

Postnikov-Stability versus Semistability of Sheaves

Georg Hein¹, David Ploog²

January 12, 2009

ABSTRACT We present a novel notion of stable objects in a triangulated category. This Postnikov-stability is preserved by equivalences. We show that for the derived category of a projective variety this notion includes the case of semistable sheaves. As one application we compactify a moduli space of stable bundles using genuine complexes via Fourier-Mukai transforms.

MSC 2000: 14F05, 14J60, 14D20

Introduction

Let X be a polarized, normal projective variety of dimension n over an algebraically closed field k . Our aim is to introduce a stability notion for complexes, i.e. for objects of $D^b(X)$, the bounded derived category of coherent sheaves on X . There are two main motivations for this notion: on the one hand, Falting's observation that semistability on curves can be phrased as the existence of non-trivial orthogonal sheaves [5] and on the other hand, the recent proof of Álvarez-Cónsul and King that every Gieseker semistable sheaf possesses a non-trivial orthogonal object, regardless of dimension [1]. This result together with the homological sheaf condition (Proposition 5) and the homological criterion for purity (Proposition 7) yields a purely homological condition (Theorem 11) for a complex to be isomorphic to a Gieseker semistable sheaf of given Hilbert polynomial.

It seems only fair to point out that the results of this article in all probability bear no connection with Bridgeland's notion of t-stability on triangulated categories (see [4]). His starting point about (semi)stability in the classical setting is the Harder-Narashiman filtration whereas, as mentioned above, we are interested in the possibility to capture semistability in terms of Hom's in the derived category. Our approach is much closer to, but completely independent of, Inaba (see [14]).

On notation:

We deviate slightly from common usage by writing e^i for the i -th cohomology sheaf of an object $e \in D^b(X)$. Derivation of functors is not denoted by a symbol: e.g. for a proper map $f : X \rightarrow Y$, we denote by $f_* : D^b(X) \rightarrow D^b(Y)$ the exact functor obtained by deriving $f_* : \text{Coh}(X) \rightarrow \text{Coh}(Y)$.

Given objects a, b of a k -linear triangulated category, set $\text{Hom}^i(a, b) := \text{Hom}(a, b[i])$ and $\text{hom}^i(a, b) := \dim_k \text{Hom}^i(a, b)$. For $e \in D^b(X)$, we put $H^i(e) := \text{Hom}^i(\mathcal{O}_X, e)$ and $h^i(e) := \dim H^i(e)$. The Hilbert polynomial of e is denoted by p_e ; it is defined by $p_e(l) = \chi(e(l)) := \sum_i (-1)^i h^i(e \otimes \mathcal{O}_X(l))$. If $Z \subset X$ is a closed subset, then $e|_Z := e \otimes \mathcal{O}_Z$ denotes the derived tensor product. For a line bundle L on X , the notation L^n will mean

¹Universität Duisburg-Essen, FB Mathematik D-45117 Essen, georg.hein@uni-due.de

²Leibniz-Universität Hannover, Welfengarten, D-30167 Hannover, ploog@math.uni-hannover.de

the n -fold tensor product of L , except for the trivial bundle, where \mathcal{O}_X^n denotes the free bundle of rank n .

P-stability

Let \mathcal{T} be a k -linear triangulated category for some field k ; we think of $\mathcal{T} = \mathrm{D}^b(X)$, the bounded derived category of a normal projective variety X , defined over an algebraically closed field k . A *Postnikov-datum* or just *P-datum* is a finite collection $C_d, C_{d-1}, \dots, C_{e+1}, C_e \in \mathcal{T}$ of objects together with nonnegative integers N_j^i (for $i, j \in \mathbb{Z}$) of which only a finite number are nonzero. We will write (C_\bullet, N) for this.

Recall the notions of Postnikov system and convolution (see [6], [3], [21], [15]): given finitely many objects A_i (suppose $n \geq i \geq 0$) of \mathcal{T} together with morphisms $d_i : A_{i+1} \rightarrow A_i$ such that $d^2 = 0$, a diagram of the form

$$\begin{array}{cccccccccccccccc}
 A_n & \xrightarrow{d_{n-1}} & A_{n-1} & \xrightarrow{d_{n-2}} & A_{n-2} & \rightarrow & \cdots & \cdots & \cdots & \cdots & A_1 & \xrightarrow{d_0} & A_0 \\
 & \searrow & \nearrow & \searrow & \nearrow & \searrow & & & & & \nearrow & \searrow & \nearrow & \searrow \\
 & & T_n & \xleftarrow{[1]} & T_{n-1} & \xleftarrow{[1]} & T_{n-2} & \leftarrow & \cdots & \cdots & T_2 & \xleftarrow{[1]} & T_1 & \xleftarrow{[1]} & T_0
 \end{array}$$

(where the upper triangles are commutative and the lower ones are distinguished) is called a *Postnikov system* subordinated to the A_i and d_i . The object T_0 is called the *convolution* of the Postnikov system.

Definition. An object $A \in \mathcal{T}$ is *P-stable with respect to* (C_\bullet, N) if

- (i) $\mathrm{hom}_{\mathcal{T}}^i(C_j, A) = N_j^i$ for all $j = d, \dots, e$ and all i .
- (ii) For $j > 0$, there are morphisms $d_j : C_j \rightarrow C_{j-1}$ such that $d^2 = 0$ and that the complex $(C_{\bullet \geq 0}, d_\bullet)$ admits a convolution K .
- (iii) $K \in A^\perp$, i.e. $\mathrm{Hom}_{\mathcal{T}}^*(A, K) = 0$.

Remark.

- (a) Convolutions in general do not exist, and if they do, there is no uniqueness in general, either. There are restrictions on the $\mathrm{Hom}^i(C_a, C_b)$'s which ensure the existence of a (unique) convolution. For example, if $\mathcal{T} = \mathrm{D}^b(X)$ and all C_j are sheaves, then the unique convolution is just the complex C_\bullet considered as an object of $\mathrm{D}^b(X)$.
- (b) Note that the objects C_j with $j < 0$ do not take part in forming the Postnikov system. We call the conditions enforced by these objects via (i) the *passive stability conditions*. They can be used to ensure numerical constraints, like fixing the Hilbert polynomial of sheaves. In some cases, it is useful to specify only some of the N_j^i . We will do this a few times — the whole theory runs completely parallel, with a slightly more cumbersome notation.

- (c) In many situations there will be trivial choices that ensure P-stability. This should be considered as a defect of the parameters (like choosing non-ample line bundles when defining μ -stability) and not as a defect of the definition.

By the very definition of P -stability, the following statement about preservation of stability under fully faithful functors (e.g. equivalences) is immediate.

Theorem 1. *Let $\Phi : \mathcal{T} \rightarrow \mathcal{S}$ be an exact, fully faithful functor between k -linear triangulated categories \mathcal{T} and \mathcal{S} , and (C_\bullet, N) a P -datum in \mathcal{T} . Then, an object $A \in \mathcal{T}$ is P -stable with respect to (C_\bullet, N) if and only if $\Phi(A)$ is P -stable with respect to $(\Phi(C_\bullet), N)$.*

This shifts the viewpoint from preservation of stability to transformation of stability parameters under Fourier-Mukai transforms. See Proposition 12 for an example. The main result of this article is the following theorem: P -stability contains both Gieseker stability and μ -stability.

Comparison Theorem. *Let X be a smooth projective variety and H a very ample divisor on X . Fix a Hilbert polynomial p . Then there is a P -stability datum (C_\bullet, N) such that for any object $E \in D^b(X)$ the following conditions are equivalent:*

- (i) E is a μ -semistable sheaf with respect to H of Hilbert polynomial p
- (ii) E is P -stable with respect to (C_\bullet, N) .

Likewise, there is a P -stability datum (C'_\bullet, N') such that for any object $E \in D^b(X)$ the following conditions are equivalent:

- (i') E is a Gieseker semistable pure sheaf with respect to H of Hilbert polynomial p
- (ii') E is P -stable with respect to (C'_\bullet, N') .

The proof of this theorem occupies the next section. The actual statements are slightly sharper; see Theorems 10 and 11. The case of surfaces was already treated in [11].

1 Proof of the Comparison Theorem

The proof proceeds in the following steps:

1. Euler triangle and generically injective morphisms.
2. Homological conditions for a complex to be a sheaf.
3. Homological conditions for purity of a sheaf.
4. Homological conditions for semistability on curves.
5. P -stability implies μ -semistability.
6. P -stability implies Gieseker semistability.

