

§2 Forcing to obtain a  $\diamond^+$ -sequence from a  $\diamond$ -sequence.

Let  $N$  be a trans. model of  $ZFC + 2^\omega = \omega_1 + 2^{\omega_1} = \omega_2 + \diamond$ . \*

Let  $S = \langle S_\alpha \mid \alpha < \omega_1 \rangle$  be a fixed  $\diamond$ -sequence,  
 For  $\alpha < \omega_1$  let  $d_\alpha : \omega \xrightarrow{\text{onto}} \alpha + 1$ , where  
 $d = \langle d_\alpha \mid \alpha < \omega_1 \rangle \in N$ , For  $\alpha < \omega_1$  set:

$$M_\alpha = L_{\beta_\alpha} [S \upharpoonright \alpha + 1, d \upharpoonright \alpha + 1],$$

where  $\beta_\alpha =$  the least  $\beta > \alpha$  s.t.

$$\beta > \sup_{\gamma < \alpha} \beta_\gamma \text{ and } L_\beta [S \upharpoonright \alpha + 1, d \upharpoonright \alpha + 1] \models ZFC.$$

Define  $S^* = \langle S_\alpha^* \mid \alpha < \omega_1 \rangle$  by:  $S_\alpha^* = \mathcal{P}(\alpha) \cap M_\alpha$ .

Set  $M = \langle M_\alpha \mid \alpha < \omega_1 \rangle$ .

We shall generically extend  $N$  to an  $N[G]$  s.t.  $S^*$  is a  $\diamond^+$ -sequence in  $N[G]$ .

Lemma 1 Let  $\mathcal{U} = \langle A_i, \epsilon_i, \dots \rangle \in H_{\omega_2}^N$  be transitive. Then in  $N$  there is  $X < \mathcal{U}$  s.t.  $\bar{X} \leq \omega$ ,  $d = \omega_1 \cap X$  is transitive, and  $\bar{\mathcal{U}} \in M_\alpha$ , where  $\bar{\mathcal{U}}$  is the transitive-  
 triviation of  $X$ .

\*  $N$  plays the role of  $N' = N[G]$  in §1

prf. of Lemma 1.

Assume w.l.o.g. that  $\omega_1 \subset \omega_2$  and let  $f: \omega_1 \rightarrow \omega_2$ . Let  $T = T_{\omega_1, f} =$

= the complete theory of  $\langle \omega_1, f, v (v < \omega_1) \rangle$  (with a constant  $x$  for  $v < \omega_2$ ). We suppose this theory to be coded in such a way that  $T \subset \omega_1$ . Let

$$C = \{ \alpha \mid \langle \omega_1 \upharpoonright f''\alpha, f \upharpoonright \alpha \rangle \prec \langle \omega_1, f \rangle \}.$$

Then  $C$  is cub in  $\omega_1$ . Let  $\alpha \in C$  s.t.  $T \cap \alpha = S_\alpha$ . Then, letting

$$\sigma: \langle \bar{\omega}_1, \bar{f} \rangle \xrightarrow{\sim} \langle \omega_1 \upharpoonright f''\alpha, f \upharpoonright \alpha \rangle,$$

have  $\sigma \circ \bar{f} = f \upharpoonright \alpha$ ,  $\sigma \upharpoonright \alpha = \text{id}$ ,  $\sigma(\alpha) = \omega_1$ ,

and  $T \cap \alpha =$  the complete theory of  $\langle \bar{\omega}_1, \bar{f}, v (v < \alpha) \rangle$ . Since  $T \cap \alpha \in M_\alpha$ ,

we conclude:  $\langle \bar{\omega}_1, \bar{f} \rangle \in M_\alpha$ , since  $\langle \bar{\omega}_1, \bar{f} \rangle$  is uniquely recoverable

from  $T \cap \alpha = \text{theory}(\langle \bar{\omega}_1, \bar{f}, v (v < \alpha) \rangle)$

in any ZFC-model containing  $T \cap \alpha$ . Hence the lemma holds

with  $X = f''\alpha$ . QED (Lemma 1)

Def Let  $A \subset \omega_2$  in  $N$  s.t.  $L_{\omega_2}[A] = H_{\omega_2}$ .

