

Please hand in your solutions after the lectures if you want them to be corrected.

**Problem 1.** Fix  $\alpha \in \mathbb{C}$ . Sending an open  $U \subset X = \mathbb{C} \setminus \{0\}$  to the complex vector space

$$\mathcal{L}_\alpha(U) = \{f : U \rightarrow \mathbb{C} \text{ holomorphic} \mid zf'(z) = \alpha f(z)\}.$$

gives a local system on  $X$ . Compute its monodromy around a generator of  $\pi_1(X, 1)$ .

**Problem 2.** Show that for the Weyl algebra  $\mathcal{D} = \mathbb{C}[z]\langle \partial \rangle$  one has an isomorphism of left  $\mathcal{D}$ -modules

$$\varphi : \mathcal{D}/\mathcal{D}(z(z+1)\partial + 1) \xrightarrow{\sim} \mathcal{D}/\mathcal{D}(z\partial + 1).$$

**Problem 3.** Let  $k$  be a field. Consider the Weyl algebra  $\mathcal{D} = \mathcal{D}_{n,K}$  over  $K = k(s)$  and fix a non-constant polynomial  $f \in k[x_1, \dots, x_n]$ .

(a) Show that  $\mathcal{M} = K[x_1, \dots, x_n, 1/f]$  is endowed with a left  $\mathcal{D}$ -module structure via

$$x_i(g) := x_i \cdot g, \quad \partial_i(g) := \frac{\partial g}{\partial x_i} + \frac{sg}{f} \cdot \frac{\partial f}{\partial x_i} \quad \text{for } g \in \mathcal{M}.$$

(b) Is this isomorphic to the “usual”  $\mathcal{D}$ -module structure where  $\partial_i(g) := \frac{\partial g}{\partial x_i}$ ?

**Problem 4.** Determine the Bernstein-Sato polynomial  $b_f(s) \in \mathbb{Q}[s]$  for each of the polynomials

(a)  $f(x_1, \dots, x_n) = x_1^{e_1} \cdots x_n^{e_n}$  with  $e_1, \dots, e_n \in \mathbb{N}_0$ ,

(b)  $f(x_1, x_2) = x_1^2 - x_2^3$ .

Please hand in your solutions after the lectures if you want them to be corrected.

**Problem 1.** Let  $\mathcal{D} = \mathcal{D}_{n,k}$  be the Weyl algebra in  $n$  variables over a field  $k$ .

- (a) Check that both for the order and for the Bernstein filtration the associated graded  $gr_{\bullet}^F(\mathcal{D})$  is a polynomial ring. What is the degree of the variables?
- (b) In both cases, find the biggest  $\delta \in \mathbb{N}_0$  with  $[F_i\mathcal{D}, F_j\mathcal{D}] \subseteq F_{i+j-\delta}\mathcal{D}$  for all  $i, j$ .

**Problem 2.** In the lecture we have used that for a function  $h : \mathbb{Z} \rightarrow \mathbb{Q}$  the following are equivalent:

- (a) There exists  $p(t) \in \mathbb{Q}[t]$  with  $h(i) = p(i)$  for all large enough  $i \in \mathbb{Z}$ .
- (b) There exists  $q(t) \in \mathbb{Q}[t]$  with  $h(i) - h(i-1) = q(i)$  for all large enough  $i \in \mathbb{Z}$ .

Verify this statement and show that in this case  $\deg(p) = \deg(q) + 1$ .

**Problem 3.** (a) Show by induction on the degree that

$$R = \{p \in \mathbb{Q}[t] \mid p(i) \in \mathbb{Z} \text{ for all sufficiently large } i \in \mathbb{Z}\}$$

is a free abelian group on the polynomials

$$p_d(t) = \frac{t(t-1)\cdots(t-d+1)}{d!} \quad \text{for } d \in \mathbb{N}_0.$$

(b) Let  $\mathcal{D} = \mathcal{D}_{n,k}$  be the Weyl algebra over a field  $k$ . Deduce that if we write the Hilbert polynomial of a finitely generated  $\mathcal{D}$ -module  $\mathcal{M}$  with a good filtration  $F_{\bullet}\mathcal{M}$  as

$$p_{\mathcal{M}, F_{\bullet}}(t) = c \cdot \frac{t^d}{d!} + \text{lower order terms}, \quad \text{then } c \geq 0 \text{ is an integer.}$$

Please hand in your solutions after the lectures if you want them to be corrected.

