

recall standard Sobolev estimates (bas. on a domain in  $\mathbb{R}^n$ )

$$(1) W^{k+d, p} \hookrightarrow C^d \quad \text{if } kp > n$$

$$(2) W^{k, p} \hookrightarrow W^{m, q} \quad \text{if } k \geq m, \quad k - \frac{n}{p} \geq m - \frac{n}{q}, \quad q \geq p$$

$$(3) W^{k, p} \times W^{m, q} \longrightarrow W^{m, q} : (f, g) \longmapsto fg \quad \text{if } k \geq m, \quad k - \frac{n}{p} \geq m - \frac{n}{q}.$$

$$(4) C^k \times W^{k, p} \longrightarrow W^{k, p} : (f, g) \longmapsto f \circ g \quad \text{contin. if } kp > n.$$

elliptic estimate of  $\bar{\partial}$ :

$$(5) \|u\|_{W^{k, p}} \leq c \|\bar{\partial}u\|_{W^{k-1, p}} \quad \forall u \in W_0^{k, p}$$

linear regularity thm: Fix  $k \in \mathbb{N}$ ,  $1 < p < \infty$ ,  $m \geq k$ .

(1)  $\bar{\partial}u = f$  for  $u \in W^{k,p}$ ,  $f \in W^{m,p}$  on  $\mathbb{D} \Rightarrow u \in W_{loc}^{m+1,p}(\mathbb{D})$

(cor:  $f \in C^\infty \Rightarrow u \in \bigcap_{m \in \mathbb{N}} W_{loc}^{m,p} = C^\infty$ .) (i.e.  $W^{m+1,p}$  on all cpt subsets of  $\mathbb{D}$ ).

(2) Seqs.  $u_\nu \in W^{k,p}$ ,  $f_\nu \in W^{m,p}$ ,  $\bar{\partial}u_\nu = f_\nu$ .

(a)  $\|u_\nu\|_{W^{k,p}}$ ,  $\|f_\nu\|_{W^{m,p}}$  unif bdd on  $\mathbb{D} \Rightarrow \|u_\nu\|_{W^{m+1,p}}$  bdd on all cpt subsets of  $\mathbb{D}$ .

(cor (via Arzelà-Ascoli):

$f_\nu \xrightarrow{C^\infty} f$  &  $\|u_\nu\|_{W^{k,p}}$  unif bdd  $\Rightarrow \exists C_{loc}^\infty$ -conv. subseq.)

(b)  $u_\nu \xrightarrow{W^{k,p}} u$  &  $f_\nu \xrightarrow{W^{m,p}} f \Rightarrow u_\nu \xrightarrow{W_{loc}^{m+1,p}} u$ .

(cor: all reasonable Sobolev-type topologies on sol. spaces  $\cong C^\infty$ -top.)

EX: Some result holds w/  $\bar{\partial}$  replaced with  $\bar{\partial} + A$  for

$A \in C^m$  or  $\bar{\partial} + A_\nu$  with  $A_\nu \xrightarrow{C^m} A$ .

pf of (2a), assuming (1): Suff to consider  $k = m: \|u_v\|_{W^{k,p}}, \|f_v\|_{W^{k,p}} \leq C$ .

Fix  $r \in (0,1)$  &  $\beta \in C_0^\infty(\mathbb{D}, [0,1])$  w/  $\beta|_{\mathbb{D}_r} \equiv 1$ . (1)  $\Rightarrow u_v \in W_{loc}^{k+1,p}$

$\Rightarrow$  for  $\partial_1 = \partial_s, \partial_2 = \partial_t$ ,  $\beta \partial_j u_v \in W_0^{k,p}$  for  $j=1,2$ .

$$\|\partial_j u_v\|_{W^{k,p}(\mathbb{D}_r)} \leq \|\beta \partial_j u_v\|_{W^{k,p}(\mathbb{D})} \stackrel{(5)}{\leq} c \|\bar{\partial}(\beta \partial_j u_v)\|_{W^{k-1,p}}$$

$$\leq c \underbrace{\|(\bar{\partial}\beta) \partial_j u_v\|_{W^{k-1,p}}}_{\text{bdd}} + c \underbrace{\|\beta \partial_j f_{\bar{v}}\|_{W^{k-1,p}}}_{\text{bdd}} \quad \square$$

pf of (2b) similar.

pf of (1): Replace  $\partial_j u_v$  w/ difference quotients  $D_j^h u(z) := \frac{u(z + h e_j) - u(z)}{h}$

for  $h \in \mathbb{R} \setminus \{0\}$  small,  $e_1 = \partial_s, e_2 = \partial_t$ .