Define  $\langle \rho \mid \aleph_1 < \rho < \omega_2 \rangle$  by:

$\rho =$  the least  $\rho > \omega_1$  s.t.  $\rho > \sup_{\aleph_1 < \nu < \aleph_2} \rho_\nu$

$M \in L_\rho$ ,  $cf(\rho) = \omega_1$  and

$L_\rho[A] \models (ZF C^- + \lambda x \bar{x} \leq \omega_1)$

Set:  $\tilde{\rho}_\nu = \omega_1 \cup \sup_{\aleph_1 < \nu} \rho_\nu$  (Hence  $\tilde{\rho}_{\nu+1} = \rho_\nu$ )

$\mathcal{M}_\nu = \langle L_{\tilde{\rho}_\nu}[A], \in, \mathcal{A} \cap \tilde{\rho}_\nu, M \rangle$

For  $\nu > 0$  set:

$\tilde{\mathcal{M}}_\nu = \bigcup_{\nu < \xi} \mathcal{M}_\xi = \langle L_{\tilde{\rho}_\nu}[A], \in, \mathcal{A} \cap \tilde{\rho}_\nu, M \rangle$

Then:

Lemma 2

(a)  $\langle \rho \mid \aleph_1 < \rho < \omega_2 \rangle$  is uniformly  $\mathcal{M}_\nu$ -definable

(b)  $[x]^\omega \in \mathcal{M}_\nu$  for  $x \in \mathcal{M}_\nu$

(c)  $[\mathcal{M}_\nu]^\omega \subset \mathcal{M}_\nu$

prb.

(a) trivial, (b) follows by  $\mathcal{M}_\nu \models \lambda x \bar{x} \leq \omega_1$

and  $[\omega_1]^\omega \in \mathcal{M}_\nu$  (since  $[\omega_1]^\omega \subset$

$\bigcup_{\aleph_1 < \omega_1} \mathcal{M}_\xi \in \mathcal{M}_\nu$ ).

(c) follows by (b) and  $cf(\rho) = \omega_1$ .

Set:  $f_\nu =$  the  $\mathcal{M}_\nu$ -least  $f: \omega_1 \rightarrow \tilde{\rho}_\nu$ .

$a_\xi =$  the  $\xi$ -th  $a \in \omega_1$  in  $L_{\omega_2}[a]$ .

$\tilde{a}_\nu = \{ \langle \xi, \mu \rangle \mid \xi \in \tilde{a}_{f_\nu(\mu)} \}$ .

Then:

Lemma 2 (d)  $\langle f_\xi \mid \xi < \nu \rangle$  is uniformly  $\mathcal{M}_\nu$ -definable (and  $\tilde{\mathcal{M}}_\nu$ -definable).

(e)  $f_\nu \in \mathcal{M}_\nu$  is uniformly

$\mathcal{M}_\nu$ -definable

(f)  $\langle a_\xi \mid \xi < \rho_\nu \rangle$  is uniformly

$\mathcal{M}_\nu$ -definable

(g)  $\tilde{a}_\nu \in \mathcal{M}_\nu$  is uniformly

$\mathcal{M}_\nu$ -definable.

We now define forcing conditions

$$IP = IP^A.$$

countable

Def  $IP_\nu = IP_\nu^A =$  the set of  $\forall p$  s.t.

$p$  is closed, bounded in  $\omega_1$  and

$d \in p \rightarrow \tilde{a}_\nu \cap d \in M_d$ ,

Set:  $m_p = \max(p)$  for  $p \in IP_\nu$ .

$p \leq q \leftrightarrow q = p \cap (m_q + 1)$  for  $p, q \in IP_\nu$ .

Def  $IP = IP^A =$  the set of maps

$p$  s.t.  $\text{dom}(p) \subset \omega_2$  is countable,

$p(\nu) \in IP_\nu$  for  $\nu \in \text{dom}(p)$ , and

whenever  $\nu \in \text{dom}(p)$ , then:

(a)  $f_\nu^{m_p(\nu)} \subset \text{dom}(p)$

(b)  $m_p(\zeta) \geq m_p(\nu)$  for  $\zeta \in f_\nu^{m_p(\nu)}$

(c)  $d \in p(\nu) \rightarrow \tilde{C}_{p, \nu} \cap d \in M_d$ ,

where  $\tilde{C}_{p, \nu} = \{ \langle \mu, \zeta \rangle \in m_p(\nu) \mid \mu \in p(f_\nu(\zeta)) \}$ ,