**Problem 1.** Let  $\mathcal{D} = k[x]\langle\partial\rangle$  for a field  $k$  of characteristic zero.

- (a) Show that the morphism  $\varphi : \mathcal{D} \oplus \mathcal{D} \rightarrow \mathcal{D}$ ,  $(a, b) \mapsto a\partial + bx$  is onto.
- (b) Show that  $\ker(\varphi)$  is isomorphic to the left submodule  $\mathcal{D} \cdot x^2 + \mathcal{D} \cdot \partial x \subset \mathcal{D}$  and deduce that the latter is projective. Is it free?

**Problem 2.** Let  $\mathcal{D} = k[x]\langle\partial\rangle$  as above.

- (a) Compute  $\text{Ext}_{\mathcal{D}}^{\bullet}(\mathcal{M}_2, \mathcal{M}_1)$  for all choices of  $\mathcal{M}_i = \mathcal{D}/\mathcal{D}P_i$  with  $P_1, P_2 \in \{x, \partial\}$ .
- (b) In all cases where  $\text{Ext}_{\mathcal{D}}^1(\mathcal{M}_2, \mathcal{M}_1) \neq \{0\}$ , try to describe explicitly a nonsplit extension

$$0 \rightarrow \mathcal{M}_1 \rightarrow \mathcal{M} \rightarrow \mathcal{M}_2 \rightarrow 0 \quad \text{with} \quad \mathcal{M} \in \text{Mod}(\mathcal{D}).$$

**Problem 3.** Consider  $\mathcal{D} = \mathcal{D}_{n,k}$  with the order filtration. For  $P \in F_d(\mathcal{D}) \setminus F_{d-1}(\mathcal{D})$  we define its *symbol* to be the image  $\sigma(P) = [P] \in \text{Gr}_d^F(\mathcal{D})$ . More generally, for a left ideal  $\mathcal{I} \trianglelefteq \mathcal{D}$  we put

$$\sigma(\mathcal{I}) = \langle \sigma(P) \mid P \in \mathcal{I} \rangle \trianglelefteq \text{Gr}_{\bullet}^F(\mathcal{D})$$

Show that

- (a) the characteristic variety of  $\mathcal{M} = \mathcal{D}/\mathcal{I}$  is the zero locus  $\text{Char}(\mathcal{M}) = Z(\sigma(\mathcal{I}))$ ,
- (b) it can happen that  $\mathcal{I} = \mathcal{D} \cdot (P_1, \dots, P_m)$  but  $Z(\sigma(\mathcal{I})) \neq Z(\sigma(P_1), \dots, \sigma(P_m))$ .

**Problem 4.** We say that in an abelian category a morphism  $\varphi : \mathcal{M} \rightarrow \mathcal{N}$  of filtered objects is *strict* if  $F_i(\mathcal{N}) \cap \varphi(\mathcal{M}) = \varphi(F_i(\mathcal{M}))$  for all  $i \in \mathbb{Z}$ .

- (a) Show that for any exact sequence of strict morphisms of filtered objects the associated sequence of graded objects is exact. Can strictness be dropped?
- (b) Show that for  $\mathcal{D} = \mathcal{D}_{n,k}$  every  $\mathcal{M} \in \text{Mod}(\mathcal{D})$  with a good filtration admits a resolution

$$\dots \xrightarrow{d_2} \mathcal{M}_1 \xrightarrow{d_1} \mathcal{M}_0 \xrightarrow{d_0} \mathcal{M} \rightarrow 0$$

where the  $\mathcal{M}_i = \bigoplus_{j=1}^{n_i} \mathcal{D}(a_{ij})$  are free filtered modules and all  $d_i$  are strict.

Let  $X$  be a smooth variety over an algebraically closed field  $k$ . Unless otherwise stated we always assume that  $\text{char}(k) = 0$ .

**Problem 1.** Let  $\mathcal{T}$  be a Lie algebroid on  $X$  and  $U(\mathcal{T}/\mathcal{O}_X)$  its universal enveloping algebra as defined in the lecture. Show that sheaves of left modules for  $U(\mathcal{T}/\mathcal{O}_X)$  correspond bijectively to  $\mathcal{O}_X$ -modules  $\mathcal{M}$  endowed with a homomorphism of sheaves of Lie algebras

$$\mathcal{T} \oplus \mathcal{O}_X \longrightarrow \mathcal{E}nd_k(\mathcal{M})$$

such that

$$\begin{aligned} f \cdot (\xi \cdot m) &= (f \cdot \xi) \cdot m, \\ \xi \cdot (f \cdot m) &= \xi(f) \cdot m + f \cdot (\xi \cdot m) \quad \forall f \in \mathcal{O}_X, \xi \in \mathcal{T}, m \in \mathcal{M}. \end{aligned}$$

Can you formulate corresponding statements for right modules?

