal{H}_{0,1}) \right)$$

$$= \sum_{l=0}^{i} (-1)^{l} \left( \binom{g-1}{i-l} \binom{g+l}{g-1} + \binom{g+l}{l+2} \binom{g-2}{i-l-1} \right) c_{1}(\mathcal{H}_{0,1}),$$

with  $\mathcal{E}_{0,1} = \mathcal{H}_{0,1} = \mathcal{N}_1$  and  $\mathcal{E}_{0,l+2} = \mathcal{N}_{l+2}$  for  $l \geq 0$ . Proposition 1.7 finishes the proof.  $\square$ 

Comparing these formulas to the canonical class of  $\overline{\mathcal{R}}_g$ , one obtains that  $\overline{\mathcal{R}}_g$  is of general type for g > 12.

### 4. Effective divisors on $\overline{\mathcal{R}}_q$

We now use in an essential way results from [F3] to produce myriads of effective divisors on  $\overline{\mathcal{R}}_g$ . This construction, though less explicit than that of  $\overline{\mathcal{U}}_{2i+6}$  and  $\overline{\mathcal{D}}_{g:k}$ , is still very effective and we use it to show  $\overline{\mathcal{R}}_{18}$ ,  $\overline{\mathcal{R}}_{20}$  and  $\overline{\mathcal{R}}_{22}$  are of general type.

We consider the morphism  $\chi: \overline{\mathcal{R}}_g \to \overline{\mathcal{M}}_{2g-1}$  given by  $\chi([C, \eta]) := [\tilde{C}]$ , where  $f: \tilde{C} \to C$  is the étale double cover determined by  $\eta$ . Thus one has

$$f_*\mathcal{O}_{\tilde{C}} = \mathcal{O}_C \oplus \eta \ \ \text{and} \ \ H^i(\tilde{C}, f^*L) = H^i(C, L) \oplus H^i(C, L \otimes \eta) \ \ \text{for any } L \in \mathrm{Pic}(C), \ i = 0, 1.$$

The pullback map  $\chi^*$  at the level of Picard groups has been determined by M. Bernstein in [Be] Lemma 3.1.3. We record her results:

**Proposition 4.1.** The pullback map  $\chi^* : \operatorname{Pic}(\overline{\mathcal{R}}_g) \to \operatorname{Pic}(\overline{\mathcal{M}}_{2g-1})$  is given as follows:

$$\chi^*(\lambda) = 2\lambda - \frac{1}{4}\delta_0^{\text{ram}}, \ \chi^*(\delta_0) = \delta_0^{\text{ram}} + 2\left(\delta_0' + \delta_0'' + \sum_{i=1}^{[g/2]} \delta_{i:g-i}\right), \ \chi^*(\delta_i) = 2\delta_{g-i} \text{ for } 1 \le i \le g-1.$$

*Proof.* The formula for  $\chi^*(\delta_i)$  when  $1 \leq i \leq g-1$  is immediate. To determine  $\chi^*(\lambda)$  one notices that  $\chi^*((\kappa_1)_{\overline{\mathcal{M}}_{2g-1}}) = 2(\kappa_1)_{\overline{\mathcal{R}}_g}$  and the rest follows from Mumford's formulas  $(\kappa_1)_{\overline{\mathcal{M}}_{2g-1}} = 12\lambda - \delta \in \operatorname{Pic}(\overline{\mathcal{M}}_{2g-1})$  and  $(\kappa_1)_{\overline{\mathcal{R}}_g} = 12\lambda - \pi^*(\delta) \in \operatorname{Pic}(\overline{\mathcal{R}}_g)$ .

We set the integer 
$$g':=1+rac{g-1}{g}{2g\choose g-1}$$
. In [F3] we have studied the rational map  $\phi:\overline{\mathcal{M}}_{2g-1}-->\overline{\mathcal{M}}_{1+rac{g-1}{g-1}{2g\choose g-1}}, \quad \phi[Y]:=W^1_{g+1}(Y),$ 

and determined the pullback map at the level of divisors  $\phi^*: \operatorname{Pic}(\overline{\mathcal{M}}_{g'}) \to \operatorname{Pic}(\overline{\mathcal{M}}_{2g-1})$ . In particular, we proved that if  $A \in \operatorname{Pic}(\overline{\mathcal{M}}_{g'})$  is a divisor of slope s(A) = s, then the slope of the pullback  $\phi^*(A)$  is equal to (cf. [F3] Theorem 0.2)

(17) 
$$s(\phi^*(A)) = 6 + \frac{8g^3s - 32g^3 - 19g^2s + 66g^2 + 6gs - 16g + 3s + 6}{(g-1)(g+1)(g^2s - 2gs - 4g^2 + 7g + 3)}.$$

To obtain effective divisors of small slope on  $\overline{\mathcal{R}}_g$  we shall consider pullbacks  $(\phi\chi)^*(A)$ , where  $A \in \operatorname{Ample}(\overline{\mathcal{M}}_{g'})$ . (Of course, one can consider the cone  $\chi^*(\operatorname{Ample}(\overline{\mathcal{M}}_{2g-1}))$ , but a quick look at Proposition (4.1) shows that it is impossible to obtain in this way divisors on  $\overline{\mathcal{R}}_g$  satisfying the inequalities (2). Pulling back merely *effective* divisors  $\overline{\mathcal{M}}_{2g-1}$  rather than ample ones, is problematic since  $\chi(\overline{\mathcal{R}}_g)$  tends to be contained in many geometric divisors on  $\overline{\mathcal{M}}_{2g-1}$ .) In order for the pullbacks  $\chi^*\phi^*(A)$  to be well-defined as effective divisors on  $\overline{\mathcal{R}}_g$  we prove the following result:

**Proposition 4.2.** If  $dom(\phi) \subset \overline{\mathcal{M}}_{2g-1}$  is the domain of definition of the rational morphism  $\phi : \overline{\mathcal{M}}_{2g-1} \to \overline{\mathcal{M}}_{g'}$ , then  $\chi(\overline{\mathcal{R}}_g) \cap dom(\phi) \neq \emptyset$ . It follows that for any ample divisor  $A \in Ample(\overline{\mathcal{M}}_{g'})$ , the pullback  $\chi^*\phi^*(A) \in Eff(\overline{\mathcal{R}}_g)$  is well-defined.

*Proof.* We take a general point  $[C \cup_y E, \eta_C = \mathcal{O}_C, \eta_E] \in \Delta_1 \subset \overline{\mathcal{R}}_g$ . The corresponding admissible double cover is then  $f: C_1 \cup_{y_1} \widetilde{E} \cup_{y_2} C_2 \to C \cup_y E$ , where  $[C_1, y_1]$  and  $[C_2, y_2]$  are copies of [C, y] mapping isomorphically to [C, y], and  $f: \widetilde{E} \to E$  is the étale double cover induced by the torsion point  $\eta_E \in \operatorname{Pic}^0(E)[2]$ . We have that  $C_i \cap \widetilde{E} = \{y_i\}$ , where  $f_{\widetilde{E}}(y_1) = f_{\widetilde{E}}(y_2) = y$ . Thus  $\chi[C \cup E, \mathcal{O}_C, \eta_E] := [C_1 \cup_{y_1} \widetilde{E} \cup_{y_2} C_2]$ , where  $y_1, y_2 \in \widetilde{E}$  are such that  $\mathcal{O}_{\widetilde{E}}(y_1 - y_2)$  is a 2-torsion point in  $\operatorname{Pic}^0(\widetilde{E})$ .