$p \leq q \leftrightarrow$  (a)  $\text{dom}(q) \subset \text{dom}(p) \wedge$

(b)  $\forall \nu \in \text{dom}(q) \quad p_\nu \leq q_\nu \text{ in } IP_\nu$

Def For  $p \in IP$  set:

$m_p = \min \{ m_{p(\nu)} \mid \nu \in \text{dom}(p) \}$

$l_p = \text{lub}(\text{dom}(p))$

It is immediate from the definition that  $IP \subset L_{\omega_1}[A]$  is  $L_{\omega_1}[A]$  definable. It is also clear that, if  $W$  is an inner model of  $N$  with  $[W]^\omega \subset W$  in  $N$ , then  $IP \subset W$ . Hence (A), (I) of §1 are proven. (B) is also trivial from the definition of  $IP$ . For  $\nu < \omega_1$  set:

$$\tilde{IP}_\nu =_{df} \{ p \in IP \mid \text{dom}(p) \subset \nu \}. \text{ It is}$$

apparent that

$$\tilde{IP}_\nu = IP^\nu =_{df} \{ p \restriction \nu \mid p \in IP \}. \text{ (Hence } IP^\nu \subset IP)$$

(In this section we generally write  $\tilde{IP}_\nu$  instead of  $IP^\nu$ . The reason is that §2 was largely written before §1.) It is apparent that if  $p \in IP$  and  $q \in p \restriction \nu$  in  $\tilde{IP}_\nu$ , then  $p \cup q \in IP$ . Hence (D), (E) are proven. Before making the further verification we prove:

Lemma 3 Let  $p \in IP_A$ ,  $\delta < \omega_1$ ,  $\delta < \omega_2$ .  
 There is  $p' \leq p$  s.t.  $\delta \leq mp'$ ,  $\delta \leq l(p')$ .  
 proof.

Assume w.l.o.g.  $l(p) < \delta$ ,  $mp < \delta$ .

Let  $\mathcal{M} = \langle L_\beta[A], A, M, p, \delta \rangle$ , where  $\beta$  is least s.t.

$$\langle L_\beta[A], A, M, p, \delta \rangle < \langle L_{\omega_2}[A], A, M, p, \delta \rangle.$$

$$\text{Then } \mathcal{M} = \tilde{\mathcal{M}}_\beta, \beta = \tilde{\beta}.$$

Let  $X \prec \mathcal{M}$  be countable s.t.

$\delta, \delta' \in X$  and  $\alpha = X \cap \omega_1$  is transitive  
 and  $\bar{\mathcal{M}} \in M_\alpha$ , where  $\bar{\mathcal{M}}$  is  
 the transitive closure of  $\mathcal{M} \upharpoonright X$ .

Let  $\sigma: \bar{\mathcal{M}} \xrightarrow{\sim} \mathcal{M} \upharpoonright X$ . Let  
 $\bar{\mathcal{M}} = \langle L_{\tilde{\beta}}[\bar{A}], \bar{A}, \bar{M}, \bar{p} \rangle$ . Define

$p'$  by:  $\text{dom}(p') = \omega_2 \cap X$ ,

$$p'(v) = \begin{cases} p(v) \cup \{\alpha\} & \text{if } v \in \text{dom}(p) \\ \{\alpha\} & \text{otherwise.} \end{cases}$$

Claim  $p' \in IP$  (hence  $p' \leq p$ ).

(a)  $p'(v) \in IP_2$  (trivial)

(b) Let  $v \in \text{dom}(p')$ . Then  $f_v \in X$ .

Let  $\sigma(\bar{f}) = f_v$ . Then

$$\bar{f}: \alpha \leftrightarrow \bar{p}, \text{ where } \sigma(\bar{p}) = p'.$$

But  $\tilde{a}_\nu \in X$ . Let  $\sigma(\bar{a}) = a_\nu$ . Then

$$\begin{aligned} \bar{a} &= \{ \langle \mu, \bar{z} \rangle \in \alpha \mid \mu \in \bar{f}(z) \} = \\ &= \{ \langle \mu, \bar{z} \rangle \in \alpha \mid \mu \in f_\nu(z) \} = \\ &= \tilde{a}_\nu \cap \alpha. \text{ Hence} \end{aligned}$$