1.1 The Euler triangle

Lemma 2. *Let U and W be k -vector spaces of finite dimension. Consider a morphism $\rho : U \otimes \mathcal{O}_{\mathbb{P}^n} \rightarrow W \otimes \mathcal{O}_{\mathbb{P}^n}(1)$ with nonzero kernel $K = \ker(\rho)$. Then for any integer $m \geq (\dim(U) - 1)n$ we have $H^0(K(m)) \neq 0$.*

Proof. Denoting $I := \text{im}(\rho)$ and $C := \text{coker}(\rho)$, there are two short exact sequences $0 \rightarrow K \rightarrow U \otimes \mathcal{O}_{\mathbb{P}^n} \rightarrow I \rightarrow 0$ and $0 \rightarrow I \rightarrow W \otimes \mathcal{O}_{\mathbb{P}^n}(1) \rightarrow C \rightarrow 0$. Their long cohomology sequences yield $h^0(K(k)) \geq h^0(U \otimes \mathcal{O}_{\mathbb{P}^n}(k)) - h^0(I(k))$ and $h^0(I(k)) \leq h^0(W \otimes \mathcal{O}_{\mathbb{P}^n}(1))$.

First assume $\dim(W) < \dim(U)$. This implies $h^0(I(m)) \leq (\dim(U) - 1) \binom{n+1+m}{n}$. Since $h^0(U \otimes \mathcal{O}_{\mathbb{P}^n}(m)) = \dim(U) \binom{n+m}{n}$, this yields $h^0(U \otimes \mathcal{O}_{\mathbb{P}^n}(m)) > h^0(I(m))$ for all $m \geq (\dim(U) - 1)n$. Thus, we obtain $h^0(K(m)) > 0$ for $m \geq (\dim(U) - 1)n$.

Now assume $\dim(W) \geq \dim(U)$. Then C has rank at least $\dim(W) - \dim(U) + 1$. Hence there exists a subspace $W' \subset W$ of dimension $\dim(W) - \dim(U) + 1$ such that the resulting morphism $W' \otimes \mathcal{O}_{\mathbb{P}^n}(1) \rightarrow C$ is injective in the generic point, and hence injective. Thus, the image of the injective morphism $H^0(I(k)) \rightarrow H^0(W \otimes \mathcal{O}_{\mathbb{P}^n}(k+1))$ is transversal to $H^0(W' \otimes \mathcal{O}_{\mathbb{P}^n}(k+1))$. This implies $h^0(I(m)) \leq (\dim(U) - 1) \binom{n+1+m}{n}$ and we proceed as before. \square

Construction 3. *The Euler triangle and objects $S^m(V, a, b)$.*

For any two objects a, b of a k -linear triangulated category \mathcal{T} and some subspace $V \subset \text{Hom}(a, b)$ of finite dimension we define a distinguished (Euler) triangle

$$S^m(V, a, b) \rightarrow \text{Sym}^{m+1}(V) \otimes a \xrightarrow{\theta} \text{Sym}^m(V) \otimes b \rightarrow S^m(V, a, b)[1]$$

where tensor products of vector spaces and objects are just finite direct sums, and θ is induced by the natural map $\text{Sym}^{m+1}(V) \rightarrow \text{Sym}^m(V) \otimes \text{Hom}(a, b)$, $f_0 \vee \cdots \vee f_m \mapsto \sum_i (f_0 \vee \cdots \vee \widehat{f_i} \vee \cdots \vee f_m) \otimes f_i$. If $\text{Hom}(a, b)$ is finite dimensional, we use the short hand $S^m(a, b) := S^m(\text{Hom}(a, b), a, b)$. For any $c \in \mathcal{T}$, there is a long exact sequence

$$\begin{array}{c} \text{Hom}^{k-1}(b, c) \otimes \text{Sym}^m(V^\vee) \longrightarrow \text{Hom}^{k-1}(a, c) \otimes \text{Sym}^{m+1}(V^\vee) \longrightarrow \text{Hom}^{k-1}(S^m(V, a, b), c) \\ \swarrow \hspace{10em} \searrow \\ \text{Hom}^k(b, c) \otimes \text{Sym}^m(V^\vee) \longrightarrow \text{Hom}^k(a, c) \otimes \text{Sym}^{m+1}(V^\vee) \longrightarrow \text{Hom}^k(S^m(V, a, b), c). \end{array}$$

Remark. In the special case where $\mathcal{T} = \text{D}^b(\mathbb{P}_k^n)$ is the bounded derived category of the projective space \mathbb{P}_k^n and $a = \mathcal{O}_{\mathbb{P}^n}$, $b = \mathcal{O}_{\mathbb{P}^n}(1)$, $V = \text{Hom}(a, b) = H^0(\mathcal{O}_{\mathbb{P}^n}(1))$ and $m = 0$, the above triangle comes from the Euler sequence $0 \rightarrow \Omega_{\mathbb{P}^n}(1) \rightarrow \mathcal{O}_{\mathbb{P}^n}^{n+1} \rightarrow \mathcal{O}_{\mathbb{P}^n}(1) \rightarrow 0$.

Lemma 4. *Let \mathcal{T} be a triangulated k -linear category with finite-dimensional Hom 's, $a, b, c \in \mathcal{T}$ objects with $\text{Hom}^{-1}(a, c) = 0$ and let $V \subset \text{Hom}(a, b)$ be a subspace. Then the following conditions are equivalent:*

- (i) *The natural morphism $\varrho_v : \text{Hom}(b, c) \rightarrow \text{Hom}(a, c)$ is injective for general $v \in V$.*
- (ii) *$\text{Hom}^{-1}(S^m(V, a, b), c) = 0$ holds for some $m \geq (\dim(V) - 1)(\text{hom}(b, c) - 1)$.*

Proof. We consider the morphism $\text{Hom}(b, c) \rightarrow V^\vee \otimes \text{Hom}(a, c)$. Together with the natural surjection $V^\vee \otimes \mathcal{O}_{\mathbb{P}(V^\vee)} \rightarrow \mathcal{O}_{\mathbb{P}(V^\vee)}(1)$, this gives a morphism

$$\varrho : \text{Hom}(b, c) \otimes \mathcal{O}_{\mathbb{P}(V^\vee)} \rightarrow \text{Hom}(a, c) \otimes \mathcal{O}_{\mathbb{P}(V^\vee)}(1) \quad \text{on} \quad \mathbb{P}(V^\vee).$$

The injectivity of ϱ is equivalent to the injectivity at all stalks, i.e. of $\varrho_v : \text{Hom}(b, c) \rightarrow \text{Hom}(a, c)$ for all $v \in V$; since $\ker(\varrho)$ is a subsheaf of a torsion free sheaf, the injectivity for just one $v \in V$ is enough. By Lemma 2 this is equivalent to the injectivity of

$$H^0(\varrho \otimes \mathcal{O}_{\mathbb{P}(V^\vee)}(m)) : H^0(\text{Hom}(b, c) \otimes \mathcal{O}_{\mathbb{P}(V^\vee)}(m)) \rightarrow H^0(\text{Hom}(a, c) \otimes \mathcal{O}_{\mathbb{P}(V^\vee)}(m+1))$$

for $m = (\dim(V) - 1)(\text{hom}(b, c) - 1)$. Since $\text{Hom}^{-1}(a, c) = 0$, the long exact cohomology sequence of the triangle from Construction 3 gives that the kernel of $H^0(\varrho \otimes \mathcal{O}_{\mathbb{P}(V^\vee)}(m))$ is $\text{Hom}^{-1}(S^m(V, a, b), c)$. \square

1.2 Sheaf conditions

Let X be a projective variety over k (in this subsection, we only need k to be infinite) and $\mathcal{O}_X(1)$ a line bundle corresponding to the very ample divisor H . Let $V = H^0(\mathcal{O}_X(1))$ the space of global sections and $\mathbb{P} := \mathbb{P}(V^\vee) = |H|$ the complete linear system for H .

Our aim is to find conditions on a complex $e \in D^b(X)$ in terms of the Hom's from finitely many test objects, ensuring that e is isomorphic to a sheaf, i.e. a complex concentrated in degree 0. These conditions only depend on the Hilbert polynomial p_e with respect to $\mathcal{O}_X(1)$.