Suppose now that  $X:=C_1\cup_{y_1}E\cup_{y_2}C_2$  is a curve of compact type such that  $[C_i,y_i]\in\mathcal{M}_{g-1,1}$  (i=1,2) and  $[E,y_1,y_2]\in\mathcal{M}_{1,2}$  are all Brill-Noether general. In particular, the class  $y_1-y_2\in\operatorname{Pic}^0(E)$  is not torsion. Then  $\phi([X]):=[\overline{W}_{g+1}^1(X)]$  is the variety of limit linear series  $\mathfrak{g}_{g+1}^1$  on X. The general point of each irreducible component of  $\overline{W}_{g+1}^1(X)$  corresponds to a refined linear series l on X satisfying the following compatibility conditions in terms of Brill-Noether numbers (see also [EH], [F3]): (18)

$$1 = \rho(l_{C_1}, y_1) + \rho(l_{C_2}, y_2) + \rho(l_{E}, y_1, y_2) = 1 \ \text{ and } \rho(l_{C_1}, y_1), \rho(l_{C_2}, y_2), \rho(l_{E}, y_1, y_2) \geq 0.$$

If  $\rho(l_{C_2},y_2)=1$ , we find two types of components of  $\overline{W}_{g+1}^1(X)$  which we describe: Since  $\rho(l_{C_1},y_1)=0$ , there exists an integer  $0\leq a\leq g/2$  such that  $a^{l_{C_1}}(y_1)=(a,g+2-a)$ . On E there are two choices for  $l_E\in G_{g+1}^1(E)$  such that  $a^{l_E}(y_1)=(a-1,g+1-a)$ . Either  $a^{l_E}(y_2)=(a,g+1-a)$  (there is a unique such  $l_E$ ), and then  $l_{C_2}$  belongs to the connected curve  $T_a:=\{l_{C_2}\in G_{g+1}^1(C_2): a^{l_{C_2}}(y_2)\geq (a,g+1-a)\}$ , or else,  $a^{l_E}(y_2)=(a-1,g+2-a)$  (again, there is a unique such  $l_E$ ), and then the  $C_2$ -aspect of l belongs to the curve  $T_a':=\{l_{C_2}\in G_{g+1}^1(C_2): a^{l_{C_2}}(y_2)\geq (a-1,g+2-a)\}$ . Thus  $\{l_{C_1}\}\times T_a$  and  $\{l_{C_2}\}\times T_a'$  are irreducible components of  $\overline{W}_{g+1}^1(X)$ . When  $\rho(l_E,y_1,y_2)=1$ , then there are three types of irreducible components of  $\overline{W}_{g+1}^1(X)$  corresponding to the cases

$$a^{l_E}(y_1) = (a-1,g+1-a), \ a^{l_E}(y_2) = (a-1,g+1-a) \ \text{ for } 0 \le a \le g/2,$$
 
$$a^{l_E}(y_1) = (a-1,g+1-a), \ a^{l_E}(y_2) = (a,g-a) \ \text{for } 1 \le a \le (g-1)/2, \ \text{ and }$$
 
$$a^{l_E}(y_1) = (a-1,g+1-a), \ a^{l_E}(y_2) = (a-2,g+2-a) \ \text{ for } 2 \le a \le (g-1)/2.$$

Finally, the case  $\rho(l_{C_1},y_1)=1$  is identical to the case  $\rho(l_{C_2},y_2)=1$  by reversing the role of the curves  $C_1$  and  $C_2$ . The singular points of  $\overline{W}_{g+1}^1(X)$  correspond to (necessarily) crude limit  $\mathfrak{g}_{g+1}^1$ 's satisfying  $\rho(l_{C_1},y_1)=\rho(l_{C_2},y_2)=\rho(l_E,y_1,y_2)=0$ . For such l, there must exist two irreducible components of X, say Y and Z, for which  $Y\cap Z=\{x\}$  and such that  $a_0^{l_Y}(x)+a_1^{l_Z}(x)=g+2$  and  $a_1^{l_Y}(x)+a_0^{l_Z}(x)=g+1$ . The point l lies precisely on the two irreducible components of  $\overline{W}_{g+1}^1(X)$ : The one corresponding to refined limit  $\mathfrak{g}_{g+1}^1$  with vanishing sequence on Y equal to  $(a_0^{l_Y}(x)-1,a_1^{l_Y}(x))$ , and the one with vanishing  $(a_0^{l_Z}(x),a_1^{l_Z}(x)-1)$  on Z. Thus  $\overline{W}_{g+1}^1(X)$  is a stable curve of compact type, so  $[X]\in \mathrm{dom}(\phi)$ . Using [F3], this set-theoretic description applies to the image

under  $\phi$  of any point  $[C_1 \cup_{y_1} E \cup_{y_2} C_2]$ , in particular to  $[C_1 \cup_{y_1} \widetilde{E} \cup_{y_2} C_2] = \chi([C \cup_y E])$ . We have showed that  $\chi(\Delta_1) \cap \text{dom}(\phi) \neq \emptyset$ .

*Proof of Theorem 0.1 for genus* g=18,20,22. We construct an effective divisor on  $\overline{\mathcal{R}}_g$  which satisfies the inequalities (2) and which is of the form

$$\mu \pi^*(D) + \epsilon \chi^* \phi^*(A) = \alpha \lambda - 2(\delta_0' + \delta_0'') - 3\delta_0^{\text{ram}} - \sum_{i=1}^{[g/2]} (b_i \delta_i + b_{g-i} \delta_{g-i} + b_{i:g-i} \delta_{i:g-i}),$$

where  $A \equiv s\lambda - \delta \in \operatorname{Pic}(\overline{\mathcal{M}}_{g'})$  is an ample class (which happens precisely when s > 11, cf. [CH]),  $D \in \operatorname{Eff}(\overline{\mathcal{M}}_g)$  and  $\mu, \epsilon > 0$  and  $\alpha < 13$ . We solve this linear system using Proposition 4.1 and find that we must have

$$\epsilon = \frac{8}{12 - s(\phi^*(A))}$$
 and  $\mu = \frac{16 - 2s(\phi^*(A))}{12 - s(\phi^*(A))}$ .

To conclude that  $\overline{\mathcal{R}}_q$  is of general type, it suffices to check that the inequality

$$\alpha = \frac{8s(\phi^*(A))}{12 - s(\phi^*(A))} + \left(6 + \frac{12}{g+1}\right) \frac{16 - 2s(\phi^*(A))}{12 - s(\phi^*(A))} < 13$$

has a solution  $s = s(A) \ge 11$ . Using (17), we find that this is the case for  $g \ge 18$ .

# 5. The enumerative geometry of $\overline{\mathcal{R}}_g$ in small genus

In this Section we describe the divisors  $\mathcal{D}_{g:k}$  and  $\mathcal{U}_{g,i}$  for small g. We start with the case g=3. This result has been first obtained by M. Bernstein [Be] Theorem 3.2.3 using test curves inside  $\overline{\mathcal{R}}_3$ . Our method is more direct and uses the identification of cycles  $C-C=\Theta_{Q_G}\subset \operatorname{Pic}^0(C)$ , valid for all curves  $[C]\in \mathcal{M}_3$ .

**Theorem 5.1.** The divisor  $\mathcal{D}_{3:2} = \{ [C, \eta] \in \mathcal{R}_3 : \eta \in C - C \}$  is equal to the locus of étale double covers  $[\tilde{C} \xrightarrow{f} C] \in \mathcal{R}_3$  such that  $[\tilde{C}] \in \mathcal{M}_5$  is hyperelliptic. We have the equality of cycles  $\overline{\mathcal{D}}_{3:2} \equiv 8\lambda - \delta_0' - 2\delta_0'' - \frac{3}{2}\delta_0^{\text{ram}} - 6\delta_1 - 4\delta_2 - 2\delta_{1:2} \in \text{Pic}(\overline{\mathcal{R}}_3)$ . Moreover,

$$\pi_*(\overline{\mathcal{D}}_{3:2}) \equiv 56 \cdot \overline{\mathcal{M}}_{3,2}^1 = 56 \cdot (9\lambda - \delta_0 - 3\delta_1) \in \operatorname{Pic}(\overline{\mathcal{M}}_3).$$

This equality corresponds to the fact that for an étale double cover  $f: \tilde{C} \to C$ , the source  $\tilde{C}$  is hyperelliptic if and only if C is hyperelliptic and  $\eta \in C - C \subset \operatorname{Pic}^0(C)$ .