(c)  $\tilde{a}_\nu \cap \alpha \in M_\alpha$

(d)  $\tilde{C}_{p'_\nu} \in M_\alpha$ , since

$$\begin{aligned} \tilde{C}_{p'_\nu} &= \{ \langle \mu, \bar{z} \rangle \in \alpha \mid \nu \in p'_\nu(f_\nu(z)) \} = \\ &= \{ \langle \mu, \bar{z} \rangle \in \alpha \mid f_\nu(z) \in \text{dom}(p) \wedge \nu \in p(f_\nu(z)) \} \\ &= \{ \quad \quad \mid \bar{f}(z) \in \text{dom}(\bar{p}) \wedge \nu \in \bar{p}(\bar{f}(z)) \} \\ &\in \bar{M} \subset M_\alpha. \end{aligned}$$

(e) If  $\gamma \in p'_\nu \cap \alpha$ , then

$$p'_\nu \cap \alpha = p(\nu) + \text{ hence}$$

$$\tilde{C}_{p'_\nu} \cap \gamma = \tilde{C}_{p,\nu} \cap \gamma \in M_\gamma.$$

QED (Lemma 3)

We now verify (C) of §1:



Lemma 4 IP is  $\omega_1$ -distributive,

prf.

Let  $p \in IP$ . Let  $\Delta_i$  be strongly dense in IP for  $i < \omega$ . Claim  $\forall p' \leq p \ p' \in \bigcap_i \Delta_i$ .

Fix  $\langle \langle \gamma_i, m_i \rangle \mid i < \omega_1 \rangle$  s.t.

(a)  $m_i < \omega$  ; (b)  $\gamma_i < i$  for  $0 < i < \omega_1$  ;

(c)  $\forall \langle \gamma, m \rangle \in \omega_1 \times \omega$ , then

$\{i \mid \langle \gamma_i, m_i \rangle = \langle \gamma, m \rangle\}$  is unbounded in  $\omega_1$ .

Pick  $\langle p_i \mid i < \omega_1 \rangle$  s.t.  $p_0 = p$  and

$p_i \leq p_{\gamma_i}$ ,  $p_i \in \Delta_{m_i}$ ,  $m_{p_i} \geq i$  for

$0 < i < \omega_1$ . Let

$\mathcal{M} = \langle L_\beta[A], A, \langle p_i \mid i < \omega_1 \rangle, M \rangle <$

$< \langle L_{\omega_2}[A], A, \dots, \dots \rangle$

s.t.  $\beta < \omega_2$ ,  $cf(\beta) = \omega_1$ .

Let  $X < \mathcal{M}$  be countable s.t.  $d = \omega_1 \cap X$  is transitive and  $\bar{M} \in M_d$ , where  $\bar{M}$  is the transitive closure of  $X$ . Then

$\bar{M} = \langle L_{\bar{\beta}}[\bar{A}], \bar{A}, \langle \bar{p}_i \mid i < \alpha \rangle, M[\alpha] \rangle$ .

Let  $\alpha = \sup_{i < \omega} d_i$ , where  $\langle d_i \mid i < \omega \rangle \in M_d$  is monotone. An

$M_d$  select  $\langle \eta_i \mid i < \omega \rangle$

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s.t.  $\alpha_i < \gamma_i$ ,  $\delta_{\gamma_{i+1}} = \gamma_i$ ,  $m_{\gamma_{i+1}} = i$ .

Define  $p'$  by:  $\text{dom}(p') = \bigcup_{i < \omega} \text{dom}(p_{\gamma_i})$

$$p'(v) = \{\alpha\} \cup \bigcup_{\substack{i < \omega \\ v \in \text{dom}(p_{\gamma_i})}} p_{\gamma_i}(v) \quad \text{for } v \in \text{dom}(p').$$

It suffices to show:

Claim  $p' \in IP$  (Hence  $p' \leq p_{\gamma_{i+1}} \in \Delta_i$   
for  $i < \omega$ .)

prf.

$\text{dom}(p') \subset \omega_1$  is trivially countable.

Moreover:

(a)  $p'(v)$  is closed, bounded in  $\omega_1$   
for  $v \in \text{dom}(p')$ ,

since  $p'(v) \cap \alpha$  is club in  $\alpha = \max(p'(v))$

(b)  $\tilde{a}_v \cap \delta \in M_\delta$  for  $\delta \in p'(v)$

prf.