$u \in W^{k,p} \Rightarrow D_j^h u \in W^{k,p}, \partial_j u \in W^{k-1,p} \Rightarrow \|D_j^h u\|_{W^{k-1,p}}$  bdd as  $h \rightarrow 0$ ,

sim.  $\|D_j^h f\|_{W^{m-1,p}}$  bdd. Same arguments as above  $\leadsto$

$\|D_j^h u\|_{W^{k,p}(\mathbb{D}_r)}$  bdd as  $h \rightarrow 0 \Rightarrow \partial_j u \in W^{k,p}(\mathbb{D}_r)$ .

(e.g. if  $u \in L^p$  &  $\|D_j^h u\|_{L^p}$  bdd as  $h \rightarrow 0$ , then  $\forall$  seqs.  $h_v \rightarrow 0$ ,

$1 < p < \infty$ , Banach-Alaoglu thm  $\Rightarrow D_j^{h_v} u$  has a weakly  $L^p$ -conv. subseq.

i.e.  $\forall g \in L^q$  w/  $\frac{1}{p} + \frac{1}{q} = 1, \int (D_j^{h_v} u) g \rightarrow \int (\text{limit}) g$ .

EX: limit = weak deriv.  $\partial_j u$ . □

Lemma: Weak sols.  $u \in L^1_{loc}$  to  $\bar{\partial} u = 0$  are smooth.

"pf": For  $u$ , for  $u$  are "weakly" harmonic fns., by mollification, can approximate them w/  $C^\infty$  harmonic fns. conv. in  $L^1_{loc}$ .

Can characterize harmonic fns via mean value property:

$$f(z) = \frac{1}{\pi r^2} \int_{D_r(z)} f(\zeta) d\mu(\zeta) \Rightarrow L^1\text{-limits also satisfy MVP. } \square$$

cor: For  $1 < p < \infty$  a  $A \in C^\infty$ , weak sols <sup>of class  $L^p$</sup>  to  $(\bar{\partial} + A)u = 0$  are smooth.

pf: Recall  $\exists$  right-inverse  $T: L^p \rightarrow W^{1,p}$  of  $\bar{\partial}$ .  $u \in L^p$  &

$$\bar{\partial} u = -Au \in L^p. \quad Tf \in W^{1,p} \text{ sols, } \bar{\partial}(Tf) = f = \bar{\partial} u \Rightarrow$$

$u - Tf \in L^p$  is a weak sol. to  $\bar{\partial}(u - Tf) = 0 \Rightarrow u - Tf \in C^\infty \Rightarrow u \in W^{1,p}_{loc}$ .

Now check disk ct.  $u \in W^{1,p}$ , then  $\bar{\partial} u = -Au \in W^{1,p} \Rightarrow u \in W^{2,p}_{loc}$ , continue...  $\square$

nonlinear regularity thm: If  $k, p > 2$  &  $J: \mathbb{D} \times \mathbb{C}^n \rightarrow J(\mathbb{C}^n) := \left\{ \begin{array}{l} \mathbb{R}\text{-lin. maps} \\ K: \mathbb{C}^n \rightarrow \mathbb{C}^n \\ \text{w/ } K^2 = -Id \end{array} \right\}$   
 is of class  $C^m$  (or  $J_v \xrightarrow{C^m} J$ ), then statements (1), (2a), (2b)

are also true for sol. of the nonlinear eqn.  $\partial_s u(z) + J(z, u(z)) \partial_z u(z) = f(z)$ .

idea: Given  $z_0 \in \mathbb{D}$ , can change coords on  $\mathbb{C}^n$  s.t. wlog  $u(z_0) = 0, J(z_0, 0) = i$ .

rescaling trick: For  $\varepsilon > 0$  & a suitable const.  $\alpha \in (0, 1)$ , replace  
 $u \rightsquigarrow \hat{u}(z) := \frac{u(z_0 + \varepsilon z)}{\varepsilon^\alpha}$        $f \rightsquigarrow \hat{f}(z) := \varepsilon^{1-\alpha} f(z_0 + \varepsilon z)$ .

$J \rightsquigarrow \hat{J}(z, x) := J(z_0 + \varepsilon z, \varepsilon^\alpha x)$

Now  $\partial_s u + J(z, u) \partial_z u = f \iff \partial_s \hat{u} + \hat{J}(z, \hat{u}) \partial_z \hat{u} = \hat{f}$ ,

but for  $\varepsilon$  small, can assume  $\|\hat{J} - i\|_{C^m(\mathbb{D} \times \mathbb{D}^{2n})}$  small.