**Problem 2.** In the lecture we formally defined the *symbol* of  $P \in F_d \mathcal{D}_X$  in local coordinates  $x_1, \dots, x_n$  as the  $d$ -fold commutator

$$\sigma_d(P) = \frac{1}{d!} [\dots [[P, f], f], \dots, f] \in \mathcal{O}_X[\xi_1, \dots, \xi_n] \quad \text{with} \quad f = \sum_{i=1}^n \xi_i \partial_i.$$

Verify that

$$\sigma_d\left(\sum_{|I| \leq d} f_I \partial^I\right) = \sum_{|I|=d} f_I \xi^I \quad \text{for all } f_I \in \mathcal{O}_X.$$

**Problem 3.** In the lecture we have seen three possible definitions for the sheaf of differential operators on  $X$ : As the universal enveloping algebra of the tangent Lie algebroid, its image inside  $\mathcal{E}nd_k(\mathcal{O}_X)$ , or via Grothendieck's definition. Are the two maps

$$U(\mathcal{T}_X/\mathcal{O}_X) \rightarrow \mathcal{D}_X \hookrightarrow \mathcal{D}iff_X$$

isomorphisms for the affine line  $X = \mathbb{A}_k^1$  in characteristic  $\text{char}(k) = p > 0$ ?

**Problem 4.** Show directly from the definition of the sheaf  $\mathcal{D}iff_X \subset \mathcal{E}nd_k(\mathcal{O}_X)$  of Grothendieck differential operators that if  $x_1, \dots, x_n$  is a local coordinate system on  $X$ , then

$$\{P \in \mathcal{D}iff_X \mid [P, x_i] = 0 \text{ for } i = 1, \dots, n\} = \mathcal{O}_X.$$

Let  $X$  be a smooth variety over an algebraically closed field  $k$  with  $\text{char}(k) = 0$ .

**Problem 1.** Let  $\mathcal{E}$  be a locally free  $\mathcal{O}_X$ -module with a connection  $\nabla : \mathcal{E} \rightarrow \Omega_X^1(\mathcal{E})$ .

(a) Show that

$$(\nabla\alpha)(\xi\otimes\eta) = \nabla_\xi(\alpha(\eta)) - \nabla_\eta(\alpha(\xi)) - \alpha([\xi, \eta]) \quad \text{for all } \alpha \in \Omega_X^1(\mathcal{E}), \xi, \eta \in \mathcal{T}_X.$$

(b) Deduce that the connection  $\nabla$  is flat iff  $[\nabla_\xi, \nabla_\eta] = \nabla_{[\xi, \eta]}$ .

**Problem 2.** Assume that  $X = \text{Spec}(A)$  is affine, and put  $D = H^0(X, \mathcal{D}_X)$ .

(a) Fix  $f \in A$ . Show that for every  $P \in D$  there exist  $Q_1, Q_2 \in D$  and  $n_1, n_2 \in \mathbb{N}$  with

$$P \cdot f^{n_1} = f \cdot Q_1 \quad \text{and} \quad f^{n_2} \cdot P = Q_2 \cdot f.$$

(b) Deduce that  $D \otimes_A A_f \simeq A_f \otimes_A D \simeq H^0(U, \mathcal{D}_X)$  for the open  $U = \text{Spec}(A_f)$ .

**Problem 3.** Let  $V$  be an  $n$ -dimensional  $k$ -vector space with dual  $V^* = \text{Hom}_k(V, k)$ , say

$$\begin{aligned} V &= \text{Spec } k[x_1, \dots, x_n] && \text{with linear coordinates } x_1, \dots, x_n \in V^* \\ V^* &= \text{Spec } k[y_1, \dots, y_n] && \text{with the dual coordinates } y_1, \dots, y_n \in V^{**} \end{aligned}$$

where the dual coordinates satisfy  $y_i(x_j) = \delta_{ij}$ . Define the *Fourier transform* as the functor

$$\Phi_V : \text{Mod}_{qc}(\mathcal{D}_V) \longrightarrow \text{Mod}_{qc}(\mathcal{D}_{V^*})$$

which is the identity on the underlying vector spaces of global sections but with  $\mathcal{D}_{V^*}$  acting on the Fourier transform of  $\mathcal{M} \in \text{Mod}_{qc}(\mathcal{D}_V)$  by

$$\left. \begin{aligned} y_i \cdot m &:= \partial_{x_i} \cdot m \\ \partial_{y_i} \cdot m &:= -x_i \cdot m \end{aligned} \right\} \text{ for } m \in H^0(V^*, \Phi_V(\mathcal{M})) = H^0(V, \mathcal{M}).$$