Case 1  $\delta < \alpha$ . Then  $\delta \in \text{dom}(p_{\gamma_i})$  and  
hence  $\tilde{a}_v \cap \delta \in M_\delta$ .

Case 2  $\delta = \alpha$ .

Let  $v \in \text{dom}(p_{\gamma_i})$ . Then  $v \in X$  since

$p_{\gamma_i} \in X$ . Hence  $\tilde{a}_v \in X$ . Let  $\sigma(\tilde{a}) =$   
 $= \tilde{a}_v$ . Then  $\bar{a} = \tilde{a}_v \cap \alpha \in M_\alpha$ .

QED(b)

(c)  $f_v^{-1} \circ m_{p'(v)} = f^{-1} \circ \alpha \subset \text{dom}(p')$

for  $v \in \text{dom}(p)$ .

Let  $z = f_v(\mu)$ ,  $\mu < \alpha$ . Let  $v \in \text{dom}(p)$ ,

where  $\gamma_i > \mu$  (hence  $m_{p'} \geq \gamma_i > \mu$ ).

Then  $m_{p'} > \mu$  and  $f_v(\mu) \in$

$\in \text{dom}(p) \subset \text{dom}(p')$

(d)  $m_{p'(z)} = m_{p'(v)} = \alpha$  for  $z \in f_v^{-1} \circ \alpha$

(e)  $\delta \in p'(v) \rightarrow \tilde{C}_{p',v} \cap \delta \in M_\gamma$

Case 1  $\delta < \alpha$ . Trivial since  $\delta \in p(v)$  for an  $i < \omega$  and

$\tilde{C}_{p',v} \cap \delta = \tilde{C}_{p_{\gamma_i},v} \cap \delta$

Case 2  $\delta = \alpha$ .

$\tilde{C}_{p',v} = \bigcup_{\substack{i < \omega \\ v \in \text{dom}(p)}} \tilde{C}_{p_{\gamma_i},v}$ . But

The function  $\langle \tilde{C}_{p_{\gamma_i},v} \mid \gamma_i < \omega, v \in \text{dom}(p) \rangle$  is  $\mathcal{M}$ -definable. Let

$\langle \bar{C}_{\bar{P}_3, v} \mid \gamma_i < \alpha \wedge v \in \text{dom}(p) \rangle$  have

the same def. in  $\bar{\mathcal{M}}$ .

Then  $\sigma(\bar{c}_{\bar{p}_3, \nu}) = \tilde{c}_{\bar{p}_3, \sigma(\nu)}$ ; hence

$\bar{c}_{\bar{p}_3, \nu} = \tilde{c}_{\bar{p}_3, \sigma(\nu)} \cap d$ . Since  $\langle \bar{p}_3 \upharpoonright i < \omega \rangle \in M_\alpha$ ,  
 we have:  $\tilde{c}_{\bar{p}_3, \nu} = \bigcup_{\substack{i < \omega \\ \nu \in \text{dom}(\bar{p}_3 \upharpoonright i)} } \bar{c}_{\bar{p}_3 \upharpoonright i}$ , where  $\sigma(\bar{\nu}) = \nu$ .

QED (Lemma 4)

Note A modification of this proof shows:

Cor. 4.1 Let  $\nu < \omega_1$ . Set  $\mathcal{Q} =$  the set of  
 $\langle p, q \rangle \in \mathbb{P} \times \mathbb{P}$  s.t.  $p \restriction \nu = q \restriction \nu$ , with the  
 ordering:  $\langle p, q \rangle \leq \langle p', q' \rangle \iff (p \leq p' \wedge q \leq q')$ .

Then  $\mathcal{Q}$  is  $\omega_1$ -distributive.

(Note  $\mathcal{Q} = \mathbb{P} \times \mathbb{P}$  for  $\nu = 0$ )

As in § 1, a standard proof using  
 (D), (E) gives:

Lemma 5  $\mathbb{P}$  satisfies the  $\omega_2$ -cc  
 (i.e. every antichain has cardinality  
 $\leq \omega_1$ ).

Hence  $\mathbb{P}$  preserves cardinals.

Lemma 6 Let  $G$  be  $\mathbb{P}_v$ -generic over  $N$ .