The numerical data

Fix non-negative integers n and v . For a polynomial function $p \in \mathbb{Q}[t]$ with integer values, its derivative is defined as $p'(t) := p(t) - p(t-1)$. We also set $\text{sym}_v(m) := \binom{m+v-1}{v-1}$, which is the dimension of $\text{Sym}^m(V)$ for a v -dimensional vector space V .

Call a sequence (m_1, \dots, m_n) of integers (p, n) -admissible if $m_{k+1} \geq (p_k(-l) - 1)(v-1)$ for $l = 1, \dots, n-k$ where the polynomials p_0, \dots, p_{n-1} are defined by $p_0 = p$ and $p_{k+1} = \text{sym}_v(m_{k+1}) \cdot p'_k + \text{sym}_{v-1}(m_{k+1}) \cdot p_k$. One can easily define a (p, n) -admissible sequence by recursion: set $m_{k+1} := \max\{(p_k(-l) - 1)(v-1) \mid l = 1, \dots, n-k\}$, the polynomials being defined by the above formula in each step.

Suppose that (m_1, \dots, m_n) is a (p, n) -admissible sequence and that $p_k(-l) \geq 0$ for all $l, k \geq 0$ with $l+k \leq n$. Then (m_1, \dots, m_{n-1}) is a $(p', n-1)$ -admissible sequence, as follows from induction and unwinding the definitions. In this case, if the auxiliary polynomials for the (p, n) -sequence are denoted p_0, \dots, p_n as above, then those for the $(p', n-1)$ -sequence are just p'_0, \dots, p'_{n-1} .

The vector bundles G_m and S_m and F_k

We denote the standard projections by $p : \mathbb{P} \times X \rightarrow \mathbb{P}$ and $q : \mathbb{P} \times X \rightarrow X$. The identity in $V \otimes V^\vee = H^0(\mathcal{O}_X(H)) \otimes H^0(\mathcal{O}_{\mathbb{P}}(1)) = \text{Hom}(q^*\mathcal{O}_X(-H), p^*\mathcal{O}_{\mathbb{P}}(1))$ yields a natural morphism $\alpha : q^*\mathcal{O}_X(-H) \rightarrow p^*\mathcal{O}_{\mathbb{P}}(1)$. The cokernel \mathcal{G} of α is the universal divisor, i.e. $\mathcal{G}|_{\{D\} \times X} = \mathcal{O}_D$ for all $D \in \mathbb{P}$. We can consider $q^*\mathcal{O}_X(-H)$ and $p^*\mathcal{O}_{\mathbb{P}}(1)$ and \mathcal{G} as Fourier-Mukai kernels on $\mathbb{P} \times X$. Then we obtain, for any object $a \in D^b(\mathbb{P})$, an exact triangle $\text{FM}_{q^*\mathcal{O}_X(-H)}(a) \rightarrow \text{FM}_{p^*\mathcal{O}_{\mathbb{P}}(1)}(a) \rightarrow \text{FM}_{\mathcal{G}}(a)$. In particular, we set $G_m := \text{FM}_{\mathcal{G}}(\mathcal{O}_{\mathbb{P}}(m))$. The projection formula and base change show that the above triangle reduces to the short

exact sequence $0 \rightarrow \mathrm{Sym}^m(V) \otimes \mathcal{O}_X(-H) \rightarrow \mathrm{Sym}^{m+1}(V) \otimes \mathcal{O}_X \rightarrow G_m \rightarrow 0$ if $m > -n$. Hence in this case, $G_m = R^0q_*(\mathcal{G} \otimes p^*\mathcal{O}_{\mathbb{P}}(m))$ is a vector bundle and the higher direct images vanish. The exact sequence also yields $p_{G_m \otimes e} = \mathrm{sym}_v(m) \cdot p'_e + \mathrm{sym}_{v-1}(m) \cdot p_e$.

Let $S_m := G_m^\vee$; note that $S_m = S^m(V, \mathcal{O}_X, \mathcal{O}_X(1))$ using Construction 3. By Lemma 4, for $e \in \mathrm{D}^b(X)$ with $H^{-1}(e) = 0$ and $m \geq (v-1)(h^0(e(-1)) - 1)$, the following conditions are equivalent:

- (i) $H^{-1}(e|_D) = 0$ for general $D \in |H|$ with $e|_D := e \otimes \mathcal{O}_D$ (derived tensor product)
- (ii) $\mathrm{Hom}^{-1}(S_m, e) = 0$
- (iii) $H^{-1}(e \otimes G_m) = 0$.

Finally, we define another series of vector bundles by $F_0 := \mathcal{O}_X$ and $F_k := F_{k-1} \otimes G_{m_k}$.

Proposition 5. *Let X be a projective variety of dimension n and $\mathcal{O}_X(1)$ a very ample line bundle. Let $V = H^0(\mathcal{O}_X(1))$ and $v = \dim(V)$. Let $p \in \mathbb{Q}[t]$ be an integer valued polynomial with $\deg(p) \leq n$. Suppose that (m_1, \dots, m_n) is a (p, n) -admissible sequence with auxiliary polynomials p_1, \dots, p_n .*

Assume that $e \in \mathrm{D}^b(X)$ is an object such that for all $l, k \geq 0$ with $l + k \leq n$ we have $h^0(F_k(-l) \otimes e) = p_k(-l)$ and $h^i(F_k(-l) \otimes e) = 0$ for all $i \neq 0$. Then $e \cong e^0$ is a sheaf with Hilbert polynomial p . Furthermore, e is 0-regular.

Proof. We proceed by induction on the dimension n . The start $n = 0$ is trivial.

Let $n > 0$. In a first step, we use induction to show that the complex $e|_D$ is a sheaf for general $D \in |H|$. Let us begin by pointing out that (m_1, \dots, m_{n-1}) is a $(p', n-1)$ -admissible sequence. Next, the graded vector spaces $H^*(F_k(-l) \otimes e)$ vanish by assumption outside of degree 0, where $k, l \geq 0$ and $k+l \leq n$. Hence, $H^*(F_k(-l) \otimes e|_D)$ can be nontrivial at most in degrees 0 and -1 (where $k+l < n$). But $H^{-1}(F_k(-l) \otimes e) = 0$ and $H^{-1}(G_{m_{k+1}} \otimes F_k(-l) \otimes e) = 0$ and $m_{k+1} \geq (v-1)(p_k(-l-1) - 1)$ together with $p_k(-l-1) = h^0(F_k(-l-1) \otimes e)$ imply $H^{-1}(e|_D) = 0$ for general $D \in |H|$ by Lemma 4. Thus, $H^*(F_k(-l) \otimes e|_D)$ is concentrated in degree 0 and of the correct dimension $h^0(F_k(-l) \otimes e|_D) = p_k(-l) - p_k(-l-1) = p'_k(-l)$.

We fix a smooth D such that $e|_D$ is a sheaf. Therefore, homology sheaves e^i in degrees $i \neq 0$ are either zero or have zero-dimensional support. (Support of dimension one or higher would be detected by a general $D \in |H|$.) Looking at the Eilenberg-Moore spectral sequence

$$E_2^{p,q} = \mathrm{Ext}_X^q(\mathcal{O}_X(k), e^{-p}) \Rightarrow H^{p+q}(e(-k)),$$

we see that it has non-zero E_2 terms at most in the row $q = 0$ and the column $p = 0$.

By induction, $e^0|_D$ is a 0-regular sheaf without higher cohomology. Then the following piece of the long exact sequence

$$0 = H^i(e^0|_D(k)) \rightarrow H^{i+1}(e^0(k-1)) \rightarrow H^{i+1}(e^0(k)) \rightarrow H^{i+1}(e^0|_D(k)) = 0$$

(valid for $i \geq 0$ and $k \geq -n-1$) shows $H^{i+1}(e^0(k-1)) \cong H^{i+1}(e^0(k))$. Since these vector spaces are zero for $k \gg 0$, we see that there are no non-trivial terms in the spectral sequence except possibly $E_2^{*,0}$ and $E_2^{0,1}$. Hence, the only non-zero differential that might

occur is $E_2^{1,0} = H^1(e^0(k)) \rightarrow E_2^{0,2} = H^0(e^{-2}(k))$. As by assumption $H^1(e) = H^2(e) = 0$, this map has to be an isomorphism. Now e^{-2} is a sheaf supported on points, so that $h^0(e^{-2}(k))$ does not depend on k . Hence $h^1(e^0(k))$ does not depend on k either.