Proof. We use the set-up from Theorem 2.8 and recall that there exists a vector bundle morphism  $\phi: \mathcal{H} \otimes \mathcal{A}_{0,0} \to \mathcal{A}_{0,1}$  over  $\overline{\mathbf{R}}_3^0$  such that  $Z_1(\phi) \cap \mathcal{R}_3 = \mathcal{D}_{3:2}$ . Here  $\mathcal{H} = \pi^*(\mathbb{E})$ ,  $\mathcal{A}_{0,0}[X,\eta,\beta] = H^0(X,\omega_X\otimes\beta)$  and  $\mathcal{A}_{0,1}[X,\eta,\beta] = H^0(X,\omega_X^{\otimes 2}\otimes\beta)$ , for each point  $[X,\eta,\beta] \in \widetilde{\mathcal{R}}_g$ . Using (11) and (12) we check that both  $\phi_{|\Delta_0'}$  and  $\phi_{|\Delta_0^{\mathrm{ram}}}$  are generically non-degenerate. Over a point  $t = [C_{yq}, \eta, \beta] \in \Delta_0''$  corresponding to a Wirtinger covering (i.e.  $\nu: C \to C_{yq}$ , with  $[C] \in \mathcal{M}_2$  and  $\nu^*(\eta) = \mathcal{O}_C$ ), we have that

$$\phi(t): H^0(C, K_C) \otimes H^0(C, K_C \otimes \mathcal{O}_C(y+q)) \to \mathcal{A}_{0,1}(t) \subset H^0(C, \omega_C^{\otimes 2} \otimes \mathcal{O}_C(2y+2q)).$$

From the base point free pencil trick we find that  $\mathrm{Ker}(\phi(t)) = H^0(C, \mathcal{O}_C(y+q))$ , that is,  $\phi_{|\Delta_0''}$  is everywhere degenerate and the class  $c_1(\mathcal{A}_{0,1} - \mathcal{H} \otimes \mathcal{A}_{0,0}) - \delta_0'' \in \mathrm{Pic}(\overline{\mathbf{R}}_3^0)$  is

effective. From the formulas  $\pi_*(\lambda) = 63\lambda$ ,  $\pi_*(\delta_0') = 30\delta_0$ ,  $\pi_*(\delta_0'') = \delta_0$  and  $\pi_*(\delta_0^{\text{ram}}) = 16\delta_0$ , we obtain that

$$s(\pi_*(c_1(\mathcal{A}_{0,1}-\mathcal{H}\otimes\mathcal{A}_{0,0})-\delta_0''))=9.$$

The hyperelliptic locus  $\overline{\mathcal{M}}_{3,2}^1$  is the only divisor on  $D \in \mathrm{Eff}(\overline{\mathcal{M}}_3)$  with  $\Delta_i \subsetneq \mathrm{supp}(D)$  for i=0,1 and  $s(D) \leq 9$ , which leads to the formula  $\pi_*(\overline{\mathcal{D}}_{3:2}) = 56 \cdot \overline{\mathcal{M}}_{3,2}^1$ .

**Theorem 5.2.** The divisor  $\overline{\mathcal{D}}_{5:2} := \{ [C, \eta] \in \mathcal{R}_5 : \eta \in C_2 - C_2 \}$  equals the locus of étale double covers  $[\tilde{C} \xrightarrow{f} C] \in \mathcal{R}_5$  such that the genus 9 curve  $\tilde{C}$  is tetragonal. We have the formula  $\overline{\mathcal{D}}_{5:2} = 14\lambda - 2(\delta_0' + \delta_0'') - \frac{5}{2}\delta_0^{\mathrm{ram}} - 10\delta_4 - 4\delta_{1:4} - \cdots \in \mathrm{Pic}(\overline{\mathcal{R}}_5).$ 

*Proof.* We start with an étale cover  $f: \tilde{C} \stackrel{2:1}{\to} C$  corresponding to the torsion point  $\eta = \mathcal{O}_C(D-E)$ , with  $D, E \in C_2$ . Then

$$H^0(\tilde{C}, \mathcal{O}_{\tilde{C}}(f^*D)) = H^0(C, \mathcal{O}_C(D)) \oplus H^0(C, \mathcal{O}_C(E)),$$

that is,  $|f^*D| \in G^1_4(\tilde{C})$  and  $[\tilde{C}] \in \overline{\mathcal{M}}^1_{9,4}$ . Conversely, if  $l \in G^1_4(\tilde{C})$ , then l must be invariant under the involution of  $\tilde{C}$  and then  $f_*(l) \in G^1_4(C)$  contains two divisors of the type  $2x + 2y \equiv 2p + 2q$ . Then we take  $\eta = \mathcal{O}_C(x + y - p - q)$ , that is,  $[C, \eta] \in \mathcal{D}_{5:2}$ .

**Remark 5.3.** Since  $\operatorname{codim}(\overline{\mathcal{M}}_{9,4}^1, \overline{\mathcal{M}}_9) = 3$  while  $\mathcal{D}_{5:2}$  is a divisor in  $\mathcal{R}_3$ , there seems to be a dimensional discrepancy in Theorem 5.2. This is explained by noting that for an étale double covering  $f: \tilde{C} \to C$  over a general curve  $[C] \in \mathcal{M}_5$ , the codimension 1 condition  $\operatorname{gon}(\tilde{C}) \leq 5$  is equivalent to the seemingly stronger condition  $\operatorname{gon}(\tilde{C}) \leq 4$ . Indeed, if  $l \in G_5^1(\tilde{C})$  is base point free, then l is not invariant under the involution of  $\tilde{C}$  and  $\dim |f_*l| \geq 2$  so  $G_5^2(C) \neq \emptyset$ , a contradiction with the genericity assumption on C.

**Theorem 5.4.** The divisor  $\mathcal{D}_{4:3} = \{ [C, \eta] \in \mathcal{R}_4 : \exists A \in W_3^1(C) \text{ with } H^0(C, A \otimes \eta) \neq 0 \}$  can be identified with the locus of Prym curves  $[C, \eta] \in \mathcal{R}_4$  such that the Prym-canonical model  $C \stackrel{|K_C \otimes \eta|}{\longrightarrow} \mathbf{P}^2$  is a plane sextic curve with a triple point. We also have the class formula

$$\overline{\mathcal{D}}_{4:3} \equiv 8\lambda - \delta_0' - 2\delta_0'' - \frac{7}{4}\delta_0^{ram} - 4\delta_3 - 7\delta_1 - 3\delta_{1:3} - \dots \in \operatorname{Pic}(\overline{\mathcal{R}}_4),$$

hence  $\pi_*(\overline{\mathcal{D}}_{4:3}) = 60 \cdot \overline{\mathcal{GP}}_{4,3}^1 = 60(34\lambda - 4\delta_0 - 14\delta_1 - 18\delta_2) \in \operatorname{Pic}(\overline{\mathcal{M}}_4)$ , where

$$\mathcal{GP}^1_{4,3} \subset \mathcal{M}_4 := \{C] \in \mathcal{M}_4 : \exists A \in W^1_3(C), A^{\otimes 2} = K_C\}$$

is the Gieseker-Petri divisor of curves  $[C] \in \mathcal{M}_4$  with a vanishing theta-null.

*Proof.* We start with a Prym curve  $[C,\eta] \in \mathcal{R}_4$  such that there exists  $A \in W^1_3(C)$  with  $H^0(C,A\otimes\eta) \neq 0$ . We claim that  $A^{\otimes 2} = K_C$ , that is,  $[C] \in \mathcal{GP}^1_{4,3}$ . Indeed, assuming the opposite, we find *disjoint* divisors  $D_1,D_2\in C_3$  such that  $D_1\in |A\otimes\eta|$  and  $D_2\in |K_C\otimes A^\vee\otimes\eta|$ . In particular, the subspaces  $H^0(C,K_C\otimes\eta(-D_i))\subset H^0(C,K_C)$  are both of dimension 2, hence they intersect non-trivially, that is  $H^0(C,K_C\otimes\eta(-D_1-D_2))\neq 0$ . Since  $D_1+D_2\equiv K_C$ , this implies  $\eta=0$ , a contradiction.

The proof that the vector bundle morphism  $\phi: \mathcal{H} \otimes \mathcal{A}_{0,0} \to \mathcal{A}_{0,1}$  constructed in the proof of Theorem 2.8 is degenerate with order 1 along the divisor  $\Delta_0'' \subset \overline{\mathcal{R}}_4$  follows

from (11). Thus  $c_1(A_{0,1} - \mathcal{H} \otimes A_{0,0}) - \delta_0'' \in \operatorname{Pic}(\overline{\mathcal{R}}_4)$  is an effective class and its push-forward to  $\overline{\mathcal{M}}_4$  has slope 17/2. The only divisor  $D \in \operatorname{Eff}(\overline{\mathcal{M}}_4)$  with  $\Delta_i \subsetneq \operatorname{supp}(D)$  for i = 0, 1, 2 and  $s(D) \leq 17/2$ , is the theta-null divisor  $\overline{\mathcal{GP}}_{4,3}^1$  (cf. [F3] Theorem 5.1).