Set  $C = \cup G$ . Then

(a)  $C$  is cub in  $\omega_1$  and  $C \cap d \in M_d$  for  $d \in C$ .

(b) Let  $a \in \omega_1$ ,  $a \in L_p[A]$ . Then

there is  $d_0 \in C$  s.t.  $a \cap d \in M_d$  for all  $d \in C \setminus d_0$ .

proof, trivial.

Def Let  $G$  be  $\mathbb{P}$ -generic over  $N$ .

$$G_v =_{\text{df}} \{ p(v) \mid p \in G \wedge v \in \text{dom}(p) \}$$

$$C_v = C_v^G = \cup G_v$$

$$\tilde{C}_v = \tilde{C}_v^G = \{ \langle \mu, \varepsilon \rangle \in \omega_1 \mid \mu \in C_{f_v(\varepsilon)} \}$$

$$\text{Def } \tilde{\mathbb{P}}_v = \tilde{\mathbb{P}}_v^A = \{ p \in \mathbb{P} \mid \text{dom}(p) \subseteq v \}$$

(Hence  $\tilde{\mathbb{P}}_v \in \mathcal{M}_v$  by Lemma 2)

Lemma 7 Let  $G$  be  $\mathbb{P}$ -generic over  $N$ .

(a)  $G_v$  is  $\mathbb{P}_v$ -generic over  $N$

(b)  $d \in C_v \rightarrow d \cap \tilde{C}_v, d \cap C_v \in M_d$

(c)  $N[G] = N[\langle C_v \mid v \in \omega_1 \rangle]$

(d)  $N[G \cap \tilde{\mathbb{P}}_v] = N[\tilde{C}_v]$

proof

(a) Let  $p \in \mathbb{P}$ ,  $q \leq p(v)$  in  $\mathbb{P}_v$ .

Claim There is  $p' \leq p$  in  $\mathbb{P}$

s.t.  $p'(v) \leq q$  in  $\mathbb{P}_v$ .

Imitating the proof of Lemma 3 (applied to  $p \vee v$ ), we find an  $\alpha > m_q$  and a  $p'' \in \tilde{IP}_v$  s.t.  $p'' \leq p \vee v$  in  $IP$  and

$$m_{p''}(z) = \alpha \text{ for all } z \in \text{dom}(p'')$$

$$\text{and } p''(z) \wedge \alpha = \begin{cases} p(z) & \text{if } z \in \text{dom}(p) \\ \emptyset & \text{if not} \end{cases}$$

for  $z \in \text{dom}(p'')$ . Set  $p' = p'' \cup \{ \langle q, v \rangle \}$ .

It is easily verified that  $p' \in IP$ .

Hence  $p' \leq p$  and  $p'_v = q$ .  $\square \in D(a)$

(b) trivial

(c) ( $\supset$ ) trivial. (c) follows by:

For  $p \in IP$  we have:

$$p \in G \iff \exists v \in \text{dom}(p) (p(v) = C_v^n (m_{p(v)} + 1))$$

$$(d) N[G \cap \tilde{IP}_v] = N[\langle C_z \mid z < v \rangle]$$

follows as in (c). But

$$N[\langle C_z \mid z < v \rangle] = N[\tilde{C}_v]. \quad \square \in D(\text{Lemma 7})$$

Using this, we verify (G) of §1:

Lemma 8 Assume  $L_{\omega_2}[A] = H_{\omega_2}$  in  $N$ . Then  $S^* = \langle s_\alpha^* \mid \alpha < \omega_1 \rangle$  is a  $\diamond^+$ -sequence in  $N[G]$ ,  
 pf.

Let  $B \subset \omega_1$  in  $N[G]$ . Claim There is a club  $C \subset \omega_1$  in  $N[G]$  s.t.

$$\lambda \in C \implies B \cap \lambda, C \cap \lambda \in M_\lambda.$$

Let  $B = \dot{B}^G$ . For  $\nu < \omega_1$  choose in  $N$  a maximal antichain  $X_\nu$  in  $\{p \mid p \Vdash \check{y} \in \dot{B}\}$ .  
 Then  $\bar{X}_\nu \in \omega_1$ , where  $X_\nu \subset H_{\omega_2}$ . Hence  $\langle X_\nu \mid \nu < \omega_1 \rangle \in L_{\omega_2}[A]$ . We know:

$$(1) \nu \in B \iff G \cap X_\nu \neq \emptyset$$

Pick  $\beta < \omega_2$  s.t.