Now in estimating  $\|\beta \partial_z \hat{u}_v\|_{W^{k, p}}$ ,  $\exists$  additional terms such as

$$\begin{aligned} \underbrace{\|\hat{J}_v(z, \hat{u}_v) - i\|}_{W^{k, p}} \underbrace{\|\partial_z (\beta \partial_z \hat{u}_v)\|}_{W^{k-1, p}} &\stackrel{(3)}{\leq} c \|\hat{J}_v(z, \hat{u}_v) - i\|_{W^{k, p}} \cdot \|\partial_z (\beta \partial_z \hat{u}_v)\|_{W^{k-1, p}} \\ &\leq c \|\hat{J}_v(z, \hat{u}_v) - i\|_{W^{k, p}} \cdot \|\beta \partial_z \hat{u}_v\|_{W^{k, p}} \end{aligned}$$

If can assume  $\hat{u}_v$   $W^{k, p}$ -small for  $\varepsilon > 0$  suff. small, then  $\hat{J} - i$   $C^k$ -small

(4) can assume  $\|\hat{J}_v(z, \hat{u}_v) - i\|_{W^{k, p}}$  arb. small, e.g.  $< \frac{1}{2c}$ .

$\Rightarrow$  can move  $\frac{1}{2} \|\beta \partial_z \hat{u}_v\|_{W^{k, p}}$  to the LHS.

Lemma (cor. of Sobolev emb. thm): If  $k, p > n$  &  $\alpha \in (0, 1)$  s.t.

$\alpha \leq k - \frac{n}{p}$ , then for  $f_\varepsilon(x) := f(x_0 + \varepsilon x)$ ,  $\exists C > 0$  (indep. of  $f$ )

s.t.  $\|f_\varepsilon - f_\varepsilon(0)\|_{W^{k, p}(\mathbb{D}^n)} \leq C \varepsilon^\alpha \|f - f(x_0)\|_{W^{k, p}(\mathbb{D}^n)}$ .

ex:  $f \in W^{k, p}$ ,  $\beta$  a multi-index of order  $k$ , then  $\partial^\beta f_\varepsilon(x) = \varepsilon^k \partial^\beta f(x_0 + \varepsilon x)$

$$\begin{aligned} \Rightarrow \|\partial^\beta f_\varepsilon\|_{L^p}^p &= \int_{\mathbb{D}^n} |\partial^\beta f_\varepsilon(x)|^p = \varepsilon^{kp} \int_{\mathbb{D}^n} |\partial^\beta f(x_0 + \varepsilon x)|^p = \varepsilon^{kp-n} \int_{\mathbb{D}_\varepsilon^n(x_0)} |\partial^\beta f(x)|^p \\ &\leq \varepsilon^{kp-n} \|\partial^\beta f\|_{L^p}^p. \quad \|\partial^\beta f_\varepsilon\|_{L^p} \leq \varepsilon^{k-\frac{n}{p}} \|\partial^\beta f\|_{L^p}. \end{aligned}$$

## isolated intersections

thm:  $u, v : (D, i) \rightarrow (\mathbb{C}^n, J)$   $J$ -hol. w.  $u(0) = v(0)$ , then  $\exists$

nbhd's  $\mathcal{O}, \mathcal{O}' \subseteq D$  of  $0$  s.t., either  $u(\mathcal{O}) = v(\mathcal{O}')$

or  $(u(\mathcal{O}) \cap v(\mathcal{O}' \setminus \{0\})) \cup (u(\mathcal{O} \setminus \{0\}) \cap v(\mathcal{O}')) = \emptyset$ .

pf in case  $du(0) \neq 0$ : Choose coords on  $\mathbb{C}^n$  s.t. WLOG

$$u(z) = (z, 0) \in \mathbb{C} \times \mathbb{C}^{n-1} \quad \& \quad J(z, 0) = i \quad \forall z \in D.$$

$$\text{Then for } (z, w) \in \mathbb{C} \times \mathbb{C}^{n-1}, \quad J(z, w) = i + \int_0^1 \frac{d}{d\tau} J(z, \tau w) d\tau$$

$$= i + \left( \int_0^1 D_z J(z, \tau w) d\tau \right) w$$

$$B(z, w) : \mathbb{C}^{n-1} \xrightarrow{\mathbb{R}\text{-lin}} \text{End}_{\mathbb{R}}(\mathbb{C}^n).$$

Write  $v(z) = (\varphi(z), f(z)) \in \mathbb{C} \times \mathbb{C}^{n-1}$ . Then

$$0 = \partial_s v + J(\varphi, f) \partial_t v = \partial_s v + i \partial_t v + [B(\varphi, f) f] \partial_t v$$

Let  $\pi : \mathbb{C} \times \mathbb{C}^{n-1} \rightarrow \mathbb{C}^{n-1}$  proj. Then  $f$  satisfies  $\partial_s f + i \partial_t f + Af = 0$

where  $A : D \rightarrow \text{End}_{\mathbb{R}}(\mathbb{C}^{n-1})$  def'd by

$$A(z)w := \pi [B(\varphi(z), f(z))w] \partial_t v. \quad (\bar{\partial} + A)f = 0$$

By similarity princ., either  $f \equiv 0$  near  $0$  or the origin is an isolated zero of  $f$ . □