Show that  $\Phi_V$  is an equivalence of categories and does not depend on the chosen dual coordinate systems. Deduce that for any linear map  $f : V \rightarrow W$  of vector spaces the naive pushforward for  $\mathcal{D}$ -modules and the pullback under the transpose map  $f^t : W^* \rightarrow V^*$  satisfy

$$\Phi_W \circ f_{naive} = (f^t)^* \circ \Phi_V.$$

Let  $X$  be a smooth variety over an algebraically closed field  $k$  with  $\text{char}(k) = 0$ . In what follows  $\otimes = \otimes_{\mathcal{O}_X}$  always denotes the tensor product over  $\mathcal{O}_X$ .

**Problem 1.** Show that extending scalars via the homomorphism  $\mathcal{O}_X \rightarrow \mathcal{D}_X$  gives exact functors

$$\begin{array}{ccc} \text{Coh}(\mathcal{O}_X) & \longrightarrow & \text{Coh}(\mathcal{D}_X), \\ \mathcal{F} & \mapsto & \mathcal{D}_X \otimes \mathcal{F} \end{array} \qquad \begin{array}{ccc} \text{Coh}(\mathcal{O}_X) & \longrightarrow & \text{Coh}(\mathcal{D}_X), \\ \mathcal{G} & \mapsto & \mathcal{G} \otimes \mathcal{D}_X \end{array}$$

and that one has the following isomorphisms of right  $\mathcal{D}_X$ -modules:

- $\omega_X \otimes (\mathcal{D}_X \otimes \mathcal{F}) \simeq \mathcal{G} \otimes \mathcal{D}_X$  for the coherent sheaf  $\mathcal{G} = \omega_X \otimes \mathcal{F}$ ,
- $\mathcal{E}xt_{\mathcal{D}_X}^j(\mathcal{D}_X \otimes \mathcal{F}, \mathcal{D}_X) \simeq \mathcal{G} \otimes \mathcal{D}_X$  for all  $j \in \mathbb{N}_0$  and  $\mathcal{G} = \mathcal{E}xt_{\mathcal{O}_X}^j(\mathcal{F}, \mathcal{O}_X)$ .

**Problem 2.** Show that for the Dirac module  $\delta_p \in \text{Coh}(\mathcal{D}_X)$  on a point  $p \in X$  one has

$$\mathcal{E}xt_{\mathcal{D}_X}^j(\delta_p, \mathcal{D}_X) \simeq \begin{cases} \omega_X \otimes \delta_p & \text{if } j = \dim X, \\ 0 & \text{otherwise.} \end{cases}$$

**Problem 3.** Show that the left  $\mathcal{D}_X$ -module  $\mathcal{O}_X$  admits a locally free resolution of the form

$$\mathcal{D}_X \otimes \text{Alt}_{\mathcal{O}_X}^n(\mathcal{T}_X) \xrightarrow{d} \mathcal{D}_X \otimes \text{Alt}_{\mathcal{O}_X}^{n-1}(\mathcal{T}_X) \xrightarrow{d} \cdots \xrightarrow{d} \mathcal{D}_X \otimes \mathcal{T}_X \xrightarrow{d} \mathcal{D}_X \xrightarrow{\varphi} \mathcal{O}_X.$$

Here  $\varphi(P) := P(1)$  and the differentials are described in local coordinates  $(x_i, \partial_i)$  by

$$d(P \otimes \partial_{i_1} \wedge \cdots \wedge \partial_{i_r}) = \sum_{\nu=1}^r (-1)^{\nu+1} (P \cdot \partial_{i_\nu}) \otimes \partial_{i_1} \wedge \cdots \wedge \widehat{\partial_{i_\nu}} \wedge \cdots \wedge \partial_{i_r}$$

where the notation on the right hand side means that the  $\nu$ -th factor is omitted from the wedge product. Deduce that

$$\mathcal{E}xt_{\mathcal{D}_X}^j(\mathcal{O}_X, \mathcal{D}_X) \simeq \begin{cases} \omega_X & \text{if } j = \dim X, \\ 0 & \text{otherwise.} \end{cases}$$