$$M = \langle L_\beta[A], A \cap \beta, M, X \rangle \prec \langle L_{\omega_1}[A], A, M, X \rangle$$

where  $X = \langle X_\nu \mid \nu < \omega_1 \rangle$ , and  $cf(\beta) = \omega_1$ .

$$\text{Clearly } \beta = \sup_{\nu < \beta} \nu, \quad M = \langle \tilde{D}_\beta, X \rangle,$$

Since  $cf(\beta) = \omega_1$ , we have

$$(2) [M]^\omega \subset M; \quad x \in M \implies [x]^\omega \in M$$

Hence:

$$(3) \tilde{D}_\beta \subset M \text{ is } M\text{-definable.}$$

Clearly (1) can be improved to

$$(4) \nu \in B \iff (G \cap \tilde{D}_\beta) \cap X_\nu \neq \emptyset.$$

Note that  $\rho > \beta$  and  $f_\beta \in L_\rho[A]$ ,

where  $f_\beta : \omega_1 \leftrightarrow \beta$ .

Let  $D \subset \omega_1$  code the complete theory of  $\langle M, f_\beta, v \ (v < \omega_1) \rangle$ . Then  $M$  is uniquely recoverable from  $D$  in any transitive ZFC-model containing  $D$  as a set.

Then  $D \in L_\beta[A]$ . Hence there is  $d_0 \in C_\beta$  s.t.  $D \cap d \in M_d$  for all  $d \in C_\beta \setminus d_0$ .

Set:  $M^* = \langle M, D, G \cap \tilde{IP}_\beta \rangle$ . Set:

Def  $\gamma_d =$  the smallest  $\gamma < M^*$  s.t.  $d \subset \gamma$  ( $d \leq \omega_1$ ).

Then  $M^* = \gamma_{\omega_1}$  and  $\gamma_d$  is countable for  $d < \omega_1$ . Set:

$$C = \{d \in C_\beta \mid d_0 \leq d = \omega_1 \cap \gamma_d\}.$$

Then  $C$  is cub in  $\omega_1$

Claim Let  $d \in C$ . Then  $B \cap d, C \cap d \in M_d$ .

prf.

Let  $\sigma: M^* \xrightarrow{\sim} \gamma_d$ , where  $M^* = \langle M, G \cap \tilde{IP}_\beta \rangle$

and  $M = \langle L_\beta[A], \bar{A}, \bar{M}, \bar{X} \rangle$ . Then

$d = \text{crit}(\sigma)$ ,  $\sigma(d) = \omega_1$ ,  $\sigma(\bar{A}) = A \cap \beta$ ,

$\sigma(\bar{M}) = M$  (hence  $\bar{M} = M \cap d$ ),

$\sigma(\bar{X}) = X$  (hence  $\bar{X} = \langle \bar{X}_r \mid r < d \rangle$ , where

$\bar{X}_r$  is a maximal antichain in  $\tilde{IP}_\beta$ ,

and  $\tilde{IP}_\beta$  is defined in  $M$  as  $\tilde{IP}_\beta$  in  $M$ .



Finally, we note that  $\bar{D} = D \cap d \in M_d$ ,  
 since  $\sigma(\bar{D}) = D$  and  $d \in C_\beta \setminus d_0$ . Hence

(5)  $\bar{D} \in M_d$ .

Since  $\bar{D}$  is recoverable from  $\bar{D}$  as  
 $D$  was recoverable from  $D$ ,

(6)  $\bar{G} \in M_d$

pf.

By the proof of Lemma 7 (d),  $G \cap \tilde{P}_\beta$   
 $\langle \mathcal{M}, \tilde{C}_\beta \rangle$ -definable, where  $\tilde{C}$  is, in  
 turn,  $\mathcal{M}^*$ -definable. Let  $\bar{C}$  have the  
 same definition in  $\bar{\mathcal{M}}^*$ . Then

$\bar{C} = \sigma^{-1} \tilde{C}_\beta = \tilde{C}_\beta \cap d \in M_d$ , since

$d \in C_\beta$ . But then  $\bar{G}$  is  $\langle \bar{\mathcal{M}}, \bar{C} \rangle$   
 -definable as  $G \cap \tilde{P}_\beta$  was defined in  
 $\langle \mathcal{M}, \tilde{C}_\beta \rangle$ , since  $\sigma(\bar{G}) = G \cap \tilde{P}_\beta$  and

$\sigma: \langle \bar{\mathcal{M}}, \bar{C}, \bar{G} \rangle \prec \langle \mathcal{M}, \tilde{C}_\beta, G \cap \tilde{P}_\beta \rangle$ .