**Remark 5.5.** For a general point  $[C, \eta] \in \mathcal{R}_4$ , the Prym-canonical curve  $\iota : C \stackrel{|K_C \otimes \eta|}{\longrightarrow} \mathbf{P}^2$  is a plane sextic with 6 nodes which correspond to the preimages in  $\phi^{-1}(\eta)$  under the second difference map

$$C_2 \times C_2 \to \operatorname{Pic}^0(C), \ (D_1, D_2) \mapsto \mathcal{O}_C(D_1 - D_2).$$

Note that  $W_2(C)\cdot (W_2(C)+\eta)=6$ . For a general  $[C,\eta]\in \mathcal{D}_{4:3}$ , the model  $\iota(C)\subset \mathbf{P}^2$  has a triple point. For a hyperelliptic curve  $[C]\in \mathcal{M}^1_{4,2}$ , out of the  $255=2^{2g}-1$  étale double covers of C, there exist 210 for which  $C\stackrel{|K_C\otimes\eta|}{\longrightarrow}\mathbf{P}^2$  has an ordinary 4-fold point and no other singularity. The remaining  $45=\binom{2g+2}{2}$  coverings correspond to the case  $\eta=\mathcal{O}_C(x-y)$ , with  $x,y\in C$  being Weierstrass points, when  $|K_C\otimes\eta|$  has 2 base points and  $\iota$  is a degree 2 map onto a conic.

#### 6. THE SINGULARITIES OF THE MODULI SPACE OF PRYM CURVES

The moduli space  $\overline{\mathcal{R}}_g$  is a normal variety with finite quotient singularities. To determine its Kodaira dimension we consider a smooth model  $\widehat{\mathcal{R}}_g$  of  $\overline{\mathcal{R}}_g$  and then analyze the growth of the dimension of the spaces  $H^0(\widehat{\mathcal{R}}_g,K_{\widehat{\mathcal{R}}_g}^{\otimes l})$  of pluricanonical forms for all  $l\geq 0$ . In this section we show that in doing so one only needs to consider forms defined on  $\overline{\mathcal{R}}_g$  itself.

**Theorem 6.1.** We fix  $g \geq 4$  and let  $\widehat{\mathcal{R}}_g \to \overline{\mathcal{R}}_g$  be any desingularisation. Then every pluricanonical form defined on the smooth locus  $\overline{\mathcal{R}}_g^{\text{reg}}$  of  $\overline{\mathcal{R}}_g$  extends holomorphically to  $\widehat{\mathcal{R}}_g$ , that is, for all integers  $l \geq 0$  we have isomorphisms

$$H^0(\overline{\mathcal{R}}_g^{\mathrm{reg}}, K_{\overline{\mathcal{R}}_g}^{\otimes l}) \cong H^0(\widehat{\mathcal{R}}_g, K_{\widehat{\mathcal{R}}_g}^{\otimes l}).$$

A similar statement has been proved for the moduli space  $\overline{\mathcal{M}}_g$  of curves cf. [HM] Theorem 1, and for the moduli space  $\overline{\mathcal{S}}_g$  of spin curves, cf. [Lud] Theorem 4.1. We start by explicitly describing the locus of non-canonical singularities in  $\overline{\mathcal{R}}_g$ , which has codimension 2. At a non-canonical singularity there exist *local* pluricanonical forms that do acquire poles on a desingularisation. We show that this situation does not occur for forms defined on the smooth locus  $\overline{\mathcal{R}}_g^{\text{reg}}$ , and they extend holomorphically to  $\widehat{\mathcal{R}}_g$ .

**Definition 6.2.** An *automorphism* of a Prym curve  $(X, \eta, \beta)$  is an automorphism  $\sigma \in \operatorname{Aut}(X)$  such that there exists an isomorphism of sheaves  $\gamma : \sigma^* \eta \to \eta$  making the following diagram commutative.

$$\begin{array}{ccc}
(\sigma^* \eta)^{\otimes 2} & \xrightarrow{\gamma^{\otimes 2}} \eta^{\otimes 2} \\
\sigma^* \beta \downarrow & & \downarrow \beta \\
\sigma^* \mathcal{O}_X & \xrightarrow{\simeq} \mathcal{O}_X
\end{array}$$

If C := st(X) denotes the stable model of X then there is a group homomorphism  $Aut(X, \eta, \beta) \to Aut(C)$  given by  $\sigma \mapsto \sigma_C$ . The kernel  $Aut_0(X, \eta, \beta)$  of this homomorphism is called the subgroup of *inessential automorphisms* of  $(X, \eta, \beta)$ .

**Remark 6.3.** The subgroup  $\operatorname{Aut}_0(X,\eta,\beta)$  is isomorphic to  $\{\pm 1\}^{CC(\widetilde{X})}/\pm 1$ , where  $CC(\widetilde{X})$  is the set of connected components of the non-exceptional subcurve  $\widetilde{X}$  (compare [CCC] Lemma 2.3.2 and [Lud] Proposition 2.7). Given  $\gamma_j \in \{\pm 1\}$  for every connected component  $\widetilde{X}_j$  of  $\widetilde{X}$  consider the automorphism  $\widetilde{\gamma}$  of  $\widetilde{\eta} = \eta_{|\widetilde{X}}$  which is multiplication by  $\gamma_j$  in every fibre over  $\widetilde{X}_j$ . Then there exists a unique inessential automorphism  $\sigma$  such that  $\widetilde{\gamma}$  extends to an isomorphism  $\gamma: \sigma^*\eta \to \eta$  compatible with the morphisms  $\sigma^*\beta$  and  $\beta$ . Considering  $(-\gamma_j)_j$  instead of  $(\gamma_j)_j$  gives the same automorphism  $\sigma$ .

**Definition 6.4.** For a quasi-stable curve X, an irreducible component  $C_j$  is called an *elliptic tail* if  $p_a(C_j) = 1$  and  $C_j \cap \overline{(X - C_j)} = \{p\}$ . The node p is then an *elliptic tail node*. A non-trivial automorphism  $\sigma$  of X is called an *elliptic tail automorphism* (with respect to  $C_j$ ) if  $\sigma_{|X-C_j|}$  is the identity.

**Theorem 6.5.** Let  $(X, \eta, \beta)$  be a Prym curve of genus  $g \geq 4$ . The point  $[X, \eta, \beta] \in \overline{\mathcal{R}}_g$  is smooth if and only if  $\operatorname{Aut}(X, \eta, \beta)$  is generated by elliptic tail involutions.

Throughout this Appendix, X denotes a quasi-stable curve of genus  $g \geq 2$  and C := st(X) is its stable model. We denote by  $N \subset \operatorname{Sing}(C)$  the set of exceptional nodes and  $\Delta := \operatorname{Sing}(C) - N$ . Then X is the support of a Prym curve if and only if N considered as a subgraph of the dual graph  $\Gamma(C)$  is *eulerian*, that is, every vertex of  $\Gamma(C)$  is incident to an even number of edges in N (cf. [BCF] Proposition 0.4).

Locally at a point  $[X,\eta,\beta]$ , the moduli space  $\overline{\mathcal{R}}_g$  is isomorphic to the quotient of the versal deformation space  $\mathbb{C}_{\tau}^{3g-3}$  of  $(X,\eta,\beta)$  modulo the action of the automorphism group  $\operatorname{Aut}(X,\eta,\beta)$ . If  $\mathbb{C}_t^{3g-3}=\operatorname{Ext}^1(\Omega^1_C,\mathcal{O}_C)$  denotes the versal deformation space of C, then the map  $\mathbb{C}_{\tau}^{3g-3}\to\mathbb{C}_t^{3g-3}$  is given by  $t_i=\tau_i^2$  if  $(t_i=0)\subset\mathbb{C}_t^{3g-3}$  is the locus where the exceptional node  $p_i\in N$  persists and  $t_i=\tau_i$  otherwise. The morphism  $\pi:\overline{\mathcal{R}}_g\to\overline{\mathcal{M}}_g$  is given locally by the map  $\mathbb{C}_{\tau}^{3g-3}/\operatorname{Aut}(X,\eta,\beta)\to\mathbb{C}_t^{3g-3}/\operatorname{Aut}(C)$ . One has the following decomposition of the versal deformation space of  $(X,\eta,\beta)$ 

$$\mathbb{C}_{\tau}^{3g-3} = \bigoplus_{p_i \in N} \mathbb{C}_{\tau_i} \oplus \bigoplus_{p_i \in \Delta} \mathbb{C}_{\tau_i} \oplus \bigoplus_{C_j \subset C} H^1 \left( C_j^{\nu}, T_{C_j^{\nu}}(-D_j) \right),$$

where for a node  $p_i \in N$  we denote by  $(\tau_i = 0) \subset \mathbb{C}_{\tau}^{3g-3}$  the locus where the corresponding exceptional component  $E_i$  persists, while for a node  $p_i \in \Delta$  we denote by  $(\tau_i = 0) \subset \mathbb{C}_{\tau}^{3g-3}$  the locus of those deformations in which  $p_i$  persists. Finally, for a component  $C_j \subset C$  with normalization  $C_j^{\nu}$ , if  $D_j$  consists of the inverse images of the nodes of C under the normalization map  $C_j^{\nu} \to C_j$ , the group  $H^1(C_j^{\nu}, T_{C_j^{\nu}}(-D_j))$  parameterizes deformations of the pair  $(C_j^{\nu}, D_j)$ . This decomposition is compatible with the decomposition

