

## examples of Banach spaces

convention: All fun. take values in some fixed fin. dim.

inner product space  $(V, \langle \cdot, \cdot \rangle)$  over  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ .

(1)  $\Omega \stackrel{\text{open}}{\subseteq} \mathbb{R}^n$ ,  $m \geq 0$  integer, for  $C^m$ -fun.  $f: \Omega \rightarrow V$ , defn. the

$$C^m\text{-norm} \quad \|f\|_{C^m} := \sum_{|\alpha| \leq m} \sup_{x \in \Omega} |\partial^\alpha f(x)|,$$

sum over all multi-indices  $\alpha = (\underbrace{\alpha_1, \dots, \alpha_n}_{\text{integers } \geq 0})$  of order

$$|\alpha| := \sum_{i=1}^n \alpha_i, \quad \partial^\alpha f := \partial_1^{\alpha_1} \cdots \partial_n^{\alpha_n} f$$

$C_b^m(\Omega) := \{f: \Omega \xrightarrow{C^m} V \mid \|f\|_{C^m} < \infty\}$  is now a normed vec. sp.

A seq.  $f_k \rightarrow f$  in  $C_b^m(\Omega)$   $\Leftrightarrow \|f_k - f\|_{C^m} \rightarrow 0$  as  $k \rightarrow \infty$   
 $\Leftrightarrow \partial^\alpha f_k \rightarrow \partial^\alpha f$  unif. on  $\Omega$   
     $\forall |\alpha| \leq m$ .

then:  $C_b^m(\Omega)$  is complete.

~~If~~:  $f_k \in C_b^m(\Omega)$  Cauchy  $\Leftrightarrow \forall |\alpha| \leq m$ ,  $\partial^\alpha f_k$  is unif. Cauchy

$\stackrel{(\text{Ana.}^*)}{\Rightarrow} \partial^\alpha f_k \xrightarrow{\text{unif.}} g_\alpha \in C_b^0(\Omega) \quad \forall |\alpha| \leq m$ ,  $g_\alpha$  some fn.

In case  $\alpha_0 := (0, \dots, 0)$ , with  $f := g_{\alpha_0}$ , so  $f_k \xrightarrow{\text{unif.}} f$ .

To show:  $\partial^\alpha f = g_\alpha \quad \forall |\alpha| \leq m$ .

case  $|\alpha| = 1$ : to show if  $\partial_j f_k \xrightarrow{\text{unif.}} g_j$ , then  $\partial_j f = g_j$ .

$\forall x \in \Omega$ , pick  $h \in \mathbb{R}$  suff. close to 0,  $e_j$ :  $j$ th basis vector of  $\mathbb{R}^n$ ,

then  $f_k(x + he_j) = f_k(x) + h \int_0^1 \partial_j f_k(x + t he_j) dt$

$\downarrow \quad \downarrow \quad \downarrow \text{(due to unif. conv. of } \partial_j f_k \rightarrow g_j \text{)}$

$f(x + he_j) = f(x) + h \int_0^1 g_j(x + t he_j) dt \Rightarrow \partial_j f = g_j$ .

Rest by induction on  $|\alpha|$ . □

(2)  $(X, \mu)$  measure space,  $1 \leq p \leq \infty$ ,

$$L^p(X) = L^p(X, \mu) := \left\{ f: X \rightarrow V \text{ measurable} \mid \|f\|_{L^p} < \infty \right\}$$

where  $f \sim g$  iff  $f = g$  a.e.,

$$\|f\|_{L^p} := \left( \int_X |f|^p d\mu \right)^{\frac{1}{p}} \quad \text{for } p < \infty$$

$$\|f\|_{L^\infty} := \text{ess sup } |f| := \inf \{c \geq 0 \mid |f| \leq c \text{ a.e.}\}.$$

non-examples

$$(3) C_b^\infty(\Omega) := \{f: \Omega \rightarrow V \text{ smooth} \mid \partial^\alpha f \text{ bdd} \forall \alpha\},$$

$f_k \xrightarrow{C^\infty} f$  means  $\partial^\alpha f_k \xrightarrow{\text{unif}} \partial^\alpha f \forall \alpha \iff$

$f_k \xrightarrow{C^m} f \forall m \geq 0$ , i.e.  $\|f - f_k\|_{C^m} \rightarrow 0$  as  $k \rightarrow \infty \forall m$ .

$$(4) 0 \leq m \leq \infty, C_{loc}^m(\Omega) = C^m(\Omega) := \{f: \Omega \rightarrow V \text{ of class } C^m\},$$

$f_k \xrightarrow{C^m} f$  means  $\partial^\alpha f_k \xrightarrow{\text{unif}} \partial^\alpha f$  on all compact subsets  $\forall |\alpha| \leq m$

$\iff \forall j \leq m, \forall K \subseteq \Omega \text{ cpt}, f_k|_K \xrightarrow{C^j} f|_K$ , i.e.

$$\|f - f_k\|_{C^j(K)} := \|(f - f_k)|_K\|_{C^j} \rightarrow 0 \text{ as } k \rightarrow \infty.$$

trouble: Convergence in (3) & (4) cannot be described in terms of any single norm. In (4),  $\|f\|_{C^j(K)} = 0 \not\Rightarrow f = 0$ , so  $\|\cdot\|_{C^j(K)}$  are not norms.

recall: In a metric space  $M$ ,  $x_n \rightarrow x$  iff  $\forall$  neighborhoods,

$\mathcal{U} \subseteq M$  of  $x$  (i.e. sets containing some open set containing  $x$ ),  
 $x_n \in \mathcal{U} \forall n$  suff. large.