From now on we proceed as in Mumford's proof on regularity [20, page 102]. Consider the following commutative diagram

$$\begin{array}{ccccc} V \otimes H^0(e^0) & \xrightarrow{\alpha_0} & V \otimes H^0(e^0|_D) & \xrightarrow{\alpha_1} & V \otimes H^1(e^0(-1)) \\ \downarrow \beta_0 & & \downarrow \beta_1 & & \downarrow \beta_2 \\ H^0(e^0(1)) & \xrightarrow{\gamma_0} & H^0(e^0(1)|_D) & \xrightarrow{\gamma_1} & H^1(e^0) \end{array}$$

where the horizontal maps are induced from the triangles $e^0(-1) \rightarrow e^0 \rightarrow e^0|_D$ (top row) and $e^0 \rightarrow e^0(1) \rightarrow e^0(1)|_D$ (bottom row) and the vertical maps correspond to composition with $V = \text{Hom}(\mathcal{O}_X, \mathcal{O}_X(1))$. The isomorphism $H^1(e^0(-1)) \simeq H^1(e^0)$ implies $\alpha_1 = 0$, hence α_0 is surjective. Next, $e^0|_D$ is globally generated (as it is 0-regular); together with $H^1(e^0|_D) = 0$ this shows that the evaluation map β_1 is surjective. Hence $\gamma_1 = 0$. As we also have $H^1(e^0|_D) = 0$, this in turn implies that the natural map $H^1(e^0) \rightarrow H^1(e^0(1))$ is an isomorphism. But then $h^1(e^0) = h^1(e^0(k))$ for all $k \gg 0$, which forces $H^1(e^0) = 0$.

Hence the spectral sequence has no non-zero terms outside of the row $q = 0$ and thus degenerates at the E_2 level. Since E_∞^{p+q} is known by assumption, this proves $H^0(e^i) = 0$ for $i \neq 0$. Since these e^i are supported on points, this implies $e^i = 0$ and hence $e \cong e^0$ is indeed isomorphic to a sheaf concentrated in degree 0. The 0-regularity is an obvious consequence of the assumptions. \square

1.3 Purity conditions

In this subsection, we formulate a homological purity condition for 0-regular sheaves on a projective variety X with very ample polarization $\mathcal{O}_X(1) = \mathcal{O}_X(H)$. Since this condition is needed only for the Gieseker stability part of the Comparison Theorem, the reader interested exclusively in slope stability may skip this subsection.

Our key result for detecting 0-dimensional subsheaves is:

Lemma 6. *Let E be a sheaf on a projective variety X with very ample polarization $\mathcal{O}_X(1) = \mathcal{O}_X(H)$. Let $M = h^0(E)$ and denote by $E_0 \subset E$ the maximal subsheaf of dimension zero. Then, $E_0 = 0$ if and only if $h^0(E(-M)) = 0$.*

Proof. If $E_0 \neq 0$, then we have $h^0(E(k)) \neq 0$ for all $k \in \mathbb{Z}$. So we only need to show that $h^0(E(-M)) > 0$ implies $E_0 \neq 0$. We consider the decreasing sequence $M = h^0(E), h^0(E(-1)), \dots, h^0(E(-M))$. If $h^0(E(-M)) > 0$, then there is an integer k with $h^0(E(-k)) = h^0(E(-k-1)) > 0$. Let E' be the image of the morphism $H^0(E(-k)) \otimes \mathcal{O}_X \rightarrow E(-k)$. The sheaf E' is globally generated and satisfies the condition $h^0(E'(-1)) = h^0(E')$. A general hyperplane $D \in |H|$ meets the associated locus of E' transversally, and thus yields a short exact sequence $0 \rightarrow E'(-1) \rightarrow E' \rightarrow E'|_D \rightarrow 0$.

Since E' is globally generated, the sections of E' also generate $E'|_D$. However, all these sections come from $E'(-1)$. Thus $E'|_D = 0$. We conclude that the support of E' is of dimension zero. \square

Now let E be a coherent sheaf on X with Hilbert polynomial $p = p_E$ of degree d . Assume that E is 0-regular, i.e. $H^i(E(-i)) = 0$ for $i > 0$. By [20], this implies that $E(l)$ is globally generated for $l \geq 0$ and also that $H^i(E(l)) = 0$ for all $i > 0, l \geq 0$. Set $M := p(0) = h^0(E)$. We consider the dimension filtration of E

$$0 = E_{-1} \subset E_0 \subset E_1 \subset \cdots \subset E_d = E \quad \text{with } E_k/E_{k-1} \text{ pure of dimension } k.$$

As E is globally generated, there exists a Quot scheme $Q := \text{Quot}_X^p(\mathcal{O}_X^M)$ of finite type which parameterizes all 0-regular sheaves with Hilbert polynomial p . In particular, given a coherent sheaf F on X , there exists a universal upper bound B (depending only on F , p and H) such that $B \geq h^1(F \otimes E \otimes \mathcal{O}_{H_1} \otimes \cdots \otimes \mathcal{O}_{H_m})$ for all $E \in Q, m \in \{0, \dots, d-1\}$ and $H_i \in |H|$.

Proposition 7. *Let $\mathcal{O}_X(1) = \mathcal{O}_X(H)$ and p be as above. There exists a vector bundle F on X depending only on p and $\mathcal{O}_X(1)$ such that for any 0-regular sheaf E on X with Hilbert polynomial $p_E = p$ holds: E is pure if and only if $\text{Hom}(F, E) = 0$.*

Proof. Restriction of E to a general hyperplane $H_i \in |H|$ commutes with the dimension filtration: $(E|_{H_i})_k = E_{k+1}|_{H_i}$. Coupled with Lemma 6, this shows that E is pure if and only if $H^0(E(-M) \otimes \mathcal{O}_{H_1} \otimes \cdots \otimes \mathcal{O}_{H_m}) = 0$ for all $m = 0, \dots, d$ and general hyperplanes $H_i \in |H|$. This condition can be checked using Lemma 4, as done in the proof of Proposition 5: We define sequences of integers $(m_1, m_2, \dots, m_{d-1})$ and of vector bundles F_0, F_1, \dots, F_{d-1} recursively by

- (i) $F_0 := \mathcal{O}_X$
- (ii) $\tilde{m}_k \geq h^1(F_{k-1} \otimes E(-M-1) \otimes \mathcal{O}_{H_1} \otimes \cdots \otimes \mathcal{O}_{H_m})$ for all sheaves $[E] \in Q$, all $m \in \{0, \dots, d-1\}$, and all hyperplanes $H_i \in |H|$.
- (iii) $m_k = (h^0(\mathcal{O}_X(1)) - 1)(\tilde{m}_k - 1)$
- (iv) $F_k = F_{k-1} \otimes G_{m_k}$ where G_{m_k} is the vector bundle from Subsection 1.2.

(We only need condition (ii) for generic hyperplanes. Note that for almost all choices of the H_i , the tensor product is underived, thus just a sheaf supported on an m -codimensional complete intersection.)

Proceeding as in the proof of Proposition 5, the vanishing of $H^0(E(-M) \otimes F_0), \dots, H^0(E(-M) \otimes F_{d-1})$ is equivalent to the vanishing of $H^0(E(-M)), H^0(E(-M) \otimes \mathcal{O}_{H_1}), \dots, H^0(E(-M) \otimes \mathcal{O}_{H_1} \otimes \cdots \otimes \mathcal{O}_{H_{d-1}})$ for general hyperplanes H_1, H_2, \dots, H_{d-1} in the linear system $|H|$. By Lemma 6, the last condition is equivalent to $E_{d-1} = 0$. Setting $F := (F_0^\vee \oplus \cdots \oplus F_{d-1}^\vee) \otimes \mathcal{O}_X(M)$ yields the required vector bundle. \square

1.4 Semistability on curves

Let X be a smooth projective curve of genus g over k . Fix integers $r > 0$ and d . Let $\mathcal{O}_X(1)$ be a fixed line bundle of degree one.