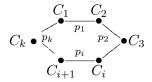
$$\mathbb{C}_t^{3g-3} = \left(\bigoplus_{p_i \in \operatorname{Sing}(C)} \mathbb{C}_{t_i}\right) \oplus \left(\bigoplus_{C_j} H^1(C_j^{\nu}, T_{C_j^{\nu}}(-D_j))\right)$$

as well as with the actions of the automorphism groups on  $\mathbb{C}_{\tau}^{3g-3}$  and  $\mathbb{C}_{t}^{3g-3}$ , see also [Lud] pg. 5. The point  $[X,\eta,\beta]\in\overline{\mathcal{R}}_{g}$  is smooth if and only if the action of  $\operatorname{Aut}(X,\eta,\beta)$  on  $\mathbb{C}_{\tau}^{3g-3}$  is generated by quasi-reflections, that is, elements  $\sigma\in\operatorname{Aut}(X,\eta,\beta)$  having 1 as an eigenvalue of multiplicity precisely 3g-4. Theorem 6.5 follows from the following proposition.

**Proposition 6.6.** Let  $\sigma \in \operatorname{Aut}(X, \eta, \beta)$  be an automorphism of a Prym curve  $(X, \eta, \beta)$  of genus  $g \geq 4$ . Then  $\sigma$  acts on  $\mathbb{C}_{\tau}^{3g-3}$  as a quasi-reflection if and only if X has an elliptic tail  $C_j$  such that  $\sigma$  is the elliptic tail involution with respect to  $C_j$ .

*Proof.* Let  $\sigma$  be an elliptic tail involution with respect to  $C_j$ . The induced automorphism  $\sigma_C$  is an elliptic tail involution of C and acts on the versal deformation space  $\mathbb{C}_t^{3g-3}$  of C as  $t_1\mapsto -t_1$  and  $t_i\mapsto t_i$ ,  $i\neq 1$ . Here  $t_1$  is the coordinate corresponding to the node  $p_1\in C_j\cap \overline{(C-C_j)}$ . The node  $p_1$  being non-exceptional, we have that  $t_1=\tau_1$  hence  $\sigma\cdot\tau_1=-\tau_1$ . If  $\tau_i=t_i (i\neq 1)$ , then  $\sigma\cdot\tau_i=\tau_i$ . For coordinates  $t_i=\tau_i^2$ ,  $\sigma$  is the identity in a neighbourhood of the corresponding exceptional component  $E_i$ , thus  $\sigma\cdot\tau_i=\tau_i$ .

Now let  $\sigma \in \operatorname{Aut}(X,\eta,\beta)$  act as a quasi-reflection with eigenvalues  $\zeta$  and 1. As in the proof of [Lud] Proposition 2.15, there exists a node  $p_1 \in C$  such that the action of  $\sigma$  is given by  $\sigma \cdot \tau_1 = \zeta \tau_1$  and  $\sigma \cdot \tau_j = \tau_j$  for  $j \neq 1$ . When  $p_1 \in N$ , the induced automorphism  $\sigma_C$  acts via  $t_1 \mapsto \zeta^2 t_1$  and  $\sigma_C \cdot t_j = t_j$  for  $j \neq 1$ . If  $\zeta^2 \neq 1$ , then  $\sigma_C$  acts as a quasi-reflection and  $p_1$  is an elliptic tail node, which contradicts the assumption  $p_1 \in N$ . Therefore  $\sigma_C = \operatorname{Id}_C$  and the exceptional component  $E_1$  over  $p_1$  is the only component on which  $\sigma$  acts non-trivially. The graph  $N \subset \Gamma(C)$  is eulerian and there exists a circuit of edges in N containing  $p_1$ .



By Remark 6.3,  $\sigma$  corresponds to an element  $\pm(\gamma_j)_j \in \{\pm 1\}^{CC(\widetilde{X})}/\pm 1$ . Since  $\sigma$  acts non-trivially on  $E_1$  we find that  $\gamma_1 = -\gamma_2$ . In particular, there exists  $i \neq 1$  such that  $\sigma$  acts non-trivially on  $E_i$ . This is a contradiction which shows that the node  $p_1$  is non-exceptional,  $\tau_1 = t_1$  and  $\sigma_C \cdot t_1 = \zeta t_1$  and  $\sigma_C \cdot t_i = t_i$  for  $i \neq 1$ . Thus  $\sigma_C$  is an elliptic tail involution of C with respect to an elliptic tail through the node  $p_1$  and  $\zeta = -1$ . Since  $\sigma$  fixes every coordinate corresponding to an exceptional component of X, it follows that  $\sigma$  is an elliptic tail involution of X.

**Theorem 6.7.** We fix  $g \ge 4$ . A point  $[X, \eta, \beta] \in \overline{\mathcal{R}}_g$  is a non-canonical singularity if and only if X has an elliptic tail  $C_j$  with j-invariant 0 and  $\eta$  is trivial on  $C_j$ .

The proof is similar to that of the analogous statement for  $\overline{\mathcal{S}}_g$  and we refer to [Lud] Theorem 3.1 for a detailed outline of the proof and background on quotient singularities. Locally at  $[X,\eta,\beta]$ , the space  $\overline{\mathcal{R}}_g$  is isomorphic to a neighbourhood of the origin in  $\mathbb{C}_{\tau}^{3g-3}/\operatorname{Aut}(X,\eta,\beta)$ . We consider the normal subgroup H of  $\operatorname{Aut}(X,\eta,\beta)$  generated by automorphisms acting as quasi-reflections on  $\mathbb{C}_{\tau}^{3g-3}$ . The map  $\mathbb{C}_{\tau}^{3g-3} \to \mathbb{C}_{\tau}^{3g-3}/H =$ 

 $\mathbb{C}_v^{3g-3}$  is given by  $v_i= au_i^2$  if  $p_i$  is an elliptic tail node and  $v_i= au_i$  otherwise. The automorphism group  $\operatorname{Aut}(X,\eta,\beta)$  acts on  $\mathbb{C}_v^{3g-3}$  and the quotient  $\mathbb{C}_v^{3g-3}/\operatorname{Aut}(X,\eta,\beta)$  is isomorphic to  $\mathbb{C}_\tau^{3g-3}/\operatorname{Aut}(X,\eta,\beta)$ . Since  $\operatorname{Aut}(X,\eta,\beta)$  acts on  $\mathbb{C}_v^{3g-3}$  without quasi-reflections the Reid–Shepherd-Barron–Tai criterion applies to this new action.

We fix an automorphism  $\sigma \in \operatorname{Aut}(X, \eta, \beta)$  of order n and a primitive n-th root of unity  $\zeta_n$ . If the action of  $\sigma$  on  $\mathbb{C}_v^{3g-3}$  has eigenvalues  $\zeta_n^{a_1}, \ldots, \zeta_n^{a_3g-3}$  with  $0 \le a_i < n$  for  $i = 1, \ldots, 3g-3$ , then following [Re2] we define the age of  $\sigma$  by

$$age(\sigma, \zeta_n) := \frac{1}{n} \sum_{i=1}^n a_i.$$

We say that  $\sigma$  satisfies the Reid–Shepherd-Barron–Tai inequality if  $\operatorname{age}(\sigma, \zeta_n) \geq 1$ . The Reid–Shepherd-Barron–Tai criterion states that  $\mathbb{C}_v^{3g-3}/\operatorname{Aut}(X,\eta,\beta)$  has canonical singularities if and only if every  $\sigma \in \operatorname{Aut}(X,\eta,\beta)$  satisfies the Reid–Shepherd-Barron–Tai inequality (cf. [Re], [T],[Re2]).

