

Appendix to §1.2:  $\Sigma_1^{(m)}$  - Skolem Functions

Def Let  $M$  be acceptable,  $m \geq 0$ .

$X \prec_{\Sigma_1^{(m)}} M$  iff for all  $i \leq m$ :

Let  $x_1, \dots, x_m \in X$ ; let  $R(y^i, \vec{x})$  be a  $\Sigma_1^{(i)}$  ( $M$ ) relation. Then

$$\forall y R(y^i, \vec{x}) \rightarrow \forall y^i \in X R(y^i, \vec{x}).$$

Since each  $\Sigma_1^{(i)}$  ( $M$ ) relation is uniformizable by a  $\Sigma_1^{(i)}$  ( $M$ ) partial map to  $H^i_M$ , it follows that  $X \prec_{\Sigma_1^{(m)}} M$  iff  $X$  is closed under good  $\Sigma_1^{(m)}$  fcn.

Def Let  $X \subset M$

$h^m(X)$  = the closure of  $X$  under good  $\Sigma_1^{(m)}$  ( $M$ ) fcn.

= the smallest  $Y \prec_{\Sigma_1^{(m)}} M$  s.t.  $X \subset Y$ .

We know: If  $Y = h^m(X)$  and  $\sigma: N \xrightarrow{\sim} M/Y$ ,  $N$  trans., then  $\sigma: N \xrightarrow{\sim} \Sigma_1^{(m)} M$  iff  $p^i_N = \sigma^{-1} \circ p^i_M$  for  $i \leq m$ .  $\sigma$  will be  $\Sigma_1^{(m)}$ -preserving if  $X = Z \cup \{p\}$ ,  $Z \subset \bigcup_{p \in M} p^m$ .

Def  $h$  is a  $\Sigma_1^{(m)}$  - Skolem fun for  $M$   
 iff  $h$  is a universal good  $\Sigma_1^{(m)}$  fun:  
 (a)  $h$  is a good  $\Sigma_1^{(m)}$  (M) fun.  
 (b)  $\text{dom}(h) \subset \omega \times M$   
 (c) Let  $f$  be a good  $\Sigma_1^{(m)}$  (M) fun  
 of one argument, Then  $f = h_j$   
 for some  $j$  (where  $h_j(x) = h(j, x)$ ).

Properties of  $\Sigma_1^{(m)}$  - Skolem fun:

Let  $h$  be a  $\Sigma_1^{(m)}$  - Sk. fun.

- (1)  $h^m(\{p\}) = h^m(\omega \times \{p\})$  for  $p \in M$
- (2) Let  $\emptyset \neq X \subset M$  be closed under ordered pairs. Then  $h^m(X) = h^m(\omega \times X)$ .

prf.

$$h^m(\omega \times X) = \bigcup_{x \in X} h^m(\{x\}). \text{ But}$$

$$x, y \in X \rightarrow h^m(x), h^m(y) \subset h^m(z),$$

where  $z = \langle x, y \rangle \in X$ . QED

Similarly:

(3) Let  $X$  be as above,  $p \in M$ . Then

$$h^m(X \cup \{p\}) = h^m(\omega \times (X \times \{p\}))$$

(4) Let  $X \subseteq \mathcal{O}_{m,n}$  be closed under Gödel pairs. Then:

(a)  $h^m(X) = h^m(\omega \times X)$

(b)  $h^m(X \cup \{p\}) = h^m(\omega \times (X \cup \{p\}))$

(5)  $h^m(X) = \bigcup \{h^m(\omega \times \{a\}) \mid a \in X, a \text{ finite}\}$

### Canonical Skolem funcs:

Def We define a sequence of good  $\Sigma_1^{(n)}$  funcs  $h_m^n$  as follows

$h^0 = h =$  the canonical  $\Sigma_1$  Skolem func.

$h^{n+1}(i, x) \approx h^m(i, \langle h^m(i, x), x \rangle)$

where  $\hat{h}^m$  is the  $\Sigma_1^{(n+1)}$  map to  $H^{m+1}$

defined by:

$\hat{h}^m(i, x) \approx h_{M^{m+1}, p(x)}(i, 0)$

and  $p(x) = \langle x, 0, \dots, i, 0 \rangle$ .

- 4 -

Thm  $h^m$  is a  $\sum_1^{(m)}$  - Skolem fun.

prf. Ind. on  $m$ .

For  $m=0$  it is given.

Assume it for  $m$ . We prove it for  $m+1$ . We first show:

Lemma Every good  $\sum_1^{(m+1)}$  fun  $f$  has

the form:  $f(\vec{x}) \approx f_0(f_1(\vec{x}), \vec{x})$ ,

where  $f_0$  is a good  $\sum_1^{(m)}$  map and

$f_1$  is a  $\sum_1^{(m+1)}$  map to  $H^{m+1}$ .

prf.

Let  $\mathcal{F}$  be the set of such  $f$ . Clearly every  $\sum_1^{(i)}$  map to  $H_i$  is in  $\mathcal{F}$

for  $i \leq m+1$ . Hence it suffices to show:

Claim Let  $f(\vec{v}), g(\vec{x})$  be in  $\mathcal{F}$  for  $i=1, \dots, m$ . Then  $f(\vec{x}) \approx f(g(\vec{x}))$  is in  $\mathcal{F}$ .

prf. of Claim.

$$\text{Let } f(\vec{v}) \simeq f_0(f_1(\vec{v}), \vec{v})$$

$$g_i(\vec{x}) \simeq g_i^0(g_i^1(\vec{x}), \vec{x}).$$

$$\text{Set: } \tilde{f}_0(u, \vec{x}) \simeq f_0((u)_0, g_1^0((u)_1, \vec{x}), \dots, g_m^0((u)_m, \vec{x}))$$

Then  $\tilde{f}_0$  is a good  $\Sigma_1^{(n)}$  fcn.

$$\text{Set: } \tilde{f}_1(\vec{x}) \simeq \langle f^1(\vec{x}), g_1^1(\vec{x}), \dots, g_m^1(\vec{x}) \rangle.$$

$\tilde{f}_1$  is a  $\Sigma_1^{(n+1)}$  map to  $H^{n+1}$ . But

$$\tilde{f}(\vec{x}) \simeq \tilde{f}_0(\tilde{f}_1(\vec{x}), \vec{x}).$$

QED (Lemma)

Now let  $f$  be a good  $\Sigma_1^{(n+1)}$  fcn of one argument. By the lemma:

$$f(x) \simeq f_0(f_1(x), x).$$

Set:  $f'(u) \simeq f_0((u)_0, (u)_1)$ . By the

incl. hyp  $f' = h_i^m$  for some  $i$ ,

Clearly  $f_1 = \hat{h}_i^m$  for some  $i$ .

$$\text{Hence } f(x) \simeq h^m(i, \langle \hat{h}^m(i, x), x \rangle)$$

$$\simeq h^{n+1}(\langle i, i \rangle, x)$$

QED