Theorem 8. *For a coherent sheaf E on X of rank r and degree d , the following conditions are equivalent:*

- (i) E is a semistable vector bundle.
- (ii) There is a sheaf $0 \neq F$ with $E \in F^\perp$, i.e. $\text{Hom}(F, E) = \text{Ext}^1(F, E) = 0$.
- (iii) There exists a sheaf F on X with $\det(F) \cong \mathcal{O}_X(rd - r^2(g-1))$ and $\text{rk}(F) = r^2$ such that $\text{Hom}(F, E) = \text{Ext}^1(F, E) = 0$.

Proof. The equivalence (i) \iff (ii) is Falting's characterisation of semistable sheaves on curves [5]. One direction is easy: For $E' \subset E$ with $\mu(E') > \mu(E)$, we have $\mu(E' \otimes F^\vee) > \mu(E \otimes F^\vee)$, hence by Riemann-Roch $\chi(E' \otimes F^\vee) > \chi(E \otimes F^\vee) = 0$. But then $h^0(E' \otimes F^\vee) > 0$, contradicting $h^0(E \otimes F^\vee) = 0$. The refinement (i) \iff (iii) is the content of Popa's paper [23, Theorem 5.3]. \square

Based on this result, we can give two Postnikov data for semistable bundles on X . Introduce the slope $\mu := d/r$ and some further semistable vector bundles and integers:

$$\begin{aligned} A &:= \mathcal{O}_X((r^2 + 1)(\lfloor \mu \rfloor - \mu) + 2r^2(1 - g) - 3g), & m_1 &:= r^2(r^2 + 1)(\mu - \lfloor \mu \rfloor + g + 2), \\ B &:= \mathcal{O}_X^{r^2+1}(\lfloor \mu \rfloor - 3g), & m_2 &:= (\text{hom}(A, B) - 1)(m_1 - 1). \end{aligned}$$

Proposition 9. *Let X be a smooth projective curve $r > 0$, and d two integers and A, B, m_1 , and m_2 as above. For an object $E \in \text{D}^b(X)$ the following conditions are equivalent:*

- (i) E is a semistable vector bundle of rank r and degree d .
- (ii) The object E satisfies the following Postnikov conditions:
 - (1) $\text{hom}(A, E) = \text{hom}(B, E) = m_1$, $\text{hom}^i(A, E) = \text{hom}^i(B, E) = 0$ for $i \neq 0$.
 - (2) There is a cone $A \rightarrow B \rightarrow C$ in $\text{D}^b(X)$ with $\text{Hom}^*(C, E) = 0$.
- (iii) The object E satisfies the following Postnikov conditions:
 - (1) $\text{hom}(A, E) = \text{hom}(B, E) = m_1$, $\text{hom}^i(A, E) = \text{hom}^i(B, E) = 0$ for $i \neq 0$.
 - (2) $\text{Hom}^{-1}(S^{m_2}(A, B), E) = 0$.

Proof. (i) \implies (ii) E is semistable of degree d and rank r , hence by Theorem 8 there exists a sheaf F with $\det(F) \cong \mathcal{O}_X(rd - r^2(g-1))$ and $\text{rk}(F) = r^2$ such that $\text{Hom}^*(F, E) = 0$. This implies that F is also a semistable bundle. Thus (see [9, Lemma 2.1]), it appears in a short exact sequence $0 \rightarrow A \rightarrow B \rightarrow F \rightarrow 0$. Since $\mu(E^0) - \mu(A) > 2g - 2$, we see that $\text{Hom}^i(A, E) = 0$ for $i \neq 0$. Using the Riemann-Roch Theorem, we deduce that $\text{hom}(A, E) = m_1$. The same works with B instead of A . We eventually conclude that (1) holds. Setting $C = F$ we obtain the object required in condition (2).

(ii) \implies (i) The conditions (1) and (2) imply that the morphism $A \rightarrow B$ is not zero. Since A is a line bundle, this morphism is injective; hence the distinguished triangle of (2) corresponds to a short exact sequence of sheaves $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$. As

the global dimension of a smooth curve is one, we have $E \cong \bigoplus E^i[-i]$. The condition $\text{Hom}^*(C, E) = 0$ implies that all the E^i are semistable of slope d/r . If $E^i \neq 0$, then $\text{Hom}^i(A, E) \neq 0$. So from condition (1) we deduce that E is a sheaf object. As the slopes of A and B differ, we can read off the Hilbert polynomial of E^0 from the dimensions $\text{hom}(A, E)$ and $\text{hom}(B, E)$. Altogether, E^0 is of rank r and degree d .

(ii) \iff (iii) Any morphism $\alpha : A \rightarrow B$ gives a distinguished triangle as in (ii). The total homomorphism space $\text{Hom}^*(C, E)$ is zero if and only if $\text{Hom}(B, E) \rightarrow \text{Hom}(A, E)$ is a bijection. Because we work with finite dimensional k -vector spaces, this is equivalent to the injectivity of $\text{Hom}(B, E) \rightarrow \text{Hom}(A, E)$. Thus, by Lemma 4 we are done. \square

For a more detailed description and the relation to the Theta divisor and its base points see [9, Theorems 2.12 and 3.3] of the first author.

1.5 P-stability implies μ -semistability

Theorem 10. (Comparison theorem for Mumford-Takemoto semistability)

For a polarized normal projective Gorenstein variety $(X, \mathcal{O}_X(1))$ and for a polynomial p of degree $n = \dim(X)$, there exist sheaves $C_{-m}, C_{-m+1}, \dots, C_n, D$ on X , and integers N_j^i such that for an object $E \in \text{D}^b(X)$ the following two conditions are equivalent:

- (i) E is a μ -semistable sheaf concentrated in degree zero of Hilbert polynomial p .
- (ii) $\text{hom}^i(C_j, E) = N_j^i$, and there exists a complex $C_\bullet = (C_n \xrightarrow{d_n} \dots \xrightarrow{d_1} C_0)$ such that $\text{Hom}^*(C_\bullet, E) = 0$, that is $E \in C_\bullet^\perp$.

Remark. Condition (ii) of the theorem states that E is P-stable for the P-datum (C_{-m}, \dots, C_n, N) .

Proof. The objects C_{-m}, \dots, C_n are defined in the proof of (i) \implies (ii), in a manner independent of E . In order to define the sheaf D , pick a large number $N \gg 0$ and set Z to be a fixed 2-codimensional intersection of two hyperplanes of $|H|$. Let $D := \mathcal{O}_Z(-N)$.

(i) \implies (ii) Suppose that E is a μ -semistable vector bundle with given Hilbert polynomial $p := p_E$. As semistability implies that E appears in a bounded family, there is an integer d_1 (depending only on p) such that E is d_1 -regular. Hence by Proposition 5 there are sheaves $C_{-m}, C_{-m+1}, \dots, C_{-1}$ and integers N_j^i such that $\text{hom}^i(C_j, E) = N_j^i$ implies that E is a d_1 -regular sheaf of Hilbert polynomial p .

By Langer's effective restriction theorem [16, Theorem 5.2], there is a constant d_2 such that E is μ -semistable \iff the restriction $E|_Y$ is semistable for $Y = H_1 \cap H_2 \cap \dots \cap H_{n-1}$ a general complete intersection of hyperplanes $H_i \in |d_2 H|$. By Bertini's theorem, we may choose Y to be a smooth curve embedded by $\iota : Y \rightarrow X$. We also choose Y to be disjoint from Z . The semistability of $\iota^* E$ can be expressed (see Proposition 9 and its proof) by $\text{Hom}^*(\iota^* E, F) = 0$ for some coherent sheaf F on Y which appears in a short exact sequence $0 \rightarrow \iota^* \mathcal{O}_X(d_5) \rightarrow \iota^* \mathcal{O}_X^{d_3}(d_4) \rightarrow F \rightarrow 0$. Adjointness $\iota_* \dashv \iota^*$ and Serre duality together with the Gorenstein assumption yields $0 = \text{Hom}_Y^*(\iota^* E, F) = \text{Hom}_X^*(E, \iota_* F) = \text{Hom}_X^*(\omega_X^{-1}[-n] \otimes \iota_* F, E)^*$, i.e. $E \in (\omega_X^{-1} \otimes \iota_* F)^\perp$.