Proof of the if-part of Theorem 6.7. Let  $(X, \eta, \beta)$  be a Prym curve, C = st(X) and  $C_j \subset X$  an elliptic tail with  $\operatorname{Aut}(C_j) = \mathbb{Z}_6$  and we assume  $\eta_{C_j} = \mathcal{O}_{C_j}$ . We fix an elliptic tail automorphism  $\sigma_C$  with respect to  $C_j \subset C$  such that  $\operatorname{ord}(\sigma_C) = 6$ . Then  $\sigma_C$  acts on  $\mathbb{C}_t^{3g-3}$  by  $t_1 \mapsto \zeta_6 t_1, t_2 \mapsto \zeta_6^2 t_2$  for an appropriate sixth root of unity  $\zeta_6$  and  $\sigma \cdot t_i = t_i$  for  $i \neq 1, 2$ . Here  $t_1, t_2 \in \operatorname{Ext}^1(\Omega^1_C, \mathcal{O}_C)$  correspond to smoothing the node  $p_1 \in C_j \cap \overline{(C - C_j)}$  and deforming the curve  $[C_j, p_1] \in \overline{\mathcal{M}}_{1,1}$  respectively. Since  $\eta_{C_j} = \mathcal{O}_{C_j}$ , the automorphism  $\sigma_C$  lifts to an automorphism  $\sigma \in \operatorname{Aut}(X, \eta, \beta)$  such that  $\sigma_{\overline{X - C_j}}$  is the identity. Then  $\sigma$  acts on  $\mathbb{C}_\tau^{3g-3}$  as  $\sigma \cdot \tau_1 = \zeta_6 \tau_1, \ \sigma \cdot \tau_2 = \zeta_6^2 \tau_2$  and  $\sigma \cdot \tau_i = \tau_i$  for  $i \neq 1, 2$ . Since  $v_1 = \tau_1^2$  and  $v_2 = \tau_2$ , the action of  $\sigma$  on  $\mathbb{C}_v^{3g-3}$  is  $v_1 \mapsto \zeta_6^2 v_1, v_2 \mapsto \zeta_6^2 v_2$  and  $v_i \mapsto v_i, i \neq 1, 2$ . We compute  $\operatorname{age}(\sigma, \zeta_6^2) = \frac{1}{3} + \frac{1}{3} + 0 + \dots + 0 = \frac{2}{3} < 1$ , that is,  $[X, \eta, \beta] \in \overline{\mathcal{R}}_g$  is a non-canonical singularity. Similarly, an elliptic tail automorphism of order 3 with respect to  $C_j$  acts via  $\tau_1 \mapsto \zeta_3^2 \tau_1, \tau_2 \mapsto \zeta_3 \tau_2$  and  $\tau_i \mapsto \tau_i, i \neq 1, 2$ , and then for the action on  $\mathbb{C}_v^{3g-3}$  as  $v_1 \mapsto \zeta_3 v_1$ ,  $v_2 \mapsto \zeta_3 v_2$  and  $v_i \mapsto v_i$  for  $i \neq 1, 2$ . This gives a value of  $\frac{2}{3}$  for the age.  $\square$ 

Suppose that  $[X, \eta, \beta] \in \overline{\mathcal{R}}_g$  is a non-canonical singularity. Then there exists an automorphism  $\sigma \in \operatorname{Aut}(X, \eta, \beta)$  of order n which acts on  $\mathbb{C}_v^{3g-3}$  such that  $\operatorname{age}(\sigma, \zeta_n) < 1$ . Let  $p_{i_0}, p_{i_1} = \sigma_C(p_{i_0}), \ldots, p_{i_{m-1}} = \sigma_C^{m-1}(p_{i_0})$  be distinct nodes of C which are cyclicly permuted by the induced automorphism  $\sigma_C$  and  $p_{i_j}$  is *not* an elliptic tail node. The action of  $\sigma$  on the subspace  $\bigoplus_j \mathbb{C}_{\tau_{i_j}} \subset \mathbb{C}_\tau^{3g-3}$  is given by the matrix

$$B = \begin{pmatrix} 0 & c_1 & & \\ \vdots & & \ddots & \\ 0 & & & c_{m-1} \\ c_m & 0 & \cdots & 0 \end{pmatrix}$$

for appropriate scalars  $c_j \neq 0$ . The pair  $((X, \eta, \beta), \sigma)$  is said to be *singularity reduced* if for every such cycle we have that  $\prod_{j=1}^m c_j \neq 1$ .

**Proposition 6.8.** ([HM], [Lud] Proposition 3.6) There exists a deformation  $(X', \eta', \beta')$  of  $(X, \eta, \beta)$  such that  $\sigma$  deforms to an automorphism  $\sigma' \in \operatorname{Aut}(X', \eta', \beta')$  and the nodes of every

cycle of nodes as above with  $\prod_{i=1}^m c_i = 1$  are smoothed. The pair  $((X', \eta', \beta'), \sigma')$  is then singularity reduced and the action of  $\sigma$  on  $\mathbb{C}^{3g-3}_v$  and that of  $\sigma'$  on  $\mathbb{C}^{3g-3}_{v'}$  have the same eigenvalues and hence the same age.

We fix a singularity reduced pair  $((X, \eta, \beta), \sigma)$  with  $n := \operatorname{ord}(\sigma) \geq 2$  and assume that  $age(\sigma, \zeta_n) < 1$ . We denote this assumption by  $(\star)$ . Using [Lud] Proposition 3.7 we obtain that if  $(\star)$  holds, the induced automorphism  $\sigma_C$  of C = st(X) fixes every node with the possible exception of two nodes which are interchanged.

**Proposition 6.9.** If  $(\star)$  holds, then  $\sigma_C$  fixes all components of the stable model C of X.

*Proof.* Let  $C_{i_0}, C_{i_1} = \sigma_C(C_{i_0}), \dots, C_{i_{m-1}} = \sigma_C^{m-1}(C_{i_0})$  be distinct components of C,  $\sigma_C^m(C_{i_0}) = C_{i_0}$  and assume that  $m \geq 2$ . Most of the proof of Proposition 3.8. in [Lud] applies to the case of Prym curves and implies that the normalization  $C_{i_0}^{\nu}$  is rational and there are exactly three preimages of nodes  $p_1^+, p_2^+, p_3^+ \in C_{i_0}^{\nu}$  mapping to different nodes of C. By [Lud] Proposition 3.7 at least one of  $p_1$ ,  $p_2$ ,  $p_3$  is fixed by  $\sigma_C$ . If either one or all three nodes are fixed, then g(C) = 2, impossible. Thus two nodes, say  $p_1$  and  $p_2$ , are fixed by  $\sigma_C$  while  $p_3$  is interchanged with a fourth node  $p_4$ . Interchanging  $p_3$  and  $p_4$  gives a contribution of  $\frac{1}{2}$  to age $(\sigma, \zeta_n)$ . Now consider the action of  $\sigma_C$  near  $p_1$  and let xy = 0 be a local equation of C at  $p_1$ . We have that  $t_1 = xy \mapsto yx = t_1$  and  $\tau_1 \mapsto \pm \tau_1$ , where the minus sign is only possible if  $p_1 \in N$ . Since  $p_1$  is not an elliptic tail node and  $((X, \eta, \beta), \sigma)$  is singularity reduced, we have  $\tau_1 \mapsto -\tau_1$ , which gives an additional contribution of  $\frac{1}{2}$  to the age, that is,  $age(\sigma, \zeta_n) \ge \frac{1}{2} + \frac{1}{2} = 1$ , contradicting  $(\star)$ .

**Proposition 6.10** ([HM] p. 28, 36, [Lud] Proposition 3.9). We assume that  $(\star)$  holds and denote by  $\varphi_j = \sigma^{\nu}_{|C_j^{\nu}|}$  the induced automorphism of the normalization  $C_j^{\nu}$  of the irreducible component  $C_j$  of C. Then the pair  $(C_j^{\nu}, \varphi_j)$  is one of the following types:

- (i)  $\varphi_j = \operatorname{Id}_{C_j^{\nu}}$  and  $C_j^{\nu}$  arbitrary. (ii)  $C_j^{\nu}$  is rational and  $\operatorname{ord}(\varphi_j) = 2, 4$ . (iii)  $C_j^{\nu}$  is elliptic and  $\operatorname{ord}(\varphi_j) = 2, 4, 3, 6$ .
- (iv)  $C_i^{\nu}$  is hyperelliptic of genus 2 and  $\varphi_i$  is the hyperelliptic involution.
- (v)  $C_i^{\nu}$  is hyperelliptic of genus 3 and  $\varphi_j$  is the hyperelliptic involution.
- (vi)  $C_i^{\nu}$  is bielliptic of genus 2 and  $\varphi_j$  is the associated involution.