Hence  $\bar{G} \in M_d$ , since  $\langle \bar{\mathcal{M}}, \bar{C} \rangle \in M_d$

QED (6)

By the proof of Lemma 7 (c):

$v \in B \iff (G \cap \tilde{P}_\beta) \cap X_v \neq \emptyset$   
 $\iff \bar{G} \cap \bar{X}_v \neq \emptyset$  for  $v < \alpha$ .

Hence:

(7)  $B \cap \alpha \in M_d$ , since  $\bar{G}, \langle \bar{X}_v \mid v < \alpha \rangle \in M_d$ .

Finally we note that:

(8)  $C \cap d \in M_d$ ,

since  $\bar{M}^* \in M_d$  by (6),  $C_\beta \cap d, d_0 \in M_d$ ,  
 and  $C \cap d$  is definable from  $\bar{M}^*$ ,  
 $C_\beta \cap d, d_0$  as  $C$  was defined from  
 $\bar{M}^*, C_\beta, d_0$ .  $\square$  (Lemma 8)

It remains only to verify (H), which  
 will follow by Corollary 4.1:

Lemma 9 Let  $\bar{G}$  be  $\mathbb{P}_1$ -generic over  
 $N$ . Set:  $IP_{\bar{G}} = \{p \in IP \mid p \Vdash \bar{G}\}$ . Then  
 $IP_{\bar{G}} \times IP_{\bar{G}}$  is  $\omega_1$ -distributive.

Proof.

Let  $G_0 \times G_1$  be  $IP_{\bar{G}} \times IP_{\bar{G}}$ -generic over  
 $N[\bar{G}]$ . We must show that  $N[\bar{G}][G_0 \times G_1] =$   
 $N[G_0 \times G_1]$  contains no new countable  
 subsets of  $N[\bar{G}]$ . Let  $\mathbb{Q} =$   
 $\{ \langle p, q \rangle \in IP \times IP \mid p \Vdash q \Vdash \bar{G} \}$  be as in

Lemma 4.1. Set  $\mathbb{Q}_{\bar{G}} = \mathbb{Q} \cap (IP_{\bar{G}} \times IP_{\bar{G}})$ .

It is easily seen that  $\mathbb{Q}_{\bar{G}}$  is

dense in  $IP_{\bar{G}} \times IP_{\bar{G}}$ . Hence

$N[G_0 \times G_1] = N[\tilde{G}]$ , where  $\tilde{G} = (G_0 \times G_1) \cap \mathbb{Q}_{\bar{G}}$ ,  
 and  $\tilde{G}$  is  $\mathbb{Q}_{\bar{G}}$ -generic over  $N[\bar{G}]$ .

It is apparent from the def. of  $\mathbb{Q}$  that:

(E') Let  $\langle p, p' \rangle \in \mathbb{Q}$ . Let  $q \leq p \wedge r = p' \wedge r$  in  $\tilde{\mathbb{P}}_1$ . Then  $\langle p \vee q, p' \vee q \rangle \in \mathbb{Q}$ .

Using this we can repeat the proof of (2) (following (G)) in §1 to get:

$\mathbb{Q} \cap (G_0 \times G_1)$  is  $\mathbb{Q}$ -generic over  $N$  iff

$\bar{G} = G_0 \cap \tilde{\mathbb{P}}_1$  is  $\tilde{\mathbb{P}}_1$ -generic over  $N$

and  $\mathbb{Q} \cap (G_0 \times G_1)$  is  $\mathbb{Q}_{\bar{G}}$ -generic over

$N[\bar{G}]$ . Hence  $\tilde{G} = \mathbb{Q} \cap (G_0 \times G_1)$  is  $\mathbb{Q}$ -generic

over  $N$ , where  $\mathbb{Q}$  is  $\omega_1$ -distributive

in  $N$ . Hence  $N[G_0 \times G_1] = N[\tilde{G}]$  contains no new countable sets of ordinals.

QED