Using the Koszul complex of \mathcal{O}_Y and the resolution of F , we find that $\omega_X^{-1} \otimes \iota_* F$ has a resolution $C_n \rightarrow C_{n-1} \rightarrow \dots \rightarrow C_0 \rightarrow \omega_X^{-1} \otimes \iota_* F$ where the sheaves C_i are vector bundles on X of the form $C_i = (\mathcal{O}_X^{b_i}(d_4 - id_2) \oplus \mathcal{O}_X^{a_i}(d_5 - (i-1)d_2)) \otimes \omega_X^{-1}$. Hence the Postnikov system $C_\bullet = (C_n \rightarrow \dots \rightarrow C_0)$ has the convolution $C_\bullet \cong \omega_X^{-1} \otimes \iota_* F$, and $E \in C_\bullet^\perp$. Also by choice of Y we have $C_\bullet \in D^\perp$, as a generic 1-dimensional complete intersection Y will miss Z .

(ii) \Rightarrow (i) If E is a complex satisfying the conditions of (ii), then E is a d_1 -regular sheaf by Proposition 5 and the choice of C_{-m}, \dots, C_{-1} . Suppose E is not μ -semistable. Assume first that the convolution C_\bullet is concentrated on a curve Y . Then the destabilizing sub-object also destabilizes the restriction to Y and forces $\text{Hom}^*(C, E) \neq 0$ by Proposition 9. If C is not concentrated on a curve, then $\text{Hom}^*(D, C) \neq 0$. However, the general curve Y will not intersect with Z . Thus, $\text{Hom}^*(D, C) = 0$ forces C to be concentrated on a curve (which does not intersect Z), and we are done. \square

1.6 P-stability implies Gieseker semistability

Theorem 11. (Comparison theorem for Gieseker semistability)

For a polarized projective variety $(X, \mathcal{O}_X(1))$ and for a given polynomial p there exist sheaves $C_{-m}, C_{-m+1}, \dots, C_0, C_1$, and F on X , and integers N_j^i such that for an object $E \in \text{D}^b(X)$ the following three conditions are equivalent:

- (i) E is concentrated in degree zero, and a Gieseker semistable sheaf of Hilbert polynomial p .
- (ii) $\text{hom}^i(C_j, E) = N_j^i$, $\text{Hom}(F, E) = 0$ and there exists a distinguished triangle $C \rightarrow C_0 \rightarrow C_1 \rightarrow C[1]$ in $\text{D}^b(X)$ such that $\text{Hom}^*(C, E) = 0$, that is $E \in C^\perp$.
- (iii) $\text{hom}^i(C_j, E) = N_j^i$, $\text{Hom}(F, E) = 0$ and $\text{Hom}^{-1}(S^m(C_0, C_1), E) = 0$ for $m \gg 0$.

Proof. (i) \iff (ii) By Proposition 5 we can choose sheaves $C_{-m}, C_{-m+1}, \dots, C_{-1}$ and $N_j^i \in \mathbb{N}$ with $j = -m, \dots, -1$ such that any object E satisfying $\text{hom}^i(C_j, E) = N_j^i$ is a sheaf with Hilbert polynomial p . By Proposition 7 there exists a sheaf F such that $\text{Hom}(F, E) = 0$ is equivalent to the purity of E .

Assuming these conditions on E , [1, Theorem 7.2] implies that there are objects $C_0, C_1 \in \text{D}^b(X)$ such that the existence of the above C is equivalent to the semistability of E .

(ii) \iff (iii) Here we use that the sheaves C_0 and C_1 are direct sums of $\mathcal{O}_X(-N_i)$ for $N_i \gg 0$. So $\text{Hom}^*(C_i, E)$ is concentrated in degree zero. Now we can argue as in the proof of (ii) \iff (iii) in Proposition 9. \square

Remark. The above system of sheaves (F, C_{-m}, \dots, C_1) is a P-datum. It is worth pointing out that the active part only consists of a single morphism, by virtue of the theorem of Álvarez-Cónsul and King.

On the other hand, our treatment of the purity conditions in Subsection 1.3 can be used to improve the statement of [1], as their explicit hypothesis of 'pure' sheaf can be phrased in homological terms.

2 Preservation of stability

The classical approach to preservation of stability is this: let X and Y be smooth, projective varieties and consider a moduli space $\mathcal{M}_X(v)$ of semistable sheaves on X with given Mukai vector $v \in H^*(X)$. If furthermore we are given a Fourier-Mukai transform $\Phi : D^b(X) \simeq D^b(Y)$, then one might ask if a sheaf $E \in \mathcal{M}_X(v)$ is mapped under Φ to a shifted sheaf (i.e. the complex $\Phi(E) \in D^b(Y)$ has cohomology only in a single degree i , in which case E is called WIT_i ; the sheaf is called IT_i if the single cohomology sheaf is even locally free). Assuming this, one might next wonder if the resulting sheaf on Y is itself semistable with respect to suitable numerical constraints $v' \in H^*(Y)$ and some polarization on Y .

The hope is to produce maps $\Phi : \mathcal{M}_X(v) \rightarrow \mathcal{M}_Y(v')$ — a hope that is often founded: if the Fourier-Mukai transform is of geometric origin (given by a universal bundle, for example), then there is a plethora of results stating that stability is preserved in this sense.

Our point is that the restriction to WIT sheaves is unnatural in the context of derived categories. It would be much more appealing if there was a notion of stability which is preserved by equivalences on general grounds. This would make the classical results about preservation of stability the special case where sheaves happen to be mapped to (shifted) sheaves again. Our notion of P-stability provides this. The Comparison Theorem shows that semistable sheaves in $\mathcal{M}_X(v)$ can be encoded via a P-datum; it is then tautological that the objects of $\Phi(\mathcal{M}_X(v))$ will be P-stable with respect to the transformed P-datum. Hence we shift our point of view to the following question: in which cases is the transformed P-datum of classical origin, i.e. induced by Gieseker or μ -semistability?

2.1 Abelian surfaces

Here is a typical example, see [2, Theorem 3.34]. Let (A, H) be a polarized Abelian surface, \hat{A} the dual Abelian surface and $\mathcal{P} \in \text{Pic}(A \times \hat{A})$ the Poincaré bundle. This bundle gives rise to the classical Fourier-Mukai transform $\text{FM}_{\mathcal{P}} : D^b(A) \simeq D^b(\hat{A})$ of [19]. Then $\hat{H} = -c_1(\text{FM}_{\mathcal{P}}(\mathcal{O}_A(H)))$ is a polarization for \hat{A} .

Theorem 12. *If E is a μ -stable locally free sheaf on A with $\mu(E) = 0$ and rank $r > 1$, then E is IT_1 and $\text{FM}_{\mathcal{P}}(E)[1]$ is a μ -semistable vector bundle with respect to \hat{H} .*

Proof. We are going to use the following characterisation of μ -semistable sheaves on an abelian surface (cf. [8, Theorem 3.1])

$$\begin{aligned} E \text{ is } \mu\text{-semistable} &\iff E \otimes \mathcal{O}_C \text{ is semistable for } m \gg 0, \text{ and some } C \in |mH| \\ &\iff \text{Hom}^*(E, F) = 0 \text{ for some coherent sheaf } F \text{ on } C \text{ as above.} \end{aligned}$$

The first equivalence is deduced from the restriction theorem of Mehta and Ramanan (see [18] or also [12], or for effective bounds the results of Langer in [16]). The second equivalence follows from Theorem 8. For F , we can use a torsion sheaf F with a resolution by prescribed vector bundles, as in the proof of Theorem 10. Then, we have $\mathrm{Hom}^*(E, F) = 0$ and $\mathrm{Hom}^*(\mathcal{O}_A, F) = 0$. This defines a P-datum on $D^b(A)$. We will show that the image under $\mathrm{FM}_{\mathcal{P}}$ is a P-datum on $D^b(\hat{A})$ containing μ -semistability for sheaves of degree 0.

For this, suppose that $\mathrm{FM}_{\mathcal{P}}(E)[1]$ is a sheaf. Fix a sheaf F such that E is μ -semistable of degree 0 if and only if $\mathrm{Hom}^*(E, F) = 0$. In particular, $H^*(F) = 0$ since \mathcal{O}_A is μ -semistable of degree 0. Then, $\mathrm{FM}_{\mathcal{P}}(F)[1]$ is a sheaf concentrated on a divisor in $|m \mathrm{rk}_{\mathcal{C}}(F) \hat{H}|$. Thus, the conditions $\mu(E) = 0$ and E μ -semistable force $\mathrm{FM}_{\mathcal{P}}(E)[1]$ to be μ -semistable with respect to the dual polarization \hat{H} .