The possibility of  $\sigma_C$  interchanging two nodes does not appear, cf. [Lud] Prop. 3.10:

**Proposition 6.11.** Under the assumption  $(\star)$ , the automorphism  $\sigma_C$  fixes all the nodes of C.

**Proposition 6.12.** Assume  $(\star)$  holds. Let  $C_j$  be a component of C with normalization  $C_j^{\nu}$ ,  $D_j$  the divisor of the marked points on  $C_j^{\nu}$  and  $\varphi_j = \sigma_{|C_i^{\nu}|}^{\nu}$ . Then  $(C_j^{\nu}, D_j, \varphi_j)$  is of one of the following types and the contribution to  $age(\sigma,\zeta_n)$  coming from  $H^1(C_i^{\nu},T_{C_i^{\nu}}(-D_j))\subset \mathbb{C}_v^{3g-3}$ is at least the following quantity  $w_i$ :

- (i) Identity component:  $\varphi_j = \operatorname{Id}_{C_i^{\nu}}$ , arbitrary pair  $(C_i^{\nu}, D_j)$  and  $w_j = 0$
- (ii) Elliptic tail:  $C_i^{\nu}$  is elliptic,  $D_j = p_1^+$  and  $p_1^+$  is fixed by  $\varphi_j$ . order 2:  $\operatorname{ord}(\varphi_i) = 2$  and  $w_i = 0$

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order 4: C_j^{\nu} has j-invariant 1728, \operatorname{ord}(\varphi_j) = 4 and w_j = \frac{1}{2} order 3, 6: C_j^{\nu} has j-invariant 0, \operatorname{ord}(\varphi_j) = 3 or 6 and w_j = \frac{1}{3}
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- (iii) Elliptic ladder:  $C_j^{\nu}$  is elliptic,  $D_j = p_1^+ + p_2^+$ , with  $p_1^+$  and  $p_2^+$  both fixed by  $\varphi_j$ . order 2:  $\operatorname{ord}(\varphi_j) = 2$  and  $w_j = \frac{1}{2}$ order 4:  $C_j^{\nu}$  has j-invariant 1728,  $\operatorname{ord}(\varphi_j) = 4$  and  $w_j = \frac{3}{4}$ order 3:  $C_j^{\nu}$  has j-invariant 0,  $\operatorname{ord}(\varphi_j) = 3$  and  $w_j = \frac{2}{3}$
- order 3:  $C_j^{\nu}$  has j-invariant 0,  $\operatorname{ord}(\varphi_j)=3$  and  $w_j=\frac{2}{3}$  (iv) Hyperelliptic tail:  $C_j^{\nu}$  has genus 2,  $\varphi_j$  is the hyperelliptic involution,  $D_j$  is of the form  $D_j=p_1^+$  with  $p_1^+$  fixed by  $\varphi_j$  and  $w_j=\frac{1}{2}$ .

*Proof.* The proof is along the lines of the proof of Proposition 3.11 in [Lud]. The only difference occurs in the case of a singular elliptic tail on which  $\sigma$  acts with order 2. Assume that  $C_j^{\nu}$  is rational,  $D_j = p_1^+ + p_1^- + p_2$ , with  $\operatorname{ord}(\varphi_j) = 2$  which fixes  $p_2^+$  and interchanges  $p_1^+$  and  $p_1^-$ . If xy = 0 is an equation for C at  $p_1$ , then  $\sigma_C$  acts via  $t_1 = xy \mapsto yx = t_1$ . Since  $p_1$  is not an elliptic tail node and  $((X, \eta, \beta), \sigma)$  is singularity reduced, the node  $p_1$  must be exceptional and  $\sigma \cdot \tau_1 = -\tau_1$ .

A deformation of  $(X,\eta,\beta)$  over the locus  $(\tau_i=0)_{i\neq 1}\subset \mathbb{C}_{\tau}^{3g-3}$  smooths  $p_1$ . Furthermore,  $\sigma$  deforms to an automorphism  $\sigma'$  of a general Prym curve  $(X',\eta',\beta')$  over this locus,  $\varphi_j$  deforms to the involution  $\varphi_j'$  on the smooth elliptic tail  $C_j'$  such that it fixes the line bundle  $\eta'_{C_j'}$ , and the restrictions of  $\sigma$  and  $\sigma'$  to the complement of  $C_j$  resp.  $C_j'$  coincide. Over the non-exceptional subcurve  $\widetilde{X}\subset X$  we have  $(\widetilde{\sigma}')^*\widetilde{\eta}'\cong\widetilde{\eta}'$ . Thus  $\sigma\cdot\tau_1=\tau_1$  which is a contradiction. The case of a singular elliptic tail is thus excluded.

**Proposition 6.13.** *Under the hypothesis*  $(\star)$ *, the hyperelliptic tail case does not occur.* 

*Proof.* Let  $C_j$  be a genus 2 tail of C and  $C_{j'}$  the second component through  $p_1$ . The action of  $\sigma$  on  $H^1(C_j^{\nu}, T_{C_j^{\nu}}(-D_j))$  contributes  $\frac{1}{2}$  to the age of  $\sigma$  and  $C_{j'}$  has to be one of the cases of Proposition 6.12. If  $C_{j'}$  is elliptic, then g(C)=3. If  $C_{j'}$  is a hyperelliptic tail or an elliptic ladder, the action on  $H^1(C_{j'}^{\nu}, T_{C_{j'}^{\nu}}(-D_{j'}))$  contributes at least  $\frac{1}{2}$ . Therefore  $C_{j'}$  is an identity component. If xy=0 is an equation for C at  $p_1$ , then  $\sigma_C$  acts via  $t_1=xy\mapsto -xy=-t_1$ . The node  $p_1$  is disconnecting, hence non-exceptional, and it is not an elliptic tail node. Therefore,  $v_1=\tau_1=t_1$  and  $\sigma$  acts as  $\sigma\cdot v_1=-v_1$ . This gives an additional contribution of  $\frac{1}{2}$  to the age of  $\sigma$  finishing the proof.

**Proposition 6.14.** *In situation* ( $\star$ ) *the elliptic ladder cases do not occur.* 

*Proof.* Let  $C_j$  be an elliptic ladder of C of order  $n_j = \operatorname{ord}(\varphi_j)$  and denote by  $C_{j'}$  resp.  $C_{j''}$  the second component through the node  $p_1$  resp.  $p_2$ . Since every elliptic ladder contributes at least  $\frac{1}{2}$  to the age,  $C_{j'}$  and  $C_{j''}$  can only be elliptic tails or identity components. If both are elliptic tails, then g(C) = 3, hence we may assume that  $C_{j'}$  is an identity component. If xy = 0 is an equation for C at  $p_1$ , then  $\sigma_C$  acts as  $x \mapsto x$ ,  $y \mapsto \alpha y$  and  $t_1 \mapsto \alpha t_1$ , where  $\alpha$  is a primitive  $n_j$ -th root of 1. If  $p_1$  is non-exceptional

then  $v_1 = \tau_1 = t_1$  and the space  $H^1(C_j^{\nu}, T_{C_i^{\nu}}(-D_j)) \oplus \mathbb{C} \cdot v_1$  contributes to the age at least

$$1 = \begin{cases} \frac{1}{2} + \frac{1}{2} & \text{if } n_j = 2\\ \frac{3}{4} + \frac{1}{4} & \text{if } n_j = 4\\ \frac{2}{3} + \frac{1}{3} & \text{if } n_j = 3 \end{cases}$$