A map  $f: M \rightarrow M'$  is continuous iff  $\forall \mathcal{U} \subseteq M'$  open,  
 $f^{-1}(\mathcal{U})$  is open in  $M$ .

insight: To discuss continuity & convergence, one doesn't need a metric if one knows what an open set is. (the "open" sets)

def: A topology on a set  $X$  is a collection  $\mathcal{T}$  of subsets of  $X$  s.t.:

(i)  $\emptyset \in \mathcal{T}, X \in \mathcal{T}$  (ii) Arbitrary unions of sets from  $\mathcal{T}$  are also in  $\mathcal{T}$

(iii)  $\forall A \in \mathcal{T}, B \in \mathcal{T}, \Rightarrow A \cap B \in \mathcal{T}$ . (= also all finite intersections)

$(X, \mathcal{T})$  is now a topological space.

For top. spaces  $X \times Y$ , continuity of maps  $f: X \rightarrow Y$  defined via (\*).

Similarly, convergence of a seq.  $x_n \rightarrow x$  in a top. space.

$f: X \rightarrow Y$  is a homeomorphism if it is bijective & both  $f$  &  $f^{-1}$  are continuous. ( $\Rightarrow$  in particular,  $\forall U \subseteq X$  open,  $f(U) \subseteq Y$  also open.)

ex: Any metric sp. (e.g. normed vec. sp.) is also a top. sp. with usual notion of open sets.

ex:  $X, Y$  top. spaces  $\rightsquigarrow X \times Y$  inherits a natural product top:

the smallest top. containing  $U \times V \subseteq X \times Y \quad \forall U \subseteq X, V \subseteq Y$ .

useful thm: Contin. maps  $f: X \rightarrow Y$  are also sequentially contin., i.e.  
 $\forall$  convergent seqs.  $x_n \rightarrow x$  in  $X$ , it follows that  $f(x_n) \rightarrow f(x)$ .  $\square$

Achtung: converse is false in general top. sp. (but true in metric sp.)

defn: A topological vector space (TVS) over  $\mathbb{K}$  is a vec. sp.  
 $X$  endowed with a top. s.t. the maps  $X \times X \rightarrow X: (x, y) \mapsto x + y$   
 $\mathbb{K} \times X \rightarrow X: (\lambda, x) \mapsto \lambda x$   
are both contin.

ex: Normed vec. sp. are TVSs

ex (thm): Every fin.-dim. vec. sp. admits a unique topology  
that makes it a TVS.

defn: A seminorm on a vec. sp.  $X$  is a fn.  $\| \cdot \|: X \rightarrow [0, \infty)$  s.t.

$$(i) \quad \| \lambda x \| = |\lambda| \| x \| \quad \forall \lambda \in \mathbb{K}, x \in X,$$

$$(ii) \quad \| x + y \| \leq \| x \| + \| y \| \quad \forall x, y \in X.$$

(Allow  $\| x \| = 0$  even if  $x \neq 0$ .)

defn: A locally convex space (LCS) is a vec. sp.  $X$  endowed w/  
the smallest top. containing all sets of the form

$B_R^{\alpha}(x_0) := \{x \in X \mid \|x - x_0\|_{\alpha} < R\} \quad \forall \text{ possible } x_0 \in X, R > 0 \text{ & some given family of seminorms } \{\| \cdot \|_{\alpha}: X \rightarrow [0, \infty)\}_{\alpha \in I} \text{ s.t.}$   
 $\| x \|_{\alpha} = 0 \quad \forall \alpha \in I \Rightarrow x = 0.$

th:  $B_R^{\alpha}(x_0)$  is a convex set:  $x_0, y \in B_R^{\alpha}(x_0) \Rightarrow t x_0 + (1-t)y \in B_R^{\alpha}(x_0) \quad \forall t \in [0,1]$ .

prop: For  $X$  a LCS w/ top. generated by the family of seminorms  $\{\| \cdot \|_{\alpha}\}_{\alpha \in I}$ :

(1)  $X$  is a TVS (PSET 1)

(2) A seq.  $x_n \rightarrow x$  in  $X \Leftrightarrow \forall \alpha \in I, \|x - x_n\|_{\alpha} \rightarrow 0$  as  $n \rightarrow \infty$ .

(3) A set  $U \subseteq X$  is open  $\Leftrightarrow \forall x_0 \in U, \exists$  a finite subset

$I_0 \subseteq I \quad \& \quad \varepsilon_i > 0 \text{ for } i \in I_0 \text{ s.t. } \{x \in X \mid \|x - x_0\|_{\alpha_i} < \varepsilon_i \quad \forall i \in I_0\} \subseteq U$ .