It remains to show the vanishing of the cohomologies $\mathrm{FM}_{\mathcal{P}}(E)^0$ (step 1) and $\mathrm{FM}_{\mathcal{P}}(E)^2$ (step 2) of the complex $\mathrm{FM}_{\mathcal{P}}(E)$. After that we prove that $\mathrm{FM}_{\mathcal{P}}(E)^1$ is torsion free (step 3), and locally free (step 4).

Step 1: If $\mathrm{FM}_{\mathcal{P}}(E)^0 \neq 0$, then we have $\mathrm{Hom}(\mathcal{O}_{\hat{A}}(-m\hat{H}), \mathrm{FM}_{\mathcal{P}}(E)^0) \neq 0$ for $m \gg 0$. This implies $\mathrm{Hom}(\mathcal{O}_{\hat{A}}(-m\hat{H}), \mathrm{FM}_{\mathcal{P}}(E)) \neq 0$ (replace $\mathrm{FM}_{\mathcal{P}}(E)$ by a complex concentrated in non-negative degrees and use the Eilenberg-Moore spectral sequence). Applying the inverse Fourier-Mukai transform $\mathrm{FM}_{\mathcal{P}}^{-1}$, we get $\mathrm{Hom}(\mathrm{FM}_{\mathcal{P}}^{-1}(\mathcal{O}_{\hat{A}}(-m\hat{H})), E) \neq 0$. By [19, Theorem 2.2], the inverse is $\mathrm{FM}_{\mathcal{P}}^{-1} = (-1)^* \mathrm{FM}_{\mathcal{P}}[2]$. As $(-1)^* \mathrm{FM}_{\mathcal{P}}(\mathcal{O}_{\hat{A}}(-m\hat{H}))[2]$ is a semistable vector bundle with positive first Chern class (see [19, Proposition 3.11]), $\mathrm{FM}_{\mathcal{P}}(E)^0 \neq 0$ would contradict the semistability of E .

Step 2: Now suppose $\mathrm{FM}_{\mathcal{P}}(E)^2 \neq 0$. We choose a point $P \in \mathrm{supp}(\mathrm{FM}_{\mathcal{P}}(E)^2)$ and obtain a morphism $\mathrm{FM}_{\mathcal{P}}(E)^2 \rightarrow k(P)$. As before this gives a morphism $\mathrm{FM}_{\mathcal{P}}(E) \rightarrow k(P)$, and a morphism $E \rightarrow L_P^{-1}$ on A where L_P is the line bundle parameterized by the point P . This morphism contradicts the μ -stability of E .

Step 3: By what was already proven, we know that $\mathrm{FM}_{\mathcal{P}}(E)[1]$ is μ -semistable. Thus, to show that this sheaf is torsion free, it is enough to exclude the existence of a subsheaf $T \subset \mathrm{FM}_{\mathcal{P}}(E)[1]$ with zero-dimensional support. If $T \neq 0$ we have $H^0(T) \neq 0$. We deduce $\mathrm{Hom}(\mathcal{O}_{\hat{A}}, \mathrm{FM}_{\mathcal{P}}(E)[1]) \neq 0$. Applying the inverse Fourier-Mukai transform we obtain $\mathrm{Ext}^1(k(0), E) \neq 0$. However, this Ext group vanishes because E was locally free at $0 \in A$. So we derive that $T = 0$.

Step 4: Finally we show that the torsion free sheaf $\mathrm{FM}_{\mathcal{P}}(E)[1]$ is a vector bundle. If it was not locally free, there would be a proper inclusion $\mathrm{FM}_{\mathcal{P}}(E)[1] \xrightarrow{\iota} (\mathrm{FM}_{\mathcal{P}}(E)[1])^{\vee\vee}$. If $P \in \mathrm{supp}(\mathrm{coker}(\iota))$, then we have $\mathrm{Ext}^1(k(P), \mathrm{FM}_{\mathcal{P}}(E)[1]) \neq 0$, or, after application of $\mathrm{FM}_{\mathcal{P}}^{-1}$, that $\mathrm{Hom}(L_P^{-1}, E) \neq 0$. But this contradicts the μ -stability of E . \square

Remark. In the proof of the above theorem the μ -stability of E can be replaced by the following weaker condition: E is μ -semistable and for all line bundles L in $\mathrm{Pic}^0(A)$ we have $\mathrm{Hom}(L, E) = \mathrm{Hom}(E, L) = 0$.

Fix integers r and s and let $\mathcal{M}_A(r, 0, s)$ be the moduli space of μ -semistable sheaves E on A of rank r and $c_1(E) = 0$, $c_2(E) = s$. By Theorem 12, $\mathrm{FM}_{\mathcal{P}}(E)[1]$ is a μ -

semistable (and in fact μ -stable) sheaf for μ -stable E . Hence, FM_P provides an injective map $U \hookrightarrow \mathcal{M}_{\hat{A}}(s, 0, r)$ where $U \subset \mathcal{M}_A(r, 0, s)$ is the open subset of μ -stable sheaves. Using the inverse transform FM_P^{-1} provides a derived compactification which in the case at hand is nothing but the standard compactification using μ -semistable sheaves.

2.2 Reversing universal bundles

Let X be a smooth, projective variety and $M = M_X(v)$ be a fine moduli space of sheaves on X with prescribed Mukai vector $v \in H^*(X)$. Denote by P the universal sheaf on $X \times M$ and by $\Phi := \mathrm{FM}_P : \mathrm{D}^b(M) \rightarrow \mathrm{D}^b(X)$ the associated Fourier-Mukai transform. The right adjoint is given by $\Phi^a := \mathrm{FM}_Q : \mathrm{D}^b(X) \rightarrow \mathrm{D}^b(M)$ with kernel $Q = P^\vee \otimes p_M^* \omega_M[\dim M]$. The canonical transformation $\Phi^a \circ \Phi \rightarrow \mathrm{id}$ is an isomorphism. This follows directly from writing $\Phi^a \circ \Phi$ as the Fourier-Mukai transform whose kernel is the convolution of P and Q ; cohomology base change shows that the convolution is a complex concentrated in degree $\dim(X)$, supported on the diagonal and of rank one there (one can also check that this convolution is just $\mathcal{O}_\Delta[\dim(X)]$).

Hence, the adjoint functor Φ^a is fully faithful. By the Comparison Theorem, stability on X with parameters v (which by assumption is the same as semistability) can be phrased as P-stability for a P-datum (C_\bullet, N) on X . By Theorem 1, $\Phi(E)$ is P-stable with respect to $(\Phi(C_\bullet), N)$. We also see that X parameterizes such P-stable objects and these are sheaves on M of the same rank as E .

2.3 Elliptic K3 surface

Let $\pi : X \rightarrow \mathbb{P}^1$ be an elliptic K3 surface with a section $\sigma : \mathbb{P}^1 \rightarrow X$. Due to the presence of the section, the relative Jacobian of π is isomorphic to X itself. In particular, there is a relative Poincaré bundle \mathcal{P} on $X \times_{\mathbb{P}^1} X$. We will use the associated Fourier-Mukai transform $\Phi := \mathrm{FM}_{\mathcal{P}} : \mathrm{D}^b(X) \xrightarrow{\simeq} \mathrm{D}^b(X)$ which is an equivalence by standard arguments [13] or [2].

We have two divisor classes at our disposal: the fibre $f = [\pi^{-1}(p)]$ (of any point $p \in \mathbb{P}^1$) and the section σ . They intersect as $f^2 = 0$, $f \cdot \sigma = 1$ and $\sigma^2 = -2$; the latter because $\sigma \subset X$ is a smooth, rational curve.

The divisor $H = \sigma + 3f$ is big and effective, hence ample as X is a K3 surface. We consider two moduli spaces of μ -semistable sheaves (with respect to H) on X . One is the Hilbert scheme $\mathcal{M}_1 := \mathrm{Hilb}^2(X)$ of 0-dimensional subschemes of length 2 (or rather ideal sheaves of such); it is the moduli space of semistable sheaves of rank 1, $c_1 = 0$ and $c_2 = 2$. The other is the moduli space $\mathcal{M}_2 = \mathcal{M}_X(2, -\sigma, 0)$ of μ -semistable sheaves with prescribed Chern character. For a decomposable subscheme $Z \subset X$ of length 2 supported on distinct fibres, $\mathrm{FM}_{\mathcal{P}}$ maps the twisted ideal sheaf $\mathcal{O}_X(2\sigma) \otimes \mathcal{I}_Z$ to a μ -stable sheaf in \mathcal{M}_2 ; see [2, §6].