Therefore  $p_1 \in N$ . Since  $N \subset \Gamma(C)$  is an eulerian subgraph, the node  $p_2$  is also exceptional, both  $p_1$  and  $p_2$  are non-disconnecting and  $C_{j''}$  is an identity component as well. Moreover  $\sigma_C \cdot t_i = \alpha t_i$ , i = 1, 2. Since  $v_i = \tau_i$  and  $\tau_i^2 = t_i$  for i = 1, 2, we find that  $\sigma \cdot v_i = \alpha_i v_i$ , i = 1, 2, where  $\alpha_i$  is a square root of  $\alpha$ . Therefore, the contribution to the age of  $\sigma$  coming from  $H^1(C_j^{\nu}, T_{C_j^{\nu}}(-D_j)) \oplus \mathbb{C} \cdot v_1 \oplus \mathbb{C} \cdot v_2$  is at least

$$1 = \begin{cases} \frac{1}{2} + \frac{1}{4} + \frac{1}{4} & \text{if } n_j = 2\\ \frac{3}{4} + \frac{1}{8} + \frac{1}{8} & \text{if } n_j = 4\\ \frac{2}{3} + \frac{1}{6} + \frac{1}{6} & \text{if } n_j = 3 \end{cases}$$

and the case of elliptic ladders is excluded

**Proposition 6.15.** *Under hypothesis*  $(\star)$ *, the case of an elliptic tail of order* 4 *does not occur.* 

*Proof.* Let  $C_j$  be an elliptic tail of order 4 and  $C_{j'}$  another component of C through  $p_1$ . Then  $\sigma_{C|C'_j} = \operatorname{Id}_{C'_j}$  and  $\sigma_C$  acts as  $t_1 = xy \mapsto \zeta_4 xy = \zeta_4 t_1$  for a suitable fourth root  $\zeta_4$  of 1. Since  $p_1$  is an elliptic tail node, we have  $v_1 = t_1^2$  and  $\sigma \cdot v_1 = -v_1$ . The action of  $\sigma$  on  $H^1(C_j^{\nu}, T_{C_j^{\nu}}(-D_j)) \oplus \mathbb{C} \cdot v_1$  contributes  $\geq \frac{1}{2} + \frac{1}{2} = 1$  to  $\operatorname{age}(\sigma, \zeta_4)$  excluding this case.  $\square$ 

**Proposition 6.16.** *In situation*  $(\star)$  *there has to be at least one elliptic tail of order* 3 *or* 6.

*Proof.* Assume to the contrary that every component of C is either an identity component or an elliptic tail of order 2. The action of  $\sigma$  on every space  $H^1(C_j^{\nu}, T_{C_j^{\nu}}(-D_j))$  is trivial. If  $p_1$  is the node of an elliptic tail of order 2, then  $\sigma_C \cdot t_1 = -t_1$  and we have  $v_1 = \tau_1^2 = t_1^2$  and  $\sigma \cdot v_1 = v_1$ . In case  $p_1$  is non-exceptional but not an elliptic tail node,  $\sigma_C \cdot t_1 = t_1$ . Since  $v_1 = \tau_1 = t_1$ , we find that  $\sigma$  fixes  $v_1$ . If  $p_1 \in N$ , then  $\sigma_C \cdot t_1 = t_1$  and  $v_1^2 = v_1^2 = t_1$  and  $\sigma$  acts as  $v_1 \mapsto \pm v_1$ . Since  $\operatorname{age}(\sigma, \zeta_n) < 1$ , there is exactly one node  $v_1$  such that  $v_1^2 = v_2^2 = v_1^2$ , that is,  $v_2^2 = v_1^2 = v_1^2$ , a contradiction.  $v_1^2 = v_2^2 = v_1^2 = v_1^2$ 

Proof of the only-if-part of Theorem 6.7. We proved, that if  $((X,\eta,\beta),\sigma)$  is a singularity reduced pair and  $\operatorname{age}(\sigma,\zeta_n)<1$ , where  $n=\operatorname{ord}(\sigma)$ , there exists an elliptic tail  $C_j\subset C$  with  $\operatorname{Aut}(C_j)=\mathbb{Z}_6$  such that  $\operatorname{ord}(\sigma_{C_j})\in\{3,6\}$ . Since  $\sigma_{C_j}^*(\eta_{C_j})\cong\eta_{C_j}$ , we find that  $\eta_{C_j}=\mathcal{O}_{C_j}$ . Let  $((X,\eta,\beta),\sigma)$  be a pair consisting of a Prym curve and an automorphism such that the  $\operatorname{age}(\sigma,\zeta_n)<1$ . By Proposition 6.8 we may deform  $((X,\eta,\beta),\sigma)$  to a singularity reduced pair  $((X',\eta',\beta'),\sigma')$  such that the actions of  $\sigma$  on  $\mathbb{C}_v^{3g-3}$  and  $\sigma'$  on  $\mathbb{C}_v^{3g-3}$  have the same ages. Therefore X' has an elliptic tail  $C_j'$  with  $\operatorname{Aut}(C_j')=\mathbb{Z}_6$  such that  $\eta'_{C_j'}$  is trivial and  $\sigma'$  acts on  $C_j'$  of order 3 or 6. In the deformation of  $(X,\eta,\beta)$  to  $(X',\eta',\beta')$  elliptic tails are preserved hence  $((X,\eta,\beta),\sigma)$  enjoys the same properties.  $\square$ 

**Remark 6.17.** If  $\sigma \in \operatorname{Aut}(X, \eta, \beta)$  satisfies the inequality  $\operatorname{age}(\sigma, \zeta_n) < 1$  (with respect to the action on  $\mathbb{C}_v^{3g-3}$ ), then  $\sigma$  is an elliptic tail automorphism and  $\operatorname{ord}(\sigma) \in \{3, 6\}$ . Indeed, we already know that  $\sigma_C \in \operatorname{Aut}(C)$  acts with order 3 or 6 on an elliptic tail  $C_i$ .

The action of  $\sigma$  on  $H^1(C_j^{\nu}, T_{C_j^{\nu}}(-D_j))$  and the v-coordinate corresponding to the elliptic tail node on  $C_j$  contributes at least  $\frac{2}{3}$  to  $\operatorname{age}(\sigma, \zeta_n)$ . Thus there is exactly one elliptic tail of order 3 or 6 and  $\sigma_C$  is an elliptic tail automorphism of the same order. If  $\sigma$  is not an elliptic tail automorphism of X, then there exists an exceptional component  $E_1 \subset X$  on which  $\sigma$  acts non-trivially. Since  $E_1$  connects two non-exceptional components of X on which  $\sigma$  acts trivially,  $\sigma \cdot v_1 = -v_1$ , giving a contribution of  $\frac{1}{2}$  and an age  $\geq \frac{2}{3} + \frac{1}{2} \geq 1$ .

Proof of Theorem 6.1. We start with a pluricanonical form  $\omega$  on  $\overline{\mathcal{R}}_g^{\mathrm{reg}}$  and show that  $\omega$  lifts to a desingularization of a neighbourhood of every point  $[X,\eta,\beta]\in\overline{\mathcal{R}}_g$ . We may assume that  $[X,\eta,\beta]$  is a general non-canonical singularity of  $\overline{\mathcal{R}}_g$ , hence  $X=C_1\cup_p C_2$ , where  $[C_1,p]\in\mathcal{M}_{g-1,1}$  is general and  $[C_2,p]\in\mathcal{M}_{1,1}$  has j-invariant 0. Furthermore  $\eta_{C_2}=\mathcal{O}_{C_2}$  and  $\eta_1:=\eta_{C_1}\in\mathrm{Pic}^0(C_1)[2]$ . We consider the pencil  $\phi:\overline{\mathcal{M}}_{1,1}\longrightarrow\overline{\mathcal{R}}_g$  given by  $\phi[C',p]=[C'\cup_p C_1,\eta_{C'}=\mathcal{O}_{C'},\eta_{C_1}=\eta_1]$ . Since  $\phi(\overline{\mathcal{M}}_{1,1})\cap\Delta_0^{\mathrm{ram}}=\emptyset$ , we imitate [HM] pg. 41-44 and construct an explicit open neighbourhood  $\overline{\mathcal{R}}_g\supset S\supset\phi(\overline{\mathcal{M}}_{1,1})$  such that the restriction to S of  $\pi:\overline{\mathcal{R}}_g\to\overline{\mathcal{M}}_g$  is an isomorphism and every form  $\omega\in H^0(\overline{\mathcal{R}}_g^{\mathrm{reg}},K_{\overline{\mathcal{R}}_g^{\mathrm{reg}}})$ 

extends to a resolution  $\widehat{S}$  of S. For an arbitrary non-canonical singularity we show that  $\omega$  extends locally to a desingularizaton along the lines of [Lud] Theorem 4.1.

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