In this way, we obtain an isomorphism between the open set of points of $\mathrm{Hilb}^2(X)$ with support in different fibres and the locus \mathcal{M}_2^s of stable sheaves. FM_P also identifies the

boundaries. An easy computation shows that for subschemes Z supported on a single fibre, $\mathrm{FM}_P(\mathcal{O}_X(2\sigma) \otimes \mathcal{I}_Z)$ is a complex with nonzero cohomology in degrees 0 and 1. In other words, FM_P provides a compactification of \mathcal{M}_2^s using genuine complexes.

In this roundabout example, the compactification coming from \mathcal{M}_1 turns out to be the same as the classical one by coherent sheaves with a singular point. We hope that one can still see how P-stability may usefully enter into the picture.

2.4 Spherical transforms

To an object $E \in \mathcal{T}$ in a (reasonable) k -linear triangulated category, one can associate a canonical functor \mathbb{T}_E

$$\mathrm{Hom}^\bullet(E, A) \otimes E \rightarrow A \rightarrow \mathbb{T}_E(A) \rightarrow \mathrm{Hom}^\bullet(E, A) \otimes E[1].$$

Here, $\mathrm{Hom}^\bullet(E, A)$ is the Hom complex; it is a complex of k -vector spaces whose cohomology in degree i is $\mathrm{Hom}^i(E, A)$. In particular, there is an isomorphism $\mathrm{Hom}^\bullet(E, A) \cong \bigoplus_i \mathrm{Hom}^i(E, A)[-i]$. The first map in the triangle is the evaluation map.

Note that due to the non-functoriality of cones, the above triangles are not enough to define \mathbb{T}_E on morphisms. There are several ways to rectify this: if \mathcal{T} comes from a dg-category, then the construction can be made on the dg-level and descends to \mathcal{T} . In the geometrical situation, $\mathcal{T} = \mathrm{D}^b(X)$, one can specify \mathbb{T}_E as the Fourier-Mukai transform with kernel $\mathcal{I}_E = \mathrm{cone}(E^\vee \boxtimes E \rightarrow \mathcal{O}_\Delta)$, i.e. we choose one cone on the kernel level (for this construction, E has to be a perfect object).

Assume that X is Gorenstein (or more generally, such that the dualizing complex ω_X exists and is perfect). A perfect object $E \in \mathrm{D}^b(X)$ is said to be an d -sphere object (or S^d -object), for some integer d , if there is an isomorphism of graded algebras $\mathrm{Hom}^*(E, E) \cong H^*(S^d, k)$, the only non-vanishing pieces of latter being one-dimensional in degrees 0 and d . For such an object, the functor $\mathbb{T}_E : \mathrm{D}^b(X) \rightarrow \mathrm{D}^b(X)$ is fully faithful. By a standard criterion, this can be checked by testing fully faithfulness on the spanning class $\{E\} \cup E^\perp$, which is easy in view of $\mathbb{T}_E(E) \cong E[1-d]$ and $\mathbb{T}_E|_{E^\perp} = \mathrm{id}$. (The assumption on X allows to apply duality, ensuring ${}^\perp(\{E\} \cup E^\perp) = 0$.) Note that the familiar spherical twist equivalences of Seidel and Thomas [24] are \mathbb{T}_E functors for $\dim(X)$ -sphere objects satisfying $E \otimes \omega_X \cong E$.

As an example, consider a ruled surface $X \rightarrow E$ over an elliptic curve. Then, the structure sheaf \mathcal{O}_X satisfies the above condition with $d = 1$ (this follows from cohomology base change). Hence, we obtain a fully faithful endofunctor $\mathbb{T}_{\mathcal{O}_X} : \mathrm{D}^b(X) \rightarrow \mathrm{D}^b(X)$. Again, this functor can be used to push forward any P-datum on X . For example, if we start with a Hilbert scheme $\mathrm{Hilb}^n(X)$ of points on X and choose a P-datum (C_\bullet, N) describing stability of the ideal sheaves \mathcal{I}_x , then all $\mathbb{T}_{\mathcal{O}_X}(\mathcal{I}_x)$ are P-stable with respect to $(\mathbb{T}_{\mathcal{O}_X}(C_\bullet), N)$. As $\mathbb{T}_{\mathcal{O}_X}$ is not essentially surjective, the two moduli spaces can differ. However, since $\mathbb{T}_{\mathcal{O}_X}$ is fully faithful, it is a local isomorphism. Thus, the image of a smooth component on one side is a smooth component on the other.

References

- [1] L. Álvarez-Cónsul, A. King: *A functorial construction of moduli of sheaves*, Invent. math. 168 (2007), 613–666.
- [2] C. Bartocci, U. Bruzzo, D. Hernández Ruiperez: *Fourier-Mukai and Nahm transforms in geometry and mathematical physics*, Birkhäuser (2007).
- [3] A. Beilinson, J. Bernstein, P. Deligne: *Faisceaux pervers*, Astérisque 100 (1982).
- [4] T. Bridgeland: *Stability conditions on triangulated categories*, math.AG/0212237.
- [5] G. Faltings: *Stable G -bundles and projective connections*, J. Algebraic Geom. 2 (1993), 507–568.
- [6] S.I. Gelfand, Y.I. Manin: *Methods of homological algebra*, Springer (1997).
- [7] A. Grothendieck: *Techniques de construction et théorèmes d'existence en géométrie algébrique; IV. Les schémas des Hilbert*, Séminaire Bourbaki 221 (1960/61).
- [8] G. Hein: *Duality construction of moduli spaces*, Geometriae Dedicata 75 (1999), 101–113.
- [9] G. Hein: *Raynaud vector bundles*, math.AG/0706.3970, to appear in Monatsh. Math. (2009).
- [10] G. Hein, D. Ploog: *Fourier-Mukai transforms and stable bundles on elliptic curves*, Beiträge Algebra Geom. 46 (2005), no. 2, 423–434.
- [11] G. Hein, D. Ploog: *Postnikov-stability of complexes*, math.AG/0704.2512.
- [12] D. Huybrechts, M. Lehn: *Moduli spaces of coherent sheaves*, Vieweg 1997.
- [13] D. Huybrechts: *Fourier-Mukai transforms in algebraic geometry*, Oxford Mathematical Monographs (2006).
- [14] M. Inaba: *Moduli of stable objects in a triangulated category*, math.AG/0612078.
- [15] Y. Kawamata: *Equivalences of derived categories of sheaves on smooth stacks*, Amer. J. Math. 126 (2004), 1057–1083, also math.AG/0205287.
- [16] A. Langer: *Semistable sheaves in positive characteristic*, Ann. of Math. (2) 159 (2004), no. 1, 251–276.
- [17] J. Le Potier: *Module des fibrés semistables et fonctions thêta*, in M. Maruyama (ed.), Proc. Symp. Taniguchi Kyoto 1994: Moduli of vector bundles, Lect. Notes in Pure and Appl. Math. **179** (1996), p. 83–101.
- [18] V.B. Mehta, A. Ramanathan: *Restriction of stable sheaves and representations of the fundamental group*, Invent. Math. **77** (1984) 163–172..
- [19] S. Mukai: *Duality between $D(X)$ and $D(\hat{X})$ with its application to Picard sheaves*, Nagoya Mathematical Journal 81 (1981), 153–175.
- [20] D. Mumford: *Lectures on Curves on an Algebraic Surface*, Princeton Univ. Press, Princeton, 1966.
- [21] D.O. Orlov: *Equivalences of derived categories and $K3$ -surfaces*, J. Math. Sci. 84 (1997), 1361–1381, also math.AG/9606006.
- [22] A. Polishchuk: *Abelian varieties, Theta functions and the Fourier Transform*, Cambridge University Press (2003).
- [23] M. Popa: *Dimension estimates for Hilbert schemes and effective base point freeness on moduli spaces of vector bundles on curves*, Duke Math. J. 107 (2001), 469–495.
- [24] P. Seidel, R. Thomas: *Braid group actions on derived categories of coherent sheaves*, Duke Math. J. 108 (2001), 37–108.
- [25] L. W. Tu: *Semistable bundles over an elliptic curve*, Adv. Math. 98 (1993), no. 1, 1–26.